# murach's
# SQL Server
# 2012
# for developers

Bryan Syverson

Joel Murach

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**Authors:**        Bryan Syverson
Joel Murach

**Editor:**         Anne Boehm

**Cover design:**   Zylka Design

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# Introduction

If you want to learn SQL, you've picked the right book. And if you want to learn the specifics of SQL for SQL Server 2012, you've made an especially good choice. Along the way, you'll learn a lot about relational database management systems in general and about SQL Server in particular.

Why learn SQL? First, because most programmers would be better at database programming if they knew more about SQL. Second, because SQL programming is a valuable specialty in itself. And third, because knowing SQL is the first step toward becoming a database administrator. In short, knowing SQL makes you more valuable on the job.

## Who this book is for

This book is the ideal book for application developers who need to work with a SQL Server database. It shows you how to code the SQL statements that you need for your applications. It shows you how to code these statements so they run efficiently. And it shows you how to take advantage of the most useful advanced features that SQL Server has to offer.

This book is also a good choice for anyone who wants to learn standard SQL. Since SQL is a standard language for accessing database data, most of the SQL code in this book will work with any database management system. As a result, once you use this book to learn how to use SQL to work with a SQL Server database, you can transfer most of what you have learned to another database management system such as Oracle, DB2, or MySQL.

This book is also the right *first* book for anyone who wants to become a database administrator. Although this book doesn't present all of the advanced skills that are needed by a top DBA, it will get you started. Then, when you have finished this book, you'll be prepared for more advanced books on the subject.

# 4 reasons why you'll learn faster with this book

- Unlike most SQL books, this one starts by showing you how to query an existing database rather than how to create a new database. Why? Because that's what you're most likely to need to do first on the job. Once you master those skills, you can learn how to design and implement a database, whenever you need to do that. Or, you can learn how to work with other database features like views and stored procedures, whenever you need to do that.

- Like all our books, this one includes hundreds of examples that range from the simple to the complex. That way, you can quickly get the idea of how a feature works from the simple examples, but you'll also see how the feature is used in the real world from the complex examples.

- Like most of our books, this one has exercises at the end of each chapter that give you hands-on experience by letting you practice what you've learned. These exercises also encourage you to experiment and to apply what you've learned in new ways.

- If you page through this book, you'll see that all of the information is presented in "paired pages," with the essential syntax, guidelines, and examples on the right page and the perspective and extra explanation on the left page. This helps you learn more with less reading, and it is the ideal reference format when you need to refresh your memory about how to do something.

# What you'll learn in this book

- In section 1, you'll learn the concepts and terms you need for working with any database. You'll also learn how to use Microsoft SQL Server 2012 and the Management Studio to run SQL statements on your own PC.

- In section 2, you'll learn all the skills for retrieving data from a database and for adding, updating, and deleting that data. These skills move from the simple to the complex so you won't have any trouble if you're a SQL novice. And they present skills like using outer joins, summary queries, and subqueries that will raise your SQL expertise if you already have SQL experience.

- In section 3, you'll learn how to design a database and how to implement that design by using either SQL statements or the Management Studio. When you're done, you'll be able to design and implement your own databases. But even if you're never called upon to do that, this section will give you perspective that will make you a better SQL programmer.

- In section 4, you'll learn the skills for working with database features like views, scripts, stored procedures, functions, triggers, cursors, and transactions. You'll also learn how to manage database security. Finally,

you'll learn how to use the features for working with XML and BLOB data, including how to use FILESTREAM storage to work with BLOBs. These are the features that give a database management system much of its power. So once you master them, you'll have a powerful set of SQL skills.

* In section 5, you'll learn how to use the CLR integration feature along with a new feature of SQL Server 2012 called SSDT (SQL Server Data Tools) to create database objects using the C# or Visual Basic programming language. That includes database objects such as stored procedures, functions, and triggers that can be created using SQL, as well as user-defined types and aggregate functions that can't be created using SQL.

## Prerequisites

Although you will progress through this book more quickly if you have some development experience, everything you need to know about databases and SQL is presented in this book. As a result, you don't need to have any programming background to use this book to learn SQL.

However, if you want to use C# or Visual Basic to work with a SQL Server database as described in chapters 20 through 23, you need to have some experience using C# or Visual Basic to write ADO.NET code. For example, these chapters assume you can understand the code that's presented in chapter 20 of *Murach's C# 2010* or chapter 16 of *Murach's Visual Basic 2010*.

## What software you need for this book

For chapters 1 through 19 of this book, you can download all the software you need from Microsoft's website for free. That includes:

* The Express Edition of SQL Server 2012
* The Express Edition of the SQL Server Management Studio

In appendix A, you'll find complete instructions for installing these items on your PC. And in chapter 2, you'll learn how to use them.

For chapter 20, you can use an Express Edition of Visual C# or Visual Basic. These products are also available from Microsoft's website for free. However, for chapters 21 through 23, you'll need to purchase and install one of the full editions of Visual Studio. In addition, you'll need to download and install SQL Server Data Tools (SSDT), which is available for free from Microsoft's website.

Appendix A provides instructions for installing the 2010 versions of these products. When the 2012 versions are released, we'll add instructions for installing them to our website if we notice any differences that affect this book. To find these instructions, you can check the FAQs for this book.

## What you can download from our web site

You can download all the source code for this book from our web site. That includes:

- Script files that create the databases used by the examples in this book
- The source code for all of the examples in this book
- The solutions for all the exercises in this book

In appendix B, you'll find complete instructions for downloading and installing these items on your PC.

## Support materials for trainers and instructors

If you're a corporate trainer or a college instructor who would like to use this book for a course, we offer an Instructor's CD that includes: (1) a complete set of PowerPoint slides that you can use to review and reinforce the content of this book; (2) instructional objectives that describe the skills a student should have upon completion of each chapter; (3) test banks that measure mastery of those skills; (4) additional exercises for each chapter that aren't in this book; and (5) solutions to those exercises.

To learn more about this Instructor's CD and to find out how to get it, please visit our website at www.murach.com and click on the Trainers link or the Instructors link. Or, if you prefer, you can call Kelly at 1-800-221-5528 or send an email to kelly@murach.com.

## Please let us know how this book works for you

When we started working on this book, our goal was (1) to provide a SQL Server book for application developers that will help them work more effectively; (2) to cover the database design and implementation skills that application developers are most likely to use; and (3) to do both in a way that helps you learn faster and better than you can with any other SQL Server book.

Now, if you have any comments about this book, we would appreciate hearing from you. If you like this book, please tell a friend. And good luck with your SQL Server projects!

Joel Murach
Author
joelmurach@yahoo.com

Anne Boehm
Editor
anne@murach.com

# Section 1

## An introduction to SQL

Before you begin to learn the fundamentals of programming in SQL, you need to understand the concepts and terms related to SQL and relational databases. That's what you'll learn in chapter 1. Then, in chapter 2, you'll learn about some of the tools you can use to work with a SQL Server database. That will prepare you for using the skills you'll learn in the rest of this book.

# 1

# An introduction to relational databases and SQL

Before you can use SQL to work with a SQL Server database, you need to be familiar with the concepts and terms that apply to database systems. In particular, you need to understand what a relational database is and the benefits relational databases offer over other data models. That's what you'll learn in the first part of this chapter. Then, you'll learn about some of the basic SQL statements and features provided by SQL Server.

# An introduction to client/server systems

In case you aren't familiar with client/server systems, the first two topics that follow introduce you to their essential hardware and software components. These are the types of systems that you're most likely to use SQL with. Then, the last topic gives you an idea of how complex client/server systems can be.

## The hardware components of a client/server system
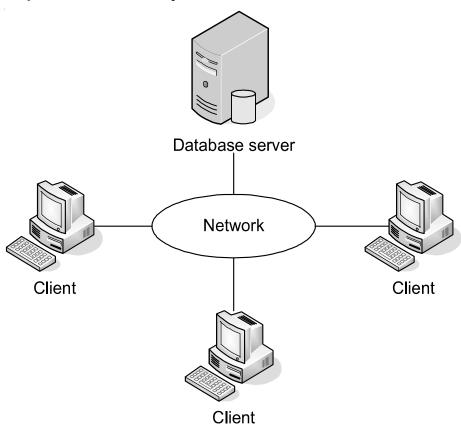
Figure 1-1 presents the three hardware components of a client/server system: the clients, the network, and the server. The *clients* are usually the PCs that are already available on the desktops throughout a company. And the *network* is the cabling, communication lines, network interface cards, hubs, routers, and other components that connect the clients and the server.

The *server*, commonly referred to as a *database server*, is a computer that has enough processor speed, internal memory (RAM), and disk storage to store the files and databases of the system and provide services to the clients of the system. This computer is usually a high-powered PC, but it can also be a midrange system like an IBM System x or Unix system, or even a mainframe system. When a system consists of networks, midrange systems, and mainframe systems, often spread throughout the country or world, it is commonly referred to as an *enterprise system*.

To back up the files of a client/server system, a server usually has a tape drive or some other form of offline storage. It often has one or more printers or specialized devices that can be shared by the users of the system. And it can provide programs or services like e-mail that can be accessed by all the users of the system.

In a simple client/server system, the clients and the server are part of a *local area network (LAN)*. However, two or more LANs that reside at separate geographical locations can be connected as part of a larger network such as a *wide area network (WAN)*. In addition, individual systems or networks can be connected over the Internet.

## A simple client/server system

Database server

Network

Client                                    Client

Client

## The three hardware components of a client/server system

- The *clients* are the PCs, Macs, or workstations of the system.
- The *server* is a computer that stores the files and databases of the system and provides services to the clients. When it stores databases, it's often referred to as a *database server*.
- The *network* consists of the cabling, communication lines, and other components that connect the clients and the servers of the system.

## Client/server system implementations

- In a simple *client/server system* like the one shown above, the server is typically a high-powered PC that communicates with the clients over a *local area network* (*LAN*).
- The server can also be a midrange system, like an IBM System x or a Unix system, or it can be a mainframe system. Then, special hardware and software components are required to make it possible for the clients to communicate with the midrange and mainframe systems.
- A client/server system can also consist of one or more PC-based systems, one or more midrange systems, and a mainframe system in dispersed geographical locations. This type of system is commonly referred to as an *enterprise system*.
- Individual systems and LANs can be connected and share data over larger private networks, such as a *wide area network* (*WAN*), or a public network like the Internet.

Figure 1-1     The hardware components of a client/server system

# The software components
# of a client/server system

Figure 1-2 presents the software components of a typical client/server system. In addition to a *network operating system* that manages the functions of the network, the server requires a *database management system (DBMS)* like Microsoft SQL Server or Oracle. This DBMS manages the databases that are stored on the server.
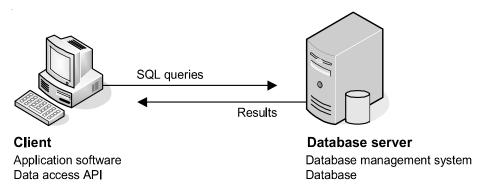
In contrast to a server, each client requires *application software* to perform useful work. This can be a purchased software package like a financial accounting package, or it can be custom software that's developed for a specific application.

Although the application software is run on the client, it uses data that's stored on the server. To do that, it uses a *data access API* (*application programming interface*) such as ADO.NET. Since the technique you use to work with an API depends on the programming language and API you're using, you won't learn those techniques in this book. Instead, you'll learn about a standard language called *SQL*, or *Structured Query Language*, that lets any application communicate with any DBMS. (In conversation, SQL is pronounced as either *S-Q-L* or *sequel*.)

Once the software for both client and server is installed, the client communicates with the server via *SQL queries* (or just *queries*) that are passed to the DBMS through the API. After the client sends a query to the DBMS, the DBMS interprets the query and sends the results back to the client.

As you can see in this figure, the processing done by a client/server system is divided between the clients and the server. In this case, the DBMS on the server is processing requests made by the application running on the client. Theoretically, at least, this balances the workload between the clients and the server so the system works more efficiently. In contrast, in a file-handling system, the clients do all of the work because the server is used only to store the files that are used by the clients.

## Client software, server software, and the SQL interface



**Client**
Application software
Data access API

**Database server**
Database management system
Database

### Server software

- To store and manage the databases of the client/server system, each server requires a *database management system* (*DBMS*) like Microsoft SQL Server.
- The processing that's done by the DBMS is typically referred to as *back-end processing*, and the database server is referred to as the *back end*.

### Client software

- The *application software* does the work that the user wants to do. This type of software can be purchased or developed.
- The *data access API* (*application programming interface*) provides the interface between the application program and the DBMS. The newest Microsoft API is ADO.NET, which can communicate directly with SQL Server. Older APIs required a data access model, such as ADO or DAO, plus a driver, such as OLE DB or ODBC.
- The processing that's done by the client software is typically referred to as *front-end processing*, and the client is typically referred to as the *front end*.

### The SQL interface

- The application software communicates with the DBMS by sending *SQL queries* through the data access API. When the DBMS receives a query, it provides a service like returning the requested data (the *query results*) to the client.
- *SQL* stands for *Structured Query Language*, which is the standard language for working with a relational database.

### Client/server versus file-handling systems

- In a client/server system, the processing done by an application is typically divided between the client and the server.
- In a file-handling system, all of the processing is done on the clients. Although the clients may access data that's stored in files on the server, none of the processing is done by the server. As a result, a file-handling system isn't a client/server system.

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Figure 1-2    The software components of a client/server system

# Other client/server system architectures

In its simplest form, a client/server system consists of a single database server and one or more clients. Many client/server systems today, though, include additional servers. In figure 1-3, for example, you can see two client/server systems that include an additional server between the clients and the database server.
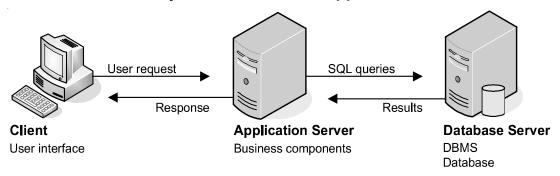
The first illustration is for a simple Windows-based system. With this system, only the user interface for an application runs on the client. The rest of the processing that's done by the application is stored in one or more *business components* on the *application server*. Then, the client sends requests to the application server for processing. If the request involves accessing data in a database, the application server formulates the appropriate query and passes it on to the database server. The results of the query are then sent back to the application server, which processes the results and sends the appropriate response back to the client.

Similar processing is done by a web-based system, as illustrated by the second example in this figure. In this case, though, a *web browser* running on the client is used to send requests to a *web application* running on a *web server* somewhere on the Internet. The web application, in turn, can use *web services* to perform some of its processing. Then, the web application or web service can pass requests for data on to the database server.
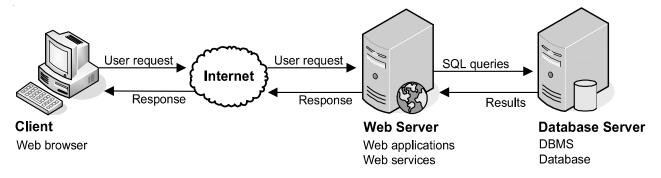
Although this figure should give you an idea of how client/server systems can be configured, you should realize that they can be much more complicated than what's shown here. In a Windows-based system, for example, business components can be distributed over any number of application servers, and those components can communicate with databases on any number of database servers. Similarly, the web applications and services in a web-based system can be distributed over numerous web servers that access numerous database servers. In most cases, though, it's not necessary for you to know how a system is configured to use SQL.

Before I go on, you should know that client/server systems aren't the only systems that support SQL. For example, traditional mainframe systems and newer *thin client* systems also use SQL. Unlike client/server systems, though, most of the processing for these types of systems is done by a mainframe or another high-powered machine. The terminals or PCs that are connected to the system do little or no work.

## A Windows-based system that uses an application server

User request →
Response ←
**Client**
User interface

SQL queries →
Results ←
**Application Server**
Business components

**Database Server**
DBMS
Database

## A simple web-based system

User request →
Response ←
**Client**
Web browser

**Internet**

User request →
Response ←
**Web Server**
Web applications
Web services

SQL queries →
Results ←
**Database Server**
DBMS
Database

## Description

- In addition to a database server and clients, a client/server system can include additional servers, such as *application servers* and *web servers*.

- Application servers are typically used to store *business components* that do part of the processing of the application. In particular, these components are used to process database requests from the user interface running on the client.

- Web servers are typically used to store *web applications* and *web services*. Web applications are applications that are designed to run on a web server. Web services are like business components, except that, like web applications, they are designed to run on a web server.

- In a web-based system, a *web browser* running on a client sends a request to a web server over the Internet. Then, the web server processes the request and passes any requests for data on to the database server.

- More complex system architectures can include two or more application servers, web servers, and database servers.

Figure 1-3    Other client/server system architectures

# An introduction to the relational database model

In 1970, Dr. E. F. Codd developed a model for a new type of database called a *relational database*. This type of database eliminated some of the problems that were associated with standard files and other database designs. By using the relational model, you can reduce data redundancy, which saves disk storage and leads to efficient data retrieval. You can also view and manipulate data in a way that is both intuitive and efficient. Today, relational databases are the de facto standard for database applications.

## How a database table is organized

The model for a relational database states that data is stored in one or more *tables*. It also states that each table can be viewed as a two-dimensional matrix consisting of *rows* and *columns*. This is illustrated by the relational table in figure 1-4. Each row in this table contains information about a single vendor.

In practice, the rows and columns of a relational database table are often referred to by the more traditional terms, *records* and *fields*. In fact, some software packages use one set of terms, some use the other, and some use a combination. In this book, I use the terms *rows* and *columns* because those are the terms used by SQL Server.

In general, each table is modeled after a real-world entity such as a vendor or an invoice. Then, the columns of the table represent the attributes of the entity such as name, address, and phone number. And each row of the table represents one instance of the entity. A value is stored at the intersection of each row and column, sometimes called a *cell*.

If a table contains one or more columns that uniquely identify each row in the table, you can define these columns as the *primary key* of the table. For instance, the primary key of the Vendors table in this figure is the VendorID column. In this example, the primary key consists of a single column. However, a primary key can also consist of two or more columns, in which case it's called a *composite primary key*.

In addition to primary keys, some database management systems let you define additional keys that uniquely identify each row in a table. If, for example, the VendorName column in the Vendors table contains unique data, it can be defined as a *non-primary key*. In SQL Server, this is called a *unique key*.

*Indexes* provide an efficient way of accessing the rows in a table based on the values in one or more columns. Because applications typically access the rows in a table by referring to their key values, an index is automatically created for each key you define. However, you can define indexes for other columns as well. If, for example, you frequently need to sort the Vendor rows by zip code, you can set up an index for that column. Like a key, an index can include one or more columns.

## The Vendors table in an Accounts Payable database

**Primary key**                    **Columns**

| | VendorID | VendorName | VendorAddress1 | VendorAddress2 | VendorCity |
|---|---|---|---|---|---|
| 1 | 1 | US Postal Service | Attn: Supt. Window Services | PO Box 7005 | Madison |
| 2 | 2 | National Information Data Ctr | PO Box 96621 | NULL | Washington |
| 3 | 3 | Register of Copyrights | Library Of Congress | NULL | Washington |
| 4 | 4 | Jobtrak | 1990 Westwood Blvd Ste 260 | NULL | Los Angeles |
| 5 | 5 | Newbrige Book Clubs | 3000 Cindel Drive | NULL | Washington |
| 6 | 6 | California Chamber Of Commerce | 3255 Ramos Cir | NULL | Sacramento |
| 7 | 7 | Towne Advertiser's Mailing Svcs | Kevin Minder | 3441 W Macarthur Blvd | Santa Ana |
| 8 | 8 | BFI Industries | PO Box 9369 | NULL | Fresno |
| 9 | 9 | Pacific Gas & Electric | Box 52001 | NULL | San Francisco |
| 10 | 10 | Robbins Mobile Lock And Key | 4669 N Fresno | NULL | Fresno |
| 11 | 11 | Bill Marvin Electric Inc | 4583 E Home | NULL | Fresno |
| 12 | 12 | City Of Fresno | PO Box 2069 | NULL | Fresno |
| 13 | 13 | Golden Eagle Insurance Co | PO Box 85826 | NULL | San Diego |
| 14 | 14 | Expedata Inc | 4420 N. First Street, Suite 108 | NULL | Fresno |
| 15 | 15 | ASC Signs | 1528 N Sierra Vista | NULL | Fresno |
| 16 | 16 | Internal Revenue Service | NULL | NULL | Fresno |

**Rows**

## Concepts

- A *relational database* consists of *tables*. Tables consist of *rows* and *columns*, which can also be referred to as *records* and *fields*.

- A table is typically modeled after a real-world entity, such as an invoice or a vendor.

- A column represents some attribute of the entity, such as the amount of an invoice or a vendor's address.

- A row contains a set of values for a single instance of the entity, such as one invoice or one vendor.

- The intersection of a row and a column is sometimes called a *cell*. A cell stores a single value.

- Most tables have a *primary key* that uniquely identifies each row in the table. The primary key is usually a single column, but it can also consist of two or more columns. If a primary key uses two or more columns, it's called a *composite primary key*.

- In addition to primary keys, some database management systems let you define one or more *non-primary keys*. In SQL Server, these keys are called *unique keys*. Like a primary key, a non-primary key uniquely identifies each row in the table.

- A table can also be defined with one or more *indexes*. An index provides an efficient way to access data from a table based on the values in specific columns. An index is automatically created for a table's primary and non-primary keys.

Figure 1-4    How a database table is organized

## How the tables in a relational database are related

The tables in a relational database can be related to other tables by values in specific columns. The two tables shown in figure 1-5 illustrate this concept. Here, each row in the Vendors table is related to one or more rows in the Invoices table. This is called a *one-to-many relationship*.

Typically, relationships exist between the primary key in one table and the *foreign key* in another table. The foreign key is simply one or more columns in a table that refer to a primary key in another table. In SQL Server, relationships can also exist between a unique key in one table and a foreign key in another table.

Although one-to-many relationships are the most common, two tables can also have a one-to-one or many-to-many relationship. If a table has a *one-to-one relationship* with another table, the data in the two tables could be stored in a single table. This is particularly useful if you need to store large objects such as images, sound, and videos in a database. Then, you can store those objects in a separate table, and you can join that table to the table with the one-to-one relationship only when the objects are needed.

In contrast, a *many-to-many relationship* is usually implemented by using an intermediate table that has a one-to-many relationship with the two tables in the many-to-many relationship. In other words, a many-to-many relationship can usually be broken down into two one-to-many relationships.

## The relationship between the Vendors and Invoices tables in the database

**Primary key**

| | VendorID | VendorName | VendorAddress1 | VendorAddress2 | VendorCity | |
|---|---|---|---|---|---|---|
| 113 | 114 | Postmaster | Postage Due Technician | 1900 E Street | Fresno | |
| 114 | 115 | Roadway Package System, Inc | Dept La 21095 | NULL | Pasadena | |
| 115 | 116 | State of California | Employment Development D... | PO Box 826276 | Sacramento | |
| 116 | 117 | Suburban Propane | 2874 S Cherry Ave | NULL | Fresno | |
| 117 | 118 | Unocal | P.O. Box 860070 | NULL | Pasadena | |
| 118 | 119 | Yesmed, Inc | PO Box 2061 | NULL | Fresno | |
| 119 | 120 | Dataforms/West | 1617 W. Shaw Avenue | Suite F | Fresno | |
| 120 | 121 | Zylka Design | 3467 W Shaw Ave #103 | NULL | Fresno | |
| 121 | 122 | United Parcel Service | P.O. Box 505820 | NULL | Reno | |
| 122 | 123 | Federal Express Corporation | P.O. Box 1140 | Dept A | Memphis | |

| | InvoiceID | VendorID | InvoiceNumber | InvoiceDate | InvoiceTotal | |
|---|---|---|---|---|---|---|
| 29 | 29 | 108 | 121897 | 2012-01-19 00:00:00 | 450.00 | |
| 30 | 30 | 123 | 1-200-5164 | 2012-01-20 00:00:00 | 63.40 | |
| 31 | 31 | 104 | P02-3772 | 2012-01-21 00:00:00 | 7125.34 | |
| 32 | 32 | 121 | 97/486 | 2012-01-21 00:00:00 | 953.10 | |
| 33 | 33 | 105 | 94007005 | 2012-01-23 00:00:00 | 220.00 | |
| 34 | 34 | 123 | 963253232 | 2012-01-23 00:00:00 | 127.75 | |
| 35 | 35 | 107 | RTR-72-3662... | 2012-01-25 00:00:00 | 1600.00 | |
| 36 | 36 | 121 | 97/465 | 2012-01-25 00:00:00 | 565.15 | |
| 37 | 37 | 123 | 963253260 | 2012-01-25 00:00:00 | 36.00 | |
| 38 | 38 | 123 | 963253272 | 2012-01-26 00:00:00 | 61.50 | |

**Foreign key**

## Concepts

- The tables in a relational database are related to each other through their key columns. For example, the VendorID column is used to relate the Vendors and Invoices tables above. The VendorID column in the Invoices table is called a *foreign key* because it identifies a related row in the Vendors table. A table may contain one or more foreign keys.

- When you define a foreign key for a table in SQL Server, you can't add rows to the table with the foreign key unless there's a matching primary key in the related table.

- The relationships between the tables in a database correspond to the relationships between the entities they represent. The most common type of relationship is a *one-to-many relationship* as illustrated by the Vendors and Invoices tables. A table can also have a *one-to-one relationship* or a *many-to-many relationship* with another table.

Figure 1-5    How the tables in a relational database are related

## How the columns in a table are defined
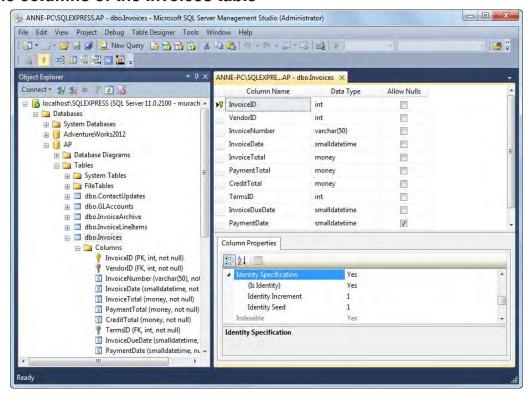
When you define a column in a table, you assign properties to it as indicated by the design of the Invoices table in figure 1-6. The most critical property for a column is its data type, which determines the type of information that can be stored in the column. With SQL Server 2012, you typically use one of the *data types* listed in this figure. As you define each column in a table, you generally try to assign the data type that will minimize the use of disk storage because that will improve the performance of the queries later.

In addition to a data type, you must identify whether the column can store a *null value*. A null represents a value that's unknown, unavailable, or not applicable. If you don't allow null values, then you must provide a value for the column or you can't store the row in the table.

You can also assign a *default value* to each column. Then, that value is assigned to the column if another value isn't provided. You'll learn more about how to work with nulls and default values later in this book.

Each table can also contain a numeric column whose value is generated automatically by the DBMS. In SQL Server, a column like this is called an *identity column*, and you establish it using the Is Identity, Identity Seed, and Identity Increment properties. You'll learn more about these properties in chapter 11. For now, just note that the primary key of both the Vendors and the Invoices tables—VendorID and InvoiceID—are identity columns.

## The columns of the Invoices table



## Common SQL Server data types

| Type | Description |
|---|---|
| bit | A value of 1 or 0 that represents a True or False value. |
| int, bigint, smallint, tinyint | Integer values of various sizes. |
| money, smallmoney | Monetary values that are accurate to four decimal places. |
| decimal, numeric | Decimal values that are accurate to the least significant digit. The values can contain an integer portion and a decimal portion. |
| float, real | Floating-point values that contain an approximation of a decimal value. |
| datetime, smalldatetime | Dates and times. |
| char, varchar | A string of letters, symbols, and numbers in the ASCII character set. |
| nchar, nvarchar | A string of letters, symbols, and numbers in the Unicode character set. |

## Description

- The *data type* that's assigned to a column determines the type and size of the information that can be stored in the column.

- Each column definition also indicates whether or not it can contain *null values*. A null value indicates that the value of the column is unknown.

- A column can also be defined with a *default value*. Then, that value is used if another value isn't provided when a row is added to the table.

- A column can also be defined as an *identity column*. An identity column is a numeric column whose value is generated automatically when a row is added to the table.

Figure 1-6     How the columns in a table are defined

# How relational databases compare to other data models

Now that you understand how a relational database is organized, you're ready to learn how relational databases differ from other data models. Specifically, you should know how relational databases compare to conventional file systems, *hierarchical databases*, and *network databases*. Figure 1-7 presents the most important differences.

To start, you should realize that because the physical structure of a relational database is defined and managed by the DBMS, it's not necessary to define that structure within the programs that use the database. Instead, you can simply refer to the tables and columns you want to use by name and the DBMS will take care of the rest. In contrast, when you use a conventional file system, you have to define and control the files of the system within each application that uses them. That's because a conventional file system is just a collection of files that contain the data of the system. In addition, if you modify the structure of a file, you have to modify every program that uses it. That's not necessary with a relational database.

The hierarchical and network database models were predecessors to the relational database model. The hierarchical database model is limited in that it can only represent one-to-many relationships, also called *parent/child relationships*. The network database model is an extension of the hierarchical model that provides for all types of relationships.

Although hierarchical and network databases don't have the same drawbacks as conventional file systems, they still aren't as easy to use as relational databases. In particular, each program that uses a hierarchical or network database must navigate through the physical layout of the tables they use. In contrast, this navigation is automatically provided by the DBMS in a relational database system. In addition, programs can define ad hoc relationships between the tables of a relational database. In other words, they can use relationships that aren't defined by the DBMS. That's not possible with hierarchical and network databases.

Another type of database that's not mentioned in this figure is the *object database*. This type of database is designed to store and retrieve the objects that are used by applications written in an object-oriented programming language such as C#, C++, or Java. Although object databases have some advantages over relational databases, they also have some disadvantages. In general, object databases have not yet become widely used. However, they have acquired a niche in some areas such as engineering, telecommunications, financial services, high energy physics, and molecular biology.

## A comparison of relational databases and conventional file systems

| Feature | Conventional file system | Relational database |
|---|---|---|
| Definition | Each program that uses the file must define the file and the layout of the records within the file | Tables, rows, and columns are defined within the database and can be accessed by name |
| Maintenance | If the definition of a file changes, each program that uses the file must be modified | Programs can be used without modification when the definition of a table changes |
| Validity checking | Each program that updates a file must include code to check for valid data | Can include checks for valid data |
| Relationships | Each program must provide for and enforce relationships between files | Can enforce relationships between tables using foreign keys; ad hoc relationships can also be used |
| Data access | Each I/O operation targets a specific record in a file based on its relative position in the file or its key value | A program can use SQL to access selected data in one or more tables of a database |

## A comparison of relational databases and other database systems

| Feature | Hierarchical database | Network database | Relational database |
|---|---|---|---|
| Supported relationships | One-to-many only | One-to-many, one-to-one, and many-to-many | One-to-many, one-to-one, and many-to-many; ad hoc relationships can also be used |
| Data access | Programs must include code to navigate through the physical structure of the database | Programs must include code to navigate through the physical structure of the database | Programs can access data without knowing its physical structure |
| Maintenance | New and modified relationships can be difficult to implement in application programs | New and modified relationships can be difficult to implement in application programs | Programs can be used without modification when the definition of a table changes |

## Description

- To work with any of the data models other than the relational database model, you must know the physical structure of the data and the relationships between the files or tables.
- Because relationships are difficult to implement in a conventional file system, redundant data is often stored in these types of files.
- The *hierarchical database* model provides only for one-to-many relationships, called *parent/child relationships*.
- The *network database* model can accommodate any type of relationship.
- Conventional files, hierarchical databases, and network databases are all more efficient than relational databases because they require fewer system resources. However, the flexibility and ease of use of relational databases typically outweigh this inefficiency.

Figure 1-7    How relational databases compare to other data models

# An introduction to SQL and SQL-based systems

In the topics that follow, you'll learn how SQL and SQL-based database management systems evolved. In addition, you'll learn how some of the most popular SQL-based systems compare.

## A brief history of SQL

Prior to the release of the first *relational database management system (RDBMS)*, each database had a unique physical structure and a unique programming language that the programmer had to understand. That all changed with the advent of SQL and the relational database management system.

Figure 1-8 lists the important events in the history of SQL. In 1970, Dr. E. F. Codd published an article that described the relational database model he had been working on with a research team at IBM. By 1978, the IBM team had developed a database system based on this model, called System/R, along with a query language called *SEQUEL (Structured English Query Language)*. Although the database and query language were never officially released, IBM remained committed to the relational model.

The following year, Relational Software, Inc. released the first relational database management system, called *Oracle*. This RDBMS ran on a minicomputer and used SQL as its query language. This product was widely successful, and the company later changed its name to Oracle to reflect that success.

In 1982, IBM released its first commercial SQL-based RDBMS, called *SQL/DS (SQL/Data System)*. This was followed in 1985 by *DB2 (Database 2)*. Both systems ran only on IBM mainframe computers. Later, DB2 was ported to other systems, including those that ran the Unix and Windows operating systems. Today, it continues to be IBM's premier database system.

During the 1980s, other SQL-based database systems, including SQL Server, were developed. Although each of these systems used SQL as its query language, each implementation was unique. That began to change in 1989, when the *American National Standards Institute (ANSI)* published its first set of standards for a database query language. These standards have been revised a few times since then, most recently in 2011. As each database manufacturer has attempted to comply with these standards, their implementations of SQL have become more similar. However, each still has its own *dialect* of SQL that includes additions, or *extensions*, to the standards.

Although you should be aware of the SQL standards, they will have little effect on your job as a SQL programmer. The main benefit of the standards is that the basic SQL statements are the same in each dialect. As a result, once you've learned one dialect, it's relatively easy to learn another. On the other hand, porting applications that use SQL from one database to another isn't as easy as it should be. In fact, any non-trivial application will require at least modest modifications.

## Important events in the history of SQL

| Year | Event |
|------|-------|
| 1970 | Dr. E. F. Codd developed the relational database model. |
| 1978 | IBM developed the predecessor to SQL, called Structured English Query Language (SEQUEL). This language was used on a database system called System/R, but neither the system nor the query language was ever released. |
| 1979 | Relational Software, Inc. (later renamed Oracle) released the first relational DBMS, Oracle. |
| 1982 | IBM released their first relational database system, SQL/DS (SQL/Data System). |
| 1985 | IBM released DB2 (Database 2). |
| 1987 | Microsoft released SQL Server. |
| 1989 | The American National Standards Institute (ANSI) published the first set of standards for a database query language, called ANSI/ISO SQL-89, or SQL1. Because they were not stringent standards, most commercial products could claim adherence. |
| 1992 | ANSI published revised standards (ANSI/ISO SQL-92, or SQL2) that were more stringent than SQL1 and incorporated many new features. These standards introduced levels of compliance that indicated the extent to which a dialect met the standards. |
| 1999 | ANSI published SQL3 (ANSI/ISO SQL:1999). These standards incorporated new features, including support for objects. Levels of compliance were dropped and were replaced by a core specification along with specifications for nine additional packages. |
| 2003 | ANSI published SQL:2003. These standards introduced XML-related features, standardized sequences, and identity columns. |
| 2006 | ANSI published SQL:2006, which defined how SQL can be used with XML. The standards also allowed applications to integrate XQuery into their SQL code. |
| 2008 | ANSI published SQL:2008. These standards introduced INSTEAD OF triggers and the TRUNCATE statement. |
| 2011 | Information on these standards is not yet freely available. |

## Description

- SQL-92 initially provided for three *levels of compliance*, or *levels of conformance*: entry, intermediate, and full. A transitional level was later added between the entry and intermediate levels because the jump between those levels was too great.
- SQL:1999 includes a *core specification* that defines the essential elements for compliance, plus nine *packages*. Each package is designed to serve a specific market niche.
- Although SQL is a standard language, each vendor has its own *SQL dialect*, or *variant*, that may include extensions to the standards. SQL Server's SQL dialect is called *Transact-SQL*.

## How knowing "standard SQL" helps you

- The most basic SQL statements are the same for all SQL dialects.
- Once you have learned one SQL dialect, you can easily learn other dialects.

## How knowing "standard SQL" does not help you

- Any non-trivial application will require modification when moved from one SQL database to another.

Figure 1-8    A brief history of SQL

# A comparison of Oracle, DB2, MySQL, and SQL Server

Although this book is about SQL Server, you may want to know about some of the other SQL-based relational database management systems. Figure 1-9 compares three of the most popular, Oracle, DB2, and MySQL, with SQL Server.

Oracle has a huge installed base of customers and continues to dominate the marketplace, especially for servers running the Unix operating system. Oracle works well for large systems and has a reputation for being extremely reliable, but also has a reputation for being expensive and difficult to use.

DB2 was originally designed to run on IBM mainframe systems and continues to be the premier database for those systems. It also dominates in hybrid environments where IBM mainframes and newer servers must coexist. Although it has a reputation for being expensive, it also has a reputation for being reliable and easy to use.

MySQL runs on all major operating systems and is widely used for web applications. MySQL is an *open-source database*, which means that any developer can view and improve its source code. In addition, the MySQL Community Server is free for most users, although Oracle also sells an Enterprise Edition of MySQL that has advanced features.

SQL Server was designed by Microsoft to run on Windows and is widely used for small- to medium-sized departmental systems. It has a reputation for being inexpensive and easy to use. However, it also has a reputation for being unreliable and for not scaling well for systems with a large number of users.

One of the main differences between SQL Server and the other database management systems is that SQL Server runs only under the Windows operating system. In contrast, Oracle, DB2, and MySQL run under most operating systems, including Unix, Windows, Mac OS, and IBM's z/OS. Since many developers consider z/OS and Unix to be more stable and secure than Windows, most large companies use z/OS or Unix as the operating system for the servers that store the databases for mission-critical applications. As a result, they can't use SQL Server and must use Oracle, DB2, or MySQL.

If you search the Internet, you'll find that dozens of other relational database products are also available. These include proprietary databases like Informix, Sybase, and Teradata. And they include open-source databases like PostgreSQL.

## A comparison of Oracle, DB2, MySQL, and SQL Server

|           | Oracle  | DB2                   | MySQL   | SQL Server |
|-----------|---------|-----------------------|---------|------------|
| Released  | 1979    | 1985                  | 2000    | 1987       |
| Platforms | Unix    | OS/390, z/OS, and AIX | Unix    | Windows    |
|           | z/OS    | Unix                  | Windows |            |
|           | Windows | Windows               | Mac OS  |            |
|           | Mac OS  | Mac OS                | z/OS    |            |

## Description

- Oracle is typically used for large, mission-critical systems that run on one or more Unix servers.

- DB2 is typically used for large, mission-critical systems that run on legacy IBM mainframe systems using the z/OS or OS/390 operating system.

- MySQL is a popular *open-source database* that runs on all major operating systems and is commonly used for web applications.

- SQL Server is typically used for small- to medium-sized systems that run on one or more Windows servers.

Figure 1-9     A comparison of Oracle, DB2, MySQL, and SQL Server

# The Transact-SQL statements

In the topics that follow, you'll learn about some of the SQL statements provided by SQL Server. As you'll see, you can use some of these statements to manipulate the data in a database, and you can use others to work with database objects. Although you may not be able to code these statements after reading these topics, you should have a good idea of how they work. Then, you'll be better prepared to learn the details of coding these statements when they're presented in sections 2 and 3 of this book.

## An introduction to the SQL statements

Figure 1-10 summarizes some of the most common SQL statements. As you can see, these statements can be divided into two categories. The statements that work with the data in a database are called the *data manipulation language* (*DML*). These four statements are the ones that application programmers use the most. You'll see how these statements work later in this chapter, and you'll learn the details of using them in section 2 of this book.

The statements that work with the objects in a database are called *the data definition language* (*DDL*). On large systems, these statements are used exclusively by *database administrators*, or *DBAs*. It's the DBA's job to maintain existing databases, tune them for faster performance, and create new databases. On smaller systems, though, the SQL programmer may also be the DBA. You'll see examples of some of these statements in the next figure, and you'll learn how to use them in chapter 11.

## SQL statements used to work with data (DML)

| Statement | Description |
| --- | --- |
| SELECT | Retrieves data from one or more tables. |
| INSERT | Adds one or more new rows to a table. |
| UPDATE | Changes one or more existing rows in a table. |
| DELETE | Deletes one or more existing rows from a table. |

## SQL statements used to work with database objects (DDL)

| Statement | Description |
| --- | --- |
| CREATE DATABASE | Creates a new database. |
| CREATE TABLE | Creates a new table in a database. |
| CREATE INDEX | Creates a new index for a table. |
| ALTER TABLE | Changes the structure of an existing table. |
| ALTER INDEX | Changes the structure of an existing index. |
| DROP DATABASE | Deletes an existing database. |
| DROP TABLE | Deletes an existing table. |
| DROP INDEX | Deletes an existing index. |

## Description

- The SQL statements can be divided into two categories: the *data manipulation language* (*DML*) that lets you work with the data in the database and the *data definition language* (*DDL*) that lets you work with the objects in the database.
- SQL programmers typically work with the DML statements, while *database administrators* (*DBAs*) use the DDL statements.

Figure 1-10     An introduction to the SQL statements

# Typical statements
# for working with database objects

To give you an idea of how you use the DDL statements you saw in the previous figure, figure 1-11 presents five examples. The first statement creates an accounts payable database named AP. This is the database that's used in many of the examples throughout this book.

The second statement creates the Invoices table you saw earlier in this chapter. If you don't understand all of this code right now, don't worry. You'll learn how to code statements like this later in this book. For now, just realize that this statement defines each column in the table, including its data type, whether or not it allows null values, and its default value if it has one. In addition, it identifies identity columns, primary key columns, and foreign key columns.

The third statement in this figure changes the Invoices table by adding a column to it. Like the statement that created the table, this statement specifies all the attributes of the new column. Then, the fourth statement deletes the column that was just added.

The last statement creates an index on the Invoices table. In this case, the index is for the VendorID column, which is used frequently to access the table. Notice the name that's given to this index. This follows the standard naming conventions for indexes, which you'll learn about in chapter 11.

## A statement that creates a new database

```
CREATE DATABASE AP;
```

## A statement that creates a new table

```
CREATE TABLE Invoices
(InvoiceID              INT            NOT NULL IDENTITY PRIMARY KEY,
VendorID               INT            NOT NULL
                       REFERENCES Vendors(VendorID),
InvoiceNumber          VARCHAR(50)    NOT NULL,
InvoiceDate            SMALLDATETIME NOT NULL,
InvoiceTotal           MONEY          NOT NULL,
PaymentTotal           MONEY          NOT NULL DEFAULT 0,
CreditTotal            MONEY          NOT NULL DEFAULT 0,
TermsID                INT            NOT NULL
                       REFERENCES Terms(TermsID),
InvoiceDueDate         SMALLDATETIME NOT NULL,
PaymentDate            SMALLDATETIME NULL);
```

## A statement that adds a new column to the table

```
ALTER TABLE Invoices
ADD BalanceDue MONEY NOT NULL;
```

## A statement that deletes the new column

```
ALTER TABLE Invoices
DROP COLUMN BalanceDue;
```

## A statement that creates an index on the table

```
CREATE INDEX IX_Invoices_VendorID
    ON Invoices (VendorID);
```

## Description

- The REFERENCES clause for a column indicates that the column contains a foreign key, and it names the table and column that contains the primary key. Because the Invoices table includes foreign keys to the Vendors and Terms tables, these tables must be created before the Invoices table.

- Because default values are specified for the PaymentTotal and CreditTotal columns, these values don't need to be specified when a row is added to the table.

- Because the PaymentDate column accepts nulls, a null value is assumed if a value isn't specified for this column when a row is added to the table.

Figure 1-11   Typical statements for working with database objects

# How to query a single table

Figure 1-12 shows how to use a SELECT statement to query a single table in a database. At the top of this figure, you can see some of the columns and rows of the Invoices table. Then, in the SELECT statement that follows, the SELECT clause names the columns to be retrieved, and the FROM clause names the table that contains the columns, called the *base table*. In this case, six columns will be retrieved from the Invoices table.

Notice that the last column, BalanceDue, is calculated from three other columns in the table. In other words, a column by the name of BalanceDue doesn't actually exist in the database. This type of column is called a *calculated value*, and it exists only in the results of the query.

In addition to the SELECT and FROM clauses, this SELECT statement includes a WHERE clause and an ORDER BY clause. The WHERE clause gives the criteria for the rows to be selected. In this case, a row is selected only if it has a balance due that's greater than zero. And the returned rows are sorted by the InvoiceDate column.

This figure also shows the *result table*, or *result set*, that's returned by the SELECT statement. A result set is a logical table that's created temporarily within the database. When an application requests data from a database, it receives a result set.

## The Invoices base table

| | InvoiceID | VendorID | InvoiceNumber | InvoiceDate | InvoiceTotal | PaymentTotal | CreditTotal | TermsID |
|---|---|---|---|---|---|---|---|---|
| 1 | 1 | 122 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 | 3813.33 | 0.00 | 3 |
| 2 | 2 | 123 | 263253241 | 2011-12-10 00:00:00 | 40.20 | 40.20 | 0.00 | 3 |
| 3 | 3 | 123 | 963253234 | 2011-12-13 00:00:00 | 138.75 | 138.75 | 0.00 | 3 |
| 4 | 4 | 123 | 2-000-2993 | 2011-12-16 00:00:00 | 144.70 | 144.70 | 0.00 | 3 |
| 5 | 5 | 123 | 963253251 | 2011-12-16 00:00:00 | 15.50 | 15.50 | 0.00 | 3 |
| 6 | 6 | 123 | 963253261 | 2011-12-16 00:00:00 | 42.75 | 42.75 | 0.00 | 3 |
| 7 | 7 | 123 | 963253237 | 2011-12-21 00:00:00 | 172.50 | 172.50 | 0.00 | 3 |
| 8 | 8 | 89 | 125520-1 | 2011-12-24 00:00:00 | 95.00 | 95.00 | 0.00 | 1 |
| 9 | 9 | 121 | 97/488 | 2011-12-24 00:00:00 | 601.95 | 601.95 | 0.00 | 3 |
| 10 | 10 | 123 | 263253250 | 2011-12-24 00:00:00 | 42.67 | 42.67 | 0.00 | 3 |
| 11 | 11 | 123 | 963253262 | 2011-12-25 00:00:00 | 42.50 | 42.50 | 0.00 | 3 |
| 12 | 12 | 96 | I77271-O01 | 2011-12-26 00:00:00 | 662.00 | 662.00 | 0.00 | 2 |
| 13 | 13 | 95 | 111-92R-10096 | 2011-12-30 00:00:00 | 16.33 | 16.33 | 0.00 | 2 |
| 14 | 14 | 115 | 25022117 | 2012-01-01 00:00:00 | 6.00 | 6.00 | 0.00 | 4 |
| 15 | 15 | 48 | P02-88D77S7 | 2012-01-03 00:00:00 | 856.92 | 856.92 | 0.00 | 3 |

## A SELECT statement that retrieves and sorts selected columns and rows from the Invoices table

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    PaymentTotal, CreditTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0
ORDER BY InvoiceDate;
```

## The result set defined by the SELECT statement

| | InvoiceNumber | InvoiceDate | InvoiceTotal | PaymentTotal | CreditTotal | BalanceDue |
|---|---|---|---|---|---|---|
| 1 | 39104 | 2012-03-10 00:00:00 | 85.31 | 0.00 | 0.00 | 85.31 |
| 2 | 963253264 | 2012-03-18 00:00:00 | 52.25 | 0.00 | 0.00 | 52.25 |
| 3 | 31361833 | 2012-03-21 00:00:00 | 579.42 | 0.00 | 0.00 | 579.42 |
| 4 | 263253268 | 2012-03-21 00:00:00 | 59.97 | 0.00 | 0.00 | 59.97 |
| 5 | 263253270 | 2012-03-22 00:00:00 | 67.92 | 0.00 | 0.00 | 67.92 |
| 6 | 263253273 | 2012-03-22 00:00:00 | 30.75 | 0.00 | 0.00 | 30.75 |

## Concepts

- You use the SELECT statement to retrieve selected columns and rows from a *base table*. The result of a SELECT statement is a *result table*, or *result set*, like the one shown above.

- A result set can include *calculated values* that are calculated from columns in the table.

- The execution of a SELECT statement is commonly referred to as a *query*.

Figure 1-12    How to query a single table

# How to join data from two or more tables

Figure 1-13 presents a SELECT statement that retrieves data from two tables. This type of operation is called a *join* because the data from the two tables is joined together into a single result set. For example, the SELECT statement in this figure joins data from the Invoices and Vendors tables.

An *inner join* is the most common type of join. When you use an inner join, rows from the two tables in the join are included in the result table only if their related columns match. These matching columns are specified in the FROM clause of the SELECT statement. In the SELECT statement in this figure, for example, rows from the Invoices and Vendors tables are included only if the value of the VendorID column in the Vendors table matches the value of the VendorID column in one or more rows in the Invoices table. If there aren't any invoices for a particular vendor, that vendor won't be included in the result set.

Although this figure shows only how to join data from two tables, you should know that you can extend this idea to join data from three or more tables. If, for example, you want to include line item data from a table named InvoiceLineItems in the results shown in this figure, you can code the FROM clause of the SELECT statement like this:

```
FROM Vendors
    INNER JOIN Invoices
        ON Vendors.VendorID = Invoices.VendorID
    INNER JOIN InvoiceLineItems
        ON Invoices.InvoiceID = InvoiceLineItems.InvoiceID
```

Then, in the SELECT clause, you can include any of the columns in the InvoiceLineItems table.

In addition to inner joins, SQL Server supports *outer joins* and *cross joins*. You'll learn more about the different types of joins in chapter 4.

## A SELECT statement that joins data from the Vendors and Invoices tables

```
SELECT VendorName, InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Vendors INNER JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
WHERE InvoiceTotal >= 500
ORDER BY VendorName, InvoiceTotal DESC;
```

## The result set defined by the SELECT statement

| | VendorName | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|---|
| 1 | Bertelsmann Industry Svcs. Inc | 509786 | 2012-02-18 00:00:00 | 6940.25 |
| 2 | Cahners Publishing Company | 587056 | 2012-02-29 00:00:00 | 2184.50 |
| 3 | Computerworld | 367447 | 2012-02-11 00:00:00 | 2433.00 |
| 4 | Data Reproductions Corp | 40318 | 2012-02-01 00:00:00 | 21842.00 |
| 5 | Dean Witter Reynolds | 75C-90227 | 2012-02-11 00:00:00 | 1367.50 |
| 6 | Digital Dreamworks | P02-3772 | 2012-01-21 00:00:00 | 7125.34 |
| 7 | Federal Express Corporation | 963253230 | 2012-03-07 00:00:00 | 739.20 |
| 8 | Ford Motor Credit Company | 9982771 | 2012-03-24 00:00:00 | 503.20 |
| 9 | Franchise Tax Board | RTR-72-3662... | 2012-01-25 00:00:00 | 1600.00 |
| 10 | Fresno County Tax Collector | P02-88D77S7 | 2012-01-03 00:00:00 | 856.92 |
| 11 | IBM | Q545443 | 2012-02-09 00:00:00 | 1083.58 |
| 12 | Ingram | 31359783 | 2012-02-03 00:00:00 | 1575.00 |
| 13 | Ingram | 31361833 | 2012-03-21 00:00:00 | 579.42 |
| 14 | Malloy Lithographing Inc | 0-2058 | 2012-01-28 00:00:00 | 37966.19 |
| 15 | Malloy Lithographing Inc | P-0259 | 2012-03-19 00:00:00 | 26881.40 |
| 16 | Malloy Lithographing Inc | 0-2060 | 2012-03-24 00:00:00 | 23517.58 |
| 17 | Malloy Lithographing Inc | P-0608 | 2012-03-23 00:00:00 | 20551.18 |

## Concepts

- A *join* lets you combine data from two or more tables into a single result set.
- The most common type of join is an *inner join*. This type of join returns rows from both tables only if their related columns match.
- An *outer join* returns rows from one table in the join even if the other table doesn't contain a matching row.

Figure 1-13     How to join data from two or more tables

# How to add, update, and delete data in a table

Figure 1-14 shows how you can use the INSERT, UPDATE, and DELETE statements to modify the data in a table. The first statement in this figure, for example, uses the INSERT statement to add a row to the Invoices table. To do that, the INSERT clause names the columns whose values are supplied in the VALUES clause. You'll learn more about specifying column names and values in chapter 7. For now, just realize that you have to specify a value for a column unless it's an identity column, a column that allows null values, or a column that's defined with a default value.

The two UPDATE statements in this figure illustrate how you can change the data in one or more rows of a table. The first statement, for example, assigns a value of 35.89 to the CreditTotal column of the invoice in the Invoices table with invoice number 367447. The second statement adds 30 days to the invoice due date for each row in the Invoices table whose TermsID column has a value of 4.

To delete rows from a table, you use the DELETE statement. The first DELETE statement in this figure, for example, deletes the invoice with invoice number 4-342-8069 from the Invoices table. The second DELETE statement deletes all invoices with a balance due of zero.

Before I go on, you should know that INSERT, UPDATE, and DELETE statements are often referred to as *action queries* because they perform an action on the database. In contrast, SELECT statements are referred to as *queries* since they simply query the database. When I use the term *query* in this book, then, I'm usually referring to a SELECT statement.

## A statement that adds a row to the Invoices table

```
INSERT INTO Invoices (VendorID, InvoiceNumber, InvoiceDate,
    InvoiceTotal, TermsID, InvoiceDueDate)
VALUES (12, '3289175', '4/18/2012', 165, 3, '5/18/2012');
```

## A statement that changes the value of the CreditTotal column for a selected row in the Invoices table

```
UPDATE Invoices
SET CreditTotal = 35.89
WHERE InvoiceNumber = '367447';
```

## A statement that changes the values in the InvoiceDueDate column for all invoices with the specified TermsID

```
UPDATE Invoices
SET InvoiceDueDate = InvoiceDueDate + 30
WHERE TermsID = 4;
```

## A statement that deletes a selected invoice from the Invoices table

```
DELETE FROM Invoices
WHERE InvoiceNumber = '4-342-8069';
```

## A statement that deletes all paid invoices from the Invoices table

```
DELETE FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal = 0;
```

## Concepts

- You use the INSERT statement to add rows to a table.
- You use the UPDATE statement to change the values in one or more rows of a table based on the condition you specify.
- You use the DELETE statement to delete one or more rows from a table based on the condition you specify.
- The execution of an INSERT, UPDATE, or DELETE statement is often referred to as an *action query*.

## Warning

- Until you read chapter 7 and understand the effect that these statements can have on the database, do not execute the statements shown above.

Figure 1-14    How to add, update, and delete data in a table

# SQL coding guidelines

SQL is a freeform language. That means that you can include line breaks, spaces, and indentation without affecting the way the database interprets the code. In addition, SQL is not case-sensitive like some languages. That means that you can use uppercase or lowercase letters or a combination of the two without affecting the way the database interprets the code.

Although you can code SQL statements with a freeform style, we suggest that you follow the coding recommendations presented in figure 1-15. First, you should start each clause of a statement on a new line. In addition, you should continue long clauses onto multiple lines and you should indent the continued lines. You should also capitalize the first letter of each keyword in a statement to make them easier to identify, you should capitalize the first letter of each word in table and column names, and you should end each statement with a semicolon. Although the semicolon isn't currently required in most cases, it will be in a future version of SQL Server. So you should get used to coding it now. Finally, you should use *comments* to document code that's difficult to understand.

The examples at the top of this figure illustrate these coding recommendations. The first example presents an unformatted SELECT statement. As you can see, this statement is difficult to read. In contrast, this statement is much easier to read after our coding recommendations are applied, as you can see in the second example.

The third example illustrates how to code a *block comment*. This type of comment is typically coded at the beginning of a statement and is used to document the entire statement. Block comments can also be used within a statement to describe blocks of code, but that's not common.

The fourth example in this figure includes a *single-line comment*. This type of comment is typically used to document a single line of code. A single-line comment can be coded on a separate line as shown in this example, or it can be coded at the end of a line of code. In either case, the comment is delimited by the end of the line.

Although many programmers sprinkle their code with comments, that shouldn't be necessary if you write your code so it's easy to read and understand. Instead, you should use comments only to clarify portions of code that are hard to understand. Then, if you change the code, you should be sure to change the comments too. That way, the comments will always accurately represent what the code does.

## A SELECT statement that's difficult to read

```
select invoicenumber, invoicedate, invoicetotal,
invoicetotal - paymenttotal - credittotal as balancedue
from invoices where invoicetotal - paymenttotal -
credittotal > 0 order by invoicedate
```

## A SELECT statement that's coded with a readable style

```
Select InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal As BalanceDue
From Invoices
Where InvoiceTotal - PaymentTotal - CreditTotal > 0
Order By InvoiceDate;
```

## A SELECT statement with a block comment

```
/*
Author: Bryan Syverson
Date: 8/22/12
*/
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Invoices;
```

## A SELECT statement with a single-line comment

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
    -- The fourth column calculates the balance due for each invoice
FROM Invoices;
```

## Coding recommendations

- Start each new clause on a new line.
- Break long clauses into multiple lines and indent continued lines.
- Capitalize the first letter of each keyword and each word in column and table names.
- End each statement with a semicolon (;).
- Use *comments* only for portions of code that are difficult to understand.

## How to code a comment

- To code a *block comment*, type /* at the start of the block and */ at the end.
- To code a *single-line comment*, type -- followed by the comment.

## Description

- Line breaks, white space, indentation, and capitalization have no effect on the operation of a statement.
- Comments can be used to document what a statement does or what specific parts of a statement do. They are not executed by the system.

## Note

- Throughout this book, SQL keywords are capitalized so they're easier to identify. However, it's not necessary or customary to capitalize SQL keywords in your own code.

Figure 1-15    SQL coding guidelines

# How to work with other database objects

In addition to the tables you've already learned about, relational databases can contain other objects. In the two topics that follow, you'll be introduced to four of those objects: views, stored procedures, triggers, and user-defined functions. Then, in section 4, you'll learn more about how to code and use these objects.

## How to work with views

A *view* is a predefined query that's stored in a database. To create a view, you use the CREATE VIEW statement as shown in figure 1-16. This statement causes the SELECT statement you specify to be stored with the database. In this case, the CREATE VIEW statement creates a view named VendorsMin that retrieves three columns from the Vendors table.

Once you've created the view, you can refer to it instead of a table in most SQL statements. For this reason, a view is sometimes referred to as a *viewed table*. For example, the SELECT statement in this figure refers to the VendorsMin view rather than to the Vendors table. Notice that this SELECT statement makes use of the * operator, which causes all three of the columns defined by the view to be returned.

If you choose to, you can let a user query certain views but not query the tables on which the views are based. In this way, views can be used to restrict the columns and rows of a table that the user can see. In addition, you can simplify a user's access to one or more tables by coding complex SELECT queries as views.

## A CREATE VIEW statement for a view named VendorsMin

```
CREATE VIEW VendorsMin AS
    SELECT VendorName, VendorState, VendorPhone
    FROM Vendors;
```

## The virtual table that's represented by the view

| | VendorName | VendorState | VendorPhone |
|---|---|---|---|
| 1 | US Postal Service | WI | (800) 555-1205 |
| 2 | National Information Data Ctr | DC | (301) 555-8950 |
| 3 | Register of Copyrights | DC | NULL |
| 4 | Jobtrak | CA | (800) 555-8725 |
| 5 | Newbrige Book Clubs | NJ | (800) 555-9980 |
| 6 | California Chamber Of Commerce | CA | (916) 555-6670 |
| 7 | Towne Advertiser's Mailing Svcs | CA | NULL |
| 8 | BFI Industries | CA | (559) 555-1551 |
| 9 | Pacific Gas & Electric | CA | (800) 555-6081 |

## A SELECT statement that uses the VendorsMin view

```
SELECT * FROM VendorsMin
WHERE VendorState = 'CA'
ORDER BY VendorName;
```

## The result set that's returned by the SELECT statement

| | VendorName | VendorState | VendorPhone |
|---|---|---|---|
| 1 | Abbey Office Furnishings | CA | (559) 555-8300 |
| 2 | American Express | CA | (800) 555-3344 |
| 3 | ASC Signs | CA | NULL |
| 4 | Aztek Label | CA | (714) 555-9000 |
| 5 | Bertelsmann Industry Svcs. Inc | CA | (805) 555-0584 |
| 6 | BFI Industries | CA | (559) 555-1551 |
| 7 | Bill Jones | CA | NULL |
| 8 | Bill Marvin Electric Inc | CA | (559) 555-5106 |
| 9 | Blanchard & Johnson Associates | CA | (214) 555-3647 |

## Description

- A *view* consists of a SELECT statement that's stored with the database. Because views are stored as part of the database, they can be managed independently of the applications that use them.

- A view behaves like a virtual table. Since you can code a view name anywhere you'd code a table name, a view is sometimes called a *viewed table*.

- Views can be used to restrict the data that a user is allowed to access or to present data in a form that's easy for the user to understand. In some databases, users may be allowed to access data only through views.

Figure 1-16    How to work with views

# How to work with stored procedures, triggers, and user-defined functions

A *stored procedure* is a set of one or more SQL statements that are stored together in a database. To create a stored procedure, you use the CREATE PROCEDURE statement as shown in figure 1-17. Here, the stored procedure contains a single SELECT statement. To use the stored procedure, you send a request for it to be executed. One way to do that is to use the Transact-SQL EXEC statement as shown in this figure. You can also execute a stored procedure from an application program by issuing the appropriate statement. How you do that depends on the programming language and the API you're using to access the database.

When the server receives the request, it executes the stored procedure. If the stored procedure contains a SELECT statement like the one in this figure, the result set is sent back to the calling program. If the stored procedure contains INSERT, UPDATE, or DELETE statements, the appropriate processing is performed.

Notice that the stored procedure in this figure accepts an *input parameter* named @State from the calling program. The value of this parameter is then substituted for the parameter in the WHERE clause so that only vendors in the specified state are included in the result set. When it's done with its processing, a stored procedure can also pass *output parameters* back to the calling program. In addition, stored procedures can include *control-of-flow language* that determines the processing that's done based on specific conditions. You'll learn more about how to code stored procedures in chapter 15.

A *trigger* is a special type of stored procedure that's executed automatically when an insert, update, or delete operation is executed on a table or when a DDL statement is executed on a database. Triggers are used most often to validate data before a row is added or updated, but they can also be used to maintain the relationships between tables or to provide information about changes to the definition of a database.

A *user-defined function*, or *UDF*, is also a special type of procedure. After it performs its processing, a UDF can return a single value or an entire table to the calling program. You'll learn how to code and use user-defined functions and triggers in chapter 15.

## A CREATE PROCEDURE statement
## for a procedure named spVendorsByState

```
CREATE PROCEDURE spVendorsByState @State char(2) AS
    SELECT VendorName, VendorState, VendorPhone
    FROM Vendors
    WHERE VendorState = @State
    ORDER BY VendorName;
```

## A statement that executes the spVendorsByState stored procedure

```
EXEC spVendorsByState 'CA';
```

## The result set that's created when the stored procedure is executed

| | VendorName | VendorState | VendorPhone |
|---|---|---|---|
| 1 | Abbey Office Furnishings | CA | (559) 555-8300 |
| 2 | American Express | CA | (800) 555-3344 |
| 3 | ASC Signs | CA | NULL |
| 4 | Aztek Label | CA | (714) 555-9000 |
| 5 | Bertelsmann Industry Svcs. Inc | CA | (805) 555-0584 |
| 6 | BFI Industries | CA | (559) 555-1551 |
| 7 | Bill Jones | CA | NULL |
| 8 | Bill Marvin Electric Inc | CA | (559) 555-5106 |
| 9 | Blanchard & Johnson Associates | CA | (214) 555-3647 |

## Concepts

- A *stored procedure* is one or more SQL statements that have been compiled and stored with the database. A stored procedure can be started by application code on the client.

- Stored procedures can improve database performance because the SQL statements in each procedure are only compiled and optimized the first time they're executed. In contrast, SQL statements that are sent from a client to the server have to be compiled and optimized every time they're executed.

- In addition to SELECT statements, a stored procedure can contain other SQL statements such as INSERT, UPDATE, and DELETE. It can also contain *control-of-flow language*, which lets you perform conditional processing within the stored procedure.

- A *trigger* is a special type of procedure that's executed when rows are inserted, updated, or deleted from a table or when the definition of a database is changed. Triggers are typically used to check the validity of the data in a row that's being updated or added to a table.

- A *user-defined function* (*UDF*) is a special type of procedure that can return a value or a table.

Figure 1-17    How to use stored procedures, triggers, and user-defined functions

# How to use SQL from an application program

This book teaches you how to use SQL from within the SQL Server environment. However, SQL is commonly used from application programs too. So in the topics that follow, you'll get a general idea of how that works. And you'll see that it's easy to recognize the SQL statements in an application program because they're coded just as they would be if they were running on their own.
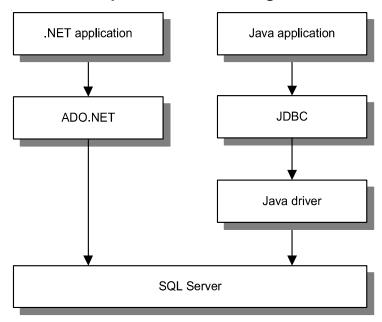
## Common data access models

Figure 1-18 shows two common ways for an application to access a SQL Server database. First, you can access a SQL Server database from a .NET application written using Visual Basic, C#, C++, or F#. To do that, you can use *ADO.NET*. This is Microsoft's newest *data access model*, and it can communicate directly with SQL Server.

Because ADO.NET uses a *disconnected data architecture*, its use has become widespread, particularly for web-based applications. That's because web-based applications by necessity work with *disconnected data.* That means that once an application has sent a response to the client, it doesn't maintain its connection to the database.

The second data access model in this figure is JDBC, which is used by Java applications. Unlike ADO.NET, JDBC requires additional software, called a *driver*, to communicate with SQL Server.

## Two common options for accessing SQL Server data

```
.NET application          Java application
      |                         |
      v                         v
   ADO.NET                    JDBC
      |                         |
      |                         v
      |                    Java driver
      |                         |
      v                         v
        SQL Server
```

## Description

- To work with the data in a SQL Server database, an application uses a *data access model*. For a .NET application, which can be written in Visual Basic, C#, C++, or F#, that model is typically *ADO.NET*. For a Java application, that model is typically *JDBC* (*Java Database Connectivity*).

- Each data access model defines a set of objects you can use to connect to and work with a SQL Server database. For example, both of the models shown above include a connection object that you can use to specify the information for connecting to a database.

- Some of the data access models require additional software, called *drivers*, to communicate with SQL Server. For example, JDBC requires a Java driver.

- ADO.NET, which is Microsoft's newest data access model, includes its own driver so it can communicate directly with SQL Server.

Figure 1-18     Common data access models

## How to use ADO.NET from a .NET application
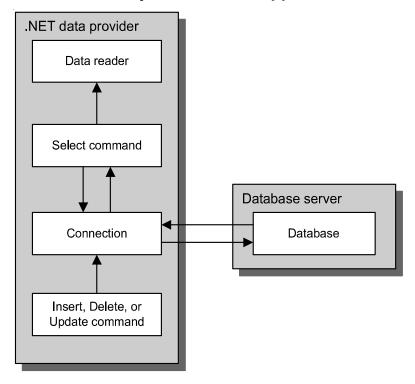
To illustrate how you use a data access model, figure 1-19 introduces you to the basic ADO.NET objects that you use in a .NET application. Then, in the next two figures, you'll see some actual code that creates and uses these objects. Keep in mind, though, that there's a lot more you need to know about ADO.NET than what's presented here.

When you develop a .NET application, you can choose from several languages, but the most popular are Visual Basic and C#. The language you choose is largely a matter of personal preference. To learn more about using ADO.NET with Visual Basic or C#, I recommend our current books on the subject. For more information, please see our website at www.murach.com.

To access a database using the objects shown here, you execute *command* objects. Then, a *connection* object is used to connect to the database, perform the requested operation, and return the result. If you execute a command that contains a SELECT statement, the result is a result set that contains the rows you requested. Then, you can read the rows in the result set using a *data reader* object. If you execute a command that contains an INSERT, UPDATE, or DELETE statement, the result is a value that indicates if the operation was successful.

Although this is a common technique for working with ADO.NET, you should realize that there are other techniques. For example, a quick way to create a database application is to use the *data sources* feature of Visual Studio. When you use this feature, Visual Studio generates the ADO.NET code for working with the database. This is a quick and easy way to develop simple applications or to prototype larger applications.

## Basic ADO.NET objects in a .NET application



## Description

- To work with the data in a SQL Server database from a .NET application, you can use ADO.NET objects like the ones shown above.

- A *.NET data provider* provides the classes that let you create the objects that you use to retrieve data from a database and to store data in a database.

- To retrieve data from a database, you execute a *command* object that contains a SELECT statement. Then, the command object uses a *connection* object to connect to the database and retrieve the data. You can then read the results one row at a time using a *data reader* object.

- To insert, update, or delete data in a database, you execute a command object that contains an INSERT, UPDATE, or DELETE statement. Then, the command object uses a connection to connect to the database and update the data. You can then check the value that's returned to determine if the operation was successful.

- After data is retrieved from a database or updated in a database, the connection is closed and the resources used by the connection are released. This is referred to as a *disconnected data architecture*.

Figure 1-19    How to use ADO.NET from a .NET application

# Visual Basic code that retrieves data from a SQL Server database

Figure 1-20 presents a Visual Basic function that uses the ADO.NET objects shown in the previous figure. This function is from a simple application that accepts a vendor ID from the user, retrieves the information for the vendor with that ID from the Vendors table, and then displays that information. Although I don't expect you to understand this code, I hope it will give you a feel for how you use SQL from an application program.

This function starts by creating a new Vendor object. Although it's not shown here, this object contains properties that correspond to the columns in the Vendors table. Then, this function creates the connection object that will be used to connect to the database and sets the connection string for that object. The connection string provides ADO.NET with the information it needs to connect to the database.

Once the connection string is set, the next group of statements defines the Command object that will be executed to retrieve the data from the database. The first statement in this group creates the command object. Then, the next statement assigns the connection object to the command object. That means that when the statement that this object will contain is executed, it will use the connection string in the connection object to connect to the database.

The next statement in this group specifies the SELECT statement to be executed. If you review this statement, you'll see that the WHERE clause includes a parameter named @VendorID that will contain the value of the vendor ID. This value is set by the last statement in this group.

The next statement opens the connection to the database. Then, the next group of statements retrieves the vendor row and stores it in a Vendor object. To do that, it starts by executing the command to create a data reader. Then, if the vendor is found, it assigns the values of the columns in the row to the properties of the Vendor object. Otherwise, the Vendor object is set to Nothing.

After all of the rows are processed, the data reader and connection are closed. Then, the Vendor object is returned to the calling procedure.

Now that you've reviewed this code, you can see that there's a lot involved in accessing a SQL Server database from an application program. However, you can also see that only one statement in this figure actually involves using SQL. That's the statement that specifies the SELECT statement to be executed. Of course, if the program also provided for updating the data in the Vendors table, it would include INSERT, UPDATE, and DELETE statements. With the skills that you'll learn in this book, though, you won't have any trouble coding the SQL statements you need for your applications.

## A Visual Basic function that uses ADO.NET objects
## to retrieve data from a SQL Server database

```
Public Shared Function GetVendor(vendorID As Integer) As Vendor
    Dim vendor As New Vendor

    ' Create the connection object
    Dim connection As New SqlConnection()
    connection.ConnectionString = "Data Source=localhost\SqlExpress;" & _
        "Initial Catalog=AP;Integrated Security=True"

    ' Create the command object and set the connection,
    ' SELECT statement, and parameter value
    Dim selectCommand As New SqlCommand
    selectCommand.Connection = connection
    selectCommand.CommandText = "SELECT VendorID, " & _
        "VendorName, VendorAddress1, VendorAddress2, " & _
        "VendorCity, VendorState, VendorZipCode " & _
        "FROM Vendors WHERE VendorID = @VendorID"
    selectCommand.Parameters.AddWithValue("@VendorID", vendorID)

    ' Open the connection to the database
    connection.Open()

    ' Retrieve the row specified by the SELECT statement
    ' and load it into the Vendor object
    Dim reader As SqlDataReader = selectCommand.ExecuteReader
    If reader.Read Then
        vendor.VendorID = CInt(reader("VendorID"))
        vendor.VendorName = reader("VendorName").ToString
        vendor.VendorAddress1 = reader("VendorAddress1").ToString
        vendor.VendorAddress2 = reader("VendorAddress2").ToString
        vendor.VendorCity = reader("VendorCity").ToString
        vendor.VendorState = reader("VendorState").ToString
        vendor.VendorZipCode = reader("VendorZipCode").ToString
    Else
        vendor = Nothing
    End If
    reader.Close()

    ' Close the connection to the database
    connection.Close()

    Return vendor
End Function
```

## Description

- To issue a SQL statement from a Visual Basic program, you can create ADO.NET objects like the ones shown above.

- After you create the ADO.NET objects, you have to set the properties of those objects that define how they work. For example, the ConnectionString property of a connection object contains the information ADO.NET needs to connect to a database.

Figure 1-20     Visual Basic code that retrieves data from a SQL Server database

## C# code that retrieves data from a SQL Server database

Figure 1-21 presents C# code that uses the ADO.NET objects to retrieve data from a SQL Server database. This code provides the same functionality as the Visual Basic code presented in figure 1-20. If you compare the code presented in these two figures, you'll see that both Visual Basic and C# use the same ADO.NET objects that are provided as part of the .NET Framework.

The main difference is that the C# language uses a different syntax than Visual Basic. This syntax is similar to the syntax that's used by C++ and Java. As a result, if you already know C++ or Java, it should be relatively easy for you to learn C#. Conversely, once you learn C#, it's easier to learn C++ or Java.

## A C# method that uses ADO.NET objects to retrieve data from a SQL Server database

```csharp
public static Vendor GetVendor(int vendorID)
{
    Vendor vendor = new Vendor();

    // Create the connection object
    SqlConnection connection = new SqlConnection();
    connection.ConnectionString = "Data Source=localhost\\SqlExpress;" +
        "Initial Catalog=AP;Integrated Security=True";

    // Create the command object and set the connection,
    // SELECT statement, and parameter value
    SqlCommand selectCommand = new SqlCommand();
    selectCommand.Connection = connection;
    selectCommand.CommandText = "SELECT VendorID, " +
        "VendorName, VendorAddress1, VendorAddress2, " +
        "VendorCity, VendorState, VendorZipCode " +
        "FROM Vendors WHERE VendorID = @VendorID";
    selectCommand.Parameters.AddWithValue("@VendorID", vendorID);

    // Open the connection to the database
    connection.Open();

    // Retrieve the row specified by the SELECT statement
    // and load it into the Vendor object
    SqlDataReader reader = selectCommand.ExecuteReader();
    if (reader.Read())
    {
        vendor.VendorID = (int)reader["VendorID"];
        vendor.VendorName = reader["VendorName"].ToString();
        vendor.VendorAddress1 = reader["VendorAddress1"].ToString();
        vendor.VendorAddress2 = reader["VendorAddress2"].ToString();
        vendor.VendorCity = reader["VendorCity"].ToString();
        vendor.VendorState = reader["VendorState"].ToString();
        vendor.VendorZipCode = reader["VendorZipCode"].ToString();
    }
    else
    {
        vendor = null;
    }
    reader.Close();

    // Close the connection to the database
    connection.Close();

    return vendor;
}
```

## Description

- To issue a SQL statement from a C# application, you can use ADO.NET objects like the ones shown above.

Figure 1-21    C# code that retrieves data from a SQL Server database

# Perspective

To help you understand how SQL is used from an application program, this chapter has introduced you to the hardware and software components of a client/server system. It has also described how relational databases are organized and how you use some of the basic SQL statements to work with the data in a relational database. With that as background, you're ready to start using SQL Server. In the next chapter, then, you'll learn how to use some of the tools provided by SQL Server.

# Terms

client
server
database server
network
client/server system
local area network (LAN)
enterprise system
wide area network (WAN)
network operating system
database management system (DBMS)
back end
application software
data access API (application
    programming interface)
front end
SQL (Structured Query Language)
SQL query
query results
application server
web server
business component
web application
web service
web browser
thin client
relational database
table
row
column
record
field
cell
primary key

composite primary key
non-primary key
unique key
index
foreign key
one-to-many relationship
one-to-one relationship
many-to-many relationship
data type
null value
default value
identity column
hierarchical database
parent/child relationship
network database
object database
relational database management system
    (RDBMS)
Oracle
DB2 (Database 2)
ANSI (American National Standards
    Institute)
levels of conformance
core specification
package
SQL dialect
extension
SQL variant
Transact-SQL
open-source database
data manipulation language (DML)
data definition language (DDL)
database administrator (DBA)

base table
result table
result set
calculated value
join
inner join
outer join
cross join
action query
comment
block comment
single-line comment
view
viewed table
stored procedure

input parameter
output parameter
control-of-flow language
trigger
user-defined function (UDF)
data access model
ADO.NET
JDBC (Java Database Connectivity)
disconnected data architecture
disconnected data
driver
command
connection
data source

# 2

# How to use the Management Studio

In the last chapter, you learned about some of the SQL statements you can use to work with the data in a relational database. Before you learn the details of coding these statements, however, you need to become familiar with a tool that you can use to execute these statements against a relational database. Since this book is about SQL Server 2012, this chapter will teach you about the primary tool for working with SQL Server 2012, the SQL Server Management Studio.

# An introduction to SQL Server 2012

The current version of Microsoft SQL Server, SQL Server 2012, is a complete database management system. It consists of a *database server* that provides the services for managing SQL Server databases and *client tools* that provide an interface for working with the databases. Of these client tools, the Management Studio is the primary tool for working with a database server.

Before I go on, you should know that you can follow along with the skills presented in this chapter if you have access to SQL Server 2012. If that's not the case, you can refer to appendix A of this book to learn how to download and install it. In addition, you can refer to appendix B to download all of the database and source code files used in this book. Once you do that, you can work along with the book examples.

If you install SQL Server as described in appendix A of this book, a free version of SQL Server, called the SQL Server 2012 Express Edition, will be installed on your machine. Although the Express Edition restricts the number of processors, the amount of memory, and the amount of data that SQL Server can manage, it provides a realistic testing environment that is 100% compatible with the other versions of SQL Server 2012. In fact, SQL Server Express is adequate for many small and medium sized applications. And since it's free and easy to use, it's perfect for learning about SQL Server. For example, I used SQL Server Express to create and test all of the statements presented in this book.

Note, however, that SQL Server Express is strictly a database server, or *database engine*. In other words, it doesn't provide some of the client tools that you'll learn about in this chapter. In particular, it doesn't include the Management Studio.That's why appendix A recommends that you install an edition of SQL Server called SQL Server 2012 Express Edition with Tools. This edition includes the Management Studio, and it's also available for free.

## A summary of the SQL Server 2012 tools

Figure 2-1 summarizes the SQL Server 2012 client tools that you'll learn how to use in this book: Management Studio, Configuration Manager, and Books Online. Although other tools exist for working with a SQL Server 2012 database, they are commonly used by database administrators and other specialists, not application developers. That's why they aren't presented in this book. To learn more about any of these tools, you can refer to the information in Books Online.

## A summary of the SQL Server 2012 tools

| Tool | Description |
|------|-------------|
| SQL Server Management Studio | The primary graphical tool that a developer uses to work with a SQL Server 2012 database. You can use this tool to work directly with database objects and to develop and test SQL statements. |
| SQL Server Configuration Manager | A graphical tool that you can use to start and stop the database server. |
| Books Online | The documentation for SQL Server 2012. |

## Description

- To work with a SQL Server database and the data it contains, you can use the SQL Server 2012 tools described above.

- After you install SQL Server 2012, you can access the Management Studio and the Configuration Manager from the Start→All Programs→Microsoft SQL Server 2012 program group. To start one of these tools, just select it from that program group.

- The easiest way to start Books Online is to use the Management Studio. See figure 2-15 for details.

Figure 2-1     The SQL Server 2012 tools

## How to start and stop the database engine
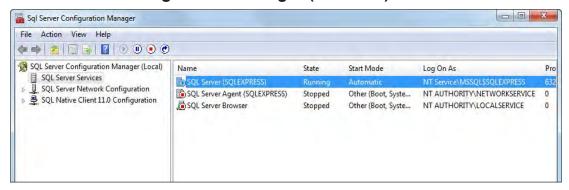
If you've installed SQL Server Express on your own system, you can use the SQL Server Configuration Manager to start and stop the database engine as described in figure 2-2. By default, the database engine starts automatically when the operating system starts, which is usually what you want. However, you may occasionally need to stop and then restart the engine. For example, some changes you make to the database server won't go into effect until you restart the engine.

By the way, if you simply want to find out if the database engine is running, you can do that by selecting SQL Server 2012 Services in the left pane. Then, you can look at the State column in the right pane. In this figure, for example, the Configuration Manager shows that the SQL Server Express database engine is running.
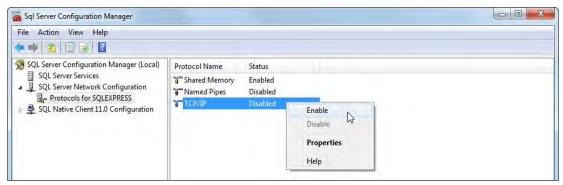
## How to enable remote connections

When you install SQL Server 2012, remote connections are disabled by default. This is a security precaution that prevents other computers from connecting to this instance of SQL Server before it has been properly secured. As a result, if you have installed SQL Server 2012 and you want to allow other computers to be able to access this instance of SQL Server, you must enable remote connections. To do that, you can use the SQL Server Configuration Manager tool as described in figure 2-2. Of course, if databases that contain sensitive data are running under this instance of SQL Server, you'll want to secure the database properly before you enable remote connections.

## The SQL Server Configuration Manager (Services)



## The SQL Server Configuration Manager (Network Configuration)



### Description

- After you install SQL Server Express, the database server will start automatically each time you start your PC by default.

- To display the Configuration Manager, select Start➔All Programs➔Microsoft SQL Server 2012➔Configuration Tools➔SQL Server Configuration Manager.

- To start or stop a service, select the service in the right pane, and use the buttons in the toolbar to start or stop the service.

- To change the start mode for a service, right-click on the service in the right pane, select the Properties command to display the properties for the service, select the Service tab, and select the start mode you want from the Start Mode combo box.

- By default, remote connections are disabled for SQL Server 2012. To enable them, expand the SQL Server Network Configuration node and select the Protocols node for the server. Then, right-click on the protocol you want to enable and select the Enable command.

Figure 2-2    How to work with the database server

# An introduction to the Management Studio

Once the SQL Server database engine is installed, configured, and running, you can use the Management Studio to connect to an instance of the SQL Server database engine. Then, you can use the Management Studio to work with the SQL Server database engine as described throughout this chapter.

## How to connect to a database server

When you start the Management Studio, a dialog box like the one in figure 2-3 is displayed. This dialog box lets you select the instance of SQL Server you want to connect to, and it lets you enter the required connection information.

As you can see in this figure, you can use one of two types of authentication to connect to a server. In most cases, you can select the Windows Authentication option to let Windows supply the appropriate login name and password for you.

However, if you aren't able to use Windows Authentication, you can use SQL Server authentication. For example, you may need to use SQL Server authentication if you're accessing your school's or company's server. In that case, you can contact the database administrator to get an appropriate SQL Server login name and password. For more information about both types of authentication, please refer to chapter 18.

## How to connect using Windows authentication



## How to connect using SQL Server authentication



## Description

- When you start the Management Studio, it displays a dialog box that allows you to specify the information that's needed to connect to the appropriate database server.

- To connect to a database server, you use the Server Name combo box to enter or select a path that specifies the database server. You begin by entering the name of the computer, followed by a backslash, followed by the name of the SQL Server database server.

- To connect to the SQL Server Express database engine when it's running on your PC, you can use the localhost keyword to specify your computer as the host machine, and you can use "SqlExpress" to specify SQL Server Express as the database engine.

- If you select Windows authentication, SQL Server will use the login name and password that you use for your computer to verify that you are authorized to connect to the database server.

- If you select SQL Server authentication, you'll need to enter an appropriate login name and password. This type of authentication is typically used only with non-Windows clients.

Figure 2-3     How to connect to a database server

# How to navigate through the database objects

Figure 2-4 shows how to use the Management Studio to navigate through the database objects that are available from the current database server. By default, the Object Explorer window is displayed on the left side of the Management Studio window. If it isn't displayed, you can use the View menu to display it.

This window displays the instance of SQL Server that the Management Studio is connected to, all of the databases that are attached to this instance of SQL Server, and all objects within each database. These objects include tables, columns, keys, constraints, triggers, indexes, views, stored procedures, functions, and so on.
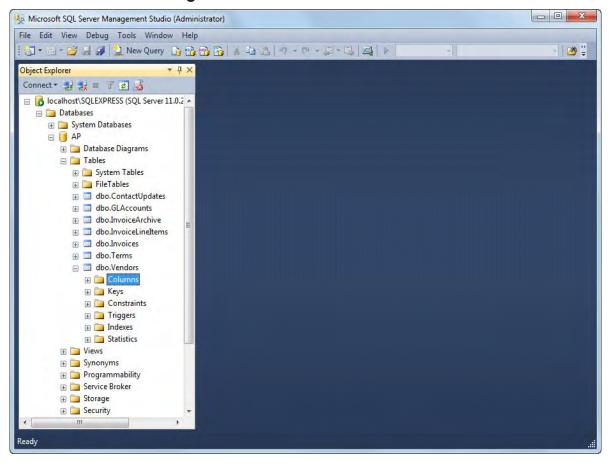
To navigate through the database objects displayed in the Object Explorer, you can click on the plus (+) and minus (-) signs to the left of each node to expand or collapse the node. In this figure, for example, I expanded the Databases node. That way, all databases on the server are shown. Then, I expanded the node for the database named AP to browse through all of the objects for this database, and I expanded the Tables node for the AP database to view all of the tables for this database. Finally, I expanded the Vendors table node to show the types of database objects that are available for a table.

To work with a specific object, you can right-click on it to display a shortcut menu. To view or modify the design of a table, for example, you can right-click on the table and select the Design command. You'll learn how to use this command later in this chapter.

When you're working with the Management Studio, you may occasionally want to free up more space for the pane that's displayed to the right of the Object Explorer. To do that, you can click on the AutoHide button that's displayed in the top right of the Object Explorer. This button looks like a pushpin, and it automatically hides the Object Explorer when you click on it. Then, a tab for the Object Explorer is displayed on the left side of the Management Studio, and you can display the window by pointing to this tab. You can turn off the AutoHide feature by displaying the window and clicking on the AutoHide button again.

Before I go on, I want to point out the qualifier that's used on all of the table names in this figure: dbo. This qualifier indicates the schema that the tables belong to. In SQL Server, a *schema* is a container that holds objects. If you don't specify a schema when you create an object, it's stored in the default schema, dbo. As you'll learn in chapter 18, you can use schemas to make it easier to implement the security for a database. For now, you can assume that all the objects you work with are stored in the dbo schema.

## The SQL Server Management Studio



## Description

- The Management Studio is a graphical tool that you can use to work with the objects in a SQL Server database.

- If the Object Explorer isn't displayed, you can use the View menu to display it. You can close this window by clicking the Close button at the top of the window.

- To navigate through the database objects displayed in the Object Explorer, click on the plus (+) and minus (-) signs to the left of each node to expand or collapse the node.

- To display a menu of commands for working with an object, right-click on the object.

- If you want to automatically hide the Object Explorer, you can click on the AutoHide button at the top of the Object Explorer. Then, you can display the window by pointing to the Object Explorer tab that's displayed along the left side of the Management Studio window.

Figure 2-4      How to navigate through the database objects

# How to manage the database files

Before you can work with the objects that are stored within a database, you need to create the database and its objects. If you have the files for an existing SQL Server database, the easiest way to create the database is to *attach* those files to the database server.

## How to attach a database

Figure 2-5 shows how to use the Management Studio to *attach* the database files for a SQL Server database to an instance of the server. A SQL Server database consists of two types of files. The first file is the main data file, and it has an extension of mdf. The second file is the log file, and it has an extension of ldf.

If you have the data file for a database, the easiest way to attach the database is to use the existing data file. To do that, you right-click on the Databases folder and select the Attach Database command to display the Attach Databases dialog box. Then, you can click on the Add button and use the resulting dialog box to select the mdf file for the database. This should add both the data file and the log file for the database to the Database Details pane at the bottom of the dialog box. In this figure, for example, the Attach Databases dialog box shows both the mdf and ldf files for the database named AP. Finally, click OK to attach the database.
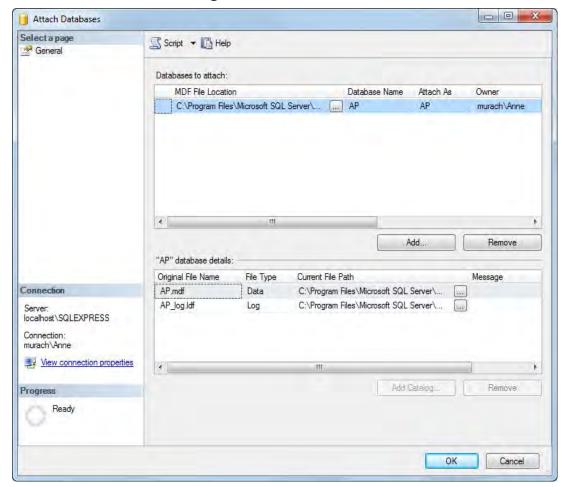
If you want to attach a database that doesn't have a log file, or if you want to create a new log file for a database, you can remove the log file for the database before you click the OK button. To do that, select the log file in the Database Details pane and click the Remove button. Then, when you click the OK button, the Management Studio will create a new log file for the database.

Before you attach database files, you need to decide where you'd like to store them. In most cases, you'll store them in the default directory shown in this figure. This is the directory where SQL Server 2012 stores the database files for databases you create from scratch. For example, when you run the script that creates the databases for this book as described in appendix B, SQL Server will store the database files in this directory. If you want to store the files for a database in a different location, though, you can do that too. You just need to remember where you store them.

## How to detach a database

After you attach a database file, you will sometimes need to *detach* it. If, for example, you try to move a database file that's attached to a server, you'll get an error message that indicates that the file is in use. To get around this, you can detach the database file as described in figure 2-5. Then, you can move the database file and reattach it to the server later.

## The Attach Databases dialog box



## The default directory for SQL Server 2012 databases

```
C:\Program Files\Microsoft SQL Server 2012\MSSQL11.SQLEXPRESS\MSSQL\DATA
```

## Description

- To attach a database, right-click on the Databases folder and select the Attach Database command to display the Attach Databases dialog box shown above. Then, click on the Add button and use the resulting dialog box to select the mdf file for the database. This should add both the data file and the log file for the database to the Database Details pane at the bottom of the dialog box. Finally, click OK to attach the database.

- If you want to attach a database that doesn't have a log file, or if you want to create a new log file for a database, you can remove the log file for the database before you click the OK button. To do that, select the log file in the Database Details pane and click the Remove button.

- To detach a database, right-click on its icon and select the Tasks→Detach command to display the Detach Database dialog box. Then, click on the OK button.

Figure 2-5    How to attach or detach a database

## How to back up a database

Whenever you're working with a database, and especially before you begin experimenting with new features, it's a good idea to back up the database as shown in figure 2-6. Then, if you accidentally modify or delete data, you can easily restore it.

By default, the Management Studio creates a full backup of the database and it stores the file for this database in the Backup directory shown in this figure. The file for the backup is the name of the database with an extension of bak. In this figure, for example, the backup file for the AP database is named AP.bak.

By default, the backup is set to expire in zero days, which means that the backup file will be saved on disk until the backup is run again. Then, the old backup file will be replaced by the new backup.
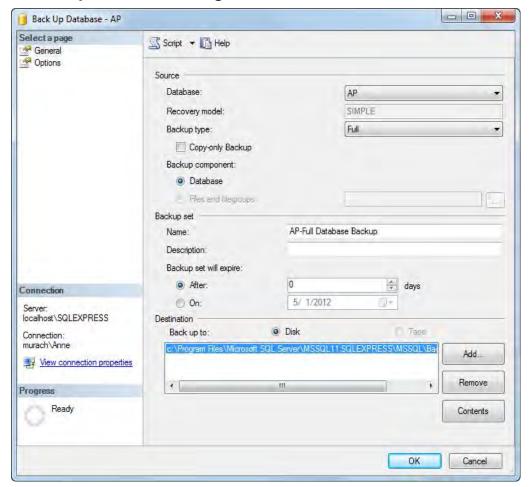
For the purposes of this book, those settings are usually adequate. However, if they aren't, you can use an incremental backup, or you can set the number of expiration days for the backup.

## How to restore a database

If you need to *restore* a database from the backup copy, you can use the procedure described in figure 2-6. Although the Restore Database dialog box isn't shown in this figure, you shouldn't have any trouble using it.

By default, the Restore Database dialog box restores the current database to the most recent backup of the database, which is usually what you want. However, if you want to restore the database to a specific point in time, you can use the Restore Database dialog box to specify a date and time. Then, when you click OK, SQL Server will use the log files to restore the database to the specific point in time.

## The Back Up Database dialog box



## The default directory for SQL Server 2012 database backups

```
C:\Program Files\Microsoft SQL Server 2012\MSSQL11.SQLEXPRESS\MSSQL\Backup
```

## Description

- To back up a database, right-click on the database and select the Tasks→Back Up command to display the Back Up Database dialog box. For the purposes of this book, the default settings are usually adequate for backing up the database. As a result, you can usually click OK to back up the database.

- To restore a database, right-click on the database and select the Tasks→Restore→Database command to display the Restore Database dialog box. Then, click OK to restore the database. This replaces the current database with the most recent backup of the database.

Figure 2-6      How to back up and restore a database
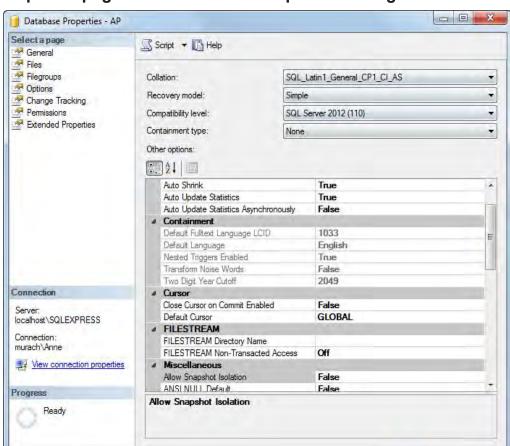
## How to set the compatibility level for a database

The SQL Server 2012 database engine is backwards compatible and can run older versions of SQL Server databases as if they were running under an older version of the SQL Server database engine. As a result, after you attach a database, you may want to change the compatibility level for the database so it's appropriate for your purposes as described in figure 2-7.

For example, if you attach database files that were originally created under SQL Server 2008 to the SQL Server 2012 database engine, the compatibility level will remain set to SQL Server 2008. As a result, you will still be able to use most SQL Server 2008 features, even ones that have been deprecated, and you won't be able to use new SQL Server 2012 features. If that's what you want, you can leave the compatibility level set as it is. However, if you want to try using new SQL Server 2012 features with this database, you need to change the compatibility level to SQL Server 2012.

## The Options page of the Database Properties dialog box



### Description

- The SQL Server 2012 database engine is backwards compatible and can run older versions of SQL Server databases just as if they were running under an older version of the SQL Server database engine.

- To set the compatibility level for a database to SQL Server 2012, right-click the database, select the Properties command, click on the Options item, and select SQL Server 2012 from the Compatibility Level drop-down list.

Figure 2-7       How to set the compatibility level for a database

# How to view and modify the database

Before you use SQL to query a database, you need to know how the database is defined. In particular, you need to know how the columns in each table are defined and how the tables are related. In addition, you may need to modify the database definition so it works the way you want.
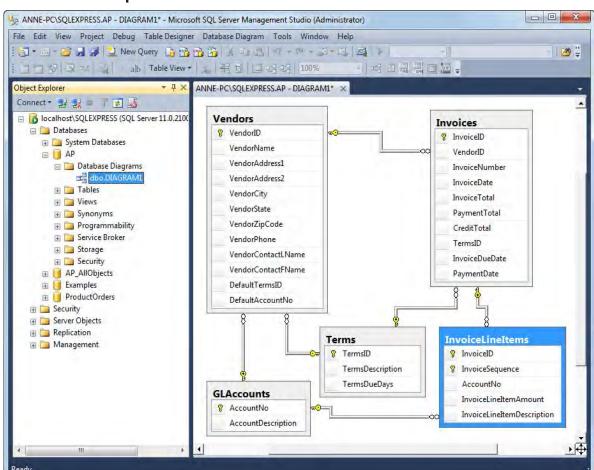
## How to view the database diagrams

The easiest way to view the relationships between the tables in a database is to display a *database diagram* for the database as described in figure 2-8. In this figure, for example, the database diagram shows the relationships between five of the tables in the AP database. In addition, this diagram shows the names of each column in each table. For a database that doesn't contain many tables, like the AP database, a single database diagram may be adequate for the database. However, for a database that contains a large number of tables, it may be helpful to use several database diagrams. Then, each diagram can focus on a subset of related tables within the database.

When you first display a database diagram for a database, the tables may be placed in an illogical order, and the lines that indicate the relationships between the tables may be tangled. This makes the diagram difficult to read. To fix this, you can use standard Windows techniques to move and size the tables in the diagram. For example, you can drag the title bar of a table to move it, you can drag the edge of a table to resize it, and you can right-click anywhere in the diagram to get a context-sensitive shortcut menu. You can use these menus to add or remove tables from the diagram, or to automatically size a table. With a bit of fiddling around, you should be able to organize your diagram so it's easy to see the relationships between the tables.

When you display a database diagram, the relationships between tables are displayed as links as shown in this figure. You can tell what type of relationship exists between two tables by looking at the endpoints of the link. The "one" side is represented by a key, and the "many" side is represented by an infinity symbol. In this diagram, all of the relationships are one-to-many. For example, one row in the Vendors table can be related to many rows in the Invoices table.

As you review this diagram, notice that you can't tell which columns in each table form the relationship. However, you can see which columns are defined as primary key columns. As you may remember from chapter 1, these are the columns that are typically used on the "one" side of the relationships. From that information, you should be able to figure out which columns identify the foreign keys by reading the names of the columns. For example, it's fairly obvious that the DefaultAccountNo column in the Vendors table is related to the AccountNo column in the GLAccounts table. If you can't determine the relationships just by reading the column names, you can review the primary and foreign keys for each table by using the Object Explorer as described in the next figure.

## The relationships between the tables in the AP database



## Description

- *Database diagrams* can be used to illustrate the relationships between the tables in a database.

- To view a database diagram, expand the Database Diagrams node for the database, and double-click on the diagram you want to display. If you get a dialog box that says that the database doesn't have one or more of the required support objects for database diagramming, you can select Yes to create the support objects.

- The relationships between the tables in the diagram appear as links, where the endpoints of the links indicate the type of relationship. A key indicates the "one" side of a relationship, and the infinity symbol (∞) indicates the "many" side of a relationship.

- The primary key for a table appears as a key icon that's displayed to the left of the column or columns that define the primary key.

- You can use standard Windows techniques to move and size the tables in a database diagram to make the diagram easier to understand.

Figure 2-8    How to view the relationships between tables

If necessary, you can use a database diagram to add columns, to remove columns, or to change the names of existing columns. However, these changes actually modify the definition of the database. As a result, you'll only want to use them if the database is under development and you're sure that existing code doesn't depend on any existing columns that you delete or modify.

# How to view the column definitions of a table

To view the column definitions of a table, you can use the Object Explorer to expand the Columns node for a table as shown in figure 2-9. In this figure, for example, the Object Explorer shows the columns for the Vendors table. This shows the name and data type for each column, along with an indication of whether or not it can contain null values.

In addition, the columns that define keys are marked with a key icon. Here, the first key icon indicates that the VendorID column is the primary key (PK), and the next two key icons indicate that the DefaultTermsID and DefaultAccountNo columns are foreign keys (FK).
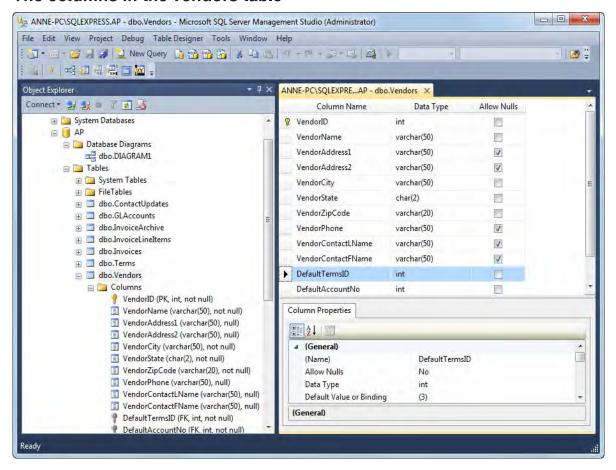
# How to modify the column definitions

If you want to modify the columns in a table, or if you want to view more detailed information about a column, you can display the table in a Table Designer tab. To do that, right-click on the table and select the Design command. In this figure, for example, you can see that the Table Designer tab for the Vendors table is displayed on the right side of the Management Studio.

The Table Designer tab is divided into two parts. The top of the tab shows three columns that display the name and data type for the column as well as whether the column allows null values. If you want, you can use these columns to modify these values. For example, if you don't want to allow null values for a column, you can remove the appropriate check mark from the Allow Nulls column.

If you want to display additional information about a column, you can select the column by clicking on its row selector. Then, additional properties are displayed in the Column Properties tab that's displayed at the bottom of the window. In this figure, for example, the properties for the DefaultTermsID column are displayed. As you can see, these properties indicate that this column has a default value of 3. Note that the properties that are available change depending on the data type of the column. For a column with the varchar data type, for example, the properties also indicate the length of the column. You'll learn more about that in chapter 8.

## The columns in the Vendors table



## Description

- To view the columns for a table, expand the Tables node, expand the node for the table, and expand the Columns node. This displays the columns in the Object Explorer.

- To modify the columns for a table, expand the Tables node, right-click on the table, and select the Design command to display the table in a Table Designer tab. Then, you can click on the row selector to the left of the column name to display the properties for the column in the Column Properties tab at the bottom of the window. If necessary, you can use the Table Designer tab or the Column Properties tab to modify the properties for a column.

Figure 2-9    How to view or modify the column definitions of a table

## How to view the data of a table

If you want to quickly view some data for a table, you can right-click on the table and select the Select Top 1000 Rows command. This automatically generates and executes a query that displays the top 1000 rows of the table in a Results tab that's displayed below the generated query. This works similarly to entering and executing a query as shown in figure 2-11, but it's faster since the query is automatically generated and executed.
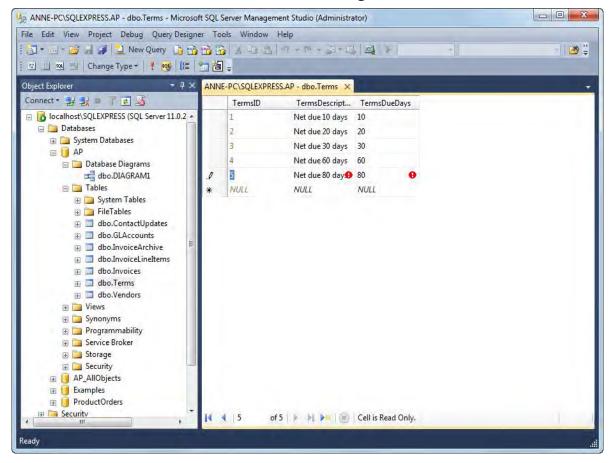
## How to modify the data of a table

For tables that have more than 200 rows, you'll need to use SQL statements to modify the data for the table. However, for smaller tables such as the Terms table, the Management Studio provides an easy way to modify the data for the table. To do that, you can right-click on the table and select the Edit Top 200 Rows command. This displays the top 200 rows of the table in an editable grid. In figure 2-10, for example, the Terms table is shown after the Edit Top 200 Rows command has been executed on it. Since this table has fewer than 200 rows, this allows you to edit the entire table.

Once you execute the Edit Top 200 Rows command on a table, you can insert, update, or delete the data for the table. For example, you can insert a new row by entering it in the row at the bottom of the grid that contains NULL values. You can update existing data by clicking on the data you want to update and editing the data. And you can delete an existing row by right-clicking on the row selector to the left of the row and selecting the Delete command.

When you update the data for an existing row, the changes aren't committed to the database until you move the cursor to a different row. In this figure, for example, I have changed the number of days for the fifth row from 90 to 80. However, the changes haven't been committed to the database yet. That's why a red warning icon is displayed after the data for the second and third columns in this row. As a result, you can press the Esc key to roll back these changes. Or, you can move the cursor to another row to commit the changes to the database.

## The data in the Terms table with a row being modified



## Description

- To view the data for a table, expand the Tables node, right-click on the table, and select the Select Top 1000 Rows command. This automatically generates and executes a query that displays the top 1000 rows of the table.

- To modify the data for a table, expand the Tables node, right-click on the table, and select the Edit Top 200 Rows command. This displays the top 200 rows of the table in an editable grid. Then, you can use the grid to insert, update, or delete data from the table.

Figure 2-10    How to view or modify the data of a table

# How to work with queries

Now that you know how to use the Management Studio to attach a database and view the definition for that database, you're ready to learn how to use this tool to enter and execute queries. You can use this tool to test the queries that are presented throughout this book. As you will see, the Management Studio is a powerful tool that makes it easy to work with queries.

## How to enter and execute a query

To enter and edit queries, the Management Studio provides a Query Editor window like the one in figure 2-11. The Query Editor is specifically designed for writing Transact-SQL statements, but it works like most text editors. To begin, you can open a new Query Editor window by clicking on the New Query button in the toolbar. Or, you can open an existing query in a Query Editor window by clicking on the Open button in the toolbar as described in figure 2-13. Once the Query Editor is open, you can use standard techniques to enter or edit the statement in this window.
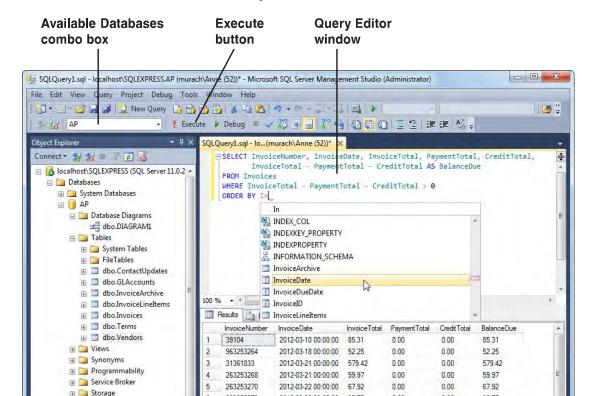
As you enter statements, you'll notice that the Query Editor automatically applies colors to various elements. For example, keywords are displayed in blue by default, and literal values are displayed in red. This makes your statements easier to read and understand and can help you identify coding errors.

In addition, you'll notice that the Query Editor uses the *IntelliSense feature* to automatically display *completion lists* that you can use to enter parts of the SQL statement. In this figure, for example, one of these lists is being used to enter the InvoiceDate column. As you gain experience with the Management Studio, you'll find that IntelliSense can help you enter most types of SQL statements, even complex ones.

When using IntelliSense, you'll want to be sure that you identify the database the query uses before you start entering the query. That way, IntelliSense can include the names of the tables the database contains in the completion lists. To identify the database, you can select it from the Available Databases combo box in the toolbar. In addition, it's often helpful to enter the table name before you enter the columns. That way, IntelliSense can include the column names for the table in the completion lists.

By default, the IntelliSense feature is on. Since this feature can save you a lot of typing and reduce errors in your code, that's usually what you want. However, it's possible to turn some or all parts of this feature off. In addition, the IntelliSense feature isn't able to work correctly if you aren't connected to the correct SQL Server database engine or if your SQL statement contains some types of errors. As a result, if IntelliSense isn't working properly on your system, you should make sure that it's turned on, that you're connected to the database, and that your statement doesn't contain errors.

To execute a query, you can press F5 or click the Execute button in the toolbar. If the statement returns data, that data is displayed in the Results tab at

## A SELECT statement with a completion list

Available Databases
combo box

Execute
button

Query Editor
window



## Description

- To open a new Query Editor window, click on the New Query button in the toolbar. To open a saved query in a Query Editor window, click on the Open button in the toolbar as described in figure 2-13.

- To select the database that you want to work with, use the Available Databases combo box in the toolbar.

- To enter a SQL statement, type it into the Query Editor window.

- As you enter a SQL statement, the *IntelliSense feature* automatically displays *completion lists* that help you complete the statement. To select an item from a list, use the Up or Down arrow key to select the item and press the Tab key. To hide a list, press the Esc key. To manually display a list, press Alt+Right-arrow or Ctrl+J.

- To execute a SQL statement, press the F5 key or click the Execute button in the toolbar. If the statement retrieves data, the data is displayed in the Results tab that's displayed at the bottom of the Query Editor. Otherwise, a message is displayed in the Messages tab that's displayed at the bottom of the Query Editor.

Figure 2-11    How to enter and execute a query

the bottom of the Query Editor. In this figure, for example, the result set that's returned by the execution of a SELECT statement is displayed in the Results tab. If you execute an action query, the Messages tab is displayed instead of the Results tab. This tab will contain an indication of the number of rows that were affected by the query. The Messages tab is also used to provide error information, as you'll see in figure 2-12.

# How to handle syntax errors
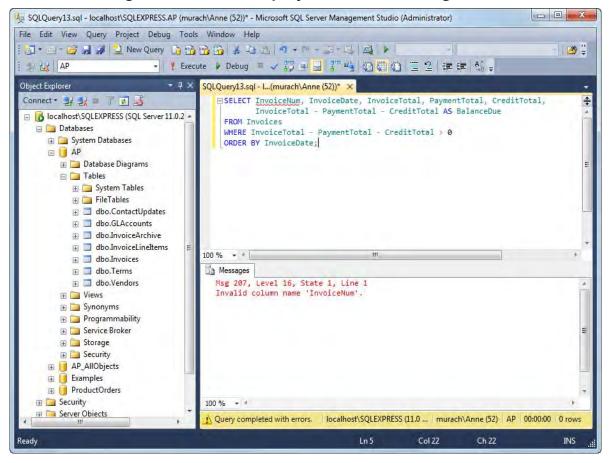
When you are entering a SQL statement, the IntelliSense feature will display wavy red underlining beneath any parts of the SQL statement that contain errors. In figure 2-12, for example, wavy red underlining is displayed beneath the first column in the SELECT statement, the InvoiceNum column. The reason for this error is that there isn't a column with this name in the Invoices table of the AP database, which is the selected database. As a result, you can correct this error by entering a valid column name, such as InvoiceNumber.

If an error occurs during the execution of a SQL statement, an error message is displayed in the Messages tab of the Query Editor. In this figure, for example, the error message indicates that InvoiceNum column is invalid. This, of course, is the same error that was detected by the IntelliSense feature.

One common error when working with SQL statements is to forget to select the correct database from the Available Databases combo box. In this figure, the AP database is selected, which is the correct database for the statement that's entered. However, if the ProductOrders database was selected, this statement would contain many errors since the Invoices table and its columns don't exist in that database. To correct this mistake, you can simply select the appropriate database for the statement.

This figure also lists some other common causes of errors. As you can see, these errors are caused by incorrect syntax. When an error is caused by invalid syntax, you can usually identify and correct the problem without much trouble. In some cases, though, you won't be able to figure out the cause of an error by the information that's provided by IntelliSense or the Messages tab. Then, you can get additional information about the error by looking in Books Online. Or, you can search the Internet to see if someone else has encountered a similar error and posted the solution for it.

## How the Management Studio displays an error message



## Common causes of errors

- Forgetting to select the correct database from the Available Databases combo box
- Misspelling the name of a table or column
- Misspelling a keyword
- Omitting the closing quotation mark for a character string

## Description

- Before you execute a statement, IntelliSense may display wavy red underlining beneath the parts of a SQL statement that contain errors.
- If an error occurs during the execution of a SQL statement, the Management Studio displays an error message in the Messages tab of the Query Editor.
- Most errors are caused by incorrect syntax and can be detected and corrected without any additional assistance. If not, you can get more information about an error by looking it up in Books Online.

Figure 2-12 How to handle syntax errors

## How to open and save queries
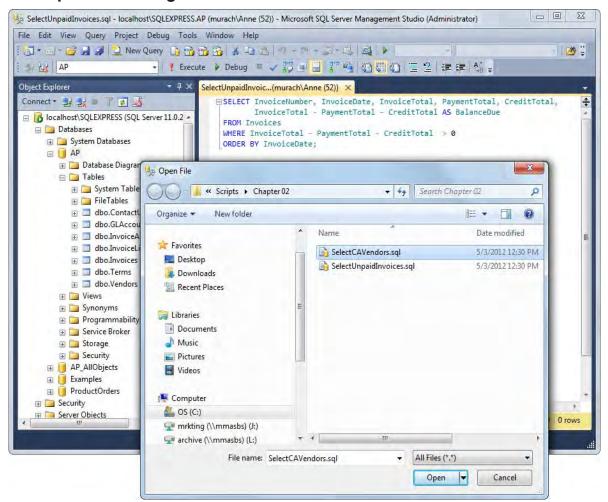
After you get a query working the way you want it to work, you may want to save it. Then, you can open it and run it again later or use it as the basis for a new query. To do that, you use the techniques in figure 2-13.

If you've used other Windows programs, you shouldn't have any trouble opening and saving query files. To save a new query, for example, or to save a modified query in the original file, you use the standard Save command. To save a modified query in a new file, you use the standard Save As command. And to open a query, you use the standard Open command. Note that when you save a query, it's saved with a file extension of sql. This is illustrated in the Open File dialog box in this figure.

As you work with queries, you may find it helpful to open two or more queries at the same time. To do that, you can open additional Query Editor windows by starting a new query or by opening an existing query. After you open two or more windows, you can switch between the queries by clicking on the appropriate tab. Then, if necessary, you can cut, copy, and paste code from one query to another.

If you open many queries and not all tabs are visible, you can use the Active Files list to switch between queries. To display this list, click on the drop-down arrow that's displayed to the right of the Query Editor tabs. Then, select the query you want from the list of active files.

## The Open File dialog box



## Description

- To save a query, click the Save button in the toolbar or press Ctrl+S. Then, if necessary, use the Save File As dialog box to specify a file name for the query.

- To open a query, click the Open button in the toolbar or press Ctrl+O. Then, use the Open File dialog box shown above to locate and open the query.

- To save all open queries, click the Save All button in the toolbar. Then, if necessary, use the Save File As dialog box to specify a file name for any queries that haven't already been named.

- To switch between open queries, click on the tab for the query you want to display. If you can't see the tab, click on the drop-down arrow that's displayed to the right of the Query Editor tabs, and select the query from the list of active files.

- To cut, copy, and paste code from one query to another, use the standard Windows techniques.

Figure 2-13    How to open and save queries

## An introduction to the Query Designer
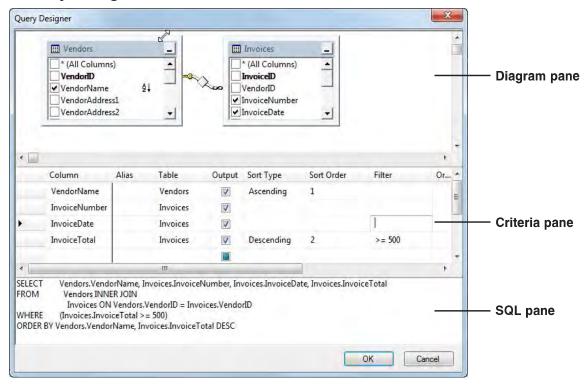
Figure 2-14 presents the Query Designer, a tool that can help you create queries using a graphical interface. In the Diagram pane, you select the tables and columns that you want to use in the query. Then, the columns you select are listed in the Criteria pane, and you can use this pane to set the criteria and sort sequence for the query. As you work in the Diagram and Criteria panes, the Query Designer generates a SQL statement and displays it in the SQL pane. When you have the statement the way you want it, you can click the OK button to insert the query into the Query Editor. From the Query Editor, you can edit the text for the query and run it just as you would any other query.

When you first start working with a database, the Query Designer can help you become familiar with the tables and columns it contains. In addition, it can help you build simple queries quickly and easily. If you analyze the SQL statements that it generates, it can also help you learn SQL.

Keep in mind, though, that the best way to learn SQL is to code it yourself. That's why this book emphasizes the use of the Query Editor. Plus, it can be difficult, and sometimes impossible, to create certain types of complex queries using the Query Designer. Because of that, you're usually better off using the Query Editor to enter complex queries yourself.

Although this figure shows how to use the Query Designer to create a SELECT statement, you should know that you can also use it to create INSERT, UPDATE, and DELETE statements. To start one of these queries, you can right-click anywhere in the Query Designer window, select the Change To submenu, and select the type of query that you want to create.

## The Query Designer window



## The three panes in the Query Designer window

| Pane | Description |
|------|-------------|
| Diagram pane | Displays the tables used by the query and lets you select the columns you want to include in the query. |
| Criteria pane | Displays the columns selected in the Diagram pane and lets you specify the sort order and the criteria you want to use to select the rows for the result set. You can also use this pane to select or deselect the columns that are included in the output and to create calculated values. |
| SQL pane | Displays the SQL statement built by the Query Designer based on the information in the Diagram and Criteria panes. |

## Description

- You can use the Query Designer to build simple queries quickly and easily. However, you may not be able to create more complex queries this way.

- To display the Query Designer, right-click on a blank Query Editor window and select the Design Query in Editor command. Then, you can use the Query Designer window to create the query. When you click the OK button, the query will be inserted into the Query Editor where you can edit and run it just as you would any other query.

- To modify a query with the Query Designer, select the query, right-click on the selection, and select the Design Query in Editor command.

Figure 2-14    An introduction to the Query Designer

# How to use Books Online

Figure 2-15 shows how to use another useful client tool: Books Online. You can use this tool to quickly look up a wide variety of information on SQL and SQL Server. For example, you can use it to look up information about SQL statements and functions or to get more information about an error message that's returned by SQL Server.

## How to display Books Online

To display Books Online, you can select the View Help command from the Help menu in the Management Studio. You can also sometimes start Books Online from the Management Studio by pressing F1 or by clicking on a Help button within a dialog box. When you use any of these techniques, Books Online is displayed in your default web browser.
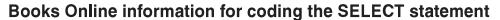
## How to look up information
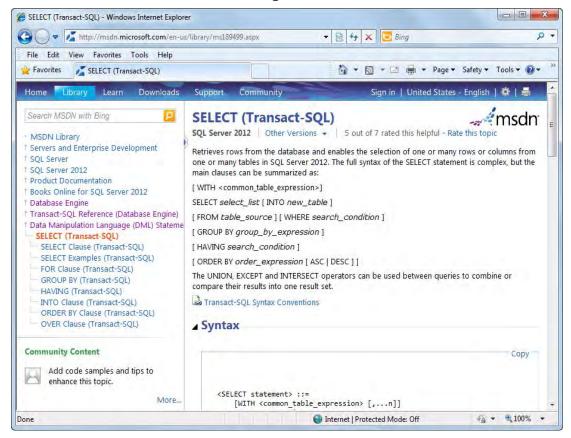
Once you display Books Online, you can use the full-text search feature or the table of contents that are available from the left pane of the Help Viewer to locate and display the information you need. To display the topic on the SELECT statement shown in this figure, for example, I clicked several links in the table of contents until I found the topic I wanted. I could also have located this topic by entering the statement name in the Search text box, pressing the Enter key to display a list of topics on that statement in the right pane, and then clicking the appropriate topic.

Because the help information is displayed in your web browser, you can work with it just as you would any other web page. To jump to a related topic, for example, you can click on a hyperlink in the current topic. To move forward and backward through previously displayed topics, you can use the Forward and Back buttons. As a result, with a little practice, you shouldn't have much trouble using the Help Viewer.

In addition to the Help Viewer, you can use the Help Library Manager to manage your help content. For example, you can use it to install Books Online locally so you can access it without using the Internet. If you do that, you should realize that Books Online is displayed in the Local Help Viewer instead of in your web browser. Because this viewer is similar to the viewer that's available online, though, you shouldn't have any trouble using it.

One advantage of using local help is that it's always available even if you don't have access to the Internet. On the other hand, local help may not always be up-to-date like online help is. Because of that, you may want to occasionally update your local help. The Help Library Manager lets you do that too. It also lets you choose whether you want to use online help or local help.

## Books Online information for coding the SELECT statement



### Description

- SQL Server 2012 uses the Microsoft Help Viewer to display Books Online in your default web browser. To display Books Online, select Help➔View Help from the Management Studio.

- The left pane of the Help Viewer displays the controls for performing a full-text search along with the table of contents. The right pane displays the last help topic that you accessed.

- To use full-text search, enter the text into the Search text box and then click on the magnifying glass or press the Enter key. A list of topics that contain the search text will be displayed in the right pane, and you can click on the topic you want to display.

- To use the table of contents, click on a topic to display it in the right pane. Ancestors of the topic, the topic's peers, and peers of the topic's parent topic are displayed in the left pane.

- You can also install help content locally. Then, the content is displayed by the Local Help Viewer, which works like the Books Online application in previous versions of SQL Server.

- You can use the Help Library Manager to choose local or online help, to check for updates, and to install or remove local content. To display this manager, choose Manage Help Settings from the Help menu.

Figure 2-15    How to use Books Online

# Perspective

In this chapter, you learned how to use the tools that you need to begin learning about SQL. To begin, you learned how to start and stop the database server. Then, you learned how to use the Management Studio to connect to the database server, to attach a database, to view the definition of a database, and to execute SQL statements against that database. Finally, you learned how to use Books Online to get information about SQL Server. With that as background, you're ready to go on to the next chapter where you'll start learning the details of coding your own SQL statements.

Before you go on to the next chapter, though, I recommend that you install SQL Server Express and the Management Studio on your system as described in appendix A. In addition, I recommend that you download and install the databases and sample code that come with this book as described in appendix B. That way, you can begin experimenting with these tools. In particular, you can enter and execute queries like the ones described in this chapter. Or, you can open any of the queries shown in this chapter, view their code, and execute them. Finally, if this hands-on experimentation raises any questions that aren't answered by this chapter, you can use Books Online to try to answer these questions.

For now, though, focus on the mechanics of using the Management Studio to enter and execute queries, and don't worry if you don't understand the details of how the SQL statements are coded. You'll learn the details for coding SQL statements in the chapters that follow. In the next chapter, for example, you'll learn the details for coding a SELECT statement that retrieves data from a single table.

# Terms

| | |
|---|---|
| database server | Books Online |
| client tools | schema |
| database engine | attach a database |
| SQL Server 2012 Express Edition | detach a database |
| | restore a database |
| SQL Server Express | database diagram |
| SQL Server Management Studio | Query Editor |
| SQL Server Configuration Manager | IntelliSense feature |
| | completion list |
| SQL Server Surface Area Configuration | Query Designer |

## Before you do the exercises for this chapter

If you're working on your own PC, you'll need to set up your system as described in appendixes A and B before you can do these exercises. In particular, you'll need to install SQL Server Express and the Management Studio Express as described in appendix A. In addition, you'll need to download and install the files that come with this book as described in appendix B.

## Exercises

1.  Use the Management Studio to view all of the databases that are available from the server. If the AP database isn't available, follow the procedure in appendix B to create it. Then, view the tables that are available from the AP database. Finally, view the columns that are available from the Invoices table. Note the primary and foreign keys of this table and the definition for each column.

2.  Right-click on the Vendors table and select the Design command to display the Vendors table in a Table Designer tab. Review the properties for each column in this table. In particular, note that the VendorID column is defined as an identity column.

3.  Use the Management Studio to view the diagram for the AP database. Then, organize the tables and connecting lines in the diagram so they are easy to read. (Hint: You can use the Autosize Selected Tables button and the Arrange Tables button in the toolbar to help you do this.) Finally, review the information that's contained in each table, note the primary key of each table, and try to identify the relationships between the tables.

4.  Open a new Query Editor window and then enter this SELECT statement:

```
SELECT VendorName, VendorState
FROM Vendors
WHERE VendorState = 'CA';
```

    Press F5 to execute the query and display the results. If an error is displayed, correct the problem before you continue. (Hint: If you get an error message that indicates that 'Vendors' isn't a valid object, the AP database isn't the current database. To fix this error, select the AP database from the Available Databases combo box.) Then, save the query with a name of VendorsInCA and close it.

5.  Open the query named VendorsInCA that you saved in exercise 4. Then, click the Execute Query toolbar button to execute it.

6.  Display Books Online and look up information about the Query Editor. The easiest way to do this is to use the full-text search to look up "query editor" and then select the appropriate topic. Then, click on some links in the topic, navigate to some related topics, and use the Back button to return to the original topic. Continue experimenting until you feel comfortable.

# Section 2

## The essential SQL skills

This section teaches you the essential SQL coding skills for working with the data in a SQL Server database. The first four chapters in this section show you how to retrieve data from a database using the SELECT statement. In chapter 3, you'll learn how to code the basic clauses of the SELECT statement to retrieve data from a single table. In chapter 4, you'll learn how to get data from two or more tables. In chapter 5, you'll learn how to summarize the data that you retrieve. And in chapter 6, you'll learn how to code subqueries, which are SELECT statements coded within other statements.

Next, chapter 7 shows you how to use the INSERT, UPDATE, and DELETE statements to add, update, and delete rows in a table. Chapter 8 shows you how to work with the various types of data that SQL Server supports. And finally, chapter 9 shows you how to use some of the SQL Server functions for working with data in your SQL statements. When you complete these chapters, you'll have the skills you need to code most any SELECT, INSERT, UPDATE, or DELETE statement.

# 3

# How to retrieve data from a single table

In this chapter, you'll learn how to code SELECT statements that retrieve data from a single table. You should realize, though, that the skills covered here are the essential ones that apply to any SELECT statement you code…no matter how many tables it operates on, no matter how complex the retrieval. So you'll want to be sure you have a good understanding of the material in this chapter before you go on to the chapters that follow.

# An introduction to the SELECT statement

To help you learn to code SELECT statements, this chapter starts by presenting its basic syntax. Next, it presents several examples that will give you an idea of what you can do with this statement. Then, the rest of this chapter will teach you the details of coding this statement.

## The basic syntax of the SELECT statement

Figure 3-1 presents the basic syntax of the SELECT statement. The syntax summary at the top of this figure uses conventions that are similar to those used in other programming manuals. Capitalized words are *keywords* that you have to type exactly as shown. In contrast, you have to provide replacements for the lowercase words. For example, you can enter a list of columns in place of *select_list*, and you can enter a table name in place of *table_source*.

Beyond that, you can choose between the items in a syntax summary that are separated by pipes (I) and enclosed in braces ({ }) or brackets ([]). And you can omit items enclosed in brackets. If you have a choice between two or more optional items, the default item is underlined. And if an element can be coded multiple times in a statement, it's followed by an ellipsis (…). You'll see examples of pipes, braces, default values, and ellipses in syntax summaries later in this chapter. For now, if you compare the syntax in this figure with the coding examples in the next figure, you should easily see how the two are related.

The syntax summary in this figure has been simplified so that you can focus on the four main clauses of the SELECT statement: SELECT, FROM, WHERE, and ORDER BY. Most of the SELECT statements you code will contain all four of these clauses. However, only the SELECT and FROM clauses are required.

The SELECT clause is always the first clause in a SELECT statement. It identifies the columns that will be included in the result set. These columns are retrieved from the base tables named in the FROM clause. Since this chapter focuses on retrieving data from a single table, the FROM clauses in all of the statements shown in this chapter name a single base table. In the next chapter, though, you'll learn how to retrieve data from two or more tables.

The WHERE and ORDER BY clauses are optional. The ORDER BY clause determines how the rows in the result set are sorted, and the WHERE clause determines which rows in the base table are included in the result set. The WHERE clause specifies a search condition that's used to *filter* the rows in the base table. This search condition can consist of one or more *Boolean expressions*, or *predicates*. A Boolean expression is an expression that evaluates to True or False. When the search condition evaluates to True, the row is included in the result set.

In this book, I won't use the terms "Boolean expression" or "predicate" because I don't think they clearly describe the content of the WHERE clause. Instead, I'll just use the term "search condition" to refer to an expression that evaluates to True or False.

## The simplified syntax of the SELECT statement

```
SELECT select_list
FROM table_source
[WHERE search_condition]
[ORDER BY order_by_list]
```

## The four clauses of the SELECT statement

| Clause | Description |
|---|---|
| SELECT | Describes the columns that will be included in the result set. |
| FROM | Names the table from which the query will retrieve the data. |
| WHERE | Specifies the conditions that must be met for a row to be included in the result set. This clause is optional. |
| ORDER BY | Specifies how the rows in the result set will be sorted. This clause is optional. |

## Description

- You use the basic SELECT statement shown above to retrieve the columns specified in the SELECT clause from the base table specified in the FROM clause and store them in a result set.

- The WHERE clause is used to *filter* the rows in the base table so that only those rows that match the search condition are included in the result set. If you omit the WHERE clause, all of the rows in the base table are included.

- The search condition of a WHERE clause consists of one or more *Boolean expressions*, or *predicates*, that result in a value of True, False, or Unknown. If the combination of all the expressions is True, the row being tested is included in the result set. Otherwise, it's not.

- If you include the ORDER BY clause, the rows in the result set are sorted in the specified sequence. Otherwise, the rows are returned in the same order as they appear in the base table. In most cases, that means that they're returned in primary key sequence.

## Note

- The syntax shown above does not include all of the clauses of the SELECT statement. You'll learn about the other clauses later in this book.

Figure 3-1    The basic syntax of the SELECT statement

# SELECT statement examples

Figure 3-2 presents five SELECT statement examples. All of these statements retrieve data from the Invoices table. If you aren't already familiar with this table, you should use the Management Studio as described in the last chapter to review its definition.

The first statement in this figure retrieves all of the rows and columns from the Invoices table. Here, an asterisk (*) is used as a shorthand to indicate that all of the columns should be retrieved, and the WHERE clause is omitted so that there are no conditions on the rows that are retrieved. Notice that this statement doesn't include an ORDER BY clause, so the rows are in primary key sequence. You can see the results following this statement as they're displayed by the Management Studio. Notice that both horizontal and vertical scroll bars are displayed, indicating that the result set contains more rows and columns than can be displayed on the screen at one time.

The second statement retrieves selected columns from the Invoices table. As you can see, the columns to be retrieved are listed in the SELECT clause. Like the first statement, this statement doesn't include a WHERE clause, so all the rows are retrieved. Then, the ORDER BY clause causes the rows to be sorted by the InvoiceTotal column in ascending sequence.

The third statement also lists the columns to be retrieved. In this case, though, the last column is calculated from two columns in the base table, CreditTotal and PaymentTotal, and the resulting column is given the name TotalCredits. In addition, the WHERE clause specifies that only the invoice whose InvoiceID column has a value of 17 should be retrieved.

The fourth SELECT statement includes a WHERE clause whose condition specifies a range of values. In this case, only invoices with invoice dates between 01/01/2012 and 05/31/2012 are retrieved. In addition, the rows in the result set are sorted by invoice date.

The last statement in this figure shows another variation of the WHERE clause. In this case, only those rows with invoice totals greater than 50,000 are retrieved. Since none of the rows in the Invoices table satisfy this condition, the result set is empty.

## A SELECT statement that retrieves all the data from the Invoices table

```
SELECT *
FROM Invoices;
```

| | InvoiceID | VendorID | InvoiceNumber | InvoiceDate | InvoiceTotal | PaymentTotal | CreditTotal |
|---|---|---|---|---|---|---|---|
| 1 | 1 | 122 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 | 3813.33 | 0.00 |
| 2 | 2 | 123 | 263253241 | 2011-12-10 00:00:00 | 40.20 | 40.20 | 0.00 |
| 3 | 3 | 123 | 963253234 | 2011-12-13 00:00:00 | 138.75 | 138.75 | 0.00 |
| 4 | 4 | 123 | 2-000-2993 | 2011-12-16 00:00:00 | 144.70 | 144.70 | 0.00 |

**(114 rows)**

## A SELECT statement that retrieves three columns from each row, sorted in ascending sequence by invoice total

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices
ORDER BY InvoiceTotal;
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|
| 1 | 25022117 | 2012-01-01 00:00:00 | 6.00 |
| 2 | 24863706 | 2012-01-10 00:00:00 | 6.00 |
| 3 | 24780512 | 2012-02-22 00:00:00 | 6.00 |
| 4 | 21-4923721 | 2012-01-13 00:00:00 | 9.95 |

**(114 rows)**
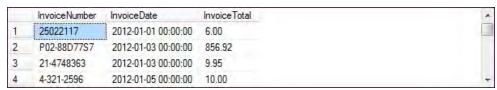
## A SELECT statement that retrieves two columns and a calculated value for a specific invoice

```
SELECT InvoiceID, InvoiceTotal, CreditTotal + PaymentTotal AS TotalCredits
FROM Invoices
WHERE InvoiceID = 17;
```

| | InvoiceID | InvoiceTotal | TotalCredits |
|---|---|---|---|
| 1 | 17 | 10.00 | 10.00 |

## A SELECT statement that retrieves all invoices between given dates

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices
WHERE InvoiceDate BETWEEN '2012-01-01' AND '2012-05-31'
ORDER BY InvoiceDate;
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|
| 1 | 25022117 | 2012-01-01 00:00:00 | 6.00 |
| 2 | P02-88D77S7 | 2012-01-03 00:00:00 | 856.92 |
| 3 | 21-4748363 | 2012-01-03 00:00:00 | 9.95 |
| 4 | 4-321-2596 | 2012-01-05 00:00:00 | 10.00 |

**(101 rows)**

## A SELECT statement that returns an empty result set

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices
WHERE InvoiceTotal > 50000;
```

| InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|

Figure 3-2    SELECT statement examples

# How to code the SELECT clause

Figure 3-3 presents an expanded syntax for the SELECT clause. The keywords shown in the first line allow you to restrict the rows that are returned by a query. You'll learn how to code them in a few minutes. First, though, you'll learn various techniques for identifying which columns are to be included in a result set.

# How to code column specifications

Figure 3-3 summarizes the techniques you can use to code column specifications. You saw how to use some of these techniques in the previous figure. For example, you can code an asterisk in the SELECT clause to retrieve all of the columns in the base table, and you can code a list of column names separated by commas. Note that when you code an asterisk, the columns are returned in the order that they occur in the base table.

You can also code a column specification as an *expression*. For example, you can use an arithmetic expression to perform a calculation on two or more columns in the base table, and you can use a string expression to combine two or more string values. An expression can also include one or more functions. You'll learn more about each of these techniques in the topics that follow.

But first, you should know that when you code the SELECT clause, you should include only the columns you need. For example, you shouldn't code an asterisk to retrieve all the columns unless you need all the columns. That's because the amount of data that's retrieved can affect system performance. This is particularly important if you're developing SQL statements that will be used by application programs.

## The expanded syntax of the SELECT clause

```
SELECT [ALL|DISTINCT] [TOP n [PERCENT] [WITH TIES]]
    column_specification [[AS] result_column]
    [, column_specification [[AS] result_column]] ...
```

## Five ways to code column specifications

| Source | Option | Syntax |
|--------|--------|--------|
| Base table value | All columns | * |
| | Column name | column_name |
| Calculated value | Result of a calculation | Arithmetic expression (see figure 3-6) |
| | Result of a concatenation | String expression (see figure 3-5) |
| | Result of a function | Function (see figure 3-7) |

## Column specifications that use base table values

### The * is used to retrieve all columns

```
SELECT *
```

### Column names are used to retrieve specific columns

```
SELECT VendorName, VendorCity, VendorState
```

## Column specifications that use calculated values

### An arithmetic expression is used to calculate BalanceDue

```
SELECT InvoiceNumber,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
```

### A string expression is used to calculate FullName

```
SELECT VendorContactFName + ' ' + VendorContactLName AS FullName
```

### A function is used to calculate CurrentDate

```
SELECT InvoiceNumber, InvoiceDate,
    GETDATE() AS CurrentDate
```

## Description

- Use SELECT * only when you need to retrieve all of the columns from a table. Otherwise, list the names of the columns you need.

- An *expression* is a combination of column names and operators that evaluate to a single value. In the SELECT clause, you can code arithmetic expressions, string expressions, and expressions that include one or more functions.

- After each column specification, you can code an AS clause to specify the name for the column in the result set. See figure 3-4 for details.

## Note

- The ALL and DISTINCT keywords and the TOP clause let you control the number of rows that are returned by a query. See figures 3-8 and 3-9 for details.

Figure 3-3    How to code column specifications

# How to name the columns in a result set

By default, a column in a result set is given the same name as the column in the base table. However, you can specify a different name if you need to. You can also name a column that contains a calculated value. When you do that, the new column name is called a *column alias*. Figure 3-4 presents two techniques for creating column aliases.

The first technique is to code the column specification followed by the AS keyword and the column alias. This is the ANSI-standard coding technique, and it's illustrated by the first example in this figure. Here, a space is added between the two words in the name of the InvoiceNumber column, the InvoiceDate column is changed to just Date, and the InvoiceTotal column is changed to Total. Notice that because a space is included in the name of the first column, it's enclosed in brackets ([]). As you'll learn in chapter 11, any name that doesn't follow SQL Server's rules for naming objects must be enclosed in either brackets or double quotes. Column aliases can also be enclosed in single quotes.

The second example in this figure illustrates another technique for creating a column alias. Here, the column is assigned to an alias using an equal sign. This technique is only available with SQL Server, not with other types of databases, and is included for compatibility with earlier versions of SQL Server. So although you may see this technique used in older code, I don't recommend it for new statements you write.

The third example in this figure illustrates what happens when you don't assign an alias to a calculated column. Here, no name is assigned to the column, which usually isn't what you want. That's why you usually assign a name to any column that's calculated from other columns in the base table.

## Two SELECT statements that name the columns in the result set

### A SELECT statement that uses the AS keyword (the preferred technique)

```
SELECT InvoiceNumber AS [Invoice Number], InvoiceDate AS Date,
    InvoiceTotal AS Total
FROM Invoices;
```

### A SELECT statement that uses the equal operator (an older technique)

```
SELECT [Invoice Number] = InvoiceNumber, Date = InvoiceDate,
    Total = InvoiceTotal
FROM Invoices;
```

### The result set for both SELECT statements

| | Invoice Number | Date | Total |
|---|---|---|---|
| 1 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 |
| 2 | 263253241 | 2011-12-10 00:00:00 | 40.20 |
| 3 | 963253234 | 2011-12-13 00:00:00 | 138.75 |
| 4 | 2-000-2993 | 2011-12-16 00:00:00 | 144.70 |
| 5 | 963253251 | 2011-12-16 00:00:00 | 15.50 |

## A SELECT statement that doesn't provide a name for a calculated column

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal
FROM Invoices;
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal | (No column name) |
|---|---|---|---|---|
| 1 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 | 0.00 |
| 2 | 263253241 | 2011-12-10 00:00:00 | 40.20 | 0.00 |
| 3 | 963253234 | 2011-12-13 00:00:00 | 138.75 | 0.00 |
| 4 | 2-000-2993 | 2011-12-16 00:00:00 | 144.70 | 0.00 |
| 5 | 963253251 | 2011-12-16 00:00:00 | 15.50 | 0.00 |

## Description

- By default, a column in the result set is given the same name as the column in the base table. If that's not what you want, you can specify a *column alias* or *substitute name* for the column.

- One way to name a column is to use the AS phrase as shown in the first example above. Although the AS keyword is optional, I recommend you code it for readability.

- Another way to name a column is to code the name followed by an equal sign and the column specification as shown in the second example above. This syntax is unique to Transact-SQL.

- It's generally considered a good practice to specify an alias for a column that contains a calculated value. If you don't, no name is assigned to it as shown in the third example above.

- If an alias includes spaces or special characters, you must enclose it in double quotes or brackets ([]). That's true of all names you use in Transact-SQL. SQL Server also lets you enclose column aliases in single quotes for compatibility with earlier releases.

---

Figure 3-4    How to name the columns in a result set

# How to code string expressions

A *string expression* consists of a combination of one or more character columns and *literal values*. To combine, or *concatenate*, the columns and values, you use the *concatenation operator* (+). This is illustrated by the examples in figure 3-5.

The first example shows how to concatenate the VendorCity and VendorState columns in the Vendors table. Notice that because no alias is assigned to this column, it doesn't have a name in the result set. Also notice that the data in the VendorState column appears immediately after the data in the VendorCity column in the results. That's because of the way VendorCity is defined in the database. Because it's defined as a variable-length column (the varchar data type), only the actual data in the column is included in the result. In contrast, if the column had been defined with a fixed length, any spaces following the name would have been included in the result. You'll learn about data types and how they affect the data in your result set in chapter 8.

The second example shows how to format a string expression by adding spaces and punctuation. Here, the VendorCity column is concatenated with a *string literal*, or *string constant*, that contains a comma and a space. Then, the VendorState column is concatenated with that result, followed by a string literal that contains a single space and the VendorZipCode column.

Occasionally, you may need to include a single quotation mark or an apostrophe within a literal string. If you simply type a single quote, however, the system will misinterpret it as the end of the literal string. As a result, you must code two quotation marks in a row. This is illustrated by the third example in this figure.

## How to concatenate string data

```
SELECT VendorCity, VendorState, VendorCity + VendorState
FROM Vendors;
```

| | VendorCity | VendorState | (No column name) |
|---|---|---|---|
| 1 | Madison | WI | MadisonWI |
| 2 | Washington | DC | WashingtonDC |
| 3 | Washington | DC | WashingtonDC |

## How to format string data using literal values

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors;
```

| | VendorName | Address |
|---|---|---|
| 1 | US Postal Service | Madison, WI 53707 |
| 2 | National Information Data Ctr | Washington, DC 20090 |
| 3 | Register of Copyrights | Washington, DC 20559 |
| 4 | Jobtrak | Los Angeles, CA 90025 |

## How to include apostrophes in literal values

```
SELECT VendorName + '''s Address: ',
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode
FROM Vendors;
```

| | (No column name) | (No column name) |
|---|---|---|
| 1 | US Postal Service's Address: | Madison, WI 53707 |
| 2 | National Information Data Ctr's Address: | Washington, DC 20090 |
| 3 | Register of Copyrights's Address: | Washington, DC 20559 |
| 4 | Jobtrak's Address: | Los Angeles, CA 90025 |
| 5 | Newbrige Book Clubs's Address: | Washington, NJ 07882 |
| 6 | California Chamber Of Commerce's Ad... | Sacramento, CA 95827 |

## Description

- A *string expression* can consist of one or more character columns, one or more *literal values*, or a combination of character columns and literal values.

- The columns specified in a string expression must contain string data (that means they're defined with the char or varchar data type).

- The literal values in a string expression also contain string data, so they can be called *string literals* or *string constants*. To create a literal value, enclose one or more characters within single quotation marks (').

- You can use the *concatenation operator* (+) to combine columns and literals in a string expression.

- You can include a single quote within a literal value by coding two single quotation marks as shown in the third example above.

Figure 3-5    How to code string expressions

# How to code arithmetic expressions

Figure 3-6 shows how to code *arithmetic expressions*. To start, it summarizes the five *arithmetic operators* you can use in this type of expression. Then, it presents three examples that illustrate how you use these operators.

The SELECT statement in the first example includes an arithmetic expression that calculates the balance due for an invoice. This expression subtracts the PaymentTotal and CreditTotal columns from the InvoiceTotal column. The resulting column is given the name BalanceDue.

When SQL Server evaluates an arithmetic expression, it performs the operations from left to right based on the *order of precedence*. This order says that multiplication, division, and modulo operations are done first, followed by addition and subtraction. If that's not what you want, you can use parentheses to specify how you want an expression evaluated. Then, the expressions in the innermost sets of parentheses are evaluated first, followed by the expressions in outer sets of parentheses. Within each set of parentheses, the expression is evaluated from left to right in the order of precedence. Of course, you can also use parentheses to clarify an expression even if they're not needed for the expression to be evaluated properly.

To illustrate how parentheses and the order of precedence affect the evaluation of an expression, consider the second example in this figure. Here, the expressions in the second and third columns both use the same operators. When SQL Server evaluates the expression in the second column, it performs the multiplication operation before the addition operation because multiplication comes before addition in the order of precedence. When SQL Server evaluates the expression in the third column, however, it performs the addition operation first because it's enclosed in parentheses. As you can see in the result set shown here, these two expressions result in different values.

Although you're probably familiar with the addition, subtraction, multiplication, and division operators, you may not be familiar with the modulo operator. This operator returns the remainder of a division of two integers. This is illustrated in the third example in this figure. Here, the second column contains an expression that returns the quotient of a division operation. Note that the result of the division of two integers is always an integer. You'll learn more about that in chapter 8. The third column contains an expression that returns the remainder of the division operation. If you study this example for a minute, you should quickly see how this works.

## The arithmetic operators in order of precedence

| | |
|---|---|
| * | Multiplication |
| / | Division |
| % | Modulo (Remainder) |
| + | Addition |
| - | Subtraction |

## A SELECT statement that calculates the balance due

```
SELECT InvoiceTotal, PaymentTotal, CreditTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Invoices;
```

| | Invoice Total | Payment Total | Credit Total | Balance Due | |
|---|---|---|---|---|---|
| 1 | 3813.33 | 3813.33 | 0.00 | 0.00 | |
| 2 | 40.20 | 40.20 | 0.00 | 0.00 | |
| 3 | 138.75 | 138.75 | 0.00 | 0.00 | |

## A SELECT statement that uses parentheses to control the sequence of operations

```
SELECT InvoiceID,
    InvoiceID + 7 * 3 AS OrderOfPrecedence,
    (InvoiceID + 7) * 3 AS AddFirst
FROM Invoices
ORDER BY InvoiceID;
```

| | InvoiceID | OrderOfPrecedence | AddFirst | |
|---|---|---|---|---|
| 1 | 1 | 22 | 24 | |
| 2 | 2 | 23 | 27 | |
| 3 | 3 | 24 | 30 | |

## A SELECT statement that uses the modulo operator

```
SELECT InvoiceID,
    InvoiceID / 10 AS Quotient,
    InvoiceID % 10 AS Remainder
FROM Invoices
ORDER BY InvoiceID;
```

| | InvoiceID | Quotient | Remainder | |
|---|---|---|---|---|
| 9 | 9 | 0 | 9 | |
| 10 | 10 | 1 | 0 | |
| 11 | 11 | 1 | 1 | |

## Description

- Unless parentheses are used, the operations in an expression take place from left to right in the *order of precedence*. For arithmetic expressions, multiplication, division, and modulo operations are done first, followed by addition and subtraction.

- Whenever necessary, you can use parentheses to clarify or override the sequence of operations. Then, the operations in the innermost sets of parentheses are done first, followed by the operations in the next sets, and so on.

Figure 3-6    How to code arithmetic expressions

# How to use functions

Figure 3-7 introduces you to *functions* and illustrates how you use them in column specifications. A function performs an operation and returns a value. For now, don't worry about the details of how the functions shown here work. You'll learn more about all of these functions in chapter 9. Instead, just focus on how they're used in column specifications.

To code a function, you begin by entering its name followed by a set of parentheses. If the function requires one or more *parameters*, you enter them within the parentheses and separate them with commas. When you enter a parameter, you need to be sure it has the correct data type. You'll learn more about that in chapter 9.

The first example in this figure shows how to use the LEFT function to extract the first character of the VendorContactFName and VendorContactLName columns. The first parameter of this function specifies the string value, and the second parameter specifies the number of characters to return. The results of the two functions are then concatenated to form initials as shown in the result set for this statement.

The second example shows how to use the CONVERT function to change the data type of a value. This function requires two parameters. The first parameter specifies the new data type, and the second parameter specifies the value to convert. In addition, this function accepts an optional third parameter that specifies the format of the returned value. The first CONVERT function shown here, for example, converts the PaymentDate column to a character value with the format mm/dd/yy. And the second CONVERT function converts the PaymentTotal column to a variable-length character value that's formatted with commas. These functions are included in a string expression that concatenates their return values with the InvoiceNumber column and three literal values.

The third example uses two functions that work with dates. The first one, GETDATE, returns the current date. Notice that although this function doesn't accept any parameters, the parentheses are still included. The second function, DATEDIFF, gets the difference between two date values. This function requires three parameters. The first one specifies the units in which the result will be expressed. In this example, the function will return the number of days between the two dates. The second and third parameters specify the start date and the end date. Here, the second parameter is the invoice date and the third parameter is the current date, which is obtained using the GETDATE function.

## A SELECT statement that uses the LEFT function

```
SELECT VendorContactFName, VendorContactLName,
    LEFT(VendorContactFName, 1) +
    LEFT(VendorContactLName, 1) AS Initials
FROM Vendors;
```

| | VendorContactFName | VendorContactLName | Initials |
|---|---|---|---|
| 1 | Francesco | Alberto | FA |
| 2 | Ania | Irvin | AI |
| 3 | Lukas | Liana | LL |

## A SELECT statement that uses the CONVERT function

```
SELECT 'Invoice: #' + InvoiceNumber
    + ', dated ' + CONVERT(char(8), PaymentDate, 1)
    + ' for $' + CONVERT(varchar(9), PaymentTotal, 1)
FROM Invoices;
```

| | (No column name) |
|---|---|
| 1 | Invoice: #989319-457, dated 01/07/12 for $3,813.33 |
| 2 | Invoice: #263253241, dated 01/14/12 for $40.20 |

## A SELECT statement that computes the age of an invoice

```
SELECT InvoiceDate,
    GETDATE() AS 'Today''s Date',
    DATEDIFF(day, InvoiceDate, GETDATE()) AS Age
FROM Invoices;
```

| | InvoiceDate | Today's Date | Age |
|---|---|---|---|
| 1 | 2012-04-02 00:00:00 | 2012-05-07 12:13:38.637 | 35 |
| 2 | 2012-04-01 00:00:00 | 2012-05-07 12:13:38.637 | 36 |
| 3 | 2012-03-31 00:00:00 | 2012-05-07 12:13:38.637 | 37 |

## Description

- An expression can include any of the *functions* that are supported by SQL Server. A function performs an operation and returns a value.

- A function consists of the function name, followed by a set of parentheses that contains any *parameters*, or *arguments*, required by the function. If a function requires two or more arguments, you separate them with commas.

- For more information on using functions, see chapter 9.

Figure 3-7     How to use functions

# How to use the DISTINCT keyword to eliminate duplicate rows

By default, all of the rows in the base table that satisfy the search condition you specify in the WHERE clause are included in the result set. In some cases, though, that means that the result set will contain duplicate rows, or rows whose column values are identical. If that's not what you want, you can include the DISTINCT keyword in the SELECT clause to eliminate the duplicate rows.

Figure 3-8 illustrates how this works. Here, both SELECT statements retrieve the VendorCity and VendorState columns from the Vendors table. The first statement, however, doesn't include the DISTINCT keyword. Because of that, the same city and state can appear in the result set multiple times. In the results shown in this figure, for example, you can see that Anaheim CA occurs twice and Boston MA occurs three times. In contrast, the second statement includes the DISTINCT keyword, so each city/state combination is included only once.

Notice that, in addition to including the DISTINCT keyword, the second statement doesn't include the ORDER BY clause. That's because when you include the DISTINCT keyword, the result set is automatically sorted by its first column. In this case, that's the same column that was used to sort the result set returned by the first statement.

## A SELECT statement that returns all rows
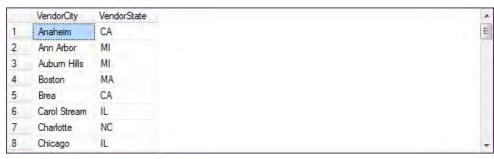
```
SELECT VendorCity, VendorState
FROM Vendors
ORDER BY VendorCity;
```

| | VendorCity | VendorState |
|---|---|---|
| 1 | Anaheim | CA |
| 2 | Anaheim | CA |
| 3 | Ann Arbor | MI |
| 4 | Auburn Hills | MI |
| 5 | Boston | MA |
| 6 | Boston | MA |
| 7 | Boston | MA |
| 8 | Brea | CA |

**(122 rows)**

## A SELECT statement that eliminates duplicate rows

```
SELECT DISTINCT VendorCity, VendorState
FROM Vendors;
```

| | VendorCity | VendorState |
|---|---|---|
| 1 | Anaheim | CA |
| 2 | Ann Arbor | MI |
| 3 | Auburn Hills | MI |
| 4 | Boston | MA |
| 5 | Brea | CA |
| 6 | Carol Stream | IL |
| 7 | Charlotte | NC |
| 8 | Chicago | IL |

**(53 rows)**

## Description

- The DISTINCT keyword prevents duplicate (identical) rows from being included in the result set. It also causes the result set to be sorted by its first column.

- The ALL keyword causes all rows matching the search condition to be included in the result set, regardless of whether rows are duplicated. Since this is the default, it's a common practice to omit the ALL keyword.

- To use the DISTINCT or ALL keyword, code it immediately after the SELECT keyword as shown above.

Figure 3-8 How to use the DISTINCT keyword to eliminate duplicate rows

# How to use the TOP clause
# to return a subset of selected rows

In addition to eliminating duplicate rows, you can limit the number of rows that are retrieved by a SELECT statement. To do that, you use the TOP clause. Figure 3-9 shows you how.

You can use the TOP clause in one of two ways. First, you can use it to retrieve a specific number of rows from the beginning, or top, of the result set. To do that, you code the TOP keyword followed by an integer value that specifies the number of rows to be returned. This is illustrated in the first example in this figure. Here, only five rows are returned. Notice that this statement also includes an ORDER BY clause that sorts the rows by the InvoiceTotal column in descending sequence. That way, the invoices with the highest invoice totals will be returned.

You can also use the TOP clause to retrieve a specific percent of the rows in the result set. To do that, you include the PERCENT keyword as shown in the second example. In this case, the result set includes six rows, which is five percent of the total of 114 rows.

By default, the TOP clause causes the exact number or percent of rows you specify to be retrieved. However, if additional rows match the values in the last row, you can include those additional rows by including WITH TIES in the TOP clause. This is illustrated in the third example in this figure. Here, the SELECT statement says to retrieve the top five rows from a result set that includes the VendorID and InvoiceDate columns sorted by the InvoiceDate column. As you can see, however, the result set includes six rows instead of five. That's because WITH TIES is included in the TOP clause, and the columns in the sixth row have the same values as the columns in the fifth row.

## A SELECT statement with a TOP clause

```
SELECT TOP 5 VendorID, InvoiceTotal
FROM Invoices
ORDER BY InvoiceTotal DESC;
```

| | VendorID | InvoiceTotal |
|---|---|---|
| 1 | 110 | 37966.19 |
| 2 | 110 | 26881.40 |
| 3 | 110 | 23517.58 |
| 4 | 72 | 21842.00 |
| 5 | 110 | 20551.18 |

## A SELECT statement with a TOP clause and the PERCENT keyword

```
SELECT TOP 5 PERCENT VendorID, InvoiceTotal
FROM Invoices
ORDER BY InvoiceTotal DESC;
```

| | VendorID | InvoiceTotal |
|---|---|---|
| 1 | 110 | 37966.19 |
| 2 | 110 | 26881.40 |
| 3 | 110 | 23517.58 |
| 4 | 72 | 21842.00 |
| 5 | 110 | 20551.18 |
| 6 | 110 | 10976.06 |

## A SELECT statement with a TOP clause and the WITH TIES keyword

```
SELECT TOP 5 WITH TIES VendorID, InvoiceDate
FROM Invoices
ORDER BY InvoiceDate ASC;
```

| | VendorID | InvoiceDate |
|---|---|---|
| 1 | 122 | 2011-12-08 00:00:00 |
| 2 | 123 | 2011-12-10 00:00:00 |
| 3 | 123 | 2011-12-13 00:00:00 |
| 4 | 123 | 2011-12-16 00:00:00 |
| 5 | 123 | 2011-12-16 00:00:00 |
| 6 | 123 | 2011-12-16 00:00:00 |

## Description

- You can use the TOP clause within a SELECT clause to limit the number of rows included in the result set. When you use this clause, the first *n* rows that meet the search condition are included, where *n* is an integer.
- If you include PERCENT, the first *n* percent of the selected rows are included in the result set.
- If you include WITH TIES, additional rows will be included if their values match, or *tie,* the values of the last row.
- You should include an ORDER BY clause whenever you use the TOP keyword. Otherwise, the rows in the result set will be in no particular sequence.

Figure 3-9    How to use the TOP clause to return a subset of selected rows

# How to code the WHERE clause

Earlier in this chapter, I mentioned that to improve performance, you should code your SELECT statements so they retrieve only the columns you need. That goes for retrieving rows too: The fewer rows you retrieve, the more efficient the statement will be. Because of that, you'll almost always include a WHERE clause on your SELECT statements with a search condition that filters the rows in the base table so that only the rows you need are retrieved. In the topics that follow, you'll learn a variety of ways to code this clause.

## How to use comparison operators

Figure 3-10 shows you how to use the *comparison operators* in the search condition of a WHERE clause. As you can see in the syntax summary at the top of this figure, you use a comparison operator to compare two expressions. If the result of the comparison is True, the row being tested is included in the query results.

The examples in this figure show how to use some of the comparison operators. The first WHERE clause, for example, uses the equal operator (=) to retrieve only those rows whose VendorState column have a value of IA. Since the state code is a string literal, it must be included in single quotes. In contrast, the numeric literal used in the second WHERE clause is not enclosed in quotes. This clause uses the greater than (>) operator to retrieve only those rows that have a balance due greater than zero.

The third WHERE clause illustrates another way to retrieve all the invoices with a balance due. Like the second clause, it uses the greater than operator. Instead of comparing the balance due to a value of zero, however, it compares the invoice total to the total of the payments and credits that have been applied to the invoice.

The fourth WHERE clause illustrates how you can use comparison operators other than the equal operator with string data. In this example, the less than operator (<) is used to compare the value of the VendorName column to a literal string that contains the letter M. That will cause the query to return all vendors with names that begin with the letters A through L.

You can also use the comparison operators with date literals, as illustrated by the fifth and sixth WHERE clauses. The fifth clause will retrieve rows with invoice dates on or before May 31, 2012, and the sixth clause will retrieve rows with invoice dates on or after May 1, 2012. Like string literals, date literals must be enclosed in single quotes. In addition, you can use different formats to specify dates as shown by the two date literals shown in this figure. You'll learn more about the acceptable date formats in chapter 8.

The last WHERE clause shows how you can test for a not equal condition. To do that, you code a less than sign followed by a greater than sign. In this case, only rows with a credit total that's not equal to zero will be retrieved.

Whenever possible, you should compare expressions that have similar data types. If you attempt to compare expressions that have different data types, SQL Server may implicitly convert the data type for you. Often, this implicit conver-

## The syntax of the WHERE clause with comparison operators

```
WHERE expression_1 operator expression_2
```

## The comparison operators

| | |
|---|---|
| = | Equal |
| > | Greater than |
| < | Less than |
| <= | Less than or equal to |
| >= | Greater than or equal to |
| <> | Not equal |

## Examples of WHERE clauses that retrieve...

### Vendors located in Iowa
```
WHERE VendorState = 'IA'
```

### Invoices with a balance due (two variations)
```
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0
WHERE InvoiceTotal > PaymentTotal + CreditTotal
```

### Vendors with names from A to L
```
WHERE VendorName < 'M'
```

### Invoices on or before a specified date
```
WHERE InvoiceDate <= '2012-05-31'
```

### Invoices on or after a specified date
```
WHERE InvoiceDate >= '5/1/12'
```

### Invoices with credits that don't equal zero
```
WHERE CreditTotal <> 0
```

## Description

- You can use a comparison operator to compare any two expressions that result in like data types. Although unlike data types may be converted to data types that can be compared, the comparison may produce unexpected results.

- If a comparison results in a True value, the row being tested is included in the result set. If it's False or Unknown, the row isn't included.

- To use a string literal or a *date literal* in a comparison, enclose it in quotes. To use a numeric literal, enter the number without quotes.

- Character comparisons performed on SQL Server databases are not case-sensitive. So, for example, 'CA' and 'Ca' are considered equivalent.

Figure 3-10   How to use the comparison operators

sion is acceptable. However, implicit conversions will occasionally yield unexpected results. In that case, you can use the CONVERT function you saw earlier in this chapter or the CAST function you'll learn about in chapter 8 to explicitly convert data types so the comparison yields the results you want.

# How to use the AND, OR, and NOT logical operators

Figure 3-11 shows how to use *logical operators* in a WHERE clause. You can use the AND and OR operators to combine two or more search conditions into a *compound condition*. And you can use the NOT operator to negate a search condition. The examples in this figure illustrate how these operators work.

The first two examples illustrate the difference between the AND and OR operators. When you use the AND operator, both conditions must be true. So, in the first example, only those vendors in New Jersey whose year-to-date purchases are greater than 200 are retrieved from the Vendors table. When you use the OR operator, though, only one of the conditions must be true. So, in the second example, all the vendors from New Jersey and all the vendors whose year-to-date purchases are greater than 200 are retrieved.

The third example shows a compound condition that uses two NOT operators. As you can see, this expression is somewhat difficult to understand. Because of that, and because using the NOT operator can reduce system performance, you should avoid using this operator whenever possible. The fourth example in this figure, for instance, shows how the search condition in the third example can be rephrased to eliminate the NOT operator. Notice that the condition in the fourth example is much easier to understand.

The last two examples in this figure show how the order of precedence for the logical operators and the use of parentheses affect the result of a search condition. By default, the NOT operator is evaluated first, followed by AND and then OR. However, you can use parentheses to override the order of precedence or to clarify a logical expression, just as you can with arithmetic expressions. In the next to last example, for instance, no parentheses are used, so the two conditions connected by the AND operator are evaluated first. In the last example, though, parentheses are used so that the two conditions connected by the OR operator are evaluated first. If you take a minute to review the results shown in this figure, you should be able to see how these two conditions differ.

## The syntax of the WHERE clause with logical operators

```
WHERE [NOT] search_condition_1 {AND|OR} [NOT] search_condition_2 ...
```

## Examples of queries using logical operators

### A search condition that uses the AND operator

```
WHERE VendorState = 'NJ' AND YTDPurchases > 200
```

### A search condition that uses the OR operator

```
WHERE VendorState = 'NJ' OR YTDPurchases > 200
```

### A search condition that uses the NOT operator

```
WHERE NOT (InvoiceTotal >= 5000 OR NOT InvoiceDate <= '2012-07-01')
```

### The same condition rephrased to eliminate the NOT operator

```
WHERE InvoiceTotal < 5000 AND InvoiceDate <= '2012-07-01'
```

## A compound condition without parentheses

```
WHERE InvoiceDate > '01/01/2012'
    OR InvoiceTotal > 500
    AND InvoiceTotal - PaymentTotal - CreditTotal > 0
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal | BalanceDue |
|---|---|---|---|---|
| 1 | P02-88D77S7 | 2012-01-03 00:00:00 | 856.92 | 0.00 |
| 2 | 21-4748363 | 2012-01-03 00:00:00 | 9.95 | 0.00 |
| 3 | 4-321-2596 | 2012-01-05 00:00:00 | 10.00 | 0.00 |
| 4 | 963253242 | 2012-01-06 00:00:00 | 104.00 | 0.00 |

```
(100 rows)
```

## The same compound condition with parentheses

```
WHERE (InvoiceDate > '01/01/2012'
    OR InvoiceTotal > 500)
    AND InvoiceTotal - PaymentTotal - CreditTotal > 0
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal | BalanceDue |
|---|---|---|---|---|
| 1 | 39104 | 2012-03-10 00:00:00 | 85.31 | 85.31 |
| 2 | 963253264 | 2012-03-18 00:00:00 | 52.25 | 52.25 |
| 3 | 31361833 | 2012-03-21 00:00:00 | 579.42 | 579.42 |
| 4 | 263253268 | 2012-03-21 00:00:00 | 59.97 | 59.97 |

```
(11 rows)
```

## Description

- You can use the AND and OR *logical operators* to create *compound conditions* that consist of two or more conditions. You use the AND operator to specify that the search must satisfy both of the conditions, and you use the OR operator to specify that the search must satisfy at least one of the conditions.

- You can use the NOT operator to negate a condition. Because this operator can make the search condition difficult to read, you should rephrase the condition if possible so it doesn't use NOT.

- When SQL Server evaluates a compound condition, it evaluates the operators in this sequence: (1) NOT, (2) AND, and (3) OR. You can use parentheses to override this order of precedence or to clarify the sequence in which the operations will be evaluated.

Figure 3-11    How to use the AND, OR, and NOT logical operators

# How to use the IN operator

Figure 3-12 shows how to code a WHERE clause that uses the IN operator. When you use this operator, the value of the test expression is compared with the list of expressions in the IN phrase. If the test expression is equal to one of the expressions in the list, the row is included in the query results. This is illustrated by the first example in this figure, which will return all rows whose TermsID column is equal to 1, 3, or 4.

You can also use the NOT operator with the IN phrase to test for a value that's not in a list of expressions. This is illustrated by the second example in this figure. In this case, only those vendors who are not in California, Nevada, or Oregon are retrieved.

If you look at the syntax of the IN phrase shown at the top of this figure, you'll see that you can code a *subquery* in place of a list of expressions. Subqueries are a powerful tool that you'll learn about in detail in chapter 6. For now, though, you should know that a subquery is simply a SELECT statement within another statement. In the third example in this figure, for instance, a subquery is used to return a list of VendorID values for vendors who have invoices dated May 1, 2012. Then, the WHERE clause retrieves a vendor row only if the vendor is in that list. Note that for this to work, the subquery must return a single column, in this case, VendorID.

### The syntax of the WHERE clause with an IN phrase

```
WHERE test_expression [NOT] IN ({subquery|expression_1 [, expression_2]...})
```

### Examples of the IN phrase

#### An IN phrase with a list of numeric literals

```
WHERE TermsID IN (1, 3, 4)
```

#### An IN phrase preceded by NOT

```
WHERE VendorState NOT IN ('CA', 'NV', 'OR')
```

#### An IN phrase with a subquery

```
WHERE VendorID IN
    (SELECT VendorID
     FROM Invoices
     WHERE InvoiceDate = '2012-05-01')
```

### Description

- You can use the IN phrase to test whether an expression is equal to a value in a list of expressions. Each of the expressions in the list must evaluate to the same type of data as the test expression.

- The list of expressions can be coded in any order without affecting the order of the rows in the result set.

- You can use the NOT operator to test for an expression that's not in the list of expressions.

- You can also compare the test expression to the items in a list returned by a *subquery* as illustrated by the third example above. You'll learn more about coding subqueries in chapter 6.

Figure 3-12    How to use the IN operator

# How to use the BETWEEN operator

Figure 3-13 shows how to use the BETWEEN operator in a WHERE clause. When you use this operator, the value of a test expression is compared to the range of values specified in the BETWEEN phrase. If the value falls within this range, the row is included in the query results.

The first example in this figure shows a simple WHERE clause that uses the BETWEEN operator. It retrieves invoices with invoice dates between May 1, 2012 and May 31, 2012. Note that the range is inclusive, so invoices with invoice dates of May 1 and May 31 are included in the results.

The second example shows how to use the NOT operator to select rows that are not within a given range. In this case, vendors with zip codes that aren't between 93600 and 93799 are included in the results.

The third example shows how you can use a calculated value in the test expression. Here, the PaymentTotal and CreditTotal columns are subtracted from the InvoiceTotal column to give the balance due. Then, this value is compared to the range specified in the BETWEEN phrase.

The last example shows how you can use calculated values in the BETWEEN phrase. Here, the first value is the result of the GETDATE function, and the second value is the result of the GETDATE function plus 30 days. So the query results will include all those invoices that are due between the current date and 30 days from the current date.

## The syntax of the WHERE clause with a BETWEEN phrase

```
WHERE test_expression [NOT] BETWEEN begin_expression AND end_expression
```

## Examples of the BETWEEN phrase

### A BETWEEN phrase with literal values

```
WHERE InvoiceDate BETWEEN '2012-05-01' AND '2012-05-31'
```

### A BETWEEN phrase preceded by NOT

```
WHERE VendorZipCode NOT BETWEEN 93600 AND 93799
```

### A BETWEEN phrase with a test expression coded as a calculated value

```
WHERE InvoiceTotal - PaymentTotal - CreditTotal BETWEEN 200 AND 500
```

### A BETWEEN phrase with the upper and lower limits coded as calculated values

```
WHERE InvoiceDueDate BETWEEN GetDate() AND GetDate() + 30
```

## Description

- You can use the BETWEEN phrase to test whether an expression falls within a range of values. The lower limit must be coded as the first expression, and the upper limit must be coded as the second expression. Otherwise, the result set will be empty.

- The two expressions used in the BETWEEN phrase for the range of values are inclusive. That is, the result set will include values that are equal to the upper or lower limit.

- You can use the NOT operator to test for an expression that's not within the given range.

## Warning about date comparisons

- All columns that have the datetime data type include both a date and time, and so does the value returned by the GetDate function. But when you code a date literal like '2012-05-01', the time defaults to 00:00:00 on a 24-hour clock, or 12:00 AM (midnight). As a result, a date comparison may not yield the results you expect. For instance, May 31, 2012 at 2:00 PM isn't between '2012-05-01' and '2012-05-31'.

- To learn more about date comparisons, please see chapter 9.

Figure 3-13    How to use the BETWEEN operator

# How to use the LIKE operator

One final operator you can use in a search condition is the LIKE operator shown in figure 3-14. You use this operator along with the *wildcards* shown at the top of this figure to specify a *string pattern*, or *mask*, you want to match. The examples shown in this figure illustrate how this works.

In the first example, the LIKE phrase specifies that all vendors in cities that start with the letters SAN should be included in the query results. Here, the percent sign (%) indicates that any characters can follow these three letters. So San Diego and Santa Ana are both included in the results.

The second example selects all vendors whose vendor name starts with the letters COMPU, followed by any one character, the letters ER, and any characters after that. Two vendor names that match that pattern are Compuserve and Computerworld.

The third example searches the values in the VendorContactLName column for a name that can be spelled two different ways: Damien or Damion. To do that, the mask specifies the two possible characters in the fifth position, E and O, within brackets.

The fourth example uses brackets to specify a range of values. In this case, the VendorState column is searched for values that start with the letter N and end with any letter from A to J. That excludes states like Nevada (NV) and New York (NY).

The fifth example shows how to use the caret (^) to exclude one or more characters from the pattern. Here, the pattern says that the value in the VendorState column must start with the letter N, but must not end with the letters K through Y. This produces the same result as the previous statement.

The last example in this figure shows how to use the NOT operator with a LIKE phrase. The condition in this example tests the VendorZipCode column for values that don't start with the numbers 1 through 9. The result is all zip codes that start with the number 0.

The LIKE operator provides a powerful technique for finding information in a database that can't be found using any other technique. Keep in mind, however, that this technique requires a lot of overhead, so it can reduce system performance. For this reason, you should avoid using the LIKE operator in production SQL code whenever possible.

If you need to search the text that's stored in your database, a better option is to use the *Full-Text Search* feature that's provided by SQL Server 2012. This feature provides more powerful and flexible ways to search for text, and it performs more efficiently than the LIKE operator. However, Full-Text Search is an advanced feature that requires some setup and administration and is too complex to explain here. For more information, you can look up "full-text search" in Books Online.

## The syntax of the WHERE clause with a LIKE phrase

```
WHERE match_expression [NOT] LIKE pattern
```

## Wildcard symbols

| Symbol | Description |
|--------|-------------|
| % | Matches any string of zero or more characters. |
| _ | Matches any single character. |
| [ ] | Matches a single character listed within the brackets. |
| [ - ] | Matches a single character within the given range. |
| [ ^ ] | Matches a single character not listed after the caret. |

## WHERE clauses that use the LIKE operator

| Example | Results that match the mask |
|---------|----------------------------|
| `WHERE VendorCity LIKE 'SAN%'` | "San Diego" and "Santa Ana" |
| `WHERE VendorName LIKE 'COMPU_ER%'` | "Compuserve" and "Computerworld" |
| `WHERE VendorContactLName LIKE 'DAMI[EO]N'` | "Damien" and "Damion" |
| `WHERE VendorState LIKE 'N[A-J]'` | "NC" and "NJ" but not "NV" or "NY" |
| `WHERE VendorState LIKE 'N[^K-Y]'` | "NC" and "NJ" but not "NV" or "NY" |
| `WHERE VendorZipCode NOT LIKE '[1-9]%'` | "02107" and "08816" |

## Description

- You use the LIKE operator to retrieve rows that match a *string pattern*, called a *mask*. Within the mask, you can use special characters, called *wildcards*, that determine which values in the column satisfy the condition.

- You can use the NOT keyword before the LIKE keyword. Then, only those rows with values that don't match the string pattern will be included in the result set.

- Most LIKE phrases will significantly degrade performance compared to other types of searches, so use them only when necessary.

Figure 3-14    How to use the LIKE operator

## How to use the IS NULL clause

In chapter 1, you learned that a column can contain a *null value*. A null isn't the same as zero, a blank string that contains one or more spaces ( ' ' ), or an empty string ( " ). Instead, a null value indicates that the data is not applicable, not available, or unknown. When you allow null values in one or more columns, you need to know how to test for them in search conditions. To do that, you can use the IS NULL clause as shown in figure 3-15.

This figure uses a table named NullSample to illustrate how to search for null values. This table contains two columns. The first column, InvoiceID, is an identity column. The second column, InvoiceTotal, contains the total for the invoice, which can be a null value. As you can see in the first example, the invoice with InvoiceID 3 contains a null value.

The second example in this figure shows what happens when you retrieve all the invoices with invoice totals equal to zero. Notice that the row that has a null invoice total isn't included in the result set. Likewise, it isn't included in the result set that contains all the invoices with invoices totals that aren't equal to zero, as illustrated by the third example. Instead, you have to use the IS NULL clause to retrieve rows with null values, as shown in the fourth example.

You can also use the NOT operator with the IS NULL clause as illustrated in the last example in this figure. When you use this operator, all of the rows that don't contain null values are included in the query results.

## The syntax of the WHERE clause with the IS NULL clause

```
WHERE expression IS [NOT] NULL
```

## The contents of the NullSample table

```
SELECT *
FROM NullSample;
```

| | InvoiceID | InvoiceTotal |
|---|---|---|
| 1 | 1 | 125.00 |
| 2 | 2 | 0.00 |
| 3 | 3 | NULL |
| 4 | 4 | 2199.99 |
| 5 | 5 | 0.00 |
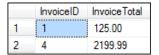
## A SELECT statement that retrieves rows with zero values

```
SELECT *
FROM NullSample
WHERE InvoiceTotal = 0;
```

| | InvoiceID | InvoiceTotal |
|---|---|---|
| 1 | 2 | 0.00 |
| 2 | 5 | 0.00 |
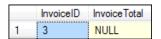
## A SELECT statement that retrieves rows with non-zero values

```
SELECT *
FROM NullSample
WHERE InvoiceTotal <> 0;
```

| | InvoiceID | InvoiceTotal |
|---|---|---|
| 1 | 1 | 125.00 |
| 2 | 4 | 2199.99 |
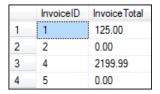
## A SELECT statement that retrieves rows with null values

```
SELECT *
FROM NullSample
WHERE InvoiceTotal IS NULL;
```

| | InvoiceID | InvoiceTotal |
|---|---|---|
| 1 | 3 | NULL |

## A SELECT statement that retrieves rows without null values

```
SELECT *
FROM NullSample
WHERE InvoiceTotal IS NOT NULL;
```

| | InvoiceID | InvoiceTotal |
|---|---|---|
| 1 | 1 | 125.00 |
| 2 | 2 | 0.00 |
| 3 | 4 | 2199.99 |
| 4 | 5 | 0.00 |

## Description

- A *null value* represents a value that's unknown, unavailable, or not applicable. It isn't the same as a zero, a blank space (' '), or an empty string ('').

- To test for a null value, you can use the IS NULL clause. You can also use the NOT keyword with this clause to test for values that aren't null.

- The definition of each column in a table indicates whether or not it can store null values. Before you work with a table, you should identify those columns that allow null values so you can accommodate them in your queries.

Figure 3-15    How to use the IS NULL clause

# How to code the ORDER BY clause

The ORDER BY clause specifies the sort order for the rows in a result set. In most cases, you can use column names from the base table to specify the sort order as you saw in some of the examples earlier in this chapter. However, you can also use other techniques to sort the rows in a result set. In addition, you can use the new OFFSET and FETCH clauses of the ORDER BY clause to retrieve a range of rows from the sorted result set.

## How to sort a result set by a column name

Figure 3-16 presents the expanded syntax of the ORDER BY clause. As you can see, you can sort by one or more expressions in either ascending or descending sequence. This is illustrated by the three examples in this figure.

The first two examples show how to sort the rows in a result set by a single column. In the first example, the rows in the Vendors table are sorted in ascending sequence by the VendorName column. Since ascending is the default sequence, the ASC keyword is omitted. In the second example, the rows are sorted by the VendorName column in descending sequence.

To sort by more than one column, you simply list the names in the ORDER BY clause separated by commas as shown in the third example. Here, the rows in the Vendors table are first sorted by the VendorState column in ascending sequence. Then, within each state, the rows are sorted by the VendorCity column in ascending sequence. Finally, within each city, the rows are sorted by the VendorName column in ascending sequence. This can be referred to as a *nested sort* because one sort is nested within another.

Although all of the columns in this example are sorted in ascending sequence, you should know that doesn't have to be the case. For example, I could have sorted by the VendorName column in descending sequence like this:

```
ORDER BY VendorState, VendorCity, VendorName DESC
```

Note that the DESC keyword in this example applies only to the VendorName column. The VendorState and VendorCity columns are still sorted in ascending sequence.

## The expanded syntax of the ORDER BY clause

```
ORDER BY expression [ASC|DESC] [, expression [ASC|DESC]] ...
```

## An ORDER BY clause that sorts by one column in ascending sequence

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors
ORDER BY VendorName;
```

| | VendorName | Address |
|---|---|---|
| 1 | Abbey Office Furnishings | Fresno, CA 93722 |
| 2 | American Booksellers Assoc | Tarrytown, NY 10591 |
| 3 | American Express | Los Angeles, CA 90096 |

## An ORDER BY clause that sorts by one column in descending sequence

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors
ORDER BY VendorName DESC;
```

| | VendorName | Address |
|---|---|---|
| 1 | Zylka Design | Fresno, CA 93711 |
| 2 | Zip Print & Copy Center | Fresno, CA 93777 |
| 3 | Zee Medical Service Co | Washington, IA 52353 |

## An ORDER BY clause that sorts by three columns

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors
ORDER BY VendorState, VendorCity, VendorName;
```

| | VendorName | Address |
|---|---|---|
| 1 | AT&T | Phoenix, AZ 85062 |
| 2 | Computer Library | Phoenix, AZ 85023 |
| 3 | Wells Fargo Bank | Phoenix, AZ 85038 |
| 4 | Aztek Label | Anaheim, CA 92807 |
| 5 | Blue Shield of C... | Anaheim, CA 92850 |
| 6 | Diversified Printi... | Brea, CA 92621 |
| 7 | Abbey Office Fu... | Fresno, CA 93722 |
| 8 | ASC Signs | Fresno, CA 93703 |
| 9 | BFI Industries | Fresno, CA 93792 |

## Description

- The ORDER BY clause specifies how you want the rows in the result set sorted. You can sort by one or more columns, and you can sort each column in either ascending (ASC) or descending (DESC) sequence. ASC is the default.

- By default, in an ascending sort, nulls appear first in the sort sequence, followed by special characters, then numbers, then letters. Although you can change this sequence, that's beyond the scope of this book.

- You can sort by any column in the base table regardless of whether it's included in the SELECT clause. The exception is if the query includes the DISTINCT keyword. Then, you can only sort by columns included in the SELECT clause.

Figure 3-16    How to sort a result set by a column name

# How to sort a result set by an alias, an expression, or a column number

Figure 3-17 presents three more techniques you can use to specify sort columns. First, you can use a column alias that's defined in the SELECT clause. The first SELECT statement in this figure, for example, sorts by a column named Address, which is an alias for the concatenation of the VendorCity, VendorState, and VendorZipCode columns. Within the Address column, the result set is also sorted by the VendorName column.

You can also use an arithmetic or string expression in the ORDER BY clause, as illustrated by the second example in this figure. Here, the expression consists of the VendorContactLName column concatenated with the VendorContactFName column. Here, neither of these columns is included in the SELECT clause. Although SQL Server allows this seldom-used coding technique, many other database systems do not.

The last example in this figure shows how you can use column numbers to specify a sort order. To use this technique, you code the number that corresponds to the column of the result set, where 1 is the first column, 2 is the second column, and so on. In this example, the ORDER BY clause sorts the result set by the second column, which contains the concatenated address, then by the first column, which contains the vendor name. The result set returned by this statement is the same as the result set returned by the first statement. Notice, however, that the statement that uses column numbers is more difficult to read because you have to look at the SELECT clause to see what columns the numbers refer to. In addition, if you add or remove columns from the SELECT clause, you may also have to change the ORDER BY clause to reflect the new column positions. As a result, you should avoid using this technique.

## An ORDER BY clause that uses an alias

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors
ORDER BY Address, VendorName;
```

| | VendorName | Address |
|---|---|---|
| 1 | Aztek Label | Anaheim, CA 92807 |
| 2 | Blue Shield of California | Anaheim, CA 92850 |
| 3 | Malloy Lithographing Inc | Ann Arbor, MI 48106 |

## An ORDER BY clause that uses an expression

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors
ORDER BY VendorContactLName + VendorContactFName;
```

| | VendorName | Address |
|---|---|---|
| 1 | Dristas Groom & McCormick | Fresno, CA 93720 |
| 2 | Internal Revenue Service | Fresno, CA 93888 |
| 3 | US Postal Service | Madison, WI 53707 |

## An ORDER BY clause that uses column positions

```
SELECT VendorName,
    VendorCity + ', ' + VendorState + ' ' + VendorZipCode AS Address
FROM Vendors
ORDER BY 2, 1;
```

| | VendorName | Address |
|---|---|---|
| 1 | Aztek Label | Anaheim, CA 92807 |
| 2 | Blue Shield of California | Anaheim, CA 92850 |
| 3 | Malloy Lithographing Inc | Ann Arbor, MI 48106 |

## Description

- The ORDER BY clause can include a column alias that's specified in the SELECT clause.
- The ORDER BY clause can include any valid expression. The expression can refer to any column in the base table, even if it isn't included in the result set.
- The ORDER BY clause can use numbers to specify the columns to use for sorting. In that case, 1 represents the first column in the result set, 2 represents the second column, and so on.

Figure 3-17    How to sort a result set by an alias, an expression, or a column number

## How to retrieve a range of selected rows

Earlier in this chapter, you saw how to use the TOP clause to return a subset of the rows selected by a query. When you use this clause, the rows are always returned from the beginning of the result set. In contrast, the new OFFSET and FETCH clauses let you return a subset of rows starting anywhere in a sorted result set. Figure 3-18 illustrates how these clauses work.

The first example in this figure shows how to use the OFFSET and FETCH clauses to retrieve rows from the beginning of a result set. In this case, the first five rows are retrieved. In contrast, the second example retrieves ten rows from the result set starting with the eleventh row. Notice that the FETCH clause in the first example uses the FIRST keyword, and the FETCH clause in the second example uses the NEXT keyword. Although these keywords are interchangeable, they're typically used as shown here.

You can also return all of the rows to the end of a result set after skipping the specified number of rows. To do that, you just omit the FETCH clause. For instance, if you omitted this clause from the second example in this figure, rows 11 through the end of the result set would be retrieved.

The OFFSET and FETCH clauses are most useful when a client application needs to retrieve and process one page of data at a time. For example, suppose an application can work with up to 20 rows of a result set at a time. Then, the first query would retrieve rows 1 through 20, the second query would retrieve rows 21 through 40, and so on.

Because a new result set is created each time a query is executed, the client application must make sure that the result set doesn't change between queries. For example, if after retrieving the first 20 rows of a result set as described above one of those rows is deleted, the row that would have been the 21st row now becomes the 20th row. Because of that, this row isn't included when the next 20 rows are retrieved. To prevent this problem, an application can execute all of the queries within a transaction whose isolation level is set to either SNAPSHOT or SERIALIZABLE. For information on how transactions and isolation levels work within SQL Server, see chapter 17.

## The syntax of the ORDER BY clause for retrieving a range of rows

```
ORDER BY order_by_list
    OFFSET offset_row_count {ROW|ROWS}
    [FETCH {FIRST|NEXT} fetch_row_count {ROW|ROWS} ONLY]
```

## An ORDER BY clause that retrieves the first five rows

```
SELECT VendorID, InvoiceTotal
FROM Invoices
ORDER BY InvoiceTotal DESC
    OFFSET 0 ROWS
    FETCH FIRST 5 ROWS ONLY;
```

|   | VendorID | InvoiceTotal |
|---|----------|--------------|
| 1 | 110 | 37966.19 |
| 2 | 110 | 26881.40 |
| 3 | 110 | 23517.58 |
| 4 | 72 | 21842.00 |
| 5 | 110 | 20551.18 |

## An ORDER BY clause that retrieves rows 11 through 20

```
SELECT VendorName, VendorCity, VendorState, VendorZipCode
FROM Vendors
WHERE VendorState = 'CA'
ORDER BY VendorCity
    OFFSET 10 ROWS
    FETCH NEXT 10 ROWS ONLY;
```

|    | VendorName | VendorCity | VendorState | VendorZipCode |
|----|------------|------------|-------------|---------------|
| 1  | Robbins Mobile Lock And Key | Fresno | CA | 93726 |
| 2  | BFI Industries | Fresno | CA | 93792 |
| 3  | California Data Marketing | Fresno | CA | 93721 |
| 4  | Yale Industrial Trucks-Fresno | Fresno | CA | 93706 |
| 5  | Costco | Fresno | CA | 93711 |
| 6  | Graylift | Fresno | CA | 93745 |
| 7  | Shields Design | Fresno | CA | 93728 |
| 8  | Fresno County Tax Collector | Fresno | CA | 93715 |
| 9  | Gary McKeighan Insurance | Fresno | CA | 93711 |
| 10 | Ph Photographic Services | Fresno | CA | 93726 |

## Description

- The OFFSET clause specifies the number of rows that should be skipped before rows are returned from the result set.

- The FETCH clause specifies the number of rows that should be retrieved after skipping the specified number of rows. If you omit the FETCH clause, all of the rows to the end of the result set are retrieved.

- The number of rows to be skipped and retrieved can be specified as an integer or an expression that results in an integer that is greater than or equal to zero.

- The OFFSET and FETCH clauses are most useful when a client application needs to retrieve one page of data at a time.

Figure 3-18    How to retrieve a range of selected rows

# Perspective

The goal of this chapter has been to teach you the basic skills for coding SELECT statements. You'll use these skills in almost every SELECT statement you code. As you'll see in the chapters that follow, however, there's a lot more to coding SELECT statements than what's presented here. In the next three chapters, then, you'll learn additional skills for coding SELECT statements. When you complete those chapters, you'll know everything you need to know about retrieving data from a SQL Server database.

# Terms

| | |
|---|---|
| keyword | order of precedence |
| filter | function |
| Boolean expression | parameter |
| predicate | argument |
| expression | date literal |
| column alias | comparison operator |
| substitute name | logical operator |
| string expression | compound condition |
| concatenate | subquery |
| concatenation operator | string pattern |
| literal value | mask |
| string literal | wildcard |
| string constant | Full-Text Search |
| arithmetic expression | null value |
| arithmetic operator | nested sort |

## Exercises

1. Write a SELECT statement that returns three columns from the Vendors table: VendorContactFName, VendorContactLName, and VendorName. Sort the result set by last name, then by first name.

2. Write a SELECT statement that returns four columns from the Invoices table, named Number, Total, Credits, and Balance:

    | | |
    |---|---|
    | Number | Column alias for the InvoiceNumber column |
    | Total | Column alias for the InvoiceTotal column |
    | Credits | Sum of the PaymentTotal and CreditTotal columns |
    | Balance | InvoiceTotal minus the sum of PaymentTotal and CreditTotal |

    Use the AS keyword to assign column aliases.

3. Write a SELECT statement that returns one column from the Vendors table named Full Name. Create this column from the VendorContactFName and VendorContactLName columns. Format it as follows: last name, comma, first name (for example, "Doe, John"). Sort the result set by last name, then by first name.

4. Write a SELECT statement that returns three columns:

    | | |
    |---|---|
    | InvoiceTotal | From the Invoices table |
    | 10% | 10% of the value of InvoiceTotal |
    | Plus 10% | The value of InvoiceTotal plus 10% |

    (For example, if InvoiceTotal is 100.0000, 10% is 10.0000, and Plus 10% is 110.0000.) Only return those rows with a balance due greater than 1000. Sort the result set by InvoiceTotal, with the largest invoice first.

5. Modify the solution to exercise 2 to filter for invoices with an InvoiceTotal that's greater than or equal to $500 but less than or equal to $10,000.

6. Modify the solution to exercise 3 to filter for contacts whose last name begins with the letter A, B, C, or E.

7. Write a SELECT statement that determines whether the PaymentDate column of the Invoices table has any invalid values. To be valid, PaymentDate must be a null value if there's a balance due and a non-null value if there's no balance due. Code a compound condition in the WHERE clause that tests for these conditions.

# 4

# How to retrieve data from two or more tables

In the last chapter, you learned how to create result sets that contain data from a single table. Now, this chapter will show you how to create result sets that contain data from two or more tables. To do that, you can use either a join or a union.

# How to work with inner joins

A *join* lets you combine columns from two or more tables into a single result set. In the topics that follow, you'll learn how to use the most common type of join, an *inner join*. You'll learn how to use other types of joins later in this chapter.

## How to code an inner join

Figure 4-1 presents the *explicit syntax* for coding an inner join. As you'll see later in this chapter, SQL Server also provides an implicit syntax that you can use to code inner joins. However, the syntax shown in this figure is the one you'll use most often.

To join data from two tables, you code the names of the two tables in the FROM clause along with the JOIN keyword and an ON phrase that specifies the *join condition*. The join condition indicates how the two tables should be compared. In most cases, they're compared based on the relationship between the primary key of the first table and a foreign key of the second table. The SELECT statement in this figure, for example, joins data from the Vendors and Invoices tables based on the VendorID column in each table. Notice that because the equal operator is used in this condition, the value of the VendorID column in a row in the Vendors table must match the VendorID in a row in the Invoices table for that row to be included in the result set. In other words, only vendors with one or more invoices will be included. Although you'll code most inner joins using the equal operator, you should know that you can compare two tables based on other conditions, too.

In this example, the Vendors table is joined with the Invoices table using a column that has the same name in both tables: VendorID. Because of that, the columns must be qualified to indicate which table they come from. As you can see, you code a *qualified column name* by entering the table name and a period in front of the column name. Although this example uses qualified column names only in the join condition, you must qualify a column name anywhere it appears in the statement if the same name occurs in both tables. If you don't, SQL Server will return an error indicating that the column name is ambiguous. Of course, you can also qualify column names that aren't ambiguous. However, I recommend you do that only if it clarifies your code.

## The explicit syntax for an inner join

```
SELECT select_list
FROM table_1
    [INNER] JOIN table_2
        ON join_condition_1
    [[INNER] JOIN table_3
        ON join_condition_2]...
```

## A SELECT statement that joins the Vendors and Invoices tables

```
SELECT InvoiceNumber, VendorName
FROM Vendors JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID;
```

## The result set

| | InvoiceNumber | VendorName |
|---|---|---|
| 1 | QP58872 | IBM |
| 2 | Q545443 | IBM |
| 3 | 547481328 | Blue Cross |
| 4 | 547479217 | Blue Cross |
| 5 | 547480102 | Blue Cross |
| 6 | P02-88D77S7 | Fresno County Tax Collector |
| 7 | 40318 | Data Reproductions Corp |

**(114 rows)**

## Description

- A *join* is used to combine columns from two or more tables into a result set based on the *join conditions* you specify. For an *inner join*, only those rows that satisfy the join condition are included in the result set.

- A join condition names a column in each of the two tables involved in the join and indicates how the two columns should be compared. In most cases, you use the equal operator to retrieve rows with matching columns. However, you can also use any of the other comparison operators in a join condition.

- In most cases, you'll join two tables based on the relationship between the primary key in one table and a foreign key in the other table. However, you can also join tables based on relationships not defined in the database. These are called *ad hoc relationships*.

- If the two columns in a join condition have the same name, you have to qualify them with the table name so that SQL Server can distinguish between them. To code a *qualified column name*, type the table name, followed by a period, followed by the column name.

## Notes

- The INNER keyword is optional and is seldom used.

- This syntax for coding an inner join can be referred to as the *explicit syntax*. It is also called the *SQL-92 syntax* because it was introduced by the SQL-92 standards.

- You can also code an inner join using the *implicit syntax*. See figure 4-7 for more information.

Figure 4-1    How to code an inner join

# When and how to use correlation names

When you name the tables to be joined in the FROM clause, you can assign temporary names to the tables called *correlation names* or *table aliases*. To do that, you use the AS phrase just as you do when you assign a column alias. After you assign a correlation name, you must use that name in place of the original table name throughout the query. This is illustrated in figure 4-2.

The first SELECT statement in this figure joins data from the Vendors and Invoices table. Here, both tables have been assigned correlation names that consist of a single letter. Although short correlation names like this can reduce typing, they can also make a query more difficult to read and maintain. As a result, you should only use correlation names when they simplify or clarify the query.

The correlation name used in the second SELECT statement in this figure, for example, simplifies the name of the InvoiceLineItems table to just LineItems. That way, the shorter name can be used to refer to the InvoiceID column of the table in the join condition. Although this doesn't improve the query in this example much, it can have a dramatic effect on a query that refers to the InvoiceLineItems table several times.

## The syntax for an inner join that uses correlation names

```
SELECT select_list
FROM table_1 [AS] n1
    [INNER] JOIN table_2 [AS] n2
        ON n1.column_name operator n2.column_name
    [[INNER] JOIN table_3 [AS] n3
        ON n2.column_name operator n3.column_name]...
```

## An inner join with correlation names
## that make the query more difficult to read

```
SELECT InvoiceNumber, VendorName, InvoiceDueDate,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Vendors AS v JOIN Invoices AS i
    ON v.VendorID = i.VendorID
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0
ORDER BY InvoiceDueDate DESC;
```

| | InvoiceNumber | VendorName | InvoiceDueDate | BalanceDue | |
|---|---|---|---|---|---|
| 1 | 0-2436 | Malloy Lithographing Inc | 2012-04-30 00:00:00 | 10976.06 | |
| 2 | 547480102 | Blue Cross | 2012-04-30 00:00:00 | 224.00 | |
| 3 | 9982771 | Ford Motor Credit Company | 2012-04-23 00:00:00 | 503.20 | |

**(11 rows)**

## An inner join with a correlation name that simplifies the query

```
SELECT InvoiceNumber, InvoiceLineItemAmount, InvoiceLineItemDescription
FROM Invoices JOIN InvoiceLineItems AS LineItems
    ON Invoices.InvoiceID = LineItems.InvoiceID
WHERE AccountNo = 540
ORDER BY InvoiceDate;
```

| | InvoiceNumber | InvoiceLineItemAmount | InvoiceLineItemDescription | |
|---|---|---|---|---|
| 1 | 177271-001 | 478.00 | Publishers Marketing | |
| 2 | 972110 | 207.78 | Prospect list | |
| 3 | 133560 | 175.00 | Card deck advertising | |

**(6 rows)**

## Description

- *Correlation names* are temporary table names assigned in the FROM clause. You can use correlation names when long table names make qualified column names long or confusing. A correlation name can also be called a *table alias*.

- If you assign a correlation name to a table, you must use that name to refer to the table within your query. You can't use the original table name.

- Although the AS keyword is optional, I recommend you use it because it makes the FROM clause easier to read.

- You can use a correlation name for any table in a join without using correlation names for all of the other tables.

- Use correlation names whenever they simplify or clarify the query. Avoid using correlation names when they make a query more confusing or difficult to read.

Figure 4-2    When and how to use correlation names

# How to work with tables from different databases

Although it's not common, you may occasionally need to join data from tables that reside in different databases. To do that, you have to qualify one or more of the table names. Figure 4-3 shows you how.

To start, this figure presents the syntax of a *fully-qualified object name*. As you can see, a fully-qualified name consists of four parts: a server name, a database name, a schema name, and the name of the object itself. In this chapter, you'll learn how to qualify table names. However, you should realize that you can use this syntax with other objects as well.

The first SELECT statement in this figure illustrates the use of fully-qualified object names. This statement joins data from two tables (Vendors and Customers) in two different databases (AP and ProductOrders). Both databases are on the same server (DBServer) and are stored in the same schema (dbo). Here, correlation names are assigned to both of these tables to make them easier to refer to in the join condition.

Although you can qualify all table names this way, you typically specify only the parts that are different from the current settings. When you start the Management Studio, for example, you connect to a specific server. As long as you work with databases in that server, then, you don't need to include the server name. Similarly, before you execute a statement, you typically select the database it uses. So as long as you work with tables in that database, you don't need to include the database name. You can also omit the schema name as long as you work with tables in the user's default schema (see chapter 18) or in the dbo schema. That's why all of the statements you've seen up to this point have included only the table name.

When you omit one or more parts from a fully-qualified object name, you create a *partially-qualified object name*. The second SELECT statement in this figure, for example, shows how the first statement can be rewritten using partially-qualified object names. Here, the server name, database name, and schema name are all omitted from the Vendors table since it resides in the default schema (dbo) within the current database (AP) on the current server. The Customers table, however, must be qualified with the database name because it's not in the AP database. Notice that because the schema name falls between the database name and the table name, two periods were coded to indicate that this part of the name was omitted.

Before you can specify a server name as shown in this figure, you must add a *linked server* to the current instance of the server. A linked server is a virtual server that specifies all the information necessary to be able to connect to a local or remote server. To add a linked server, you can use the stored procedure named sp_addlinkedserver. In this figure, for example, the stored procedure adds a linked server named DBServer to the master database for the current instance of SQL Server. This server has all the information necessary to connect to an instance of SQL Server Express that's running on the local machine. However, a similar syntax could be used to connect to an instance of SQL Server running on a remote server.

## The syntax of a fully-qualified object name

```
linked_server.database.schema.object
```

## A join with fully-qualified table names

```
SELECT VendorName, CustLastName, CustFirstName,
    VendorState AS State, VendorCity AS City
FROM DBServer.AP.dbo.Vendors AS Vendors
    JOIN DBServer.ProductOrders.dbo.Customers AS Customers
    ON Vendors.VendorZipCode = Customers.CustZip
ORDER BY State, City;
```

## The same join with partially-qualified table names

```
SELECT VendorName, CustLastName, CustFirstName,
    VendorState AS State, VendorCity AS City
FROM Vendors
    JOIN ProductOrders..Customers AS Customers
    ON Vendors.VendorZipCode = Customers.CustZip
ORDER BY State, City;
```

## The result set

| | VendorName | CustLastName | CustFirstName | State | City |
|---|---|---|---|---|---|
| 1 | Wells Fargo Bank | Marissa | Kyle | AZ | Phoenix |
| 2 | Aztek Label | Irvin | Ania | CA | Anaheim |
| 3 | Gary McKeighan Insurance | Neftaly | Thalia | CA | Fresno |
| 4 | Gary McKeighan Insurance | Holbrooke | Rashad | CA | Fresno |
| 5 | Shields Design | Damien | Deborah | CA | Fresno |

```
(37 rows)
```

## A stored procedure that adds a linked server

```
USE master;
EXEC sp_addlinkedserver
    @server='DBServer',
    @srvproduct='',
    @provider='SQLNCLI',
    @datasrc='localhost\SqlExpress';
```

## Description

- A *fully-qualified object name* is made up of four parts: the server name, the database name, the schema name (typically dbo), and the name of the object (typically a table). This syntax can be used when joining tables from different databases or databases on different servers.

- If the server or database name is the same as the current server or database name, or if the schema name is dbo or the name of the user's default schema, you can omit that part of the name to create a *partially-qualified object name*. If the omitted name falls between two other parts of the name, code two periods to indicate that the name is omitted.

- Before you can specify a server name, you must add a *linked server* to the current instance of the server. To do that, you can use the stored procedure named sp_addlinkedserver. Then, you can specify the name of the linked server. To remove a linked server, you can use the stored procedure named sp_dropserver.

Figure 4-3     How to work with tables from different databases

To remove a linked server, you can use the stored procedure named sp_dropserver. For more information about working with linked servers, look up "Linked Servers (Database Engine)" in SQL Server Books Online.

# How to use compound join conditions

Although a join condition typically consists of a single comparison, you can include two or more comparisons in a join condition using the AND and OR operators. Figure 4-4 illustrates how this works.

In the first SELECT statement in this figure, you can see that the Invoices and InvoiceLineItems tables are joined based on two comparisons. First, the primary key of the Invoices table, InvoiceID, is compared with the foreign key of the InvoiceLineItems table, also named InvoiceID. As in previous examples, this comparison uses an equal condition. Then, the InvoiceTotal column in the Invoices table is tested for a value greater than the value of the InvoiceLineItemAmount column in the InvoiceLineItems table. That means that only those invoices that have two or more line items will be included in the result set. You can see the result set in this figure.

Another way to code these conditions is to code the primary join condition in the FROM clause and the other condition in the WHERE clause. This is illustrated by the second SELECT statement in this figure.

When you code separate compound join conditions like this, the join condition in the ON expression is performed before the tables are joined, and the search condition in the WHERE clause is performed after the tables are joined. Because of that, you might expect a SELECT statement to execute more efficiently if you code the search condition in the ON expression. However, SQL Server examines the join and search conditions as it optimizes the query. So you don't need to worry about which technique is most efficient. Instead, you should code the conditions so they're easy to understand.

## An inner join with two conditions

```
SELECT InvoiceNumber, InvoiceDate,
    InvoiceTotal, InvoiceLineItemAmount
FROM Invoices JOIN InvoiceLineItems AS LineItems
    ON (Invoices.InvoiceID = LineItems.InvoiceID) AND
        (Invoices.InvoiceTotal > LineItems.InvoiceLineItemAmount)
ORDER BY InvoiceNumber;
```

## The same join with the second condition coded in a WHERE clause

```
SELECT InvoiceNumber, InvoiceDate,
    InvoiceTotal, InvoiceLineItemAmount
FROM Invoices JOIN InvoiceLineItems AS LineItems
    ON Invoices.InvoiceID = LineItems.InvoiceID
WHERE Invoices.InvoiceTotal > LineItems.InvoiceLineItemAmount
ORDER BY InvoiceNumber;
```

## The result set

| | InvoiceNumber | InvoiceDate | InvoiceTotal | InvoiceLineItemAmount |
|---|---|---|---|---|
| 1 | 97/522 | 2012-02-28 00:00:00 | 1962.13 | 1197.00 |
| 2 | 97/522 | 2012-02-28 00:00:00 | 1962.13 | 765.13 |
| 3 | I77271-001 | 2011-12-26 00:00:00 | 662.00 | 50.00 |
| 4 | I77271-001 | 2011-12-26 00:00:00 | 662.00 | 75.60 |
| 5 | I77271-001 | 2011-12-26 00:00:00 | 662.00 | 58.40 |
| 6 | I77271-001 | 2011-12-26 00:00:00 | 662.00 | 478.00 |

## Description

* A join condition can include two or more conditions connected by AND or OR operators.

* In most cases, your code will be easier to read if you code the join condition in the ON expression and search conditions in the WHERE clause.

Figure 4-4    How to use compound join conditions

# How to use a self-join

A *self-join* is a join where a table is joined with itself. Although self-joins are rare, there are some unique queries that are best solved using self-joins.

Figure 4-5 presents an example of a self-join that uses the Vendors table. Notice that since the same table is used twice, correlation names are used to distinguish between the two occurrences of the table. In addition, each column name used in the query is qualified by the correlation name since the columns occur in both tables.

The join condition in this example uses three comparisons. The first two match the VendorCity and VendorState columns in the two tables. As a result, the query will return rows for vendors that reside in the same city and state as another vendor. Because a vendor resides in the same city and state as itself, however, a third comparison is included to exclude rows that match a vendor with itself. To do that, this condition uses the not equal operator to compare the VendorID columns in the two tables.

Notice that the DISTINCT keyword is also included in this SELECT statement. That way, a vendor appears only once in the result set. Otherwise, it would appear once for each row with a matching city and state.

This example also shows how you can use columns other than key columns in a join condition. Keep in mind, however, that this is an unusual situation and you're not likely to code joins like this often.

## A self-join that returns vendors from cities in common with other vendors

```
SELECT DISTINCT Vendors1.VendorName, Vendors1.VendorCity,
    Vendors1.VendorState
FROM Vendors AS Vendors1 JOIN Vendors AS Vendors2
    ON (Vendors1.VendorCity = Vendors2.VendorCity) AND
        (Vendors1.VendorState = Vendors2.VendorState) AND
        (Vendors1.VendorID <> Vendors2.VendorID)
ORDER BY Vendors1.VendorState, Vendors1.VendorCity;
```

## The result set

| | VendorName | VendorCity | VendorState |
|---|---|---|---|
| 1 | AT&T | Phoenix | AZ |
| 2 | Computer Library | Phoenix | AZ |
| 3 | Wells Fargo Bank | Phoenix | AZ |
| 4 | Aztek Label | Anaheim | CA |
| 5 | Blue Shield of California | Anaheim | CA |
| 6 | Abbey Office Furnishings | Fresno | CA |
| 7 | ASC Signs | Fresno | CA |
| 8 | BFI Industries | Fresno | CA |

**(84 rows)**

## Description

- A *self-join* is a join that joins a table with itself.
- When you code a self-join, you must use correlation names for the tables, and you must qualify each column name with the correlation name.
- Self-joins frequently include the DISTINCT keyword to eliminate duplicate rows.

Figure 4-5    How to use a self-join

# Inner joins that join more than two tables

So far in this chapter, you've seen how to join data from two tables. However, SQL Server lets you join data from up to 256 tables. Of course, it's not likely that you'll ever need to join data from more than a few tables. In addition, each join requires additional system resources, so you should limit the number of joined tables whenever possible.

The SELECT statement in figure 4-6 joins data from four tables: Vendors, Invoices, InvoiceLineItems, and GLAccounts. Each of the joins is based on the relationship between the primary key of one table and a foreign key of the other table. For example, the AccountNo column is the primary key of the GLAccounts table and a foreign key of the InvoiceLineItems table.

Below the SELECT statement, you can see three tables. The first one presents the result of the join between the Vendors and Invoices tables. This table can be referred to as an *interim table* because it contains interim results. Similarly, the second table shows the result of the join between the first interim table and the InvoiceLineItems table. And the third table shows the result of the join between the second interim table and the GLAccounts table after the ORDER BY sequence is applied.

As you review the three tables in this figure, keep in mind that SQL Server may not actually process the joins as illustrated here. However, the idea of interim tables should help you understand how multi-table joins work.

## A SELECT statement that joins four tables

```
SELECT VendorName, InvoiceNumber, InvoiceDate,
    InvoiceLineItemAmount AS LineItemAmount, AccountDescription
FROM Vendors
    JOIN Invoices ON Vendors.VendorID = Invoices.VendorID
    JOIN InvoiceLineItems
        ON Invoices.InvoiceID = InvoiceLineItems.InvoiceID
    JOIN GLAccounts ON InvoiceLineItems.AccountNo = GLAccounts.AccountNo
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0
ORDER BY VendorName, LineItemAmount DESC;
```

## The first interim table

| | VendorName | InvoiceNumber | InvoiceDate |
|---|---|---|---|
| 1 | Blue Cross | 547480102 | 2012-04-01 00:00:00 |
| 2 | Cardinal Business Media, Inc. | 134116 | 2012-03-28 00:00:00 |
| 3 | Data Reproductions Corp | 39104 | 2012-03-10 00:00:00 |
| 4 | Federal Express Corporation | 963253264 | 2012-03-18 00:00:00 |
| 5 | Federal Express Corporation | 263253268 | 2012-03-21 00:00:00 |
| 6 | Federal Express Corporation | 263253270 | 2012-03-22 00:00:00 |
| 7 | Federal Express Corporation | 263253273 | 2012-03-22 00:00:00 |

**(11 rows)**

## The second interim table

| | VendorName | InvoiceNumber | InvoiceDate | LineItemAmount |
|---|---|---|---|---|
| 1 | Blue Cross | 547480102 | 2012-04-01 00:00:00 | 224.00 |
| 2 | Cardinal Business Media, Inc. | 134116 | 2012-03-28 00:00:00 | 90.36 |
| 3 | Data Reproductions Corp | 39104 | 2012-03-10 00:00:00 | 85.31 |
| 4 | Federal Express Corporation | 263253273 | 2012-03-22 00:00:00 | 30.75 |
| 5 | Federal Express Corporation | 963253264 | 2012-03-18 00:00:00 | 52.25 |
| 6 | Federal Express Corporation | 263253268 | 2012-03-21 00:00:00 | 59.97 |
| 7 | Federal Express Corporation | 263253270 | 2012-03-22 00:00:00 | 67.92 |

**(11 rows)**

## The final result set

| | VendorName | InvoiceNumber | InvoiceDate | LineItemAmount | AccountDescription |
|---|---|---|---|---|---|
| 1 | Blue Cross | 547480102 | 2012-04-01 00:00:00 | 224.00 | Group Insurance |
| 2 | Cardinal Business Media, Inc. | 134116 | 2012-03-28 00:00:00 | 90.36 | Direct Mail Advertising |
| 3 | Data Reproductions Corp | 39104 | 2012-03-10 00:00:00 | 85.31 | Book Printing Costs |
| 4 | Federal Express Corporation | 263253270 | 2012-03-22 00:00:00 | 67.92 | Freight |
| 5 | Federal Express Corporation | 263253268 | 2012-03-21 00:00:00 | 59.97 | Freight |
| 6 | Federal Express Corporation | 963253264 | 2012-03-18 00:00:00 | 52.25 | Freight |
| 7 | Federal Express Corporation | 263253273 | 2012-03-22 00:00:00 | 30.75 | Freight |

**(11 rows)**

## Description

- You can think of a multi-table join as a series of two-table joins proceeding from left to right. The first two tables are joined to produce an *interim result set* or *interim table*. Then, the interim table is joined with the next table, and so on.

Figure 4-6    Inner joins that join more than two tables

## How to use the implicit inner join syntax

Earlier in this chapter, I mentioned that SQL Server provides an *implicit syntax* for joining tables. This syntax was used prior to the SQL-92 standards. Although I recommend you use the explicit syntax, you should be familiar with the implicit syntax in case you ever need to maintain SQL statements that use it.

Figure 4-7 presents the implicit syntax for an inner join along with two statements that use it. As you can see, the tables to be joined are simply listed in the FROM clause. Then, the join conditions are included in the WHERE clause.

The first SELECT statement, for example, joins data from the Vendors and Invoices table. Like the SELECT statement you saw back in figure 4-1, these tables are joined based on an equal comparison between the VendorID columns in the two tables. In this case, though, the comparison is coded as the search condition of the WHERE clause. If you compare the result set shown in this figure with the one in figure 4-1, you'll see that they're identical.

The second SELECT statement uses the implicit syntax to join data from four tables. This is the same join you saw in figure 4-6. Notice in this example that the three join conditions are combined in the WHERE clause using the AND operator. In addition, an AND operator is used to combine the join conditions with the search condition.

Because the explicit syntax for joins lets you separate join conditions from search conditions, statements that use the explicit syntax are typically easier to read than those that use the implicit syntax. In addition, the explicit syntax helps you avoid a common coding mistake with the implicit syntax: omitting the join condition. As you'll learn later in this chapter, an implicit join without a join condition results in a cross join, which can return a large number of rows. For these reasons, I recommend you use the explicit syntax in all your new SQL code.

## The implicit syntax for an inner join

```
SELECT select_list
FROM table_1, table_2 [, table_3]...
WHERE table_1.column_name operator table_2.column_name
    [AND table_2.column_name operator table_3.column_name]...
```

## A SELECT statement that joins the Vendors and Invoices tables

```
SELECT InvoiceNumber, VendorName
FROM Vendors, Invoices
WHERE Vendors.VendorID = Invoices.VendorID;
```

### The result set

| | InvoiceNumber | VendorName |
|---|---|---|
| 1 | QP58872 | IBM |
| 2 | Q545443 | IBM |
| 3 | 547481328 | Blue Cross |
| 4 | 547479217 | Blue Cross |
| 5 | 547480102 | Blue Cross |
| 6 | P02-88D77S7 | Fresno County Tax Collector |
| 7 | 40318 | Data Reproductions Corp |

## A statement that joins four tables

```
SELECT VendorName, InvoiceNumber, InvoiceDate,
    InvoiceLineItemAmount AS LineItemAmount, AccountDescription
FROM Vendors, Invoices, InvoiceLineItems, GLAccounts
WHERE Vendors.VendorID = Invoices.VendorID
  AND Invoices.InvoiceID = InvoiceLineItems.InvoiceID
  AND InvoiceLineItems.AccountNo = GLAccounts.AccountNo
  AND InvoiceTotal - PaymentTotal - CreditTotal > 0
ORDER BY VendorName, LineItemAmount DESC;
```

### The result set

| | VendorName | InvoiceNumber | InvoiceDate | LineItemAmount | AccountDescription |
|---|---|---|---|---|---|
| 1 | Blue Cross | 547480102 | 2012-04-01 00:00:00 | 224.00 | Group Insurance |
| 2 | Cardinal Business Media, Inc. | 134116 | 2012-03-28 00:00:00 | 90.36 | Direct Mail Advertising |
| 3 | Data Reproductions Corp | 39104 | 2012-03-10 00:00:00 | 85.31 | Book Printing Costs |
| 4 | Federal Express Corporation | 263253270 | 2012-03-22 00:00:00 | 67.92 | Freight |
| 5 | Federal Express Corporation | 263253268 | 2012-03-21 00:00:00 | 59.97 | Freight |
| 6 | Federal Express Corporation | 963253264 | 2012-03-18 00:00:00 | 52.25 | Freight |
| 7 | Federal Express Corporation | 263253273 | 2012-03-22 00:00:00 | 30.75 | Freight |

## Description

- Instead of coding a join condition in the FROM clause, you can code it in the WHERE clause along with any search conditions. Then, you simply list the tables you want to join in the FROM clause separated by commas.

- This syntax for coding joins is referred to as the *implicit syntax*, or the *theta syntax*. It was used prior to the SQL-92 standards, which introduced the explicit syntax.

- If you omit the join condition from the WHERE clause, a cross join is performed. You'll learn about cross joins later in this chapter.

Figure 4-7    How to use the implicit inner join syntax

# How to work with outer joins

Although inner joins are the type of join you'll use most often, SQL Server also supports *outer joins*. Unlike an inner join, an outer join returns all of the rows from one or both tables involved in the join, regardless of whether the join condition is true. You'll see how this works in the topics that follow.

## How to code an outer join

Figure 4-8 presents the explicit syntax for coding an outer join. Because this syntax is similar to the explicit syntax for inner joins, you shouldn't have any trouble understanding how it works. The main difference is that you include the LEFT, RIGHT, or FULL keyword to specify the type of outer join you want to perform. As you can see in the syntax, you can also include the OUTER keyword, but it's optional and is usually omitted.

The table in this figure summarizes the differences between left, right, and full outer joins. When you use a *left outer join*, the result set includes all the rows from the first, or left, table. Similarly, when you use a *right outer join*, the result set includes all the rows from the second, or right, table. And when you use a *full outer join*, the result set includes all the rows from both tables.

The example in this figure illustrates a left outer join. Here, the Vendors table is joined with the Invoices table. Notice that the result set includes vendor rows even if no matching invoices are found. In that case, null values are returned for the columns in the Invoices table.

When coding outer joins, it's a common practice to avoid using right joins. To do that, you can substitute a left outer join for a right outer join by reversing the order of the tables in the FROM clause and using the LEFT keyword instead of RIGHT. This often makes it easier to read statements that join more than two tables.

In addition to the explicit syntax for coding outer joins, earlier versions of SQL Server provided for an implicit syntax. This worked much the same as the implicit syntax for coding inner joins. For outer joins, however, you used the *= operator in the WHERE clause to identify a left outer join, and you used the =* operator to identify a right outer join. Although you can't use these operators in SQL Server 2005 and later, you should at least be aware of them in case you come across them in older queries.

## The explicit syntax for an outer join

```
SELECT select_list
FROM table_1
    {LEFT|RIGHT|FULL} [OUTER] JOIN table_2
        ON join_condition_1
    [{LEFT|RIGHT|FULL} [OUTER] JOIN table_3
        ON join_condition_2]...
```

## What outer joins do

| Joins of this type | Keep unmatched rows from |
|---|---|
| Left outer join | The first (left) table |
| Right outer join | The second (right) table |
| Full outer join | Both tables |

## A SELECT statement that uses a left outer join

```
SELECT VendorName, InvoiceNumber, InvoiceTotal
FROM Vendors LEFT JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
ORDER BY VendorName;
```

| | VendorName | InvoiceNumber | InvoiceTotal |
|---|---|---|---|
| 1 | Abbey Office Furnishings | 203339-13 | 17.50 |
| 2 | American Booksellers Assoc | NULL | NULL |
| 3 | American Express | NULL | NULL |
| 4 | ASC Signs | NULL | NULL |
| 5 | Ascom Hasler Mailing Systems | NULL | NULL |
| 6 | AT&T | NULL | NULL |

```
(202 rows)
```

## Description

- An *outer join* retrieves all rows that satisfy the join condition, plus unmatched rows in one or both tables.
- In most cases, you use the equal operator to retrieve rows with matching columns. However, you can also use any of the other comparison operators.
- When a row with unmatched columns is retrieved, any columns from the other table that are included in the result set are given null values.

## Notes

- The OUTER keyword is optional and typically omitted.
- Prior to SQL Server 2005, you could also use the implicit syntax to code left outer and right outer joins. To do that, you listed the tables to be joined in the FROM clause, and you used the *= (left) and =* (right) operators in the WHERE clause to specify the join condition.

Figure 4-8    How to code an outer join

# Outer join examples

To give you a better understanding of how outer joins work, figure 4-9 presents three more examples. These examples use the Departments and Employees tables shown at the top of this figure. In each case, the join condition joins the tables based on the values in their DeptNo columns.

The first SELECT statement performs a left outer join on these two tables. In the result set produced by this statement, you can see that department number 3 is included in the result set even though none of the employees in the Employees table work in that department. Because of that, a null value is assigned to the LastName column from that table.

The second SELECT statement uses a right outer join. In this case, all of the rows from the Employees table are included in the result set. Notice, however, that two of the employees, Watson and Locario, are assigned to a department that doesn't exist in the Departments table. Of course, if the DeptNo column in this table had been defined as a foreign key to the Departments table, this would not have been allowed. In this case, though, a foreign key wasn't defined, so null values are returned for the DeptName column in these two rows.

The third SELECT statement in this figure illustrates a full outer join. If you compare the results of this query with the results of the queries that use a left and right outer join, you'll see that this is a combination of the two joins. In other words, each row in the Departments table is included in the result set, along with each row in the Employees table. Because the DeptNo column from both tables is included in this example, you can clearly identify the row in the Departments table that doesn't have a matching row in the Employees table and the two rows in the Employees table that don't have matching rows in the Departments table.

**The Departments table**

| | DeptName | DeptNo |
|---|---|---|
| 1 | Accounting | 1 |
| 2 | Payroll | 2 |
| 3 | Operations | 3 |
| 4 | Personnel | 4 |
| 5 | Maintenance | 5 |

**The Employees table**

| | EmployeeID | LastName | FirstName | DeptNo |
|---|---|---|---|---|
| 1 | 1 | Smith | Cindy | 2 |
| 2 | 2 | Jones | Elmer | 4 |
| 3 | 3 | Simonian | Ralph | 2 |
| 4 | 4 | Hernandez | Olivia | 1 |
| 5 | 5 | Aaronsen | Robert | 2 |
| 6 | 6 | Watson | Denise | 6 |
| 7 | 7 | Hardy | Thomas | 5 |
| 8 | 8 | O'Leary | Rhea | 4 |
| 9 | 9 | Locario | Paulo | 6 |

## A left outer join

```
SELECT DeptName, Departments.DeptNo,
    LastName
FROM Departments LEFT JOIN Employees
    ON Departments.DeptNo =
        Employees.DeptNo;
```

| | DeptName | DeptNo | LastName |
|---|---|---|---|
| 1 | Accounting | 1 | Hernandez |
| 2 | Payroll | 2 | Smith |
| 3 | Payroll | 2 | Simonian |
| 4 | Payroll | 2 | Aaronsen |
| 5 | Operations | 3 | NULL |
| 6 | Personnel | 4 | Jones |
| 7 | Personnel | 4 | O'Leary |
| 8 | Maintenance | 5 | Hardy |

## A right outer join

```
SELECT DeptName, Employees.DeptNo,
    LastName
FROM Departments RIGHT JOIN Employees
    ON Departments.DeptNo =
        Employees.DeptNo;
```

| | DeptName | DeptNo | LastName |
|---|---|---|---|
| 1 | Payroll | 2 | Smith |
| 2 | Personnel | 4 | Jones |
| 3 | Payroll | 2 | Simonian |
| 4 | Accounting | 1 | Hernandez |
| 5 | Payroll | 2 | Aaronsen |
| 6 | NULL | 6 | Watson |
| 7 | Maintenance | 5 | Hardy |
| 8 | Personnel | 4 | O'Leary |
| 9 | NULL | 6 | Locario |

## A full outer join

```
SELECT DeptName, Departments.DeptNo,
    Employees.DeptNo, LastName
FROM Departments FULL JOIN Employees
    ON Departments.DeptNo =
        Employees.DeptNo;
```

| | DeptName | DeptNo | DeptNo | LastName |
|---|---|---|---|---|
| 1 | Accounting | 1 | 1 | Hernandez |
| 2 | Payroll | 2 | 2 | Smith |
| 3 | Payroll | 2 | 2 | Simonian |
| 4 | Payroll | 2 | 2 | Aaronsen |
| 5 | Operations | 3 | NULL | NULL |
| 6 | Personnel | 4 | 4 | Jones |
| 7 | Personnel | 4 | 4 | O'Leary |
| 8 | Maintenance | 5 | 5 | Hardy |
| 9 | NULL | NULL | 6 | Watson |
| 10 | NULL | NULL | 6 | Locario |

## Description

- From these examples, you can see that none of the employees in the Employees table work in the Operations department, and two of the employees (Watson and Locario) work in a department that doesn't exist in the Departments table.

Figure 4-9    Outer join examples

# Outer joins that join more than two tables

Like inner joins, you can use outer joins to join data from more than two tables. The two examples in figure 4-10 illustrate how this works. These examples use the Departments and Employees tables you saw in the previous figure, along with a Projects table. All three of these tables are shown at the top of this figure.

The first example in this figure uses left outer joins to join the data in the three tables. Here, you can see once again that none of the employees in the Employees table are assigned to the Operations department. Because of that, null values are returned for the columns in both the Employees and Projects tables. In addition, you can see that two employees, Hardy and Jones, aren't assigned to a project.

The second example in this figure uses full outer joins to join the three tables. This result set includes unmatched rows from the Departments and Employees table just like the result set you saw in figure 4-9 that was created using a full outer join. In addition, the result set in this example includes an unmatched row from the Projects table: the one for project number P1014. In other words, none of the employees are assigned to this project.

### The Departments table

| | DeptName | DeptNo |
|---|---|---|
| 1 | Accounting | 1 |
| 2 | Payroll | 2 |
| 3 | Operations | 3 |
| 4 | Personnel | 4 |
| 5 | Maintenance | 5 |

### The Employees table
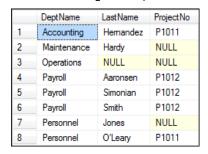
| | EmployeeID | LastName | FirstName | DeptNo |
|---|---|---|---|---|
| 1 | 1 | Smith | Cindy | 2 |
| 2 | 2 | Jones | Elmer | 4 |
| 3 | 3 | Simonian | Ralph | 2 |
| 4 | 4 | Hernandez | Olivia | 1 |
| 5 | 5 | Aaronsen | Robert | 2 |
| 6 | 6 | Watson | Denise | 6 |
| 7 | 7 | Hardy | Thomas | 5 |
| 8 | 8 | O'Leary | Rhea | 4 |
| 9 | 9 | Locario | Paulo | 6 |

### The Projects table

| | ProjectNo | EmployeeID |
|---|---|---|
| 1 | P1011 | 8 |
| 2 | P1011 | 4 |
| 3 | P1012 | 3 |
| 4 | P1012 | 1 |
| 5 | P1012 | 5 |
| 6 | P1013 | 6 |
| 7 | P1013 | 9 |
| 8 | P1014 | 10 |

## A SELECT statement that joins the three tables using left outer joins

```
SELECT DeptName, LastName, ProjectNo
FROM Departments
    LEFT JOIN Employees
        ON Departments.DeptNo = Employees.DeptNo
    LEFT JOIN Projects
        ON Employees.EmployeeID = Projects.EmployeeID
ORDER BY DeptName, LastName, ProjectNo;
```

| | DeptName | LastName | ProjectNo |
|---|---|---|---|
| 1 | Accounting | Hernandez | P1011 |
| 2 | Maintenance | Hardy | NULL |
| 3 | Operations | NULL | NULL |
| 4 | Payroll | Aaronsen | P1012 |
| 5 | Payroll | Simonian | P1012 |
| 6 | Payroll | Smith | P1012 |
| 7 | Personnel | Jones | NULL |
| 8 | Personnel | O'Leary | P1011 |

## A SELECT statement that joins the three tables using full outer joins

```
SELECT DeptName, LastName, ProjectNo
FROM Departments
    FULL JOIN Employees
        ON Departments.DeptNo = Employees.DeptNo
    FULL JOIN Projects
        ON Employees.EmployeeID = Projects.EmployeeID
ORDER BY DeptName;
```

| | DeptName | LastName | ProjectNo |
|---|---|---|---|
| 1 | NULL | Watson | P1013 |
| 2 | NULL | Locario | P1013 |
| 3 | NULL | NULL | P1014 |
| 4 | Accounting | Hernandez | P1011 |
| 5 | Maintenance | Hardy | NULL |
| 6 | Operations | NULL | NULL |
| 7 | Payroll | Smith | P1012 |
| 8 | Payroll | Simonian | P1012 |
| 9 | Payroll | Aaronsen | P1012 |
| 10 | Personnel | Jones | NULL |
| 11 | Personnel | O'Leary | P1011 |

Figure 4-10    Outer joins that join more than two tables

# Other skills for working with joins

The two topics that follow present two additional skills for working with joins. In the first topic, you'll learn how to use inner and outer joins in the same statement. Then, in the second topic, you'll learn how to use another type of join, called a cross join.

## How to combine inner and outer joins

Figure 4-11 shows how you can combine inner and outer joins. In this example, the Departments table is joined with the Employees table using an inner join. The result is an interim table that includes departments with one or more employees. Notice that the EmployeeID column is shown in this table even though it's not included in the final result set. That's because it's used by the join that follows.

After the Departments and Employees tables are joined, the interim table is joined with the Projects table using a left outer join. The result is a table that includes all of the departments that have employees assigned to them, all of the employees assigned to those departments, and the projects those employees are assigned. Here, you can clearly see that two employees, Hardy and Jones, haven't been assigned projects.

**The Departments table**     **The Employees table**     **The Projects table**

| | DeptName | DeptNo |
|---|---|---|
| 1 | Accounting | 1 |
| 2 | Payroll | 2 |
| 3 | Operations | 3 |
| 4 | Personnel | 4 |
| 5 | Maintenance | 5 |

| | EmployeeID | LastName | FirstName | DeptNo |
|---|---|---|---|---|
| 1 | 1 | Smith | Cindy | 2 |
| 2 | 2 | Jones | Elmer | 4 |
| 3 | 3 | Simonian | Ralph | 2 |
| 4 | 4 | Hernandez | Olivia | 1 |
| 5 | 5 | Aaronsen | Robert | 2 |
| 6 | 6 | Watson | Denise | 6 |
| 7 | 7 | Hardy | Thomas | 5 |
| 8 | 8 | O'Leary | Rhea | 4 |
| 9 | 9 | Locario | Paulo | 6 |

| | ProjectNo | EmployeeID |
|---|---|---|
| 1 | P1011 | 8 |
| 2 | P1011 | 4 |
| 3 | P1012 | 3 |
| 4 | P1012 | 1 |
| 5 | P1012 | 5 |
| 6 | P1013 | 6 |
| 7 | P1013 | 9 |
| 8 | P1014 | 10 |

## A SELECT statement that combines an outer and an inner join

```
SELECT DeptName, LastName, ProjectNo
FROM Departments
    JOIN Employees
        ON Departments.DeptNo = Employees.DeptNo
    LEFT JOIN Projects
        ON Employees.EmployeeID = Projects.EmployeeID
ORDER BY DeptName;
```

## The interim table

| | DeptName | LastName | EmployeeID |
|---|---|---|---|
| 1 | Payroll | Smith | 1 |
| 2 | Personnel | Jones | 2 |
| 3 | Payroll | Simonian | 3 |
| 4 | Accounting | Hernandez | 4 |
| 5 | Payroll | Aaronsen | 5 |
| 6 | Maintenance | Hardy | 7 |
| 7 | Personnel | O'Leary | 8 |

## The result set

| | DeptName | LastName | ProjectNo |
|---|---|---|---|
| 1 | Accounting | Hernandez | P1011 |
| 2 | Maintenance | Hardy | NULL |
| 3 | Payroll | Smith | P1012 |
| 4 | Payroll | Simonian | P1012 |
| 5 | Payroll | Aaronsen | P1012 |
| 6 | Personnel | Jones | NULL |
| 7 | Personnel | O'Leary | P1011 |

## Description

- You can combine inner and outer joins within a single SELECT statement using the explicit join syntax. You can't combine inner and outer joins using the implicit syntax.

Figure 4-11     How to combine inner and outer joins

# How to use cross joins

A *cross join* produces a result set that includes each row from the first table joined with each row from the second table. The result set is known as the *Cartesian product* of the tables. Figure 4-12 shows how to code a cross join using either the explicit or implicit syntax.

To use the explicit syntax, you include the CROSS JOIN keywords between the two tables in the FROM clause. Notice that because of the way a cross join works, you don't include a join condition. The same is true when you use the implicit syntax. In that case, you simply list the tables in the FROM clause and omit the join condition from the WHERE clause.

The two SELECT statements in this figure illustrate how cross joins work. Both of these statements combine data from the Departments and Employees tables. As you can see, the result is a table that includes 45 rows. That's each of the five rows in the Departments table combined with each of the nine rows in the Employees table. Although this result set is relatively small, you can imagine how large it would be if the tables included hundreds or thousands of rows.

As you study these examples, you should realize that cross joins have few practical uses. As a result, you'll rarely, if ever, need to use one.

## How to code a cross join using the explicit syntax

### The explicit syntax for a cross join

```
SELECT select_list
FROM table_1 CROSS JOIN table_2
```

### A cross join that uses the explicit syntax

```
SELECT Departments.DeptNo, DeptName, EmployeeID, LastName
FROM Departments CROSS JOIN Employees
ORDER BY Departments.DeptNo;
```

## How to code a cross join using the implicit syntax

### The implicit syntax for a cross join

```
SELECT select_list
FROM table_1, table_2
```

### A cross join that uses the implicit syntax

```
SELECT Departments.DeptNo, DeptName, EmployeeID, LastName
FROM Departments, Employees
ORDER BY Departments.DeptNo;
```

## The result set created by the statements above

| | DeptNo | DeptName | EmployeeID | LastName |
|---|---|---|---|---|
| 1 | 1 | Accounting | 1 | Smith |
| 2 | 1 | Accounting | 2 | Jones |
| 3 | 1 | Accounting | 3 | Simonian |
| 4 | 1 | Accounting | 4 | Hernandez |
| 5 | 1 | Accounting | 5 | Aaronsen |
| 6 | 1 | Accounting | 6 | Watson |
| 7 | 1 | Accounting | 7 | Hardy |

**(45 rows)**

## Description

- A *cross join* joins each row from the first table with each row from the second table. The result set returned by a cross join is known as a *Cartesian product.*
- To code a cross join using the explicit syntax, use the CROSS JOIN keywords in the FROM clause.
- To code a cross join using the implicit syntax, list the tables in the FROM clause and omit the join condition from the WHERE clause.

Figure 4-12     How to use cross joins

# How to work with unions

Like a join, a *union* combines data from two or more tables. Instead of combining columns from base tables, however, a union combines rows from two or more result sets. You'll see how that works in the topics that follow.

## The syntax of a union

Figure 4-13 shows how to code a union. As the syntax shows, you create a union by connecting two or more SELECT statements with the UNION keyword. For this to work, the result of each SELECT statement must have the same number of columns, and the data types of the corresponding columns in each table must be compatible.

In this syntax, I have indented all of the SELECT statements that are connected by the UNION operator to make it easier to see how this statement works. However, in a production environment, it's common to see the SELECT statements and the UNION operator coded at the same level of indentation.

If you want to sort the result of a union operation, you can code an ORDER BY clause after the last SELECT statement. Note that the column names you use in this clause must be the same as those used in the first SELECT statement. That's because the column names you use in the first SELECT statement are the ones that are used in the result set.

By default, a union operation removes duplicate rows from the result set. If that's not what you want, you can include the ALL keyword. In most cases, though, you'll omit this keyword.

## Unions that combine data from different tables

The example in this figure shows how to use a union to combine data from two different tables. In this case, the ActiveInvoices table contains invoices with outstanding balances, and the PaidInvoices table contains invoices that have been paid in full. Both of these tables have the same structure as the Invoices table you've seen in previous figures.

This union operation combines the rows in both tables that have an invoice date on or after 2/1/2012. Notice that the first SELECT statement includes a column named Source that contains the literal value "Active." The second SELECT statement includes a column by the same name, but it contains the literal value "Paid." This column is used to indicate which table each row in the result set came from.

Although this column is assigned the same name in both SELECT statements, you should realize that doesn't have to be the case. In fact, none of the columns have to have the same names. Corresponding columns do have to have compatible data types. But the corresponding relationships are determined by the order in which the columns are coded in the SELECT clauses, not by their names. When you use column aliases, though, you'll typically assign the same name to corresponding columns so that the statement is easier to understand.

## The syntax for a union operation

```
    SELECT_statement_1
UNION [ALL]
    SELECT_statement_2
[UNION [ALL]
    SELECT_statement_3]...
[ORDER BY order_by_list]
```

## A union that combines invoice data from two different tables

```
    SELECT 'Active' AS Source, InvoiceNumber, InvoiceDate, InvoiceTotal
    FROM ActiveInvoices
    WHERE InvoiceDate >= '02/01/2012'
UNION
    SELECT 'Paid' AS Source, InvoiceNumber, InvoiceDate, InvoiceTotal
    FROM PaidInvoices
    WHERE InvoiceDate >= '02/01/2012'
ORDER BY InvoiceTotal DESC;
```

## The result set

| | Source | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|---|
| 1 | Paid | P-0259 | 2012-03-19 00:00:00 | 26881.40 |
| 2 | Paid | 0-2060 | 2012-03-24 00:00:00 | 23517.58 |
| 3 | Paid | 40318 | 2012-02-01 00:00:00 | 21842.00 |
| 4 | Active | P-0608 | 2012-03-23 00:00:00 | 20551.18 |
| 5 | Active | 0-2436 | 2012-03-31 00:00:00 | 10976.06 |
| 6 | Paid | 509786 | 2012-02-18 00:00:00 | 6940.25 |
| 7 | Paid | 989319-447 | 2012-03-24 00:00:00 | 3689.99 |
| 8 | Paid | 989319-437 | 2012-02-01 00:00:00 | 2765.36 |
| 9 | Paid | 367447 | 2012-02-11 00:00:00 | 2433.00 |

**(72 rows)**

## Description

- A *union* combines the result sets of two or more SELECT statements into one result set.

- Each result set must return the same number of columns, and the corresponding columns in each result set must have compatible data types.

- By default, a union eliminates duplicate rows. If you want to include duplicate rows, code the ALL keyword.

- The column names in the final result set are taken from the first SELECT clause. Column aliases assigned by the other SELECT clauses have no effect on the final result set.

- To sort the rows in the final result set, code an ORDER BY clause after the last SELECT statement. This clause must refer to the column names assigned in the first SELECT clause.

Figure 4-13    How to combine data from different tables

# Unions that combine data from the same table

Figure 4-14 shows how to use unions to combine data from a single table. In the first example, rows from the Invoices table that have a balance due are combined with rows from the same table that are paid in full. As in the example in the previous figure, a column named Source is added at the beginning of each interim table. That way, the final result set indicates whether each invoice is active or paid.

The second example in this figure shows how you can use a union with data that's joined from two tables. Here, each SELECT statement joins data from the Invoices and Vendors tables. The first SELECT statement retrieves invoices with totals greater than $10,000. Then, it calculates a payment of 33% of the invoice total. The two other SELECT statements are similar. The second one retrieves invoices with totals between $500 and $10,000 and calculates a 50% payment. And the third one retrieves invoices with totals less than $500 and sets the payment amount at 100% of the total. Although this is somewhat unrealistic, it helps illustrate the flexibility of union operations.

Notice in this example that the same column aliases are assigned in each SELECT statement. Although the aliases in the second and third SELECT statements have no effect on the query, I think they make the query easier to read. In particular, it makes it easy to see that the three SELECT statements have the same number and types of columns.

## A union that combines information from the Invoices table

```
    SELECT 'Active' AS Source, InvoiceNumber, InvoiceDate, InvoiceTotal
    FROM Invoices
    WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0
UNION
    SELECT 'Paid' AS Source, InvoiceNumber, InvoiceDate, InvoiceTotal
    FROM Invoices
    WHERE InvoiceTotal - PaymentTotal - CreditTotal <= 0
ORDER BY InvoiceTotal DESC;
```

### The result set

| | Source | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|---|
| 1 | Paid | 0-2058 | 2012-01-28 00:00:00 | 37966.19 |
| 2 | Paid | P-0259 | 2012-03-19 00:00:00 | 26881.40 |
| 3 | Paid | 0-2060 | 2012-03-24 00:00:00 | 23517.58 |
| 4 | Paid | 40318 | 2012-02-01 00:00:00 | 21842.00 |
| 5 | Active | P-0608 | 2012-03-23 00:00:00 | 20551.18 |

**(114 rows)**

## A union that combines payment data from the same joined tables

```
    SELECT InvoiceNumber, VendorName, '33% Payment' AS PaymentType,
        InvoiceTotal AS Total, (InvoiceTotal * 0.333) AS Payment
    FROM Invoices JOIN Vendors
        ON Invoices.VendorID = Vendors.VendorID
    WHERE InvoiceTotal > 10000
UNION
    SELECT InvoiceNumber, VendorName, '50% Payment' AS PaymentType,
        InvoiceTotal AS Total, (InvoiceTotal * 0.5) AS Payment
    FROM Invoices JOIN Vendors
        ON Invoices.VendorID = Vendors.VendorID
    WHERE InvoiceTotal BETWEEN 500 AND 10000
UNION
    SELECT InvoiceNumber, VendorName, 'Full amount' AS PaymentType,
        InvoiceTotal AS Total, InvoiceTotal AS Payment
    FROM Invoices JOIN Vendors
        ON Invoices.VendorID = Vendors.VendorID
    WHERE InvoiceTotal < 500
ORDER BY PaymentType, VendorName, InvoiceNumber;
```

### The result set

| | InvoiceNumber | VendorName | PaymentType | Total | Payment |
|---|---|---|---|---|---|
| 6 | P-0608 | Malloy Lithographing Inc | 33% Payment | 20551.18 | 6843.5429400 |
| 7 | 509786 | Bertelsmann Industry S... | 50% Payment | 6940.25 | 3470.1250000 |
| 8 | 587056 | Cahners Publishing Co... | 50% Payment | 2184.50 | 1092.2500000 |
| 9 | 367447 | Computerworld | 50% Payment | 2433.00 | 1216.5000000 |

**(114 rows)**

Figure 4-14   Unions that combine data from the same table

# How to use the EXCEPT and INTERSECT operators

The EXCEPT and INTERSECT operators were introduced with SQL Server 2005. Like the UNION operator, the EXCEPT and INTERSECT operators work with two or more result sets as shown in figure 4-15. Because of that, all three of these operators can be referred to as *set operators*. In addition, the EXCEPT and INTERSECT operators follow many of the same rules as the UNION operator.

The first query shown in this figure uses the EXCEPT operator to return the first and last names of all customers in the Customers table except any customers whose first and last names also exist in the Employees table. Since Thomas Hardy is the only name that's the same in both tables, this is the only row that's excluded from the result set for the query that comes before the EXCEPT operator.

The second query shown in this figure uses the INTERSECT operator to return the first and last names of all customers in the Customers table whose first and last names also exist in the Employees table. Since Thomas Hardy is the only name that exists in both tables, this is the only row that's returned for the result set for this query.

When you use the EXCEPT and INTERSECT operators, you must follow many of the same rules that you must follow when working with the UNION operator. To start, both of the statements that are connected by these operators must return the same number of columns. In addition, the data types for these columns must be compatible. Finally, when two queries are joined by an EXCEPT or INTERSECT operator, the column names in the final result set are taken from the first query. That's why the ORDER BY clause uses the CustomerLast column specified by the first query instead of the LastName column specified by the second query. If you understand how the UNION operator works, you shouldn't have any trouble understanding these rules.

Although it's often possible to get the same result sets by using an inner join or a subquery instead of the EXCEPT and INTERSECT operators, these operators are a helpful feature of SQL Server that can make it easier to compare two result sets.

## The syntax for the EXCEPT and INTERSECT operations

```
    SELECT_statement_1
{EXCEPT|INTERSECT}
    SELECT_statement_2
[ORDER BY order_by_list]
```

## The Customers table

| | CustomerLast | CustomerFirst |
|---|---|---|
| 1 | Anders | Maria |
| 2 | Trujillo | Ana |
| 3 | Moreno | Antonio |
| 4 | Hardy | Thomas |
| 5 | Berglund | Christina |
| 6 | Moos | Hanna |

**(24 rows)**

## The Employees table

| | LastName | FirstName |
|---|---|---|
| 4 | Hernandez | Olivia |
| 5 | Aaronsen | Robert |
| 6 | Watson | Denise |
| 7 | Hardy | Thomas |
| 8 | O'Leary | Rhea |
| 9 | Locario | Paulo |

**(9 rows)**

## A query that excludes rows from the first query if they also occur in the second query

```
    SELECT CustomerFirst, CustomerLast
    FROM Customers
EXCEPT
    SELECT FirstName, LastName
    FROM Employees
ORDER BY CustomerLast;
```

### The result set

| | CustomerFirst | CustomerLast |
|---|---|---|
| 4 | Donna | Chelan |
| 5 | Fred | Citeaux |
| 6 | Karl | Jablonski |
| 7 | Yoshi | Latimer |

**(23 rows)**

## A query that only includes rows that occur in both queries

```
    SELECT CustomerFirst, CustomerLast
    FROM Customers
INTERSECT
    SELECT FirstName, LastName
    FROM Employees;
```

### The result set

| | CustomerFirst | CustomerLast |
|---|---|---|
| 1 | Thomas | Hardy |

**(1 row)**

## Description

- The number of columns must be the same in both SELECT statements.
- The data types for each column must be compatible.
- The column names in the final result set are taken from the first SELECT statement.

---

Figure 4-15     How to use the EXCEPT and INTERSECT operators

# Perspective

In this chapter, you learned a variety of techniques for combining data from two or more tables into a single result set. In particular, you learned how to use the SQL-92 syntax for combining data using inner joins. Of all the techniques presented in this chapter, this is the one you'll use most often. So you'll want to be sure you understand it thoroughly before you go on.

# Terms

join
join condition
inner join
ad hoc relationship
qualified column name
explicit syntax
correlation name
table alias
fully-qualified object name
partially-qualified object name
self-join
interim result set
interim table
implicit syntax
theta syntax
outer join
left outer join
right outer join
full outer join
cross join
Cartesian product
union
set operator

## Exercises

Unless otherwise stated, use the explicit join syntax.

1. Write a SELECT statement that returns all columns from the Vendors table inner-joined with the Invoices table.

2. Write a SELECT statement that returns four columns:

   | | |
   |---|---|
   | VendorName | From the Vendors table |
   | InvoiceNumber | From the Invoices table |
   | InvoiceDate | From the Invoices table |
   | Balance | InvoiceTotal minus the sum of PaymentTotal and CreditTotal |

   The result set should have one row for each invoice with a non-zero balance. Sort the result set by VendorName in ascending order.

3. Write a SELECT statement that returns three columns:

   | | |
   |---|---|
   | VendorName | From the Vendors table |
   | DefaultAccountNo | From the Vendors table |
   | AccountDescription | From the GLAccounts table |

   The result set should have one row for each vendor, with the account number and account description for that vendor's default account number. Sort the result set by AccountDescription, then by VendorName.

4. Generate the same result set described in exercise 2, but use the implicit join syntax.

5. Write a SELECT statement that returns five columns from three tables, all using column aliases:

   | | |
   |---|---|
   | Vendor | VendorName column |
   | Date | InvoiceDate column |
   | Number | InvoiceNumber column |
   | # | InvoiceSequence column |
   | LineItem | InvoiceLineItemAmount column |

   Assign the following correlation names to the tables:

   | | |
   |---|---|
   | v | Vendors table |
   | i | Invoices table |
   | li | InvoiceLineItems table |

   Sort the final result set by Vendor, Date, Number, and #.

6.    Write a SELECT statement that returns three columns:

      VendorID        From the Vendors table

      VendorName     From the Vendors table

      Name            A concatenation of VendorContactFName and VendorContactLName, with a space in between

The result set should have one row for each vendor whose contact has the same first name as another vendor's contact. Sort the final result set by Name.

Hint: Use a self-join.

7.    Write a SELECT statement that returns two columns from the GLAccounts table: AccountNo and AccountDescription. The result set should have one row for each account number that has never been used. Sort the final result set by AccountNo.

Hint: Use an outer join to the InvoiceLineItems table.

8.    Use the UNION operator to generate a result set consisting of two columns from the Vendors table: VendorName and VendorState. If the vendor is in California, the VendorState value should be "CA"; otherwise, the VendorState value should be "Outside CA." Sort the final result set by VendorName.

# 5

# How to code summary queries

In this chapter, you'll learn how to code queries that summarize data. For example, you can use summary queries to report sales totals by vendor or state, or to get a count of the number of invoices that were processed each day of the month. You'll also learn how to use a special type of function called an aggregate function. Aggregate functions allow you to easily do jobs like figure averages or totals, or find the highest value for a given column. So you'll use them frequently in your summary queries.

# How to work with aggregate functions

In chapter 3, you were introduced to *scalar functions*, which operate on a single value and return a single value. In this chapter, you'll learn how to use *aggregate functions*, which operate on a series of values and return a single summary value. Because aggregate functions typically operate on the values in columns, they are sometimes referred to as *column functions*. A query that contains one or more aggregate functions is typically referred to as a *summary query*.

## How to code aggregate functions

Figure 5-1 presents the syntax of the most common aggregate functions. Since the purpose of these functions is self-explanatory, I'll focus mainly on how you use them.

All of the functions but one operate on an expression. In the query in this figure, for example, the expression that's coded for the SUM function calculates the balance due of an invoice using the InvoiceTotal, PaymentTotal, and CreditTotal columns. The result is a single value that represents the total amount due for all the selected invoices. If you look at the WHERE clause in this example, you'll see that it includes only those invoices with a balance due.

In addition to an expression, you can also code the ALL or DISTINCT keyword in these functions. ALL is the default, which means that all values are included in the calculation. The exceptions are null values, which are always excluded from these functions.

If you don't want duplicate values included, you can code the DISTINCT keyword. In most cases, you'll use DISTINCT only with the COUNT function. You'll see an example of that in the next figure. You won't use it with MIN or MAX because it has no effect on those functions. And it doesn't usually make sense to use it with the AVG and SUM functions.

Unlike the other aggregate functions, you can't use the ALL or DISTINCT keywords or an expression with COUNT(*). Instead, you code this function exactly as shown in the syntax. The value returned by this function is the number of rows in the base table that satisfy the search condition of the query, including rows with null values. The COUNT(*) function in the query in this figure, for example, indicates that the Invoices table contains 11 invoices with a balance due.

## The syntax of the aggregate functions

| Function syntax | Result |
|---|---|
| `AVG([ALL|DISTINCT] expression)` | The average of the non-null values in the expression. |
| `SUM([ALL|DISTINCT] expression)` | The total of the non-null values in the expression. |
| `MIN([ALL|DISTINCT] expression)` | The lowest non-null value in the expression. |
| `MAX([ALL|DISTINCT] expression)` | The highest non-null value in the expression. |
| `COUNT([ALL|DISTINCT] expression)` | The number of non-null values in the expression. |
| `COUNT(*)` | The number of rows selected by the query. |

## A summary query that counts unpaid invoices and calculates the total due

```
SELECT COUNT(*) AS NumberOfInvoices,
    SUM(InvoiceTotal - PaymentTotal - CreditTotal) AS TotalDue
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

## The result set

| | NumberOfInvoices | TotalDue |
|---|---|---|
| 1 | 11 | 32020.42 |

## Description

- *Aggregate functions*, also called *column functions*, perform a calculation on the values in a set of selected rows. You specify the values to be used in the calculation by coding an expression for the function's argument. In many cases, the expression is just the name of a column.

- A SELECT statement that includes an aggregate function can be called a *summary query*.

- The expression you specify for the AVG and SUM functions must result in a numeric value. The expression for the MIN, MAX, and COUNT functions can result in a numeric, date, or string value.

- By default, all values are included in the calculation regardless of whether they're duplicated. If you want to omit duplicate values, code the DISTINCT keyword. This keyword is typically used only with the COUNT function.

- All of the aggregate functions except for COUNT(*) ignore null values.

- Aggregate functions are often used with the GROUP BY clause of the SELECT statement, which is used to group the rows in a result set. See figure 5-3 for more information.

- If you code an aggregate function in the SELECT clause, that clause can't include non-aggregate columns from the base table unless the column is specified in a GROUP BY clause or the OVER clause is included for each aggregate function. See figure 5-10 for more information on the OVER clause.

Figure 5-1    How to code aggregate functions

## Queries that use aggregate functions

Figure 5-2 presents four more queries that use aggregate functions. Before I describe these queries, you should know that with three exceptions, a SELECT clause that contains an aggregate function can contain only aggregate functions. The first exception is if the column specification results in a literal value. This is illustrated by the first column in the first two queries in this figure. The second exception is if the query includes a GROUP BY clause. Then, the SELECT clause can include any columns specified in the GROUP BY clause. The third exception is if the aggregate functions include the OVER clause. Then, the SELECT clause can include any columns from the base tables. You'll see how you use the GROUP BY and OVER clauses later in this chapter.

The first two queries in this figure use the COUNT(*) function to count the number of rows in the Invoices table that satisfy the search condition. In both cases, only those invoices with invoice dates after 9/1/2011 are included in the count. In addition, the first query uses the AVG function to calculate the average amount of those invoices and the SUM function to calculate the total amount of those invoices. In contrast, the second query uses the MIN and MAX functions to calculate the minimum and maximum invoice amounts.

Although the MIN, MAX, and COUNT functions are typically used on columns that contain numeric data, they can also be used on columns that contain character or date data. In the third query, for example, they're used on the VendorName column in the Vendors table. Here, the MIN function returns the name of the vendor that's lowest in the sort sequence, the MAX function returns the name of the vendor that's highest in the sort sequence, and the COUNT function returns the total number of vendors. Note that since the VendorName column can't contain null values, the COUNT(*) function would have returned the same result.

The fourth query illustrates how using the DISTINCT keyword can affect the result of a COUNT function. Here, the first COUNT function uses the DISTINCT keyword to count the number of vendors that have invoices dated 9/1/2011 or later in the Invoices table. To do that, it looks for distinct values in the VendorID column. In contrast, because the second COUNT function doesn't include the DISTINCT keyword, it counts every invoice that's dated 9/1/2011 or later. Of course, you could accomplish the same thing using the COUNT(*) function. I used COUNT(VendorID) here only to illustrate the difference between coding and not coding the DISTINCT keyword.

## A summary query that uses the COUNT(*), AVG, and SUM functions

```
SELECT 'After 9/1/2011' AS SelectionDate, COUNT(*) AS NumberOfInvoices,
    AVG(InvoiceTotal) AS AverageInvoiceAmount,
    SUM(InvoiceTotal) AS TotalInvoiceAmount
FROM Invoices
WHERE InvoiceDate > '2011-09-01';
```

| | SelectionDate | NumberOfInvoices | AverageInvoiceAmount | TotalInvoiceAmount |
|---|---|---|---|---|
| 1 | After 9/1/2011 | 114 | 1879.7413 | 214290.51 |

## A summary query that uses the MIN and MAX functions

```
SELECT 'After 9/1/2011' AS SelectionDate, COUNT(*) AS NumberOfInvoices,
    MAX(InvoiceTotal) AS HighestInvoiceTotal,
    MIN(InvoiceTotal) AS LowestInvoiceTotal
FROM Invoices
WHERE InvoiceDate > '2011-09-01';
```

| | SelectionDate | NumberOfInvoices | HighestInvoiceTotal | LowestInvoiceTotal |
|---|---|---|---|---|
| 1 | After 9/1/2011 | 114 | 37966.19 | 6.00 |

## A summary query that works on non-numeric columns

```
SELECT MIN(VendorName) AS FirstVendor,
    MAX(VendorName) AS LastVendor,
    COUNT(VendorName) AS NumberOfVendors
FROM Vendors;
```

| | FirstVendor | LastVendor | NumberOfVendors |
|---|---|---|---|
| 1 | Abbey Office Furnishings | Zylka Design | 122 |

## A summary query that uses the DISTINCT keyword

```
SELECT COUNT(DISTINCT VendorID) AS NumberOfVendors,
    COUNT(VendorID) AS NumberOfInvoices,
    AVG(InvoiceTotal) AS AverageInvoiceAmount,
    SUM(InvoiceTotal) AS TotalInvoiceAmount
FROM Invoices
WHERE InvoiceDate > '2011-09-01';
```

| | NumberOfVendors | NumberOfInvoices | AverageInvoiceAmount | TotalInvoiceAmount |
|---|---|---|---|---|
| 1 | 34 | 114 | 1879.7413 | 214290.51 |

## Notes

- If you want to count all of the selected rows, you'll typically use the COUNT(*) function as illustrated by the first two examples above. An alternative is to code the name of any column in the base table that can't contain null values, as illustrated by the third example.

- If you want to count only the rows with unique values in a specified column, you can code the COUNT function with the DISTINCT keyword followed by the name of the column, as illustrated in the fourth example.

Figure 5-2      Queries that use aggregate functions

# How to group and summarize data

Now that you understand how aggregate functions work, you're ready to learn how to group data and use aggregate functions to summarize the data in each group. To do that, you need to learn about two new clauses of the SELECT statement: GROUP BY and HAVING.

## How to code the GROUP BY and HAVING clauses

Figure 5-3 presents the syntax of the SELECT statement with the GROUP BY and HAVING clauses. The GROUP BY clause determines how the selected rows are grouped, and the HAVING clause determines which groups are included in the final results. As you can see, these clauses are coded after the WHERE clause but before the ORDER BY clause. That makes sense because the search condition in the WHERE clause is applied before the rows are grouped, and the sort sequence in the ORDER BY clause is applied after the rows are grouped.

In the GROUP BY clause, you list one or more columns or expressions separated by commas. Then, the rows that satisfy the search condition in the WHERE clause are grouped by those columns or expressions in ascending sequence. That means that a single row is returned for each unique set of values in the GROUP BY columns. This will make more sense when you see the examples in the next figure that group by two columns. For now, take a look at the example in this figure that groups by a single column.

This example calculates the average invoice amount for each vendor who has invoices in the Invoices table that average over $2,000. To do that, it groups the invoices by VendorID. Then, the AVG function calculates the average of the InvoiceTotal column. Because this query includes a GROUP BY clause, this function calculates the average invoice total for each group rather than for the entire result set. In that case, the aggregate function is called a *vector aggregate*. In contrast, aggregate functions like the ones you saw earlier in this chapter that return a single value for all the rows in a result set are called *scalar aggregates*.

The example in this figure also includes a HAVING clause. The search condition in this clause specifies that only those vendors with invoices that average over $2,000 should be included. Note that this condition must be applied after the rows are grouped and the average for each group has been calculated.

In addition to the AVG function, the SELECT clause includes the VendorID column. That makes sense since the rows are grouped by this column. However, the columns used in the GROUP BY clause don't have to be included in the SELECT clause.

## The syntax of the SELECT statement
## with the GROUP BY and HAVING clauses

```
SELECT select_list
FROM table_source
[WHERE search_condition]
[GROUP BY group_by_list]
[HAVING search_condition]
[ORDER BY order_by_list]
```

## A summary query that calculates the average invoice amount by vendor

```
SELECT VendorID, AVG(InvoiceTotal) AS AverageInvoiceAmount
FROM Invoices
GROUP BY VendorID
HAVING AVG(InvoiceTotal) > 2000
ORDER BY AverageInvoiceAmount DESC;
```

| | VendorID | AverageInvoiceAmount |
|---|---|---|
| 1 | 110 | 23978.482 |
| 2 | 72 | 10963.655 |
| 3 | 104 | 7125.34 |
| 4 | 99 | 6940.25 |
| 5 | 119 | 4901.26 |
| 6 | 122 | 2575.3288 |
| 7 | 86 | 2433.00 |
| 8 | 100 | 2184.50 |

## Description

- The GROUP BY clause groups the rows of a result set based on one or more columns or expressions. It's typically used in SELECT statements that include aggregate functions.

- If you include aggregate functions in the SELECT clause, the aggregate is calculated for each set of values that result from the columns named in the GROUP BY clause.

- If you include two or more columns or expressions in the GROUP BY clause, they form a hierarchy where each column or expression is subordinate to the previous one.

- When a SELECT statement includes a GROUP BY clause, the SELECT clause can include aggregate functions, the columns used for grouping, and expressions that result in a constant value.

- A group-by list typically consists of the names of one or more columns separated by commas. However, it can contain any expression except for those that contain aggregate functions.

- The HAVING clause specifies a search condition for a group or an aggregate. This condition is applied after the rows that satisfy the search condition in the WHERE clause are grouped.

Figure 5-3    How to code the GROUP BY and HAVING clauses

# Queries that use the GROUP BY and HAVING clauses

Figure 5-4 presents three more queries that group data. If you understood the query in the last figure, you shouldn't have any trouble understanding how the first query in this figure works. It groups the rows in the Invoices table by VendorID and returns a count of the number of invoices for each vendor.

The second query in this figure illustrates how you can group by more than one column. Here, a join is used to combine the VendorState and VendorCity columns from the Vendors table with a count and average of the invoices in the Invoices table. Because the rows are grouped by both state and city, a row is returned for each state and city combination. Then, the ORDER BY clause sorts the rows by city within state. Without this clause, the rows would be returned in no particular sequence.

The third query is identical to the second query except that it includes a HAVING clause. This clause uses the COUNT function to limit the state and city groups that are included in the result set to those that have two or more invoices. In other words, it excludes groups that have only one invoice.

## A summary query that counts the number of invoices by vendor

```
SELECT VendorID, COUNT(*) AS InvoiceQty
FROM Invoices
GROUP BY VendorID;
```

| | VendorID | InvoiceQty |
|---|---|---|
| 1 | 34 | 2 |
| 2 | 37 | 3 |
| 3 | 48 | 1 |
| 4 | 72 | 2 |
| 5 | 80 | 2 |

**(34 rows)**

## A summary query that calculates the number of invoices and the average invoice amount for the vendors in each state and city

```
SELECT VendorState, VendorCity, COUNT(*) AS InvoiceQty,
    AVG(InvoiceTotal) AS InvoiceAvg
FROM Invoices JOIN Vendors
    ON Invoices.VendorID = Vendors.VendorID
GROUP BY VendorState, VendorCity
ORDER BY VendorState, VendorCity;
```

| | VendorState | VendorCity | InvoiceQty | InvoiceAvg |
|---|---|---|---|---|
| 1 | AZ | Phoenix | 1 | 662.00 |
| 2 | CA | Fresno | 19 | 1208.7457 |
| 3 | CA | Los Angeles | 1 | 503.20 |
| 4 | CA | Oxnard | 3 | 188.00 |
| 5 | CA | Pasadena | 5 | 196.12 |

**(20 rows)**

## A summary query that limits the groups to those with two or more invoices

```
SELECT VendorState, VendorCity, COUNT(*) AS InvoiceQty,
    AVG(InvoiceTotal) AS InvoiceAvg
FROM Invoices JOIN Vendors
    ON Invoices.VendorID = Vendors.VendorID
GROUP BY VendorState, VendorCity
HAVING COUNT(*) >= 2
ORDER BY VendorState, VendorCity;
```

| | VendorState | VendorCity | InvoiceQty | InvoiceAvg |
|---|---|---|---|---|
| 1 | CA | Fresno | 19 | 1208.7457 |
| 2 | CA | Oxnard | 3 | 188.00 |
| 3 | CA | Pasadena | 5 | 196.12 |
| 4 | CA | Sacramento | 7 | 253.0014 |
| 5 | CA | San Francisco | 3 | 1211.04 |

**(12 rows)**

## Note

- You can use a join with a summary query to group and summarize the data in two or more tables.

---

Figure 5-4    Queries that use the GROUP BY and HAVING clauses

# How the HAVING clause
# compares to the WHERE clause

As you've seen, you can limit the groups included in a result set by coding a search condition in the HAVING clause. In addition, you can apply a search condition to each row before it's included in a group. To do that, you code the search condition in the WHERE clause just as you would for any SELECT statement. To make sure you understand the differences between search conditions coded in the HAVING and WHERE clauses, figure 5-5 presents two examples.

In the first example, the invoices in the Invoices table are grouped by vendor name, and a count and average invoice amount are calculated for each group. Then, the HAVING clause limits the groups in the result set to those that have an average invoice total greater than $500.

In contrast, the second example includes a search condition in the WHERE clause that limits the invoices included in the groups to those that have an invoice total greater than $500. In other words, the search condition in this example is applied to every row. In the previous example, it was applied to each group of rows.

Beyond this, there are also two differences in the expressions that you can include in the WHERE and HAVING clauses. First, the HAVING clause can include aggregate functions as you saw in the first example in this figure, but the WHERE clause can't. That's because the search condition in a WHERE clause is applied before the rows are grouped. Second, although the WHERE clause can refer to any column in the base tables, the HAVING clause can only refer to columns included in the SELECT or GROUP BY clause. That's because it filters the summarized result set that's defined by the SELECT, FROM, WHERE, and GROUP BY clauses. In other words, it doesn't filter the base tables.

## A summary query with a search condition in the HAVING clause

```
SELECT VendorName, COUNT(*) AS InvoiceQty,
    AVG(InvoiceTotal) AS InvoiceAvg
FROM Vendors JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
GROUP BY VendorName
HAVING AVG(InvoiceTotal) > 500
ORDER BY InvoiceQty DESC;
```

|   | VendorName | InvoiceQty | InvoiceAvg |
|---|------------|------------|------------|
| 1 | United Parcel Service | 9 | 2575.3288 |
| 2 | Zylka Design | 8 | 867.5312 |
| 3 | Malloy Lithographing Inc | 5 | 23978.482 |
| 4 | Data Reproductions Corp | 2 | 10963.655 |
| 5 | IBM | 2 | 600.06 |

**(19 rows)**

## A summary query with a search condition in the WHERE clause

```
SELECT VendorName, COUNT(*) AS InvoiceQty,
    AVG(InvoiceTotal) AS InvoiceAvg
FROM Vendors JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
WHERE InvoiceTotal > 500
GROUP BY VendorName
ORDER BY InvoiceQty DESC;
```

|   | VendorName | InvoiceQty | InvoiceAvg |
|---|------------|------------|------------|
| 1 | United Parcel Service | 9 | 2575.3288 |
| 2 | Zylka Design | 7 | 946.6714 |
| 3 | Malloy Lithographing Inc | 5 | 23978.482 |
| 4 | Ingram | 2 | 1077.21 |
| 5 | Pollstar | 1 | 1750.00 |

**(20 rows)**

## Description

- When you include a WHERE clause in a SELECT statement that uses grouping and aggregates, the search condition is applied before the rows are grouped and the aggregates are calculated. That way, only the rows that satisfy the search condition are grouped and summarized.

- When you include a HAVING clause in a SELECT statement that uses grouping and aggregates, the search condition is applied after the rows are grouped and the aggregates are calculated. That way, only the groups that satisfy the search condition are included in the result set.

- A HAVING clause can only refer to a column included in the SELECT or GROUP BY clause. A WHERE clause can refer to any column in the base tables.

- Aggregate functions can only be coded in the HAVING clause. A WHERE clause can't contain aggregate functions.

Figure 5-5     How the HAVING clause compares to the WHERE clause

# How to code complex search conditions

You can code compound search conditions in a HAVING clause just as you can in a WHERE clause. This is illustrated by the first query in figure 5-6. This query groups invoices by invoice date and calculates a count of the invoices and the sum of the invoice totals for each date. In addition, the HAVING clause specifies three conditions. First, the invoice date must be between 1/1/2012 and 1/31/2012. Second, the invoice count must be greater than 1. And third, the sum of the invoice totals must be greater than $100.

Because the second and third conditions in the HAVING clause in this query include aggregate functions, they must be coded in the HAVING clause. The first condition, however, doesn't include an aggregate function, so it could be coded in either the HAVING or WHERE clause. The second statement in this figure, for example, shows this condition coded in the WHERE clause. Note that the query returns the same result set regardless of where you code this condition.

So how do you know where to code a search condition? In general, I think your code will be easier to read if you include all the search conditions in the HAVING clause. If, on the other hand, you prefer to code non-aggregate search conditions in the WHERE clause, that's OK, too.

Since a search condition in the WHERE clause is applied before the rows are grouped while a search condition in the HAVING clause isn't applied until after the grouping, you might expect a performance advantage by coding all search conditions in the HAVING clause. However, SQL Server takes care of this performance issue for you when it optimizes the query. To do that, it automatically moves search conditions to whichever clause will result in the best performance, as long as that doesn't change the logic of your query. As a result, you can code search conditions wherever they result in the most readable code without worrying about system performance.

## A summary query with a compound condition in the HAVING clause

```
SELECT InvoiceDate, COUNT(*) AS InvoiceQty, SUM(InvoiceTotal) AS InvoiceSum
FROM Invoices
GROUP BY InvoiceDate
HAVING InvoiceDate BETWEEN '2012-01-01' AND '2012-01-31'
    AND COUNT(*) > 1
    AND SUM(InvoiceTotal) > 100
ORDER BY InvoiceDate DESC;
```

## The same query coded with a WHERE clause

```
SELECT InvoiceDate, COUNT(*) AS InvoiceQty, SUM(InvoiceTotal) AS InvoiceSum
FROM Invoices
WHERE InvoiceDate BETWEEN '2012-01-01' AND '2012-01-31'
GROUP BY InvoiceDate
HAVING COUNT(*) > 1
    AND SUM(InvoiceTotal) > 100
ORDER BY InvoiceDate DESC;
```

## The result set returned by both queries

| | InvoiceDate | InvoiceQty | InvoiceSum |
|---|---|---|---|
| 1 | 2012-01-31 00:00:00 | 2 | 453.75 |
| 2 | 2012-01-25 00:00:00 | 3 | 2201.15 |
| 3 | 2012-01-23 00:00:00 | 2 | 347.75 |
| 4 | 2012-01-21 00:00:00 | 2 | 8078.44 |
| 5 | 2012-01-13 00:00:00 | 3 | 1888.95 |
| 6 | 2012-01-11 00:00:00 | 2 | 5009.51 |
| 7 | 2012-01-03 00:00:00 | 2 | 866.87 |

## Description

- You can use the AND and OR operators to code compound search conditions in a HAVING clause just as you can in a WHERE clause.

- If a search condition includes an aggregate function, it must be coded in the HAVING clause. Otherwise, it can be coded in either the HAVING or the WHERE clause.

- In most cases, your code will be easier to read if you code all the search conditions in the HAVING clause, but you can code non-aggregate search conditions in the WHERE clause if you prefer.

Figure 5-6     How to code complex search conditions

# How to summarize data using SQL Server extensions

So far, this chapter has discussed standard SQL keywords and functions. However, you should also know about four extensions SQL Server provides for summarizing data: the ROLLUP, CUBE, and GROUPING SETS operators and the OVER clause.

## How to use the ROLLUP operator

You can use the ROLLUP operator to add one or more summary rows to a result set that uses grouping and aggregates. The two examples in figure 5-7 illustrate how this works.

The first example shows how the ROLLUP operator works when you group by a single column. Here, the invoices in the Invoices table are grouped by VendorID, and an invoice count and invoice total are calculated for each vendor. Notice that because the WITH ROLLUP phrase is included in the GROUP BY clause, an additional row is added at the end of the result set. This row summarizes all the aggregate columns in the result set. In this case, it summarizes the InvoiceCount and InvoiceTotal columns. Because the VendorID column can't be summarized, it's assigned a null value.

The second query in this figure shows how the ROLLUP operator works when you group by two columns. This query groups the vendors in the Vendors table by state and city and counts the number of vendors in each group. Notice that in addition to a summary row at the end of the result set, summary rows are included for each state.

You should also notice the ORDER BY clause in this query. It causes the rows in the result set to be sorted by city in descending sequence within state in descending sequence. The reason these columns are sorted in descending sequence is that the sort is performed after the summary rows are added to the result set, and those rows have null values in the VendorCity column. In addition, the final summary row has a null value in the VendorState column. So if you sorted these columns in ascending sequence, the rows with null values would appear before the rows they summarize, which isn't what you want.

You can also use another function, the GROUPING function, to work with null columns in a summary row. However, this function is typically used in conjunction with the CASE function, which you'll learn about in chapter 9. So I'll present the GROUPING function in that chapter.

SQL Server 2008 introduced an alternate syntax that you can use for working with the ROLLUP operator, and it's shown below both of the examples. To use this syntax, you code the ROLLUP operator immediately after the GROUP BY keywords. Then, you code the columns within a set of parentheses. The advantage of this syntax is that it's more consistent with other SQL Server extensions. The disadvantage is that this syntax is not supported by earlier versions of SQL Server such as SQL Server 2005.

## A summary query that includes a final summary row

```
SELECT VendorID, COUNT(*) AS InvoiceCount,
    SUM(InvoiceTotal) AS InvoiceTotal
FROM Invoices
GROUP BY VendorID WITH ROLLUP;
```

| | VendorID | InvoiceCount | InvoiceTotal | |
|---|---|---|---|---|
| 30 | 117 | 1 | 16.62 | |
| 31 | 119 | 1 | 4901.26 | |
| 32 | 121 | 8 | 6940.25 | |
| 33 | 122 | 9 | 23177.96 | |
| 34 | 123 | 47 | 4378.02 | |
| 35 | NULL | 114 | 214290.51 | —— Summary row |

## Another way to code the GROUP BY clause (SQL Server 2008 or later)

```
GROUP BY ROLLUP(VendorID)
```

## A summary query that includes a summary row for each grouping level

```
SELECT VendorState, VendorCity, COUNT(*) AS QtyVendors
FROM Vendors
WHERE VendorState IN ('IA', 'NJ')
GROUP BY VendorState, VendorCity WITH ROLLUP
ORDER BY VendorState DESC, VendorCity DESC;
```

| | VendorState | VendorCity | QtyVendors | |
|---|---|---|---|---|
| 1 | NJ | Washington | 1 | |
| 2 | NJ | Fairfield | 1 | |
| 3 | NJ | East Brunswick | 2 | |
| 4 | NJ | NULL | 4 | —— Summary row for state 'NJ' |
| 5 | IA | Washington | 1 | |
| 6 | IA | Fairfield | 1 | |
| 7 | IA | NULL | 2 | —— Summary row for state 'IA' |
| 8 | NULL | NULL | 6 | —— Summary row for all rows |

## Another way to code the GROUP BY clause (SQL Server 2008 or later)

```
GROUP BY ROLLUP(VendorState, VendorCity)
```

## Description

- The ROLLUP operator adds a summary row for each group specified. It also adds a summary row to the end of the result set that summarizes the entire result set. If the GROUP BY clause specifies a single group, only the final summary row is added.

- The sort sequence in the ORDER BY clause is applied after the summary rows are added. Because of that, you'll want to sort grouping columns in descending sequence so that the summary row for each group, which can contain null values, appears after the other rows in the group.

- When you use the ROLLUP operator, you can't use the DISTINCT keyword in any of the aggregate functions.

- You can use the GROUPING function with the ROLLUP operator to determine if a summary row has a null value assigned to a given column. See chapter 9 for details.

---

Figure 5-7    How to use the ROLLUP operator

# How to use the CUBE operator

Figure 5-8 shows you how to use the CUBE operator. This operator is similar to the ROLLUP operator, except that it adds summary rows for every combination of groups. This is illustrated by the two examples in this figure. As you can see, these examples are the same as the ones in figure 5-7 except that they use the CUBE operator instead of the ROLLUP operator.

In the first example, the result set is grouped by a single column. In this case, a single summary row is added at the end of the result set that summarizes all the groups. In other words, this works the same as it does with the ROLLUP operator.

In the second example, however, you can see how CUBE differs from ROLLUP when you group by two or more columns. In this case, the result set includes a summary row for each state just as it did when the ROLLUP operator was used. In addition, it includes a summary row for each city. The eighth row in this figure, for example, indicates that there are two vendors in cities named Washington. If you look at the first and fifth rows in the result set, you'll see that one of those vendors is in Washington, New Jersey and one is in Washington, Iowa. The same is true of the city named Fairfield. There are also two vendors in the city of East Brunswick, but both are in New Jersey.

As with the ROLLUP operator, SQL Server 2008 introduced an alternate syntax that you can use for working with the CUBE operator. This new syntax is shown below both of the examples. If you understand how this syntax works for the ROLLUP operator, you shouldn't have much trouble understanding how it works for the CUBE operator. Although this syntax won't work for older versions of SQL Server, you may want to use it for new development.

Now that you've seen how the CUBE operator works, you may be wondering when you would use it. The fact is, you probably won't use it except to add a summary row to a result set that's grouped by a single column. And in that case, you could just as easily use the ROLLUP operator. In some unique cases, however, the CUBE operator can provide useful information that you can't get any other way.

## A summary query that includes a final summary row

```
SELECT VendorID, COUNT(*) AS InvoiceCount,
    SUM(InvoiceTotal) AS InvoiceTotal
FROM Invoices
GROUP BY VendorID WITH CUBE;
```

| | VendorID | InvoiceCount | InvoiceTotal | |
|---|---|---|---|---|
| 30 | 117 | 1 | 16.62 | |
| 31 | 119 | 1 | 4901.26 | |
| 32 | 121 | 8 | 6940.25 | |
| 33 | 122 | 9 | 23177.96 | |
| 34 | 123 | 47 | 4378.02 | |
| 35 | NULL | 114 | 214290.51 | ———— Summary row |

## Another way to code the GROUP BY clause (SQL Server 2008 or later)

```
GROUP BY CUBE(VendorID)
```

## A summary query that includes a summary row for each set of groups

```
SELECT VendorState, VendorCity, COUNT(*) AS QtyVendors
FROM Vendors
WHERE VendorState IN ('IA', 'NJ')
GROUP BY VendorState, VendorCity WITH CUBE
ORDER BY VendorState DESC, VendorCity DESC;
```

| | VendorState | VendorCity | QtyVendors | |
|---|---|---|---|---|
| 1 | NJ | Washington | 1 | |
| 2 | NJ | Fairfield | 1 | |
| 3 | NJ | East Brunswick | 2 | |
| 4 | NJ | NULL | 4 | ———— Summary row for state 'NJ' |
| 5 | IA | Washington | 1 | |
| 6 | IA | Fairfield | 1 | |
| 7 | IA | NULL | 2 | ———— Summary row for state 'IA' |
| 8 | NULL | Washington | 2 | ———— Summary row for city 'Washington' |
| 9 | NULL | Fairfield | 2 | ———— Summary row for city 'Fairfield' |
| 10 | NULL | East Brunswick | 2 | ———— Summary row for city 'East Brunswick' |
| 11 | NULL | NULL | 6 | ———— Summary row for all rows |

## Another way to code the GROUP BY clause (SQL Server 2008 or later)

```
GROUP BY CUBE(VendorState, VendorCity)
```

## Description

- The CUBE operator adds a summary row for every combination of groups specified. It also adds a summary row to the end of the result set that summarizes the entire result set.

- When you use the CUBE operator, you can't use the DISTINCT keyword in any of the aggregate functions.

- You can use the GROUPING function with the CUBE operator to determine if a summary row has a null value assigned to a given column. See chapter 9 for details.

Figure 5-8    How to use the CUBE operator

# How to use the GROUPING SETS operator

Figure 5-9 shows you how to use the GROUPING SETS operator that was introduced with SQL Server 2008. This operator is similar to the ROLLUP and CUBE operators. However, the GROUPING SETS operator only includes summary rows, it only adds those summary rows for each specified group, and it uses a syntax that's similar to the new 2008 syntax for the ROLLUP and CUBE operators.

The first example in this figure is similar to the second example that's presented in the previous two figures. However, this example uses the GROUP-ING SETS operator instead of the CUBE or ROLLUP operator. Here, the result set only includes summary rows for the two grouping elements: state and city. To start, it displays the summary rows for the states. Then, it displays the summary rows for the cities.

The second example in this figure shows some additional features that are available when you use the GROUPING SETS operator. To start, within the parentheses after the GROUPING SETS operator, you can add additional sets of parentheses to create composite groups that consist of multiple columns. In addition, you can add an empty set of parentheses to add a group for a summary row that summarizes the entire result set. In this example, the first group is the vendor's state and city, the second group is the vendor's zip code, and the third group is an empty set of parentheses that adds a summary row for the entire result set.

When you use composite groups, you should know that you can add additional summary rows by applying the ROLLUP and CUBE operators to a composite group. To do that, you code the ROLLUP or CUBE operator before the composite group. In this figure, for instance, the third example shows a GROUPING SETS clause that applies the ROLLUP operator to the composite state/city group. This adds a summary row for each state, and it adds a summary row that for the entire result set, much like the second example in figure 5-7. Although this may seem confusing at first, with a little experimentation, you should be able to get the result set you want.

## A summary query with two groups

```
SELECT VendorState, VendorCity, COUNT(*) AS QtyVendors
FROM Vendors
WHERE VendorState IN ('IA', 'NJ')
GROUP BY GROUPING SETS(VendorState, VendorCity)
ORDER BY VendorState DESC, VendorCity DESC;
```

| | VendorState | VendorCity | QtyVendors |
|---|---|---|---|
| 1 | NJ | NULL | 4 |
| 2 | IA | NULL | 2 |
| 3 | NULL | Washington | 2 |
| 4 | NULL | Fairfield | 2 |
| 5 | NULL | East Brunswick | 2 |

## A summary query with a composite grouping

```
SELECT VendorState, VendorCity, VendorZipCode,
       COUNT(*) AS QtyVendors
FROM Vendors
WHERE VendorState IN ('IA', 'NJ')
GROUP BY GROUPING SETS((VendorState, VendorCity), VendorZipCode, ())
ORDER BY VendorState DESC, VendorCity DESC;
```

| | VendorState | VendorCity | VendorZipCode | QtyVendors |
|---|---|---|---|---|
| 1 | NJ | Washington | NULL | 1 |
| 2 | NJ | Fairfield | NULL | 1 |
| 3 | NJ | East Brunswick | NULL | 2 |
| 4 | IA | Washington | NULL | 1 |
| 5 | IA | Fairfield | NULL | 1 |
| 6 | NULL | NULL | 07004 | 1 |
| 7 | NULL | NULL | 07882 | 1 |
| 8 | NULL | NULL | 08810 | 1 |
| 9 | NULL | NULL | 08816 | 1 |
| 10 | NULL | NULL | 52353 | 1 |
| 11 | NULL | NULL | 52556 | 1 |
| 12 | NULL | NULL | NULL | 6 |

## A summary query with a group that uses the ROLLUP operator

```
GROUP BY GROUPING SETS(ROLLUP(VendorState, VendorCity), VendorZipCode)
```

## Description

- The GROUPING SETS operator creates a summary row for each specified group.
- Within the parentheses after the GROUPING SETS operator, you can add additional sets of parentheses to create composite groups.
- Within the parentheses after the GROUPING SETS operator, you can add an empty set of parentheses to add a summary row that summarizes the entire result set.
- For a composite group, you can add the ROLLUP or CUBE operator to add additional summary rows. This performs the ROLLUP or CUBE operation on the composite group, which also adds a summary row that summarizes the entire result set.

Figure 5-9　How to use the GROUPING SETS operator

# How to use the OVER clause

So far in this chapter, you've learned how to code summary queries that return just the summarized data. But what if you want to return the individual rows that are used to calculate the summaries along with the summary data? To do that, you can use the OVER clause as shown in figure 5-10.

In the syntax at the top of this figure, you can see that you code the OVER clause after the aggregate function, followed by a set of parentheses. Within the parentheses, you can code a PARTITION BY clause, an ORDER BY clause, or both of these clauses. This is illustrated by the three examples in this figure.

The first example calculates the total, count, and average of the invoices in the Invoices table. Here, I used the PARTITION BY clause to indicate that the invoices should be grouped by invoice date. If you look at the results of this query, you'll see that the Invoices table contains a single invoice for the first three dates. Because of that, the total, count, and average columns for those dates are calculated based on a single invoice. In contrast, the next three invoices are for the same date. In this case, the total, count, and average columns are calculated based on all three invoices.

The second example is similar, but it uses the ORDER BY clause instead of the PARTITION BY clause. Because of that, the calculations aren't grouped by the invoice date like they are in the first example. Instead, the summaries accumulate from one date to the next. For example, the total and average columns for the first invoice are the same as the invoice total because they're calculated based on just that total. The total and average columns for the second invoice, however, are calculated based on both the first and second invoices. The total and average columns for the third invoice are calculated based on the first, second, and third invoices. And so on. In addition, the count column indicates the sequence of the invoice date within the result set. A total that accumulates like this is called a *cumulative total*, and an average that's calculated based on a cumulative total is called a *moving average*.

If the result set contains more than one invoice for the same date, the summary values for all of the invoices are accumulated at the same time. This is illustrated by the fourth, fifth, and sixth invoices in this example. Here, the cumulative total column for each invoice includes the invoice totals for all three invoices for the same date, and the moving average is based on that cumulative total. Because the cumulative total now includes six rows, the count column is set to 6. In other words, the summary values for all three rows are the same.

The last example in this figure uses both the PARTITION BY and ORDER BY clauses. In this case, the invoices are grouped by terms ID and ordered by invoice date. Because of that, the summaries for each invoice date are accumulated separately within each terms ID. When one terms ID ends and the next one begins, the accumulation starts again.

## The syntax of the OVER clause

```
aggregate_function OVER ([partition_by_clause] [order_by_clause])
```

## A query that groups the summary data by date

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    SUM(InvoiceTotal) OVER (PARTITION BY InvoiceDate) AS DateTotal,
    COUNT(InvoiceTotal) OVER (PARTITION BY InvoiceDate) AS DateCount,
    AVG(InvoiceTotal) OVER (PARTITION BY InvoiceDate) AS DateAvg
FROM Invoices;
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal | DateTotal | DateCount | DateAvg |
|---|---|---|---|---|---|---|
| 1 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 | 3813.33 | 1 | 3813.33 |
| 2 | 263253241 | 2011-12-10 00:00:00 | 40.20 | 40.20 | 1 | 40.20 |
| 3 | 963253234 | 2011-12-13 00:00:00 | 138.75 | 138.75 | 1 | 138.75 |
| 4 | 2-000-2993 | 2011-12-16 00:00:00 | 144.70 | 202.95 | 3 | 67.65 |
| 5 | 963253251 | 2011-12-16 00:00:00 | 15.50 | 202.95 | 3 | 67.65 |
| 6 | 963253261 | 2011-12-16 00:00:00 | 42.75 | 202.95 | 3 | 67.65 |

## A query that calculates a cumulative total and moving average

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    SUM(InvoiceTotal) OVER (ORDER BY InvoiceDate) AS CumTotal,
    COUNT(InvoiceTotal) OVER (ORDER BY InvoiceDate) AS Count,
    AVG(InvoiceTotal) OVER (ORDER BY InvoiceDate) AS MovingAvg
FROM Invoices;
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal | CumTotal | Count | MovingAvg |
|---|---|---|---|---|---|---|
| 1 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 | 3813.33 | 1 | 3813.33 |
| 2 | 263253241 | 2011-12-10 00:00:00 | 40.20 | 3853.53 | 2 | 1926.765 |
| 3 | 963253234 | 2011-12-13 00:00:00 | 138.75 | 3992.28 | 3 | 1330.76 |
| 4 | 2-000-2993 | 2011-12-16 00:00:00 | 144.70 | 4195.23 | 6 | 699.205 |
| 5 | 963253251 | 2011-12-16 00:00:00 | 15.50 | 4195.23 | 6 | 699.205 |
| 6 | 963253261 | 2011-12-16 00:00:00 | 42.75 | 4195.23 | 6 | 699.205 |

## The same query grouped by TermsID

```
SELECT InvoiceNumber, TermsID, InvoiceDate, InvoiceTotal,
    SUM(InvoiceTotal)
        OVER (PARTITION BY TermsID ORDER BY InvoiceDate) AS CumTotal,
    COUNT(InvoiceTotal)
        OVER (PARTITION BY TermsID ORDER BY InvoiceDate) AS Count,
    AVG(InvoiceTotal)
        OVER (PARTITION BY TermsID ORDER BY InvoiceDate) AS MovingAvg
FROM Invoices;
```

| | InvoiceNumber | TermsID | InvoiceDate | InvoiceTotal | CumTotal | Count | MovingAvg |
|---|---|---|---|---|---|---|---|
| 22 | 97-1024A | 2 | 2012-03-20 00:00:00 | 356.48 | 9415.08 | 16 | 588.4425 |
| 23 | 31361833 | 2 | 2012-03-21 00:00:00 | 579.42 | 9994.50 | 17 | 587.9117 |
| 24 | 134116 | 2 | 2012-03-28 00:00:00 | 90.36 | 10084.86 | 18 | 560.27 |
| 25 | 989319-457 | 3 | 2011-12-08 00:00:00 | 3813.33 | 3813.33 | 1 | 3813.33 |
| 26 | 263253241 | 3 | 2011-12-10 00:00:00 | 40.20 | 3853.53 | 2 | 1926.765 |
| 27 | 963253234 | 3 | 2011-12-13 00:00:00 | 138.75 | 3992.28 | 3 | 1330.76 |

## Description

- When used with the aggregate functions, the OVER clause lets you summarize the data in a result set while still returning the rows used to calculate the summary.

- The PARTITION BY clause specifies the column that's used to group the results, and the ORDER BY clause specifies the sort order in which a function is applied.

Figure 5-10     How to use the OVER clause

# Perspective

In this chapter, you learned how to code queries that group and summarize data. In most cases, you'll be able to use the techniques presented here to get the summary information you need. If not, you may want to find out about another tool provided by SQL Server 2012 called Analysis Services. This tool provides a graphical interface that lets you build complex data models based on cubes. Then, you can use those models to analyze the database using complex patterns and correlations. You can find out more about this tool by referring to Books Online.

# Terms

scalar function
aggregate function
column function
summary query
scalar aggregate
vector aggregate
cumulative total
moving average

## Exercises

1.  Write a SELECT statement that returns two columns from the Invoices table: VendorID and PaymentSum, where PaymentSum is the sum of the PaymentTotal column. Group the result set by VendorID.

2.  Write a SELECT statement that returns two columns: VendorName and PaymentSum, where PaymentSum is the sum of the PaymentTotal column. Group the result set by VendorName. Return only 10 rows, corresponding to the 10 vendors who've been paid the most.

    Hint: Use the TOP clause and join Vendors to Invoices.

3.  Write a SELECT statement that returns three columns: VendorName, InvoiceCount, and InvoiceSum. InvoiceCount is the count of the number of invoices, and InvoiceSum is the sum of the InvoiceTotal column. Group the result set by vendor. Sort the result set so that the vendor with the highest number of invoices appears first.

4.  Write a SELECT statement that returns three columns: AccountDescription, LineItemCount, and LineItemSum. LineItemCount is the number of entries in the InvoiceLineItems table that have that AccountNo. LineItemSum is the sum of the InvoiceLineItemAmount column for that AccountNo. Filter the result set to include only those rows with LineItemCount greater than 1. Group the result set by account description, and sort it by descending LineItemCount.

    Hint: Join the GLAccounts table to the InvoiceLineItems table.

5.  Modify the solution to exercise 4 to filter for invoices dated from December 1, 2011 to February 29, 2012.

    Hint: Join to the Invoices table to code a search condition based on InvoiceDate.

6.  Write a SELECT statement that answers the following question: What is the total amount invoiced for each AccountNo? Use the WITH ROLLUP operator to include a row that gives the grand total.

    Hint: Use the InvoiceLineItemAmount column of the InvoiceLineItems table.

7.  Write a SELECT statement that returns four columns: VendorName, AccountDescription, LineItemCount, and LineItemSum. LineItemCount is the row count, and LineItemSum is the sum of the InvoiceLineItemAmount column. For each vendor and account, return the number and sum of line items, sorted first by vendor, then by account description.

    Hint: Use a four-table join.

8.  Write a SELECT statement that answers this question: Which vendors are being paid from more than one account? Return two columns: the vendor name and the total number of accounts that apply to that vendor's invoices.

    Hint: Use the DISTINCT keyword to count InvoiceLineItems.AccountNo.

9.  Write a SELECT statement that returns six columns:

    | | |
    |---|---|
    | VendorID | From the Invoices table |
    | InvoiceDate | From the Invoices table |
    | InvoiceTotal | From the Invoices table |
    | VendorTotal | The sum of the invoice totals for each vendor |
    | VendorCount | The count of invoices for each vendor |
    | VendorAvg | The average of the invoice totals for each vendor |

    The result set should include the individual invoices for each vendor.

# 6

# How to code subqueries

A subquery is a SELECT statement that's coded within another SQL statement. As a result, you can use subqueries to build queries that would be difficult or impossible to do otherwise. In this chapter, you'll learn how to use subqueries within SELECT statements. Then, in the next chapter, you'll learn how to use them when you code INSERT, UPDATE, and DELETE statements.

# An introduction to subqueries

Since you know how to code SELECT statements, you already know how to code a *subquery*. It's simply a SELECT statement that's coded within another SQL statement. The trick to using subqueries, then, is knowing where and when to use them. You'll learn the specifics of using subqueries throughout this chapter. The two topics that follow, however, will give you an overview of where and when to use them.

## How to use subqueries

In figure 6-1, you can see that a subquery can be coded, or *introduced*, in the WHERE, HAVING, FROM, or SELECT clause of a SELECT statement. The SELECT statement in this figure, for example, illustrates how you can use a subquery in the search condition of a WHERE clause. When it's used in a search condition, a subquery can be referred to as a *subquery search condition* or a *subquery predicate*.

The statement in this figure retrieves all the invoices from the Invoices table that have invoice totals greater than the average of all the invoices. To do that, the subquery calculates the average of all the invoices. Then, the search condition tests each invoice to see if its invoice total is greater than that average.

When a subquery returns a single value as it does in this example, you can use it anywhere you would normally use an expression. However, a subquery can also return a single-column result set with two or more rows. In that case, it can be used in place of a list of values, such as the list for an IN operator. In addition, if a subquery is coded within a FROM clause, it can return a result set with two or more columns. You'll learn about all of these different types of subqueries in this chapter.

You can also code a subquery within another subquery. In that case, the subqueries are said to be nested. Because *nested subqueries* can be difficult to read and can result in poor performance, you should use them only when necessary.

## Four ways to introduce a subquery in a SELECT statement

1. In a WHERE clause as a search condition
2. In a HAVING clause as a search condition
3. In the FROM clause as a table specification
4. In the SELECT clause as a column specification

## A SELECT statement that uses a subquery in the WHERE clause

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices
WHERE InvoiceTotal >
    (SELECT AVG(InvoiceTotal)
     FROM Invoices)
ORDER BY InvoiceTotal;
```

## The value returned by the subquery

```
1879.7413
```

## The result set

| | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|
| 1 | 989319-487 | 2012-02-20 00:00:00 | 1927.54 |
| 2 | 97/522 | 2012-02-28 00:00:00 | 1962.13 |
| 3 | 989319-417 | 2012-03-23 00:00:00 | 2051.59 |
| 4 | 989319-427 | 2012-02-16 00:00:00 | 2115.81 |
| 5 | 989319-477 | 2012-02-08 00:00:00 | 2184.11 |

```
(21 rows)
```

## Description

- A *subquery* is a SELECT statement that's coded within another SQL statement.
- A subquery can return a single value, a result set that contains a single column, or a result set that contains one or more columns.
- A subquery that returns a single value can be coded, or *introduced*, anywhere an expression is allowed. A subquery that returns a single column can be introduced in place of a list of values, such as the values for an IN phrase. And a subquery that returns one or more columns can be introduced in place of a table in the FROM clause.
- The syntax for a subquery is the same as for a standard SELECT statement. However, a subquery doesn't typically include the GROUP BY or HAVING clause, and it can't include an ORDER BY clause unless the TOP phrase is used.
- A subquery that's used in a WHERE or HAVING clause is called a *subquery search condition* or a *subquery predicate*. This is the most common use for a subquery.
- Although you can introduce a subquery in a GROUP BY or ORDER BY clause, you usually won't need to.
- Subqueries can be *nested* within other subqueries. However, subqueries that are nested more than two or three levels deep can be difficult to read and can result in poor performance.

Figure 6-1    How to use subqueries

# How subqueries compare to joins

In the last figure, you saw an example of a subquery that returns an aggregate value that's used in the search condition of a WHERE clause. This type of subquery provides for processing that can't be done any other way. However, most subqueries can be restated as joins, and most joins can be restated as subqueries. This is illustrated by the SELECT statements in figure 6-2.

Both of the SELECT statements in this figure return a result set that consists of selected rows and columns from the Invoices table. In this case, only the invoices for vendors in California are returned. The first statement uses a join to combine the Vendors and Invoices table so that the VendorState column can be tested for each invoice. In contrast, the second statement uses a subquery to return a result set that consists of the VendorID column for each vendor in California. Then, that result set is used with the IN operator in the search condition so that only invoices with a VendorID in that result set are included in the final result set.

So if you have a choice, which technique should you use? In general, I recommend you use the technique that results in the most readable code. For example, I think that a join tends to be more intuitive than a subquery when it uses an existing relationship between two tables. That's the case with the Vendors and Invoices tables used in the examples in this figure. On the other hand, a subquery tends to be more intuitive when it uses an ad hoc relationship.

As your queries get more complex, you may find that they're easier to code by using subqueries, regardless of the relationships that are involved. On the other hand, a query with an inner join typically performs faster than the same query with a subquery. So if system performance is an issue, you may want to use inner joins instead of queries.

You should also realize that when you use a subquery in a search condition, its results can't be included in the final result set. For instance, the second example in this figure can't be changed to include the VendorName column from the Vendors table. That's because the Vendors table isn't named in the FROM clause of the outer query. So if you need to include information from both tables in the result set, you need to use a join.

## A query that uses an inner join

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices JOIN Vendors
    ON Invoices.VendorID = Vendors.VendorID
WHERE VendorState = 'CA'
ORDER BY InvoiceDate;
```

## The same query restated with a subquery

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices
WHERE VendorID IN
    (SELECT VendorID
    FROM Vendors
    WHERE VendorState = 'CA')
ORDER BY InvoiceDate;
```

## The result set returned by both queries



| | InvoiceNumber | InvoiceDate | InvoiceTotal | |
|---|---|---|---|---|
| 1 | 125520-1 | 2011-12-24 00:00:00 | 95.00 | |
| 2 | 97/488 | 2011-12-24 00:00:00 | 601.95 | |
| 3 | 111-92R-10096 | 2011-12-30 00:00:00 | 16.33 | |
| 4 | 25022117 | 2012-01-01 00:00:00 | 6.00 | |

**(40 rows)**

## Advantages of joins

- The result of a join operation can include columns from both tables. The result of a query that includes a subquery can only include columns from the table named in the outer query. It can't include columns from the table named in the subquery.

- A join tends to be more intuitive when it uses an existing relationship between the two tables, such as a primary key to foreign key relationship.

- A query with a join typically performs faster than the same query with a subquery, especially if the query uses only inner joins.

## Advantages of subqueries

- You can use a subquery to pass an aggregate value to the outer query.

- A subquery tends to be more intuitive when it uses an ad hoc relationship between the two tables.

- Long, complex queries can sometimes be easier to code using subqueries.

## Description

- Like a join, a subquery can be used to code queries that work with two or more tables.

- Most subqueries can be restated as joins and most joins can be restated as subqueries.

Figure 6-2     How subqueries compare to joins

# How to code subqueries in search conditions

You can use a variety of techniques to work with a subquery in a search condition. You'll learn about those techniques in the topics that follow. As you read these topics, keep in mind that although all of the examples illustrate the use of subqueries in a WHERE clause, all of this information applies to the HAVING clause as well.

## How to use subqueries with the IN operator

In chapter 3, you learned how to use the IN operator to test whether an expression is contained in a list of values. One way to provide that list of values is to use a subquery. This is illustrated in figure 6-3.

The example in this figure retrieves the vendors from the Vendors table that don't have invoices in the Invoices table. To do that, it uses a subquery to retrieve the VendorID of each vendor in the Invoices table. The result is a result set like the one shown in this figure that contains just the VendorID column. Then, this result set is used to filter the vendors that are included in the final result set.

You should notice two things about this subquery. First, it returns a single column. That's a requirement when a subquery is used with the IN operator. Second, the subquery includes the DISTINCT keyword. That way, if more than one invoice exists for a vendor, the VendorID for that vendor will be included only once. Note, however, that when the query is analyzed by SQL Server, this keyword will be added automatically. So you can omit it if you'd like to.

In the previous figure, you saw that a query that uses a subquery with the IN operator can be restated using an inner join. Similarly, a query that uses a subquery with the NOT IN operator can typically be restated using an outer join. The first query shown in this figure, for example, can be restated as shown in the second query. In this case, though, I think the query with the subquery is more readable. In addition, a query with a subquery will sometimes execute faster than a query with an outer join. That of course, depends on a variety of factors. In particular, it depends on the sizes of the tables and the relative number of unmatched rows. So if performance is an issue, you may want to test your query both ways to see which one executes faster.

## The syntax of a WHERE clause that uses an IN phrase with a subquery

```
WHERE test_expression [NOT] IN (subquery)
```

## A query that returns vendors without invoices

```
SELECT VendorID, VendorName, VendorState
FROM Vendors
WHERE VendorID NOT IN
      (SELECT DISTINCT VendorID
       FROM Invoices);
```

## The result of the subquery

| | VendorID |
|---|---|
| 1 | 34 |
| 2 | 37 |
| 3 | 48 |
| 4 | 72 |
| 5 | 80 |
| 6 | 81 |

**(34 rows)**

## The result set

| | VendorID | VendorName | VendorState |
|---|---|---|---|
| 32 | 33 | Nielson | OH |
| 33 | 35 | Cal State Termite | CA |
| 34 | 36 | Graylift | CA |
| 35 | 38 | Venture Communications Int1 | NY |
| 36 | 39 | Custom Printing Company | MO |
| 37 | 40 | Nat Assoc of College Stores | OH |

**(88 rows)**

## The query restated without a subquery

```
SELECT Vendors.VendorID, VendorName, VendorState
FROM Vendors LEFT JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
WHERE Invoices.VendorID IS NULL;
```

## Description

- You can introduce a subquery with the IN operator to provide the list of values that are tested against the test expression.

- When you use the IN operator, the subquery must return a single column of values.

- A query that uses the NOT IN operator with a subquery can typically be restated using an outer join.

Figure 6-3    How to use subqueries with the IN operator

# How to compare the result of a subquery with an expression

Figure 6-4 illustrates how you can use the comparison operators to compare an expression with the result of a subquery. In the example in this figure, the subquery returns the average balance due of the invoices in the Invoices table that have a balance due greater than zero. Then, it uses that value to retrieve all the invoices that have a balance due that's less than the average.

When you use a comparison operator as shown in this figure, the subquery must return a single value. In most cases, that means that it uses an aggregate function. However, you can also use the comparison operators with subqueries that return two or more values. To do that, you use the SOME, ANY, or ALL keyword to modify the comparison operator. You'll learn more about these keywords in the next two topics.

## The syntax of a WHERE clause that compares an expression with the value returned by a subquery

```
WHERE expression comparison_operator [SOME|ANY|ALL] (subquery)
```

## A query that returns invoices with a balance due less than the average

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal  > 0
    AND InvoiceTotal - PaymentTotal - CreditTotal <
    (SELECT AVG(InvoiceTotal - PaymentTotal - CreditTotal)
    FROM Invoices
    WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0)
ORDER BY InvoiceTotal DESC;
```

## The value returned by the subquery

```
2910.9472
```

## The result set

| | InvoiceNumber | InvoiceDate | InvoiceTotal | BalanceDue | |
|---|---|---|---|---|---|
| 1 | 31361833 | 2012-03-21 00:00:00 | 579.42 | 579.42 | |
| 2 | 9982771 | 2012-03-24 00:00:00 | 503.20 | 503.20 | |
| 3 | 547480102 | 2012-04-01 00:00:00 | 224.00 | 224.00 | |
| 4 | 134116 | 2012-03-28 00:00:00 | 90.36 | 90.36 | |
| 5 | 39104 | 2012-03-10 00:00:00 | 85.31 | 85.31 | |

```
(9 rows)
```

## Description

- You can use a comparison operator in a search condition to compare an expression with the results of a subquery.

- If you code a search condition without the ANY, SOME, and ALL keywords, the subquery must return a single value.

- If you include the ANY, SOME, or ALL keyword, the subquery can return a list of values. See figures 6-5 and 6-6 for more information on using these keywords.

Figure 6-4    How to compare the result of a subquery with an expression

# How to use the ALL keyword

Figure 6-5 shows you how to use the ALL keyword. This keyword modifies the comparison operator so that the condition must be true for all the values returned by a subquery. This is equivalent to coding a series of conditions connected by AND operators. The table at the top of this figure describes how this works for some of the comparison operators.

If you use the greater than operator (>), the expression must be greater than the maximum value returned by the subquery. Conversely, if you use the less than operator (<), the expression must be less than the minimum value returned by the subquery. If you use the equal operator (=), the expression must be equal to all of the values returned by the subquery. And if you use the not equal operator (<>), the expression must not equal any of the values returned by the subquery. Note that a not equal condition could be restated using a NOT IN condition.

The query in this figure illustrates the use of the greater than operator with the ALL keyword. Here, the subquery selects the InvoiceTotal column for all the invoices with a VendorID value of 34. This results in a table with two rows, as shown in this figure. Then, the outer query retrieves the rows from the Invoices table that have invoice totals greater than all of the values returned by the subquery. In other words, this query returns all the invoices that have totals greater than the largest invoice for vendor number 34.

When you use the ALL operator, you should realize that if the subquery doesn't return any rows, the comparison operation will always be true. In contrast, if the subquery returns only null values, the comparison operation will always be false.

In many cases, a condition with the ALL keyword can be rewritten so it's easier to read and maintain. For example, the condition in the query in this figure could be rewritten to use the MAX function like this:

```
WHERE InvoiceTotal >
    (SELECT MAX(InvoiceTotal)
    FROM Invoices
    WHERE VendorID = 34)
```

Whenever you can, then, I recommend you replace the ALL keyword with an equivalent condition.

## How the ALL keyword works

| Condition | Equivalent expression | Description |
|---|---|---|
| `x > ALL (1, 2)` | `x > 2` | *x* must be greater than all the values returned by the subquery, which means it must be greater than the maximum value. |
| `x < ALL (1, 2)` | `x < 1` | *x* must be less than all the values returned by the subquery, which means it must be less than the minimum value. |
| `x = ALL (1, 2)` | `(x = 1) AND (x = 2)` | This condition can evaluate to True only if the subquery returns a single value or if all the values returned by the subquery are the same. Otherwise, it evaluates to False. |
| `x <> ALL (1, 2)` | `(x <> 1) AND (x <> 2)` | This condition is equivalent to:<br>`x NOT IN (1, 2)` |
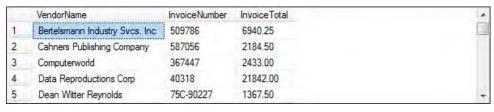
## A query that returns invoices larger than the largest invoice for vendor 34

```
SELECT VendorName, InvoiceNumber, InvoiceTotal
FROM Invoices JOIN Vendors ON Invoices.VendorID = Vendors.VendorID
WHERE InvoiceTotal > ALL
    (SELECT InvoiceTotal
    FROM Invoices
    WHERE VendorID = 34)
ORDER BY VendorName;
```

## The result of the subquery

| | InvoiceTotal |
|---|---|
| 1 | 116.54 |
| 2 | 1083.58 |

## The result set

| | VendorName | InvoiceNumber | InvoiceTotal |
|---|---|---|---|
| 1 | Bertelsmann Industry Svcs. Inc | 509786 | 6940.25 |
| 2 | Cahners Publishing Company | 587056 | 2184.50 |
| 3 | Computerworld | 367447 | 2433.00 |
| 4 | Data Reproductions Corp | 40318 | 21842.00 |
| 5 | Dean Witter Reynolds | 75C-90227 | 1367.50 |

`(25 rows)`

## Description

- You can use the ALL keyword to test that a comparison condition is true for all of the values returned by a subquery. This keyword is typically used with the comparison operators <, >, <=, and >=.
- If no rows are returned by the subquery, a comparison that uses the ALL keyword is always true.
- If all of the rows returned by the subquery contain a null value, a comparison that uses the ALL keyword is always false.

Figure 6-5 How to use the ALL keyword

# How to use the ANY and SOME keywords

Figure 6-6 shows how to use the ANY and SOME keywords. You use these keywords to test if a comparison is true for any, or some, of the values returned by a subquery. This is equivalent to coding a series of conditions connected with OR operators. Because these keywords are equivalent, you can use whichever one you prefer. The table at the top of this figure describes how these keywords work with some of the comparison operators.

The example in this figure shows how you can use the ANY keyword with the less than operator. This statement is similar to the one you saw in the previous figure, except that it retrieves invoices with invoice totals that are less than at least one of the invoice totals for a given vendor. Like the statement in the previous figure, this condition could be rewritten using the MAX function like this:

```
WHERE InvoiceTotal <
    (SELECT MAX(InvoiceTotal)
    FROM Invoices
    WHERE VendorID = 115)
```

Because you can usually replace an ANY condition with an equivalent condition that's more readable, you probably won't use ANY often.

## How the ANY and SOME keywords work

| Condition | Equivalent expression | Description |
|---|---|---|
| x > ANY (1, 2) | x > 1 | *x* must be greater than at least one of the values returned by the subquery list, which means that it must be greater than the minimum value returned by the subquery. |
| x < ANY (1, 2) | x < 2 | *x* must be less than at least one of the values returned by the subquery list, which means that it must be less than the maximum value returned by the subquery. |
| x = ANY (1, 2) | (x = 1) OR (x = 2) | This condition is equivalent to:<br>x IN (1, 2) |
| x <> ANY (1, 2) | (x <> 1) OR (x <> 2) | This condition will evaluate to True for any non-empty result set containing at least one non-null value that isn't equal to *x*. |

## A query that returns invoices smaller than the largest invoice for vendor 115

```
SELECT VendorName, InvoiceNumber, InvoiceTotal
FROM Vendors JOIN Invoices ON Vendors.VendorID = Invoices.VendorID
WHERE InvoiceTotal < ANY
    (SELECT InvoiceTotal
    FROM Invoices
    WHERE VendorID = 115);
```

## The result of the subquery

| | InvoiceTotal |
|---|---|
| 1 | 6.00 |
| 2 | 6.00 |
| 3 | 25.67 |
| 4 | 6.00 |

## The result set

| | VendorName | InvoiceNumber | InvoiceTotal |
|---|---|---|---|
| 1 | Federal Express Corporation | 963253251 | 15.50 |
| 2 | Pacific Bell | 111-92R-10096 | 16.33 |
| 3 | Roadway Package System, Inc | 25022117 | 6.00 |
| 4 | Compuserve | 21-4748363 | 9.95 |
| 5 | Federal Express Corporation | 4-321-2596 | 10.00 |

```
(17 rows)
```

## Description

- You can use the ANY or SOME keyword to test that a condition is true for one or more of the values returned by a subquery.

- ANY and SOME are equivalent keywords. SOME is the ANSI-standard keyword, but ANY is more commonly used.

- If no rows are returned by the subquery or all of the rows returned by the subquery contain a null value, a comparison that uses the ANY or SOME keyword is always false.

Figure 6-6   How to use the ANY and SOME keywords

# How to code correlated subqueries

The subqueries you've seen so far in this chapter have been subqueries that are executed only once for the entire query. However, you can also code subqueries that are executed once for each row that's processed by the outer query. This type of query is called a *correlated subquery*, and it's similar to using a loop to do repetitive processing in a procedural programming language.

Figure 6-7 illustrates how correlated subqueries work. The example in this figure retrieves rows from the Invoices table for those invoices that have an invoice total that's greater than the average of all the invoices for the same vendor. To do that, the search condition in the WHERE clause of the subquery refers to the VendorID value of the current invoice. That way, only the invoices for the current vendor will be included in the average.

Each time a row in the outer query is processed, the value in the VendorID column for that row is substituted for the column reference in the subquery. Then, the subquery is executed based on the current value. If the VendorID value is 95, for example, this subquery will be executed:

```
SELECT AVG(InvoiceTotal)
FROM Invoices AS Inv_Sub
WHERE Inv_Sub.VendorID = 95;
```

After this subquery is executed, the value it returns is used to determine whether the current invoice is included in the result set. For example, the value returned by the subquery for vendor 95 is 28.5016. Then, that value is compared with the invoice total of the current invoice. If the invoice total is greater than that value, the invoice is included in the result set. Otherwise, it's not. This process is repeated until each of the invoices in the Invoices table has been processed.

As you study this example, notice how the column names in the WHERE clause of the inner query are qualified to indicate whether they refer to a column in the inner query or the outer query. In this case, the same table is used in both the inner and outer queries, so aliases, or correlation names, have been assigned to the tables. Then, those correlation names are used to qualify the column names. Although you have to qualify a reference to a column in the outer query, you don't have to qualify a reference to a column in the inner query. However, it's common practice to qualify both names, particularly if they refer to the same table.

Because correlated subqueries can be difficult to code, you may want to test a subquery separately before using it within another SELECT statement. To do that, however, you'll need to substitute a constant value for the variable that refers to a column in the outer query. That's what I did to get the average invoice total for vendor 95. Once you're sure that the subquery works on its own, you can replace the constant value with a reference to the outer query so you can use it within a SELECT statement.

## A query that uses a correlated subquery to return each invoice that's higher than the vendor's average invoice

```
SELECT VendorID, InvoiceNumber, InvoiceTotal
FROM Invoices AS Inv_Main
WHERE InvoiceTotal >
    (SELECT AVG(InvoiceTotal)
    FROM Invoices AS Inv_Sub
    WHERE Inv_Sub.VendorID = Inv_Main.VendorID)
ORDER BY VendorID, InvoiceTotal;
```

## The value returned by the subquery for vendor 95

```
28.5016
```

## The result set

| | VendorID | InvoiceNumber | InvoiceTotal |
|---|---|---|---|
| 6 | 83 | 31359783 | 1575.00 |
| 7 | 95 | 111-92R-10095 | 32.70 |
| 8 | 95 | 111-92R-10093 | 39.77 |
| 9 | 95 | 111-92R-10092 | 46.21 |
| 10 | 110 | P-0259 | 26881.40 |

```
(36 rows)
```

## Description

- A *correlated subquery* is a subquery that is executed once for each row processed by the outer query. In contrast, a *noncorrelated subquery* is executed only once. All of the subqueries you've seen so far have been noncorrelated subqueries.

- A correlated subquery refers to a value that's provided by a column in the outer query. Because that value varies depending on the row that's being processed, each execution of the subquery returns a different result.

- To refer to a value in the outer query, a correlated subquery uses a qualified column name that includes the table name from the outer query. If the subquery uses the same table as the outer query, an alias, or *correlation name*, must be assigned to one of the tables to remove ambiguity.

## Note

- Because a correlated subquery is executed once for each row processed by the outer query, a query with a correlated subquery typically takes longer to run than a query with a noncorrelated subquery.

Figure 6-7    How to code correlated subqueries

# How to use the EXISTS operator

Figure 6-8 shows you how to use the EXISTS operator with a subquery. This operator tests whether or not the subquery returns a result set. In other words, it tests whether the result set exists. When you use this operator, the subquery doesn't actually return a result set to the outer query. Instead, it simply returns an indication of whether any rows satisfy the search condition of the subquery. Because of that, queries that use this operator execute quickly.

You typically use the EXISTS operator with a correlated subquery as illustrated in this figure. This query retrieves all the vendors in the Vendors table that don't have invoices in the Invoices table. Notice that this query returns the same vendors as the two queries you saw in figure 6-3 that use the IN operator with a subquery and an outer join. However, the query in this figure executes more quickly than either of the queries in figure 6-3.

In this example, the correlated subquery selects all of the invoices that have the same VendorID value as the current vendor in the outer query. Because the subquery doesn't actually return a result set, it doesn't matter what columns are included in the SELECT clause. So it's customary to just code an asterisk. That way, SQL Server will determine what columns to select for optimum performance.

After the subquery is executed, the search condition in the WHERE clause of the outer query uses NOT EXISTS to test whether any invoices were found for the current vendor. If not, the vendor row is included in the result set. Otherwise, it's not.

## The syntax of a subquery that uses the EXISTS operator

```
WHERE [NOT] EXISTS (subquery)
```

## A query that returns vendors without invoices

```
SELECT VendorID, VendorName, VendorState
FROM Vendors
WHERE NOT EXISTS
     (SELECT *
     FROM Invoices
     WHERE Invoices.VendorID = Vendors.VendorID);
```

## The result set

| | VendorID | VendorName | VendorState |
|---|---|---|---|
| 32 | 33 | Nielson | OH |
| 33 | 35 | Cal State Termite | CA |
| 34 | 36 | Graylift | CA |
| 35 | 38 | Venture Communications Int1 | NY |
| 36 | 39 | Custom Printing Company | MO |
| 37 | 40 | Nat Assoc of College Stores | OH |

```
(88 rows)
```

## Description

- You can use the EXISTS operator to test that one or more rows are returned by the subquery. You can also use the NOT operator along with the EXISTS operator to test that no rows are returned by the subquery.

- When you use the EXISTS operator with a subquery, the subquery doesn't actually return any rows. Instead, it returns an indication of whether any rows meet the specified condition.

- Because no rows are returned by the subquery, it doesn't matter what columns you specify in the SELECT clause. So you typically just code an asterisk (*).

- Although you can use the EXISTS operator with either a correlated or a noncorrelated subquery, it's used most often with correlated subqueries. That's because it's usually better to use a join than a noncorrelated subquery with EXISTS.

Figure 6-8    How to use the EXISTS operator

# Other ways to use subqueries

Although you'll typically use subqueries in the WHERE or HAVING clause of a SELECT statement, you can also use them in the FROM and SELECT clauses. You'll learn how to do that in the topics that follow.

## How to code subqueries in the FROM clause

Figure 6-9 shows you how to code a subquery in a FROM clause. As you can see, you can code a subquery in place of a table specification. In this example, the results of the subquery, called a *derived table*, are joined with another table. When you use a subquery in this way, it can return any number of rows and columns.

Subqueries are typically used in the FROM clause to create derived tables that provide summarized data to a summary query. The subquery in this figure, for example, creates a derived table that contains the VendorID values and the average invoice totals for the five vendors with the top invoice averages. To do that, it groups the invoices by VendorID, sorts them in descending sequence by average invoice total, and then returns the top five rows. The derived table is then joined with the Invoices table, and the resulting rows are grouped by VendorID. Finally, the maximum invoice date and average invoice total are calculated for the grouped rows, and the results are sorted by the maximum invoice date in descending sequence.

You should notice four things about this query. First, the derived table is assigned a table alias so that it can be referred to from the outer query. Second, the result of the AVG function in the subquery is assigned a column alias. This is because a derived table can't have unnamed columns. Third, since the subquery uses a TOP phrase, it also includes an ORDER BY clause. Fourth, although you might think that you could use the average invoice totals calculated by the subquery in the select list of the outer query, you can't. That's because the outer query includes a GROUP BY clause, so only aggregate functions, columns named in the GROUP BY clause, and constant values can be included in this list. Because of that, the AVG function is repeated in the select list.

When used in the FROM clause, a subquery is similar to a view. As you learned in chapter 1, a view is a predefined SELECT statement that's saved with the database. Because it's saved with the database, a view typically performs more efficiently than a derived table. However, it's not always practical to use a view. In those cases, derived tables can be quite useful. In addition, derived tables can be useful for testing possible solutions before creating a view. Then, once the derived table works the way you want it to, you can define the view based on the subquery you used to create the derived table.

### A query that uses a derived table to retrieve the top 5 vendors by average invoice total

```
SELECT Invoices.VendorID, MAX(InvoiceDate) AS LatestInv,
    AVG(InvoiceTotal) AS AvgInvoice
FROM Invoices JOIN
    (SELECT TOP 5 VendorID, AVG(InvoiceTotal) AS AvgInvoice
    FROM Invoices
    GROUP BY VendorID
    ORDER BY AvgInvoice DESC) AS TopVendor
    ON Invoices.VendorID = TopVendor.VendorID
GROUP BY Invoices.VendorID
ORDER BY LatestInv DESC;
```

### The derived table generated by the subquery

| | VendorID | AvgInvoice |
|---|---|---|
| 1 | 110 | 23978.482 |
| 2 | 72 | 10963.655 |
| 3 | 104 | 7125.34 |
| 4 | 99 | 6940.25 |
| 5 | 119 | 4901.26 |

### The result set

| | VendorID | LatestInv | AvgInvoice |
|---|---|---|---|
| 1 | 110 | 2012-03-31 00:00:00 | 23978.482 |
| 2 | 72 | 2012-03-10 00:00:00 | 10963.655 |
| 3 | 99 | 2012-02-18 00:00:00 | 6940.25 |
| 4 | 104 | 2012-01-21 00:00:00 | 7125.34 |
| 5 | 119 | 2012-01-11 00:00:00 | 4901.26 |

### Description

- A subquery that's coded in the FROM clause returns a result set called a *derived table*. When you create a derived table, you must assign an alias to it. Then, you can use the derived table within the outer query just as you would any other table.

- When you code a subquery in the FROM clause, you must assign names to any calculated values in the result set.

- Derived tables are most useful when you need to further summarize the results of a summary query.

- A derived table is like a view in that it retrieves selected rows and columns from one or more base tables. Because views are stored as part of the database, they're typically more efficient to use than derived tables. However, it may not always be practical to construct and save a view in advance.

Figure 6-9    How to code subqueries in the FROM clause

## How to code subqueries in the SELECT clause

Figure 6-10 shows you how to use subqueries in the SELECT clause. As you can see, you can use a subquery in place of a column specification. Because of that, the subquery must return a single value.

In most cases, the subqueries you use in the SELECT clause will be correlated subqueries. The subquery in this figure, for example, calculates the maximum invoice date for each vendor in the Vendors table. To do that, it refers to the VendorID column from the Invoices table in the outer query.

Because subqueries coded in the SELECT clause are difficult to read, and because correlated subqueries are typically inefficient, you shouldn't use them unless you can't find another solution. In most cases, though, you can replace the subquery with a join. The first query shown in this figure, for example, could be restated as shown in the second query. This query joins the Vendors and Invoices tables, groups the rows by VendorName, and then uses the MAX function to calculate the maximum invoice date for each vendor. As you can see, this query is much easier to read than the one with the subquery. It will also execute more quickly.

## A query that uses a correlated subquery in its SELECT clause to retrieve the most recent invoice for each vendor

```
SELECT DISTINCT VendorName,
    (SELECT MAX(InvoiceDate) FROM Invoices
    WHERE Invoices.VendorID = Vendors.VendorID) AS LatestInv
FROM Vendors
ORDER BY LatestInv DESC;
```

## The result set

| | VendorName | LatestInv |
|---|---|---|
| 1 | Federal Express Corporation | 2012-04-02 00:00:00 |
| 2 | Blue Cross | 2012-04-01 00:00:00 |
| 3 | Malloy Lithographing Inc | 2012-03-31 00:00:00 |
| 4 | Cardinal Business Media, Inc. | 2012-03-28 00:00:00 |
| 5 | Zylka Design | 2012-03-25 00:00:00 |
| 6 | Ford Motor Credit Company | 2012-03-24 00:00:00 |
| 7 | United Parcel Service | 2012-03-24 00:00:00 |
| 8 | Ingram | 2012-03-21 00:00:00 |
| 9 | Wakefield Co | 2012-03-20 00:00:00 |

`(122 rows)`

## The same query restated using a join

```
SELECT VendorName, MAX(InvoiceDate) AS LatestInv
FROM Vendors LEFT JOIN Invoices ON Vendors.VendorID = Invoices.VendorID
GROUP BY VendorName
ORDER BY LatestInv DESC;
```

## Description

- When you code a subquery for a column specification in the SELECT clause, the subquery must return a single value.

- A subquery that's coded within a SELECT clause is typically a correlated subquery.

- A query that includes a subquery in its SELECT clause can typically be restated using a join instead of the subquery. Because a join is usually faster and more readable, subqueries are seldom coded in the SELECT clause.

Figure 6-10   How to code subqueries in the SELECT clause

# Guidelines for working with complex queries

So far, the examples you've seen of queries that use subqueries have been relatively simple. However, these types of queries can get complicated in a hurry, particularly if the subqueries are nested. Because of that, you'll want to be sure that you plan and test these queries carefully. You'll learn a procedure for doing that in a moment. But first, you'll see a complex query that illustrates the type of query I'm talking about.

## A complex query that uses subqueries

Figure 6-11 presents a query that uses three subqueries. The first subquery is used in the FROM clause of the outer query to create a derived table that contains the state, name, and total invoice amount for each vendor in the Vendors table. The second subquery is also used in the FROM clause of the outer query to create a derived table that's joined with the first table. This derived table contains the state and total invoice amount for the vendor in each state that has the largest invoice total. To create this table, a third subquery is nested within the FROM clause of the subquery. This subquery is identical to the first subquery.

After the two derived tables are created, they're joined based on the columns in each table that contain the state and the total invoice amount. The final result set includes the state, name, and total invoice amount for the vendor in each state with the largest invoice total. This result set is sorted by state.

As you can see, this query is quite complicated and difficult to understand. In fact, you might be wondering if there isn't an easier solution to this problem. For example, you might think that you could solve the problem simply by joining the Vendors and Invoices table and creating a grouped aggregate. If you grouped by vendor state, however, you wouldn't be able to include the name of the vendor in the result set. And if you grouped by vendor state and vendor name, the result set would include all the vendors, not just the vendor from each state with the largest invoice total.

If you think about how else you might solve this query, I think you'll agree that the solution presented here is fairly straightforward. However, in figure 6-13, you'll learn how to use a feature that was introduced with SQL Server 2005 to simplify this query. In particular, this new feature allows you to code a single Summary subquery instead of coding the Summary1 and Summary2 subqueries shown here.

## A query that uses three subqueries

```
SELECT Summary1.VendorState, Summary1.VendorName, TopInState.SumOfInvoices
FROM
        (SELECT V_Sub.VendorState, V_Sub.VendorName,
            SUM(I_Sub.InvoiceTotal) AS SumOfInvoices
        FROM Invoices AS I_Sub JOIN Vendors AS V_Sub
            ON I_Sub.VendorID = V_Sub.VendorID
        GROUP BY V_Sub.VendorState, V_Sub.VendorName) AS Summary1
    JOIN
        (SELECT Summary2.VendorState,
            MAX(Summary2.SumOfInvoices) AS SumOfInvoices
        FROM
            (SELECT V_Sub.VendorState, V_Sub.VendorName,
                SUM(I_Sub.InvoiceTotal) AS SumOfInvoices
            FROM Invoices AS I_Sub JOIN Vendors AS V_Sub
                ON I_Sub.VendorID = V_Sub.VendorID
            GROUP BY V_Sub.VendorState, V_Sub.VendorName) AS Summary2
        GROUP BY Summary2.VendorState) AS TopInState
    ON Summary1.VendorState = TopInState.VendorState AND
        Summary1.SumOfInvoices = TopInState.SumOfInvoices
ORDER BY Summary1.VendorState;
```

## The result set

| | VendorState | VendorName | SumOfInvoices |
|---|---|---|---|
| 1 | AZ | Wells Fargo Bank | 662.00 |
| 2 | CA | Digital Dreamworks | 7125.34 |
| 3 | DC | Reiter's Scientific & Pro Books | 600.00 |
| 4 | MA | Dean Witter Reynolds | 1367.50 |
| 5 | MI | Malloy Lithographing Inc | 119892.41 |
| 6 | NV | United Parcel Service | 23177.96 |
| 7 | OH | Edward Data Services | 207.78 |
| 8 | PA | Cardinal Business Media, Inc. | 265.36 |

**(10 rows)**

## How the query works

- This query retrieves the vendor from each state that has the largest invoice total. To do that, it uses three subqueries: Summary1, Summary2, and TopInState. The Summary1 and TopInState subqueries are joined together in the FROM clause of the outer query, and the Summary2 subquery is nested within the FROM clause of the TopInState subquery.

- The Summary1 and Summary2 subqueries are identical. They join data from the Vendors and Invoices tables and produce a result set that includes the sum of invoices for each vendor grouped by vendor name within state.

- The TopInState subquery produces a result set that includes the vendor state and the largest sum of invoices for any vendor in that state. This information is retrieved from the results of the Summary2 subquery.

- The columns listed in the SELECT clause of the outer query are retrieved from the result of the join between the Summary1 and TopInState subqueries, and the results are sorted by state.

---

Figure 6-11 A complex query that uses subqueries

# A procedure for building complex queries

To build a complex query like the one in the previous figure, you can use a procedure like the one in figure 6-12. To start, you should state the problem to be solved so that you're clear about what you want the query to accomplish. In this case, the question is, "Which vendor in each state has the largest invoice total?"

Once you're clear about the problem, you should outline the query using *pseudocode*. Pseudocode is simply code that represents the intent of the query, but doesn't necessarily use SQL code. The pseudocode shown in this figure, for example, uses part SQL code and part English. Notice that this pseudocode identifies the two main subqueries. Because these subqueries define derived tables, the pseudocode also indicates the alias that will be used for each: Summary1 and TopInState. That way, you can use these aliases in the pseudocode for the outer query to make it clear where the data it uses comes from.

If it's not clear from the pseudocode how each subquery will be coded, or, as in this case, if a subquery is nested within another subquery, you can also write pseudocode for the subqueries. For example, the pseudocode for the TopInState query is presented in this figure. Because this subquery has a subquery nested in its FROM clause, that subquery is identified in this pseudocode as Summary2.

The next step in the procedure is to code and test the actual subqueries to be sure they work the way you want them to. For example, the code for the Summary1 and Summary2 queries is shown in this figure, along with the results of these queries and the results of the TopInState query. Once you're sure that the subqueries work the way you want them to, you can code and test the final query.

If you follow the procedure presented in this figure, I think you'll find it easier to build complex queries that use subqueries. Before you can use this procedure, of course, you need to have a thorough understanding of how subqueries work and what they can do. So you'll want to be sure to experiment with the techniques you learned in this chapter before you try to build a complex query like the one shown here.

## A procedure for building complex queries

1. State the problem to be solved by the query in English.

2. Use pseudocode to outline the query. The pseudocode should identify the subqueries used by the query and the data they return. It should also include aliases used for any derived tables.

3. If necessary, use pseudocode to outline each subquery.

4. Code the subqueries and test them to be sure that they return the correct data.

5. Code and test the final query.

## The problem to be solved by the query in figure 6-11

* Which vendor in each state has the largest invoice total?

## Pseudocode for the query

```
SELECT Summary1.VendorState, Summary1.VendorName, TopInState.SumOfInvoices
FROM (Derived table returning VendorState, VendorName, SumOfInvoices)
        AS Summary1
    JOIN (Derived table returning VendorState, MAX(SumOfInvoices))
        AS TopInState
    ON Summary1.VendorState = TopInState.VendorState AND
        Summary1.SumOfInvoices = TopInState.SumOfInvoices
ORDER BY Summary1.VendorState;
```

## Pseudocode for the TopInState subquery

```
SELECT Summary2.VendorState, MAX(Summary2.SumOfInvoices)
FROM (Derived table returning VendorState, VendorName, SumOfInvoices)
    AS Summary2
GROUP BY Summary2.VendorState;
```

## The code for the Summary1 and Summary2 subqueries

```
SELECT V_Sub.VendorState, V_Sub.VendorName,
    SUM(I_Sub.InvoiceTotal) AS SumOfInvoices
FROM Invoices AS I_Sub JOIN Vendors AS V_Sub
    ON I_Sub.VendorID = V_Sub.VendorID
GROUP BY V_Sub.VendorState, V_Sub.VendorName;
```

## The result of the Summary1 and Summary2 subqueries

| | VendorState | VendorName | SumOfInvoices |
|---|---|---|---|
| 10 | MA | Dean Witter Reynolds | 1367.50 |
| 11 | CA | Digital Dreamworks | 7125.34 |
| 12 | CA | Dristas Groom & McCormick | 220.00 |
| 13 | OH | Edward Data Services | 207.78 |

**(34 rows)**

## The result of the TopInState subquery

| | VendorState | SumOfInvoices |
|---|---|---|
| 1 | AZ | 662.00 |
| 2 | CA | 7125.34 |
| 3 | DC | 600.00 |
| 4 | MA | 1367.50 |

**(10 rows)**
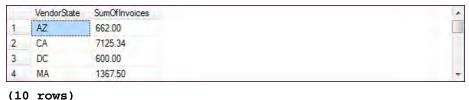
Figure 6-12    A procedure for building complex queries

# How to work with common table expressions

A *common table expression* (*CTE*) is a feature that was introduced with SQL Server 2005 that allows you to code an expression that defines a derived table. You can use CTEs to simplify complex queries that use subqueries. This can make your code easier to read and maintain. In addition, you can use a CTE to loop through nested structures.

## How to code a CTE

Figure 6-13 shows how to use a CTE to simplify the complex query presented in figure 6-11. To start, the statement for the query begins with the WITH keyword to indicate that you are about to define a CTE. Then, it specifies Summary as the name for the first table, followed by the AS keyword, followed by an opening parenthesis, followed by a SELECT statement that defines the table, followed by a closing parenthesis. In this figure, for example, this statement returns the same result set as the subqueries named Summary1 and Summary2 that were presented in figure 6-11.

After the first CTE is defined, this example continues by defining a second CTE named TopInState. To start, a comma is coded to separate the two CTEs. Then, this query specifies TopInState as the name for the second table, followed by the AS keyword, followed by an opening parenthesis, followed by a SELECT statement that defines the table, followed by a closing parenthesis. Here, this SELECT statement refers to the Summary table that was defined by the first CTE. When coding multiple CTEs like this, a CTE can reference any CTEs in the same WITH clause that are coded before it, but it can't reference CTEs coded after it. As a result, this statement wouldn't work if the two CTEs were coded in the reverse order.

Finally, the SELECT statement that's coded immediately after the two CTEs uses both of these CTEs just as if they were tables. To do that, this SELECT statement joins the two tables, specifies the columns to retrieve, and specifies the sort order. To avoid ambiguous references, each column is qualified by the name for the CTE. If you compare figure 6-13 with figure 6-11, I think you'll agree that the code in figure 6-13 is easier to read. That's partly because the tables defined by the subqueries aren't nested within the SELECT statement. In addition, I think you'll agree that the code in figure 6-13 is easier to maintain. That's because this query reduces code duplication by only coding the Summary query in one place, not in two places.

When using the syntax shown here to define CTEs, you must supply distinct names for all columns defined by the SELECT statement, including calculated values. That way, it's possible for other statements to reference the columns in the result set. Most of the time, that's all you need to know to be able to work with CTEs. For more information about working with CTEs, you can look up "WITH common_table_expression" in Books Online.

## The syntax of a CTE

```
WITH cte_name1 AS (query_definition1)
[, cte_name2 AS (query_definition2)]
[...]
sql_statement
```

## Two CTEs and a query that uses them

```
WITH Summary AS
(
    SELECT VendorState, VendorName, SUM(InvoiceTotal) AS SumOfInvoices
    FROM Invoices
        JOIN Vendors ON Invoices.VendorID = Vendors.VendorID
    GROUP BY VendorState, VendorName
),
TopInState AS
(
    SELECT VendorState, MAX(SumOfInvoices) AS SumOfInvoices
    FROM Summary
    GROUP BY VendorState
)
SELECT Summary.VendorState, Summary.VendorName, TopInState.SumOfInvoices
FROM Summary JOIN TopInState
    ON Summary.VendorState = TopInState.VendorState AND
        Summary.SumOfInvoices = TopInState.SumOfInvoices
ORDER BY Summary.VendorState;
```

## The result set

| | VendorState | VendorName | SumOfInvoices |
|---|---|---|---|
| 1 | AZ | Wells Fargo Bank | 662.00 |
| 2 | CA | Digital Dreamworks | 7125.34 |
| 3 | DC | Reiter's Scientific & Pro Books | 600.00 |
| 4 | MA | Dean Witter Reynolds | 1367.50 |
| 5 | MI | Malloy Lithographing Inc | 119892.41 |
| 6 | NV | United Parcel Service | 23177.96 |
| 7 | OH | Edward Data Services | 207.78 |
| 8 | PA | Cardinal Business Media, Inc. | 265.36 |

```
(10 rows)
```

## Description

- A *common table expression* (*CTE*) is an expression (usually a SELECT statement) that creates one or more temporary tables that can be used by the following query.

- To use a CTE with a query, you code the WITH keyword followed by the definition of the CTE. Then, immediately after the CTE, you code the statement that uses it.

- To code multiple CTEs, separate them with commas. Then, each CTE can refer to itself and any previously defined CTEs in the same WITH clause.

- You can use CTEs with SELECT, INSERT, UPDATE, and DELETE statements. However, you're most likely to use them with SELECT statements as shown in this figure and in figure 6-14.

Figure 6-13     How to code a CTE

# How to code a recursive CTE

A *recursive query* is a query that is able to loop through a result set and perform processing to return a final result set. Recursive queries are commonly used to return hierarchical data such as an organizational chart in which a parent element may have one or more child elements, and each child element may have one or more child elements. Prior to SQL Server 2005, a recursive query required using derived tables, cursors, and logic to control the flow of the recursive steps. With SQL Server 2005 and later, you can use a *recursive CTE* to code recursive queries more easily. Figure 6-14 shows how.

The top of this figure shows an Employees table where the ManagerID column is used to identify the manager for each employee. Here, Cindy Smith is the top level manager since she doesn't have a manager, Elmer Jones and Paulo Locario report to Cindy, and so on.

The recursive CTE shown in this figure returns each employee according to their level in the organization chart for the company. To do that, this statement begins by defining a CTE named EmployeesCTE. Within this CTE, two SELECT statements are joined by the UNION ALL operator. Here, the first SELECT statement uses the IS NULL operator to return the first row of the result set. This statement is known as the *anchor member* of the recursive CTE.

Then, the second SELECT statement creates a loop by referencing itself. In particular, this query joins the Employees table to the EmployeesCTE table that's defined by the CTE. This statement is known as the *recursive member* and it loops through each row in the Employees table. With each loop, it adds 1 to the rank column and appends the current result set to the final result set. For example, on the first loop, it appends Elmer Jones and Paulo Locario to the final result set. On the second loop, it appends Ralph Simonian, Thomas Hardy, Olivia Hernandez, and Rhea O'Leary to the final result set. And so on.

When coding a recursive CTE, you must follow some rules. First, you must supply a name for each column defined by the CTE. To do that, you just need to make sure to specify a name for each column in the anchor member. Second, the rules for coding a union that you learned in chapter 4 still apply. In particular, the anchor member and the recursive member must have the same number of columns and the columns must have compatible data types.

Most of the time, that's all you need to know to be able to work with recursive CTEs. However, the goal of this figure is to show a simple recursive CTE to give you a general idea of how they work. If necessary, you can code much more complex recursive CTEs. For example, you can code multiple anchor members and multiple recursive members. For more information about working with recursive CTEs, you can start by looking up "recursive queries using common table expressions" in Books Online.

If you find that you're often using recursive CTEs to return hierarchical data, you may want to learn more about the hierarchyid data type that was introduced with SQL Server 2008. This data type makes it easier to work with hierarchical data such as organization charts. To learn more about this data type, you can look up "hierarchyid (Transact-SQL)" in Books Online.

## The Employees table

| | EmployeeID | LastName | FirstName | ManagerID |
|---|---|---|---|---|
| 1 | 1 | Smith | Cindy | NULL |
| 2 | 2 | Jones | Elmer | 1 |
| 3 | 3 | Simonian | Ralph | 2 |
| 4 | 4 | Hernandez | Olivia | 2 |
| 5 | 5 | Aaronsen | Robert | 3 |
| 6 | 6 | Watson | Denise | 3 |
| 7 | 7 | Hardy | Thomas | 2 |
| 8 | 8 | O'Leary | Rhea | 2 |
| 9 | 9 | Locario | Paulo | 1 |

## A recursive CTE that returns hierarchical data

```
WITH EmployeesCTE AS
(
        -- Anchor member
        SELECT EmployeeID,
            FirstName + ' ' + LastName As EmployeeName,
            1 As Rank
        FROM Employees
        WHERE ManagerID IS NULL
    UNION ALL
        -- Recursive member
        SELECT Employees.EmployeeID,
            FirstName + ' ' + LastName,
            Rank + 1
        FROM Employees
            JOIN EmployeesCTE
            ON Employees.ManagerID = EmployeesCTE.EmployeeID
)
SELECT *
FROM EmployeesCTE
ORDER BY Rank, EmployeeID;
```

## The final result set

| | EmployeeID | EmployeeName | Rank |
|---|---|---|---|
| 1 | 1 | Cindy Smith | 1 |
| 2 | 2 | Elmer Jones | 2 |
| 3 | 9 | Paulo Locario | 2 |
| 4 | 3 | Ralph Simonian | 3 |
| 5 | 4 | Olivia Hernandez | 3 |
| 6 | 7 | Thomas Hardy | 3 |
| 7 | 8 | Rhea O'Leary | 3 |
| 8 | 5 | Robert Aaronsen | 4 |
| 9 | 6 | Denise Watson | 4 |

## Description

- A *recursive query* is a query that is able to loop through a result set and perform processing to return a final result set. A *recursive CTE* can be used to create a recursive query.

- A recursive CTE must contain at least two query definitions, an *anchor member* and a *recursive member*, and these members must be connected by the UNION ALL operator.

Figure 6-14    How to code a recursive CTE

# Perspective

As you've seen in this chapter, subqueries provide a powerful tool for solving difficult problems. Before you use a subquery, however, remember that a subquery can often be restated more clearly by using a join. In addition, a query with a join often executes more quickly than a query with a subquery. Because of that, you'll typically use a subquery only when it can't be restated as a join or when it makes the query easier to understand without slowing it down significantly.

If you find yourself coding the same subqueries over and over, you should consider creating a view for that subquery as described in chapter 13. This will help you develop queries more quickly since you can use the view instead of coding the subquery again. In addition, since views execute more quickly than subqueries, this may improve the performance of your queries.

# Terms

subquery
introduce a subquery
subquery search condition
subquery predicate
nested subquery
correlated subquery
noncorrelated subquery
derived table
pseudocode
common table expression (CTE)
recursive query
recursive CTE
anchor member
recursive member

## Exercises

1. Write a SELECT statement that returns the same result set as this SELECT statement. Substitute a subquery in a WHERE clause for the inner join.

```
SELECT DISTINCT VendorName
FROM Vendors JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
ORDER BY VendorName;
```

2. Write a SELECT statement that answers this question: Which invoices have a PaymentTotal that's greater than the average PaymentTotal for all paid invoices? Return the InvoiceNumber and InvoiceTotal for each invoice.

3. Write a SELECT statement that answers this question: Which invoices have a PaymentTotal that's greater than the median PaymentTotal for all paid invoices? (The median marks the midpoint in a set of values; an equal number of values lie above and below it.) Return the InvoiceNumber and InvoiceTotal for each invoice.

   Hint: Begin with the solution to exercise 2, then use the ALL keyword in the WHERE clause and code "TOP 50 PERCENT PaymentTotal" in the subquery.

4. Write a SELECT statement that returns two columns from the GLAccounts table: AccountNo and AccountDescription. The result set should have one row for each account number that has never been used. Use a correlated subquery introduced with the NOT EXISTS operator. Sort the final result set by AccountNo.

5. Write a SELECT statement that returns four columns: VendorName, InvoiceID, InvoiceSequence, and InvoiceLineItemAmount for each invoice that has more than one line item in the InvoiceLineItems table.

   Hint: Use a subquery that tests for InvoiceSequence > 1.

6. Write a SELECT statement that returns a single value that represents the sum of the largest unpaid invoices submitted by each vendor. Use a derived table that returns MAX(InvoiceTotal) grouped by VendorID, filtering for invoices with a balance due.

7. Write a SELECT statement that returns the name, city, and state of each vendor that's located in a unique city and state. In other words, don't include vendors that have a city and state in common with another vendor.

8. Write a SELECT statement that returns four columns: VendorName, InvoiceNumber, InvoiceDate, and InvoiceTotal. Return one row per vendor, representing the vendor's invoice with the earliest date.

9. Rewrite exercise 6 so it uses a common table expression (CTE) instead of a derived table.

# 7

# How to insert, update, and delete data

In the last four chapters, you learned how to code the SELECT statement to retrieve and summarize data. Now, you'll learn how to code the INSERT, UPDATE, and DELETE statements to modify the data in a table. When you're done with this chapter, you'll know how to code the four statements that are used every day by professional SQL programmers.

# How to create test tables

As you learn to code INSERT, UPDATE, and DELETE statements, you need to make sure that your experimentation won't affect "live" data or a classroom database that is shared by other students. Two ways to get around that are presented next.

## How to use the SELECT INTO statement

Figure 7-1 shows how to use the SELECT INTO statement to create test tables that are derived from the tables in a database. Then, you can experiment all you want with the test tables and delete them when you're done. When you use the SELECT INTO statement, the result set that's defined by the SELECT statement is simply copied into a new table.

The three examples in this figure show some of the ways you can use this statement. Here, the first example copies all of the columns from all of the rows in the Invoices table into a new table named InvoiceCopy. The second example copies all of the columns in the Invoices table into a new table, but only for rows where the balance due is zero. And the third example creates a table that contains summary data from the Invoices table.

For the examples in the rest of this chapter, I used the SELECT INTO statement to make copies of the Vendors and Invoices tables, and I named these tables VendorCopy and InvoiceCopy. If you do the same, you'll avoid corrupting the original database. Then, when you're done experimenting, you can use the DROP TABLE statement that's shown in this figure to delete the test tables.

When you use this technique to create tables, though, only the column definitions and data are copied, which means that definitions like those of primary keys, foreign keys, and default values aren't retained. As a result, the test results that you get with the copied tables may be slightly different than the results you would get with the original tables. You'll understand that better after you read chapters 10 and 11.

## How to use a copy of the database

If you download the files for this book as described in appendix B, you can create copies of the databases used in this book on your local server by running the provided database creation scripts. As a result, you can modify the tables within these databases without worrying about how much you change them. Then, when you're done testing, you can restore these databases by running the database creation scripts again.

However, when you create a copy of the entire database instead of making copies of tables within a database, the definitions of primary keys, foreign keys, and default values are retained, so your results may be slightly different than the ones shown in the examples. If, for example, you try to add a row with an invalid foreign key, SQL Server won't let you do that. You'll learn more about that in chapters 10 and 11.

## The syntax of the SELECT INTO statement

```
SELECT select_list
INTO table_name
FROM table_source
[WHERE search_condition]
[GROUP BY group_by_list]
[HAVING search_condition]
[ORDER BY order_by_list]
```

## A statement that creates a complete copy of the Invoices table

```
SELECT *
INTO InvoiceCopy
FROM Invoices;

(114 row(s) affected)
```

## A statement that creates a partial copy of the Invoices table

```
SELECT *
INTO OldInvoices
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal = 0;

(103 row(s) affected)
```

## A statement that creates a table with summary rows

```
SELECT VendorID, SUM(InvoiceTotal) AS SumOfInvoices
INTO VendorBalances
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal <> 0
GROUP BY VendorID;

(7 row(s) affected)
```

## A statement that deletes a table

```
DROP TABLE InvoiceCopy;
```

## Description

- The INTO clause is a SQL Server extension that lets you create a new table based on the result set defined by the SELECT statement. Since the definitions of the columns in the new table are based on the columns in the result set, the column names assigned in the SELECT clause must be unique.

- You can code the other clauses of the SELECT INTO statement just as you would for any other SELECT statement.

- If you use calculated values in the select list, you must name the column since that name is used in the definition of the new table.

- The table you name in the INTO clause must not exist. If it does, you must delete it using the DROP TABLE statement before you execute the SELECT INTO statement.

## Warning

- When you use the SELECT INTO statement to create a table, only the column definitions and data are copied. That means that definitions of primary keys, foreign keys, indexes, default values, and so on are not included in the new table.

Figure 7-1    How to use the SELECT INTO statement to create test tables

# How to insert new rows

To add new rows to a table, you use the INSERT statement. This statement lets you insert a single row or multiple rows.

## How to insert a single row

Figure 7-2 shows how to code an INSERT statement to insert a single row. The two examples in this figure insert a row into the InvoiceCopy table. The data this new row contains is defined near the top of this figure.

In the first example, you can see that you name the table in which the row will be inserted in the INSERT clause. Then, the VALUES clause lists the values to be used for each column. You should notice three things about this list. First, it includes a value for every column in the table except for the InvoiceID column. This value is omitted because the InvoiceID column is defined as an identity column. Because of that, its value will be generated by SQL Server. Second, the values are listed in the same sequence that the columns appear in the table. That way, SQL Server knows which value to assign to which column. And third, a null value is assigned to the last column, PaymentDate, using the NULL keyword. You'll learn more about using this keyword in the next topic.

The second INSERT statement in this figure includes a column list in the INSERT clause. Notice that this list doesn't include the PaymentDate column since it allows a null value. In addition, the columns aren't listed in the same sequence as the columns in the InvoiceCopy table. When you include a list of columns, you can code the columns in any sequence you like. Then, you just need to be sure that the values in the VALUES clause are coded in the same sequence.

When you specify the values for the columns to be inserted, you must be sure that those values are compatible with the data types of the columns. For example, you must enclose literal values for dates and strings within single quotes. However, you don't need to enclose literal values for numbers in single quotes. You'll learn more about data types and how to work with them in the next chapter. For now, just realize that if any of the values aren't compatible with the data types of the corresponding columns, an error will occur and the row won't be inserted.

## How to insert multiple rows

SQL Server 2008 extended the syntax for the INSERT statement to allow a single INSERT statement to insert multiple rows. To do that, you just use a comma to separate the multiple value lists as shown in the third INSERT statement. Although this syntax doesn't provide a performance gain, it does provide a more concise way to write the code than coding multiple INSERT statements.

## The syntax of the INSERT statement

```
INSERT [INTO] table_name [(column_list)]
[DEFAULT] VALUES (expression_1 [, expression_2]...)
[, (expression_1 [, expression_2]...)...]
```

## The values for a new row to be added to the Invoices table

| Column | Value | Column | Value |
|---|---|---|---|
| InvoiceID | (Next available unique ID) | PaymentTotal | 0 |
| VendorID | 97 | CreditTotal | 0 |
| InvoiceNumber | 456789 | TermsID | 1 |
| InvoiceDate | 4/01/2012 | InvoiceDueDate | 4/31/2012 |
| InvoiceTotal | 8,344.50 | PaymentDate | null |

## An INSERT statement that adds the new row without using a column list

```
INSERT INTO InvoiceCopy
VALUES (97, '456789', '2012-04-01', 8344.50, 0, 0, 1, '2012-04-30', NULL);
```

## An INSERT statement that adds the new row using a column list

```
INSERT INTO InvoiceCopy
    (VendorID, InvoiceNumber, InvoiceTotal, PaymentTotal, CreditTotal,
    TermsID, InvoiceDate, InvoiceDueDate)
VALUES
    (97, '456789', 8344.50, 0, 0, 1, '2012-04-01', '2012-04-30');
```

### The response from the system

```
(1 row(s) affected)
```

## An INSERT statement that adds three new rows

```
INSERT INTO InvoiceCopy
VALUES
    (95, '111-10098', '2012-04-01', 219.50, 0, 0, 1, '2012-04-30', NULL),
    (102, '109596', '2012-04-01', 22.97, 0, 0, 1, '2012-04-30', NULL),
    (72, '40319', '2012-04-01', 173.38, 0, 0, 1, '2012-04-30', NULL);
```

### The response from the system

```
(3 row(s) affected)
```

## Description

- You specify the values to be inserted in the VALUES clause. The values you specify depend on whether you include a column list.

- If you don't include a column list, you must specify the column values in the same order as they appear in the table, and you must code a value for each column in the table. The exception is an identity column, which must be omitted.

- If you include a column list, you must specify the column values in the same order as they appear in the column list. You can omit columns with default values and columns that accept null values, and you must omit identity columns.

Figure 7-2    How to insert one or more rows

# How to insert default values and null values

If a column allows null values, you'll want to know how to insert a null value into that column. Similarly, if a column is defined with a default value, you'll want to know how to insert that value. The technique you use depends on whether the INSERT statement includes a column list, as shown by the examples in figure 7-3.

All of these INSERT statements use a table named ColorSample. This table contains the three columns shown at the top of this figure. The first column, ID, is defined as an identity column. The second column, ColorNumber, is defined with a default value of 0. And the third column, ColorName, is defined so that it allows null values.

The first two statements illustrate how you assign a default value or a null value using a column list. To do that, you simply omit the column from the list. In the first statement, for example, the column list names only the ColorNumber column, so the ColorName column is assigned a null value. Similarly, the column list in the second statement names only the ColorName column, so the ColorNumber is assigned its default value.

The next three statements show how you assign a default or null value to a column without including a column list. As you can see, you do that by using the DEFAULT and NULL keywords. For example, the third statement specifies a value for the ColorName column, but uses the DEFAULT keyword for the ColorNumber column. Because of that, SQL Server will assign a value of zero to this column. The fourth statement assigns a value of 808 to the ColorNumber column, and it uses the NULL keyword to assign a null value to the ColorName column. The fifth statement uses both the DEFAULT and NULL keywords.

Finally, in the sixth statement, the DEFAULT keyword is coded in front of the VALUES clause. When you use the DEFAULT keyword this way, any column that has a default value will be assigned that value, and all other columns (except the identity column) will be assigned a null value. Because of that, you can use this technique only when every column in the table is defined as either an identity column, a column with a default value, or a column that allows null values.

## The definition of the ColorSample table

| Column name | Data Type | Length | Identity | Allow Nulls | Default Value |
|---|---|---|---|---|---|
| ID | Int | 4 | Yes | No | No |
| ColorNumber | Int | 4 | No | No | 0 |
| ColorName | VarChar | 10 | No | Yes | No |

## Six INSERT statements for the ColorSample table

```
INSERT INTO ColorSample (ColorNumber)
VALUES (606);

INSERT INTO ColorSample (ColorName)
VALUES ('Yellow');

INSERT INTO ColorSample
VALUES (DEFAULT, 'Orange');

INSERT INTO ColorSample
VALUES (808, NULL);

INSERT INTO ColorSample
VALUES (DEFAULT, NULL);

INSERT INTO ColorSample
DEFAULT VALUES;
```

## The ColorSample table after the rows are inserted

| | ID | ColorNumber | ColorName |
|---|---|---|---|
| 1 | 1 | 606 | NULL |
| 2 | 2 | 0 | Yellow |
| 3 | 3 | 0 | Orange |
| 4 | 4 | 808 | NULL |
| 5 | 5 | 0 | NULL |
| 6 | 6 | 0 | NULL |

## Description

- If a column is defined so that it allows null values, you can use the NULL keyword in the list of values to insert a null value into that column.
- If a column is defined with a default value, you can use the DEFAULT keyword in the list of values to insert the default value for that column.
- If all of the columns in a table are defined as either identity columns, columns with default values, or columns that allow null values, you can code the DEFAULT keyword at the beginning of the VALUES clause and then omit the list of values.
- If you include a column list, you can omit columns with default values and null values. Then, the default value or null value is assigned automatically.

Figure 7-3    How to insert default values and null values

# How to insert rows selected from another table

Instead of using the VALUES clause of the INSERT statement to specify the values for a single row, you can use a subquery to select the rows you want to insert from another table. Figure 7-4 shows you how to do that.

Both examples in this figure retrieve rows from the InvoiceCopy table and insert them into a table named InvoiceArchive. This table is defined with the same columns as the InvoiceCopy table. However, the InvoiceID column isn't defined as an identity column, and the PaymentTotal and CreditTotal columns aren't defined with default values. Because of that, you must include values for these columns.

The first example in this figure shows how you can use a subquery in an INSERT statement without coding a column list. In this example, the SELECT clause of the subquery is coded with an asterisk so that all the columns in the InvoiceCopy table will be retrieved. Then, after the search condition in the WHERE clause is applied, all the rows in the result set are inserted into the InvoiceArchive table.

The second example shows how you can use a column list in the INSERT clause when you use a subquery to retrieve rows. Just as when you use the VALUES clause, you can list the columns in any sequence. However, the columns must be listed in the same sequence in the SELECT clause of the subquery. In addition, you can omit columns that are defined with default values or that allow null values.

Notice that the subqueries in these statements aren't coded within parentheses as a subquery in a SELECT statement is. That's because they're not coded within a clause of the INSERT statement. Instead, they're coded in place of the VALUES clause.

Before you execute INSERT statements like the ones shown in this figure, you'll want to be sure that the rows and columns retrieved by the subquery are the ones you want to insert. To do that, you can execute the SELECT statement by itself. Then, when you're sure it retrieves the correct data, you can add the INSERT clause to insert the rows in the derived table into another table.

## The syntax of the INSERT statement for inserting rows selected from another table

```
INSERT [INTO] table_name [(column_list)]
SELECT column_list
FROM table_source
[WHERE search_condition]
```

## An INSERT statement that inserts paid invoices in the InvoiceCopy table into the InvoiceArchive table

```
INSERT INTO InvoiceArchive
SELECT *
FROM InvoiceCopy
WHERE InvoiceTotal - PaymentTotal - CreditTotal = 0;
```

```
(103 row(s) affected)
```

## The same INSERT statement with a column list

```
INSERT INTO InvoiceArchive
    (InvoiceID, VendorID, InvoiceNumber, InvoiceTotal, CreditTotal,
    PaymentTotal, TermsID, InvoiceDate, InvoiceDueDate)
SELECT
    InvoiceID, VendorID, InvoiceNumber, InvoiceTotal, CreditTotal,
    PaymentTotal, TermsID, InvoiceDate, InvoiceDueDate
FROM InvoiceCopy
WHERE InvoiceTotal - PaymentTotal - CreditTotal = 0;
```

```
(103 row(s) affected)
```

## Description

- To insert rows selected from one or more tables into another table, you can code a subquery in place of the VALUES clause. Then, the rows in the derived table that result from the subquery are inserted into the table.

- If you don't code a column list in the INSERT clause, the subquery must return values for all the columns in the table where the rows will be inserted, and the columns must be returned in the same order as they appear in that table. The exception is an identity column, which must be omitted.

- If you include a column list in the INSERT clause, the subquery must return values for those columns in the same order as they appear in the column list. You can omit columns with default values and columns that accept null values, and you must omit identity columns.

Figure 7-4     How to insert rows selected from another table

# How to modify existing rows

To modify the data in one or more rows of a table, you use the UPDATE statement. Although most of the UPDATE statements you code will perform simple updates like the ones you'll see in the next figure, you can also code more complex UPDATE statements that include subqueries and joins. You'll learn how to use these features after you learn how to perform a basic update operation.

## How to perform a basic update operation

Figure 7-5 presents the syntax of the UPDATE statement. As you can see in the examples, most UPDATE statements include just the UPDATE, SET, and WHERE clauses. The UPDATE clause names the table to be updated, the SET clause names the columns to be updated and the values to be assigned to those columns, and the WHERE clause specifies the condition a row must meet to be updated. Although the WHERE clause is optional, you'll almost always include it. If you don't, all of the rows in the table will be updated, which usually isn't what you want.

The first UPDATE statement in this figure modifies the values of two columns in the InvoiceCopy table: PaymentDate and PaymentTotal. Because the WHERE clause in this statement identifies a specific invoice number, only the columns in that invoice will be updated. Notice in this example that the values to be assigned to the two columns are coded as literals. You should realize, however, that you can assign any valid expression to a column as long as it results in a value that's compatible with the data type of the column. You can also use the NULL keyword to assign a null value to a column that allows nulls, and you can use the DEFAULT keyword to assign the default value to a column that's defined with one.

The second UPDATE statement modifies a single column in the InvoiceCopy table: TermsID. This time, however, the WHERE clause specifies that all the rows for vendor 95 should be updated. Because this vendor has six rows in the InvoiceCopy table, all six rows will be updated.

The third UPDATE statement illustrates how you can use an expression to assign a value to a column. In this case, the expression increases the value of the CreditTotal column by 100. Like the first UPDATE statement, this statement updates a single row.

Before you execute an UPDATE statement, you'll want to be sure that you've selected the correct rows. To do that, you can execute a SELECT statement with the same search condition. Then, if the SELECT statement returns the correct rows, you can change it to an UPDATE statement.

In addition to the UPDATE, SET, and WHERE clauses, an UPDATE statement can also include a FROM clause. This clause is an extension to the SQL standards, and you'll see how to use it in the next two figures.

## The syntax of the UPDATE statement

```
UPDATE table_name
SET column_name_1 = expression_1 [, column_name_2 = expression_2]...
[FROM table_source [[AS] table_alias]
[WHERE search_condition]
```

## An UPDATE statement that assigns new values
## to two columns of a single row in the InvoiceCopy table

```
UPDATE InvoiceCopy
SET PaymentDate = '2012-05-21',
    PaymentTotal = 19351.18
WHERE InvoiceNumber = '97/522';
```

```
(1 row(s) affected)
```

## An UPDATE statement that assigns a new value
## to one column of all the invoices for a vendor

```
UPDATE InvoiceCopy
SET TermsID = 1
WHERE VendorID = 95;
```

```
(6 row(s) affected)
```

## An UPDATE statement that uses an arithmetic expression
## to assign a value to a column

```
UPDATE InvoiceCopy
SET CreditTotal = CreditTotal + 100
WHERE InvoiceNumber = '97/522';
```

```
(1 row(s) affected)
```

## Description

- You use the UPDATE statement to modify one or more rows in the table named in the UPDATE clause.

- You name the columns to be modified and the value to be assigned to each column in the SET clause. You can specify the value for a column as a literal or an expression.

- You can provide additional criteria for the update operation in the FROM clause, which is a SQL Server extension. See figures 7-6 and 7-7 for more information.

- You can specify the conditions that must be met for a row to be updated in the WHERE clause.

- You can use the DEFAULT keyword to assign the default value to a column that has one, and you can use the NULL keyword to assign a null value to a column that allows nulls.

- You can't update an identity column.

## Warning

- If you omit the WHERE clause, all the rows in the table will be updated.

Figure 7-5      How to perform a basic update operation

# How to use subqueries in an update operation

Figure 7-6 presents four more UPDATE statements that illustrate how you can use subqueries in an update operation. In the first statement, a subquery is used in the SET clause to retrieve the maximum invoice due date from the InvoiceCopy table. Then, that value is assigned to the InvoiceDueDate column for invoice number 97/522.

In the second statement, a subquery is used in the WHERE clause to identify the invoices to be updated. This subquery returns the VendorID value for the vendor in the VendorCopy table with the name "Pacific Bell." Then, all the invoices with that VendorID value are updated.

The third UPDATE statement also uses a subquery in the WHERE clause. This subquery returns a list of the VendorID values for all the vendors in California, Arizona, and Nevada. Then, the IN operator is used to update all the invoices with VendorID values in that list. Note that although the subquery returns 80 vendors, many of these vendors don't have invoices. As a result, the UPDATE statement only affects 51 invoices.

The fourth example in this figure shows how you can use a subquery in the FROM clause of an UPDATE statement to create a derived table. In this case, the subquery returns a table that contains the InvoiceID values of the ten invoices with the largest balances of $100 or more. (Because this UPDATE statement will apply a credit of $100 to these invoices, you don't want to retrieve invoices with balances less than that amount.) Then, the WHERE clause specifies that only those invoices should be updated. You can also use a column from a derived table in an expression in the SET clause to update a column in the base table.

## An UPDATE statement that assigns the maximum due date in the InvoiceCopy table to a specific invoice

```
UPDATE InvoiceCopy
SET CreditTotal = CreditTotal + 100,
    InvoiceDueDate = (SELECT MAX(InvoiceDueDate) FROM InvoiceCopy)
WHERE InvoiceNumber = '97/522';

(1 row(s) affected)
```

## An UPDATE statement that updates all the invoices for a vendor based on the vendor's name

```
UPDATE InvoiceCopy
SET TermsID = 1
WHERE VendorID =
    (SELECT VendorID
     FROM VendorCopy
     WHERE VendorName = 'Pacific Bell');

(6 row(s) affected)
```

## An UPDATE statement that changes the terms of all invoices for vendors in three states

```
UPDATE InvoiceCopy
SET TermsID = 1
WHERE VendorID IN
    (SELECT VendorID
     FROM VendorCopy
     WHERE VendorState IN ('CA', 'AZ', 'NV'));

(51 row(s) affected)
```

## An UPDATE statement that applies a $100 credit to the 10 invoices with the largest balances

```
UPDATE InvoiceCopy
SET CreditTotal = CreditTotal + 100
FROM
    (SELECT TOP 10 InvoiceID
     FROM InvoiceCopy
     WHERE InvoiceTotal - PaymentTotal - CreditTotal >= 100
     ORDER BY InvoiceTotal - PaymentTotal - CreditTotal DESC) AS TopInvoices
WHERE InvoiceCopy.InvoiceID = TopInvoices.InvoiceID;

(5 rows(s) affected)
```

## Description

- You can code a subquery in the SET, FROM, or WHERE clause of an UPDATE statement.

- You can use a subquery in the SET clause to return the value that's assigned to a column.

- You can use a subquery in the FROM clause to identify the rows that are available for update. Then, you can refer to the derived table in the SET and WHERE clauses.

- You can code a subquery in the WHERE clause to provide one or more values used in the search condition.

Figure 7-6     How to use subqueries in an update operation

# How to use joins in an update operation

In addition to subqueries, you can use joins in the FROM clause of an UPDATE statement. Joins provide an easy way to base an update on data in a table other than the one that's being updated. The two examples in figure 7-7 illustrate how this works.

The first example in this figure updates the TermsID column in all the invoices in the InvoiceCopy table for the vendor named "Pacific Bell." This is the same update operation you saw in the second example in the previous figure. Instead of using a subquery to retrieve the VendorID value for the vendor, however, this UPDATE statement joins the InvoiceCopy and VendorCopy tables on the VendorID column in each table. Then, the search condition in the WHERE clause uses the VendorName column in the VendorCopy table to identify the invoices to be updated.

The second example in this figure shows how you can use the columns in a table that's joined with the table being updated to specify values in the SET clause. Here, the VendorCopy table is joined with a table named ContactUpdates. As you can see in the figure, this table includes VendorID, LastName, and FirstName columns. After the two tables are joined on the VendorID column, the SET clause uses the LastName and FirstName columns from the ContactUpdates table to update the VendorContactLName and VendorContactFName columns in the VendorCopy table.

## An UPDATE statement that changes the terms
## of all the invoices for a vendor

```
UPDATE InvoiceCopy
SET TermsID = 1
FROM InvoiceCopy JOIN VendorCopy
    ON InvoiceCopy.VendorID = VendorCopy.VendorID
WHERE VendorName = 'Pacific Bell';
```

```
(6 row(s) affected)
```

## An UPDATE statement that updates contact names
## in the VendorCopy table based on data in the ContactUpdates table

```
UPDATE VendorCopy
SET VendorContactLName = LastName,
    VendorContactFName = FirstName
FROM VendorCopy JOIN ContactUpdates
    ON VendorCopy.VendorID = ContactUpdates.VendorID;
```

```
(8 row(s) affected)
```

## The ContactUpdates table

| | VendorID | LastName | FirstName |
|---|---|---|---|
| 1 | 5 | Davison | Michelle |
| 2 | 12 | Mayteh | Kendall |
| 3 | 17 | Onandonga | Bruce |
| 4 | 44 | Antavius | Anthony |
| 5 | 76 | Bradlee | Danny |
| 6 | 94 | Suscipe | Reynaldo |
| 7 | 101 | O'Sullivan | Geraldine |
| 8 | 123 | Bucket | Charles |

## Description

- If you need to specify column values or search conditions that depend on data in a table other than the one named in the UPDATE clause, you can use a join in the FROM clause.

- You can use columns from the joined tables in the values you assign to columns in the SET clause or in the search condition of a WHERE clause.

Figure 7-7    How to use joins in an update operation

# How to delete existing rows

To delete one or more rows from a table, you use the DELETE statement. Just as you can with the UPDATE statement, you can use subqueries and joins in a DELETE statement to help identify the rows to be deleted. You'll learn how to use subqueries and joins after you learn how to perform a basic delete operation.

## How to perform a basic delete operation

Figure 7-8 presents the syntax of the DELETE statement along with three examples that illustrate some basic delete operations. As you can see, you specify the name of the table that contains the rows to be deleted in the DELETE clause. You can also code the FROM keyword in this clause, but this keyword is optional and is usually omitted.

To identify the rows to be deleted, you code a search condition in the WHERE clause. Although this clause is optional, you'll almost always include it. If you don't, all of the rows in the table are deleted. This is a common coding mistake, and it can be disastrous.

You can also include a FROM clause in the DELETE statement to join additional tables with the base table. Then, you can use the columns of the joined tables in the search condition of the WHERE clause. The FROM clause is an extension to the standard SQL syntax. You'll see how to use it in the next figure.

The first DELETE statement in this figure deletes a single row from the InvoiceCopy table. To do that, it specifies the InvoiceID value of the row to be deleted in the search condition of the WHERE clause. The second statement is similar, but it deletes all the invoices with a VendorID value of 37. In this case, three rows are deleted.

The third DELETE statement shows how you can use an expression in the search condition of the WHERE clause. In this case, the InvoiceTotal, PaymentTotal, and CreditTotal columns are used to calculate the balance due. Then, if the balance due is zero, the row is deleted. You might use a statement like this after inserting the paid invoices into another table as shown in figure 7-4.

Finally, the fourth DELETE statement shows how easy it is to delete all the rows from a table. Because the WHERE clause has been omitted from this statement, all the rows in the InvoiceCopy table will be deleted, which probably isn't what you want.

Because you can't restore rows once they've been deleted, you'll want to be sure that you've selected the correct rows. One way to do that is to issue a SELECT statement with the same search condition. Then, if the correct rows are retrieved, you can be sure that the DELETE statement will work as intended.

## The syntax of the DELETE statement

```
DELETE [FROM] table_name
[FROM table_source]
[WHERE search_condition]
```

## A DELETE statement that removes a single row
## from the InvoiceCopy table

```
DELETE InvoiceCopy
WHERE InvoiceID = 115;
```

**(1 row(s) affected)**

## A DELETE statement that removes all the invoices for a vendor

```
DELETE InvoiceCopy
WHERE VendorID = 37;
```

**(3 row(s) affected)**

## A DELETE statement that removes all paid invoices

```
DELETE InvoiceCopy
WHERE InvoiceTotal - PaymentTotal - CreditTotal = 0;
```

**(103 row(s) affected)**

## A DELETE statement that removes all the rows from the InvoiceCopy table

```
DELETE InvoiceCopy;
```

**(114 row(s) affected)**

## Description

- You can use the DELETE statement to delete one or more rows from the table you name in the DELETE clause.
- You specify the conditions that must be met for a row to be deleted in the WHERE clause.
- You can specify additional criteria for the delete operation in the FROM clause. See figure 7-9 for more information.

## Warning

- If you omit the WHERE clause from a DELETE statement, all the rows in the table will be deleted.

Figure 7-8    How to perform a basic delete operation

# How to use subqueries and joins
# in a delete operation

The examples in figure 7-9 illustrate how you can use subqueries and joins in a DELETE statement. Because you've seen code like this in other statements, you shouldn't have any trouble understanding these examples.

The first two examples delete all the invoices from the InvoiceCopy table for the vendor named "Blue Cross." To accomplish that, the first example uses a subquery in the WHERE clause to retrieve the VendorID value from the VendorCopy table for this vendor. In contrast, the second example joins the InvoiceCopy and VendorCopy tables. Then, the WHERE clause uses the VendorName column in the VendorCopy table to identify the rows to be deleted.

The third DELETE statement deletes all vendors that don't have invoices. To do that, it uses a subquery to return a list of the VendorID values in the InvoiceCopy table. Then, it deletes all vendors that aren't in that list.

The fourth DELETE statement shows how you can use the FROM clause to join the base table named in the DELETE clause with a derived table. Here, the subquery creates a derived table based on the InvoiceCopy table. This subquery groups the invoices in this table by vendor and calculates the total invoice amount for each vendor. Then, after the derived table is joined with the VendorCopy table, the results are filtered by the total invoice amount. Because of that, only those vendors that have invoices totaling $100 or less will be deleted from the VendorCopy table.

## A DELETE statement that deletes all invoices for a vendor based on the vendor's name

```
DELETE InvoiceCopy
WHERE VendorID =
    (SELECT VendorID
     FROM VendorCopy
     WHERE VendorName = 'Blue Cross');
```

```
(3 row(s) affected)
```

## The same DELETE statement using a join

```
DELETE InvoiceCopy
FROM InvoiceCopy JOIN VendorCopy
    ON InvoiceCopy.VendorID = VendorCopy.VendorID
WHERE VendorName = 'Blue Cross';
```

```
(3 row(s) affected)
```

## A DELETE statement that deletes vendors that don't have invoices

```
DELETE VendorCopy
WHERE VendorID NOT IN
    (SELECT DISTINCT VendorID FROM InvoiceCopy);
```

```
(88 row(s) affected)
```

## A DELETE statement that deletes vendors whose invoices total $100 or less

```
DELETE VendorCopy
FROM VendorCopy JOIN
        (SELECT VendorID, SUM(InvoiceTotal) AS TotalOfInvoices
         FROM InvoiceCopy
         GROUP BY VendorID) AS InvoiceSum
    ON VendorCopy.VendorID = InvoiceSum.VendorID
WHERE TotalOfInvoices <= 100;
```

```
(6 row(s) affected)
```

## Description

- You can use subqueries and joins in the FROM clause of a DELETE statement to base the delete operation on the data in tables other than the one named in the DELETE clause.

- You can use any of the columns returned by a subquery or a join in the WHERE clause of the DELETE statement.

- You can also use subqueries in the WHERE clause to provide one or more values used in the search condition.

## Note

- The FROM clause is a SQL Server extension.

Figure 7-9    How to use subqueries and joins in a delete operation

# How to merge rows

SQL Server 2008 introduced a MERGE statement that allows you to merge multiple rows from one table into another table. Since this typically involves updating existing rows and inserting new rows, the MERGE statement is sometimes referred to as the *upsert* statement.

## How to perform a basic merge operation

Figure 7-10 presents the syntax of the MERGE statement along with an example that shows how it works. To start, you code the MERGE keyword, followed by the optional INTO keyword, followed by the target table. In this example, the target table is the InvoiceArchive table, and it has an alias of *ia*.

After the MERGE clause, you code the USING keyword followed by the source table. In this example, the source table is the InvoiceCopy table, and it has an alias of *ic*.

After the USING clause, you code an ON clause that specifies the condition that's used to join the two tables. In this figure, both tables use the InvoiceID column as the primary key, and the ON clause joins these tables on this column. However, if necessary, you can code more complex join conditions.

After the USING clause, you can code one or more WHEN clauses that control when a row is inserted, updated, or deleted. In this figure, for instance, the first WHEN clause checks if (a) the InvoiceID values are matched, (b) the PaymentDate column in the InvoiceCopy table is not a NULL value, and (c) the PaymentTotal column in the InvoiceCopy table is greater than the PaymentTotal column in the InvoiceArchive table. Here, the second and third conditions prevent every row with a matching InvoiceID from being updated. If all three conditions are true, this WHEN clause updates the columns in the InvoiceArchive table with the corresponding values in the InvoiceCopy table. To do that, this code uses the table aliases to qualify each column name.

The second WHEN clause checks if the InvoiceID values are unmatched. If so, it inserts the row into the InvoiceArchive table. To do that, this code uses the table alias for the InvoiceCopy table to qualify each column name.

Finally, to signal the end of a MERGE statement, you must code a semicolon (;). Otherwise, you will get an error when you attempt to run it.

## How to code more complex merge operations

The example in this figure shows a typical use of the MERGE statement. However, you can also use the MERGE statement to delete rows in the target table that aren't matched in the source table. To do that, you can add a WHEN clause like the third one in this figure. In addition, the MERGE statement supports other more complex functionality such as a TOP clause. For more information, you can look up the MERGE statement in Books Online.

## The syntax of the MERGE statement

```
MERGE [INTO] table_target
USING table_source
ON join_condition
[WHEN MATCHED [AND search_condition]...
  THEN dml_statement ]
[WHEN NOT MATCHED [BY TARGET ][AND search_condition]...
  THEN dml_statement ]
[WHEN NOT MATCHED BY SOURCE [AND search_condition]...
  THEN dml_statement ]
;
```

## A MERGE statement that inserts and updates rows

```
MERGE InvoiceArchive AS ia
USING InvoiceCopy AS ic
ON ic.InvoiceID = ia.InvoiceID
WHEN MATCHED AND
    ic.PaymentDate IS NOT NULL AND
    ic.PaymentTotal > ia.PaymentTotal
  THEN
  UPDATE SET
    ia.PaymentTotal = ic.PaymentTotal,
    ia.CreditTotal = ic.CreditTotal,
    ia.PaymentDate = ic.PaymentDate
WHEN NOT MATCHED THEN
  INSERT (InvoiceID, VendorID, InvoiceNumber,
    InvoiceTotal, PaymentTotal, CreditTotal,
    TermsID, InvoiceDate, InvoiceDueDate)
  VALUES (ic.InvoiceID, ic.VendorID, ic.InvoiceNumber,
    ic.InvoiceTotal, ic.PaymentTotal, ic.CreditTotal,
    ic.TermsID, ic.InvoiceDate, ic.InvoiceDueDate)
;
```

## A WHEN clause that deletes rows that aren't matched by the source

```
WHEN NOT MATCHED BY SOURCE THEN
    DELETE
```

## Description

- The MERGE statement merges multiple rows from one table into another table. Since this often involves updating existing rows and inserting new rows, the MERGE statement is sometimes referred to as the *upsert* statement.

- When coding search conditions, you can use the AND keyword to create compound search conditions.

- You can code one or more WHEN clauses that control when and how a row is inserted, updated, or deleted.

- Within a WHEN clause, you can code a simplified INSERT, UPDATE, or DELETE statement that doesn't include a table name or a WHERE clause.

- To signal the end of a MERGE statement, you must code a semicolon (;).

Figure 7-10    How to perform a merge operation

# Perspective

In this chapter, you learned how to use the INSERT, UPDATE, and DELETE statements to modify the data in a database. Now, if you want to practice using these statements, please use one of the two options presented at the start of this chapter so you won't corrupt a live database or a database shared by others.

In chapters 10 and 11, you'll learn more about the table definitions that can affect the way these statements work. If, for example, you delete a row in a Vendors table that has related rows in an Invoices table, SQL Server may delete all of the related rows in the Invoices table. But that depends on how the relationship is defined. So, to complete your understanding of the INSERT, UPDATE, and DELETE statements, you need to read chapters 10 and 11.

Finally, you should know that SQL Server 2005 and later allow you to use the TOP clause with the INSERT, UPDATE, and DELETE statements to limit the number of rows that are inserted, updated, or deleted. This works similarly to using the TOP clause with a SELECT statement as described in chapter 3. As a result, if you understood chapter 3, you shouldn't have much trouble using the TOP clause with the INSERT, UPDATE, and DELETE statements. For coding details, you can look up the TOP clause in Books Online.

## Exercises

1. Write SELECT INTO statements to create two test tables named VendorCopy and InvoiceCopy that are complete copies of the Vendors and Invoices tables. If VendorCopy and InvoiceCopy already exist, first code two DROP TABLE statements to delete them.

2. Write an INSERT statement that adds a row to the InvoiceCopy table with the following values:

   VendorID: 32
   InvoiceTotal: $434.58
   TermsID: 2
   InvoiceNumber: AX-014-027
   PaymentTotal: $0.00
   InvoiceDueDate: 07/8/12
   InvoiceDate: 6/21/12
   CreditTotal: $0.00
   PaymentDate: null

3. Write an INSERT statement that adds a row to the VendorCopy table for each non-California vendor in the Vendors table. (This will result in duplicate vendors in the VendorCopy table.)

4. Write an UPDATE statement that modifies the VendorCopy table. Change the default account number to 403 for each vendor that has a default account number of 400.

5.  Write an UPDATE statement that modifies the InvoiceCopy table. Change the PaymentDate to today's date and the PaymentTotal to the balance due for each invoice with a balance due. Set today's date with a literal date string, or use the GETDATE() function.

6.  Write an UPDATE statement that modifies the InvoiceCopy table. Change TermsID to 2 for each invoice that's from a vendor with a DefaultTermsID of 2. Use a subquery.

7.  Solve exercise 6 using a join rather than a subquery.

8.  Write a DELETE statement that deletes all vendors in the state of Minnesota from the VendorCopy table.

9.  Write a DELETE statement for the VendorCopy table. Delete the vendors that are located in states from which no vendor has ever sent an invoice.

    Hint: Use a subquery coded with "SELECT DISTINCT VendorState" introduced with the NOT IN operator.

# 8

# How to work with data types

So far, you have been using SQL statements to work with the three most common types of data: strings, numbers, and dates. Now, this chapter takes a more in-depth look at the data types that are available with SQL Server and shows some basic skills for working with them. When you complete this chapter, you'll have a thorough understanding of the data types, and you'll know how to use some functions to convert one data type to another.

# A review of the SQL data types

A column's *data type* specifies the kind of information the column is intended to store. In addition, a column's data type determines the operations that can be performed on the column.

## Data type overview

The SQL Server data types can be divided into the four categories shown in the first table in figure 8-1. The *string data types* are intended for storing a string of one or more characters, which can include letters, numbers, symbols, or special characters. The terms *character*, *string*, and *text* are used interchangeably to describe this type of data.

The *numeric data types* are intended for storing numbers that can be used for mathematical calculations. As you'll see in the next topic, SQL Server can store numbers in a variety of formats.

The *temporal data types* are used to store dates and times. These data types are typically referred to as *date/time*, or *date*, *data types*.

Historically, most databases have stored string, numeric, and temporal data. That's why this book focuses on working with these data types. However, it's becoming more common to store other types of data such as images, sound, and video in databases. That's why SQL Server 2005 introduced new data types for working with these types of data. For more information about working with large character and binary values, see figure 8-5.

SQL Server 2005 also introduced an xml data type that provides new functionality for storing XML in a database. To learn more about working with the xml data type, see chapter 19.

Beyond that, SQL Server 2008 introduced several more data types. It introduced several new date/time data types that are described later in this chapter. In addition, it introduced a geometry data type for storing geometric data such as points, lines, and polygons. It introduced a geography data type that works similarly to the geometry type, except that it uses longitude and latitude to specify points on the earth's surface. And, it introduced the hierarchyid data type for storing hierarchical data such as organization charts.

Most of the SQL Server data types correspond to the ANSI-standard data types. These data types are listed in the second table in this figure. Here, the second column lists the SQL Server data type names, and the first column lists the synonyms SQL Server provides for the ANSI-standard data types. Although you can use these synonyms instead of the SQL Server data types, you're not likely to do that. If you do, SQL Server simply maps the synonyms to the corresponding SQL Server data types.

The only synonym I recommend you use is rowversion, which is a synonym for the timestamp data type. This data type is used to store unique numbers that are generated by SQL Server and that are typically used to identify various versions of a row in a table. Microsoft plans to modify the timestamp data type

## The four data type categories

| Category | Description |
|---|---|
| String | Strings of character data |
| Numeric | Integers, floating point numbers, currency, and other numeric data |
| Temporal (date/time) | Dates, times, or both |
| Other | Large character and binary values, XML, geometric data, geographic data, hierarchical data |

## ANSI-standard data types and SQL Server equivalents

| Synonym for ANSI-standard data type | SQL Server data type used |
|---|---|
| binary varying | varbinary |
| char varying | varchar<br>character varying |
| character | char |
| dec | decimal |
| double precision | float |
| float | real or float |
| integer | int |
| national char | nchar<br>national character |
| national char varying | nvarchar<br>national character varying |
| national text | ntext |
| rowversion | timestamp |

## Description

- SQL Server defines 26 unique *data types* that are divided into the four categories shown above.

- The *temporal data types* are typically referred to as *date/time data types*, or simply *date data types*.

- SQL Server supports most, but not all, of the ANSI-standard data types.

- SQL Server provides a synonym for each of the supported ANSI-standard data types. Although you can use these synonyms, I recommend you use the SQL Server data types instead. The exception is rowversion, which you should use instead of timestamp.

- When you use the synonym for an ANSI data type, it's mapped to the appropriate SQL Server data type indicated in the table above.

Figure 8-1    Data type overview

in a future release so that it's compatible with the timestamp data type defined by the standards. When it does that, it will also replace the current timestamp data type with a new rowversion data type. Because of that, you'll want to use the rowversion synonym instead of the timestamp data type.

# The numeric data types

Figure 8-2 presents the numeric data types supported by SQL Server. As you can see, these can be divided into three groups: integer, decimal, and real.

*Integer data types* store whole numbers, which are numbers with no digits to the right of the decimal point. The five integer data types differ in the amount of storage they use and the range of values they can store. Notice that the bigint, int, and smallint data types can store positive or negative numbers. In contrast, the tinyint and bit data types can store only positive numbers or zero.

To store numbers with digits to the right of the decimal point, you use the *decimal data types*. These data types have a fixed decimal point, which means that the number of digits to the right of the decimal point doesn't vary. The number of digits a value has to the right of the decimal point is called its *scale*, and the total number of digits is called its *precision*. Notice that the money and smallmoney data types have a fixed precision and scale. These data types are intended for storing units of currency. In contrast, you can customize the precision and scale of the decimal and numeric data types so they're right for the data to be stored. Although the decimal and numeric data types are synonymous, decimal is more commonly used.

In contrast to the *fixed-point numbers* stored by the decimal data types, the *real data types* are used to store *floating-point numbers*. These data types provide for very large and very small numbers, but with a limited number of *significant digits*. The real data type can be used to store a *single-precision number*, which provides for numbers with up to 7 significant digits. And the float data type can be used to store a *double-precision number*, which provides for numbers with up to 15 significant digits. Because the real data type is equivalent to float(24), the float data type is typically used for floating-point numbers.

To express the value of a floating-point number, you can use *scientific notation*. To use this notation, you type the letter E followed by a power of 10. For instance, 3.65E+9 is equal to 3.65 x $10^9$, or 3,650,000,000. If you have a mathematical background, of course, you're already familiar with this notation.

Because the precision of all the integer and decimal data types is exact, these data types are considered *exact numeric data types*. In contrast, the real data types are considered *approximate numeric data types* because they may not represent a value exactly. That can happen, for example, when a number is rounded to the appropriate number of significant digits. For business applications, you will most likely use only the exact numeric types, as there's seldom the need to work with the very large and very small numbers that the real data types are designed for.

## The integer data types

| Type | Bytes | Description |
| --- | --- | --- |
| bigint | 8 | Large integers from -9,223,372,036,854,775,808 through 9,223,372,036,854,775,807. |
| int | 4 | Integers from -2,147,483,648 through 2,147,483,647. |
| smallint | 2 | Small integers from -32,768 through 32,767. |
| tinyint | 1 | Very small positive integers from 0 through 255. |
| bit | 1 | Integers with a value of 1 or 0. |

## The decimal data types

| Type | Bytes | Description |
| --- | --- | --- |
| decimal[(p[,s])] | 5-17 | Decimal numbers with fixed precision (*p*) and scale (*s*) from $-10^{38}+1$ through $10^{38}-1$. The precision can be any number between 1 and 38; the default is 18. The scale can be any number between 0 and the precision; the default is 0. |
| numeric[(p[,s])] | 5-17 | Synonymous with decimal. |
| money | 8 | Monetary values with four decimal places from -922,337,203,685,477.5808 through 922,337,203,685,477.5807. Synonymous with decimal(19,4). |
| smallmoney | 4 | Monetary values with four decimal places from -214,748.3648 through 214,748.3647. Synonymous with decimal(10,4). |

## The real data types

| Type | Bytes | Description |
| --- | --- | --- |
| float[(n)] | 4 or 8 | Double-precision floating-point numbers from $-1.79\times10^{308}$ through $1.79\times10^{308}$. *n* represents the number of bits used to store the decimal portion of the number (the mantissa): n=24 is single-precision; n=53 is double-precision. The default is 53. |
| real | 4 | Single-precision floating point numbers from $-3.4\times1038$ through $3.4\times10^{38}$. Synonymous with float(24). |

## Description

- The *integer data types* are used to store whole numbers, which are numbers without any digits to the right of the decimal point.
- The *decimal data types* are used to store decimal values, which can include digits to the right of the decimal point. The *precision* of a decimal value indicates the total number of digits that can be stored, and the *scale* indicates the number of digits that can be stored to the right of the decimal point.
- The integer and decimal data types are considered *exact numeric data types* because their precision is exact.
- The *real data types* are used to store *floating-point numbers*, which have a limited number of *significant digits*. These data types are considered *approximate numeric data types* because they may not represent a value exactly.

Figure 8-2    The numeric data types

# The string data types

Figure 8-3 presents the four most common string data types supported by SQL Server. The char and varchar data types store strings of standard characters using one byte per character. The nchar and nvarchar data types store strings of *Unicode characters* that require two bytes per character. You'll learn more about the *Unicode specification* in a moment. In the ANSI standards, two-byte characters are known as *national characters*, hence the prefix *n* on the data types.

You use the char and nchar data types to store *fixed-length strings*. Data stored using these data types always occupies the same number of bytes regardless of the actual length of the string. These data types are typically used to define columns that have a fixed number of characters. For example, the VendorState column in the Vendors table is defined with the char(2) data type because it always contains two characters.

You use the varchar and nvarchar data types to store *variable-length strings*. Data stored using these data types occupies only the number of bytes needed to store the string. They're typically used to define columns whose lengths vary from one row to the next. In general, variable-length strings are more efficient than fixed-length strings.

Although you typically store numeric values using numeric data types, the string data types may be a better choice for some numeric values. For example, you typically store zip codes, telephone numbers, and social security numbers in string columns even if they contain only numbers. That's because their values aren't used in arithmetic operations. In addition, if you stored these numbers in numeric columns, leading zeros would be stripped, which isn't what you want.

As I said, when you use the char and varchar data types, each character is stored in a single byte. Because a byte consists of eight *bits* and because eight bits can be combined in 256 different ways, each byte can represent one of 256 different characters. These characters are assigned numeric codes from 0 to 255. Most systems use the same codes for the first 128 characters. These are the codes defined by the *ASCII* (*American Standard Code for Information Interchange*) system. The other codes, however, may be unique on your system.

When you use the nchar and nvarchar data types to store Unicode characters, each character is stored in two bytes, which provides for 63,536 different characters. That allows for all of the characters used by most of the world's languages, and all systems associate the same numeric codes with the same characters. Because of that, you should use the nchar and nvarchar data types if your database will be used in a multi-language environment. Otherwise, you should use the char and varchar data types to keep storage requirements to a minimum.

## The string data types used to store standard characters

| Type | Bytes | Description |
|------|-------|-------------|
| char[(n)] | n | Fixed-length strings of character data. *n* is the number of characters between 1 and 8000. The default is 1. |
| varchar[(n)] | | Variable-length strings of character data. *n* is the maximum number of characters between 1 and 8000. The default is 1. The number of bytes used to store the string depends on the actual length of the string. |

## The string data types used to store Unicode characters

| Type | Bytes | Description |
|------|-------|-------------|
| nchar(n) | 2×n | Fixed-length strings of Unicode character data. *n* is the number of characters between 1 and 4000. The default is 1. |
| nvarchar(n) | | Variable-length strings of Unicode character data. *n* is the maximum number of characters between 1 and 4000. The default is 1. The number of bytes used to store the string depends on the actual length of the string. Two bytes are needed to store each character. |

## Description

- The string data types can be used to store standard characters that use a single byte of storage or *Unicode characters* that use two bytes of storage.

- The char and nchar data types are typically used for *fixed-length strings*. These data types use the same amount of storage regardless of the actual length of the string.

- The varchar and nvarchar data types are typically used for *variable-length strings*. These data types use only the amount of storage needed for a given string.

- Unless your system is used in a multi-language environment, you should use the char and varchar data types rather than nchar and nvarchar data types.

## Note

- The *Unicode specification* is a scheme that's used to encode characters used in languages around the world. This reduces the possibility that a receiving system will translate the characters incorrectly. The Unicode data types support a wider range of characters than the standard character data types, but they require twice as much space per character.

Figure 8-3    The string data types

## The date/time data types

Figure 8-4 starts by presenting the two date/time data types supported prior to SQL Server 2008. These data types differ by the amount of storage they use and the range of values they can store. In particular, the datetime type supports a wider range of dates and more precise time values than the smalldatetime type. However, it also requires more bytes.

After the older data types, this figure presents the four date/time data types that were introduced with SQL Server 2008. These data types offer several advantages over the older date/time types. As a result, for new development, you will probably want to use the data types that were introduced with SQL Server 2008 instead of the older date/time data types. Of course, for existing databases, you can continue to use the older date/time types.

If you want to store date values without storing a time component, you can use the date data type. This data type is appropriate for many date/time values where the time component isn't necessary such as birthdays. In addition, compared to the datetime type, the date type reduces storage requirements, allows for a wider range of dates, and makes it easier to search a range of dates.

Conversely, if you want to store a time value without storing a date component, you can use the time data type. When you work with this type, you can specify the precision for the fractional seconds from 0 to 7 digits. Then, the amount of disk space that's required varies from 3 to 5 bytes depending on the precision that's specified. For example, the time(1) type can only store 1 digit of fractional seconds and requires 3 bytes. At the other end of the spectrum, the time(7) type can store 7 digits of fractional seconds and requires 5 bytes.

The datetime2 data type combines the date and time data types into a single type that stores both a date and a time component. This allows you to use the techniques for specifying the precision for fractional seconds with the datetime2 data type. For example, compared to the datetime type, the datetime2(3) type stores a wider range of date values with more precise time values and uses less storage (only 6 bytes).

The datetimeoffset data type works like the datetime2 data type. However, this data type also stores a time zone offset that specifies the number of hours that the value is ahead or behind *Greenwich Mean Time (GMT)*, which is also known as *Universal Time Coordinate* (*UTC*). Although this can make it easier to work with time zones, it requires another 2 bytes of storage.

When you work with date/time values, you need to know how to code date and time literals. This figure presents some of the most common formats for dates and times. All SQL Server systems recognize dates in the yyyy-mm-dd format, which is why I've used this format in most of the examples in this book. Most systems recognize the other date and time formats shown here as well. Since the supported formats depend on system settings, however, you may need to check and see which ones are acceptable on your system.

You also need to be aware of the two-digit year cutoff that's defined on your system when you work with date literals. When you code a two-digit year, the two-digit year cutoff determines whether the year is interpreted as a year in the

## The date/time data types prior to SQL Server 2008

| Type | Bytes | Description |
|------|-------|-------------|
| datetime | 8 | Dates and times from January 1, 1753 through December 31, 9999, with an accuracy af 3.33 milliseconds. |
| smalldatetime | 4 | Dates and times from January 1, 1900 through June 6, 2079, with an accuracy of one minute. |

## The date/time data types introduced with SQL Server 2008

| Type | Bytes | Description |
|------|-------|-------------|
| date | 3 | Dates only (no time part) from January 1, 0001 through December 31, 9999. |
| time(n) | 3-5 | Times only (no date part) from 00:00:00.0000000 through 23:59:59.9999999, with an accuracy of .0000001 seconds. *n* is the number of digits from 0 to 7 that are used for fractional second precision. |
| datetime2(n) | 6-8 | Dates from January 1, 0001 through December 31, 9999 with time values from 00:00:00.0000000 through 23:59:59.9999999. |
| datetimeoffset(n) | 8-10 | An extension of the datetime2 type that also includes a time zone offset from -14 to +14. |

## Common date formats

| Format | Example |
|--------|---------|
| yyyy-mm-dd | 2012-04-30 |
| mm/dd/yyyy | 4/30/2012 |
| mm-dd-yy | 4-30-12 |
| Month dd, yyyy | April 30, 2012 |
| Mon dd, yy | Apr 30, 12 |
| dd Mon yy | 30 Apr 12 |

## Common time formats

| Format | Example |
|--------|---------|
| hh:mi | 16:20 |
| hh:mi am/pm | 4:20 pm |
| hh:mi:ss | 4:20:36 |
| hh:mi:ss:mmm | 4:20:36:12 |
| hh:mi:ss.nnnnnnn | 4:20:36.1234567 |

## Description

- You can specify a date/time value by coding a date/time literal. To code a date/time literal, enclose the date/time value in single quotes.

- If you don't specify a time when storing a date value, the time defaults to 12:00 a.m. If you don't specify a date when storing a time value, the date defaults to January 1, 1900.

- By default, the two-digit year cutoff is 50, which means that 00 to 49 are interpreted as 2000 to 2049 and 50 through 99 are interpreted as 1950 through 1999.

- You can specify a time using either a 12-hour or a 24-hour clock. For a 12-hour clock, am is the default.

Figure 8-4    The date/time data types

20th or the 21st century. By default, SQL Server interprets the years 00 through 49 as 2000 through 2049, and it interprets the years 50 through 99 as 1950 through 1999. Because the two-digit year cutoff can be modified, however, you'll want to find out what it is on your system before you use date literals with two-digit years. Then, if you code a date literal outside the range, you'll have to use a four-digit year. Of course, you can always code all of your date literals with four-digit years, just to be sure.

# The large value data types

Figure 8-5 presents the data types introduced in SQL Server 2005 that make it easier to work with large values, such as image and sound files. To start, SQL Server provides a max specifier that can be used with the varchar and nvarchar data types described in figure 8-3. This allows you to store up to 2 gigabytes of character data in a column. In addition, the max specifier can be used with the varbinary data type. This allows you to store up to 2 gigabytes of binary data in a column. Since these data types allow you to store large values, they're known as the *large value data types*.

Prior to SQL Server 2005, you could use the text, ntext, and image data types to store this type of data. However, these data types have been deprecated and will be removed in a future version of Microsoft SQL Server. As a result, you should avoid using these data types for any new development. Instead, you should use the corresponding large value data type.

One advantage of the large value data types is that they work like their smaller counterparts. As a result, once you learn how to use the smaller counterparts, you can use the same skills to work with the large value data types. For example, once you understand how to work with the varchar data type, you can use the same skills for working with the varchar(max) data type. However, since the large value data types may store up to 2 gigabytes of data in a column, you may not want to read or write the entire value at once. In that case, you can use the SUBSTRING function that you'll learn about in the next chapter to read the data in chunks, and you can use the .WRITE clause of the UPDATE statement to update the value in chunks. For more information about using the .WRITE clause of the UPDATE statement, you can look up the UPDATE statement in Books Online.

A second advantage of the large value data types is that they don't have as many restrictions as the old text, ntext, and image data types. For example, they can be used as variables in batches and scripts.

In recent years, it has become increasingly common to store large binary values such as images, sounds, and video within a database. That's why chapter 20 shows how to use the varbinary(max) type to store large binary values within a database. Once you learn how to work with this type, you can apply similar skills to the varchar(max) and nvarchar(max) types if you need to do that.

## The large value data types for SQL Server 2005 and later

| Type | Description |
|------|-------------|
| varchar(max) | Works the same as the varchar type described in figure 8-3, but the max specifier allows this data type to store up to 2,147,483,648 bytes of data. |
| nvarchar(max) | Works the same as the nvarchar type described in figure 8-3, but the max specifier allows this data type to store up to 2,147,483,648 bytes of data. |
| varbinary(max) | Stores variable-length binary data up to a maximum of 2,147,483,648 bytes. The number of bytes used to store the data depends on the actual length of the data. |

## How the large value data types map to the old large object types

| SQL Server 2005 and later | Prior to 2005 |
|---------------------------|---------------|
| varchar(max) | text |
| nvarchar(max) | ntext |
| varbinary(max) | image |

## Description

- The max specifier can be used with the varchar, nvarchar, and varbinary data types to increase the storage capacity of the column so it can store up to 2 gigabytes of data.

- The varchar(max), nvarchar(max), and varbinary(max) data types are known as the *large value data types*, and these data types can be used to store images and other types of large character or binary data. For more information about working with the varbinary(max) data type, see chapter 20.

- The text, ntext, and image data types that were used prior to SQL Server 2005 have been deprecated and will be removed in a future version of Microsoft SQL Server. As a result, you should avoid using these data types for any new development.

- The large value data types work like their smaller counterparts. As a result, once you learn how to use the smaller counterparts, you can use the same skills to work with the large value data types. In addition, the large value data types don't have as many restrictions as the old text, ntext, and image data types.

Figure 8-5    The large value data types

# How to convert data

As you work with the various data types, you'll find that you frequently need to convert a value with one data type to another data type. Although SQL Server does many conversions automatically, it doesn't always do them the way you want. Because of that, you need to be aware of how data conversion works, and you need to know when and how to specify the type of conversion you want to do.

## How data conversion works

Before SQL Server can operate on two values, it must convert those values to the same data type. To do that, it converts the value that has the data type with the lowest precedence to the data type of the other value. Figure 8-6 presents the order of precedence for some common SQL Server data types.

To illustrate how this works, consider the three expressions shown in this figure. The first expression multiplies the InvoiceTotal column, which is defined with the money data type, by a decimal value. Because the decimal data type has a higher precedence than the money data type, the value in the InvoiceTotal column is converted to a decimal before the multiplication is performed. Then, the result of the operation is also a decimal value. Similarly, the integer literal in the second expression is converted to the money data type before it's sub-tracted from the PaymentTotal column, and the result of the operation is a money value.

The third example shows that data conversion is also used when a value is assigned to a column. In this case, a date literal is assigned to the PaymentDate column. Because this column is defined with the smalldatetime data type, the literal must be converted to this data type before it can be assigned to the column.

When SQL Server performs a conversion automatically, it's called an *implicit conversion*. However, not all conversions can be done implicitly. Some of the conversions that can't be done implicitly are listed in this figure. These conversions must be done explicitly. To perform an *explicit conversion*, you use the CAST and CONVERT functions you'll learn about in the next two topics.

Before I go on, you should realize that you won't usually code expressions with literal values like the ones shown in this figure. Instead, you'll use column names that contain the appropriate values. I used literal values here so that it's clear what data types are being evaluated.

## Order of precedence for common SQL Server data types

| Precedence | Category | Data type |
|---|---|---|
| Highest | Date/time | datetime |
| | | smalldatetime |
| | Numeric | float |
| | | real |
| | | decimal |
| | | money |
| | | smallmoney |
| | | int |
| | | smallint |
| | | tinyint |
| | | bit |
| | String | nvarchar |
| | | nchar |
| | | varchar |
| Lowest | | char |

## Conversions that can't be done implicitly

| From data type | To data type |
|---|---|
| char, varchar, nchar, nvarchar | money, smallmoney |
| datetime, smalldatetime | decimal, numeric, float, real, bigint, int, smallint, tinyint, money, smallmoney, bit |
| money, smallmoney | char, varchar, nchar, nvarchar |

## Expressions that use implicit conversion

```
InvoiceTotal * .0775        -- InvoiceTotal (money) converted to decimal
PaymentTotal - 100          -- Numeric literal converted to money
PaymentDate = '2012-04-05'  -- Date literal converted to smalldatetime value
```

## Description

- If you assign a value with one data type to a column with another data type, SQL Server converts the value to the data type of the column using *implicit conversion*. Not all data types can be converted implicitly to all other data types.

- SQL Server also uses implicit conversion when it evaluates an expression that involves values with different data types. In that case, it converts the value whose data type has lower precedence to the data type that has higher precedence. The result of the expression is returned in this same data type.

- Each combination of precision and scale for the decimal and numeric values is considered a different data type, with higher precision and scale taking precedence.

- If you want to perform a conversion that can't be done implicitly or you want to convert a data type with higher precedence to a data type with lower precedence, you can use the CAST or CONVERT function to perform an *explicit conversion*.

Figure 8-6    How data conversion works

# How to convert data using the CAST function

Figure 8-7 presents the syntax of the CAST function. This function lets you convert, or *cast*, an expression to the data type you specify.

The SELECT statement in this figure illustrates how this works. Here, the third column in the result set shows what happens when the smalldatetime values that are stored in the InvoiceDate column are cast as varchar values. As you can see, this conversion causes the date to be displayed in an alphanumeric format. The fourth column in the result set shows what happens when the money values in the InvoiceTotal column are cast as integer values. Before the digits to the right of the decimal point are dropped, the numbers are rounded to the nearest whole number. Finally, the last column in the result set shows the values from the InvoiceTotal column cast as varchar values. In this case, the result looks the same even though the data has been converted from the money type to the varchar type.

This figure also illustrates a problem that can occur when you perform integer division without explicit conversion. In the first example, the number 50 is divided by the number 100 giving a result of 0. This happens because the result of the division of two integers must be an integer. For this operation to return an accurate result, then, you must explicitly convert one of the numbers to a decimal. Then, because the data type of the other value will be lower in the order of precedence, that value will be converted to a decimal value as well and the result will be a decimal. This is illustrated in the second example, where the value 100 is converted to a decimal. As you can see, the result is .5, which is what you want.

## The syntax of the CAST function

```
CAST(expression AS data_type)
```

## A SELECT statement that uses the CAST function

```
SELECT InvoiceDate, InvoiceTotal,
    CAST(InvoiceDate AS varchar) AS varcharDate,
    CAST(InvoiceTotal AS int) AS integerTotal,
    CAST(InvoiceTotal AS varchar) AS varcharTotal
FROM Invoices;
```

| | InvoiceDate | InvoiceTotal | varcharDate | integerTotal | varcharTotal |
|---|---|---|---|---|---|
| 1 | 2011-12-08 00:00:00 | 3813.33 | Dec 8 2011 12:00AM | 3813 | 3813.33 |
| 2 | 2011-12-10 00:00:00 | 40.20 | Dec 10 2011 12:00AM | 40 | 40.20 |
| 3 | 2011-12-13 00:00:00 | 138.75 | Dec 13 2011 12:00AM | 139 | 138.75 |
| 4 | 2011-12-16 00:00:00 | 144.70 | Dec 16 2011 12:00AM | 145 | 144.70 |

## How to convert data when performing integer division

| Operation | Result |
|---|---|
| 50/100 | 0 |
| 50/CAST(100 AS decimal(3)) | .500000 |

## Description

- You can use the CAST function to explicitly convert, or *cast*, an expression from one data type to another.

- When you perform a division operation on two integers, the result is an integer. To get a more accurate result, you can cast one of the integer values as a decimal. That way, the result will be a decimal.

- CAST is an ANSI-standard function and is used more frequently than CONVERT, which is unique to SQL Server. You should use CONVERT when you need the additional formatting capabilities it provides. See figure 8-8 for details.

Figure 8-7     How to convert data using the CAST function

# How to convert data using the CONVERT function

Although the CAST function is an ANSI-standard function, SQL Server provides another function you can use to convert data: CONVERT. Figure 8-8 shows you how to use this function.

In the syntax at the top of this figure, you can see that the CONVERT function provides an optional style argument. You can use this argument to specify the format you want to use when you convert date/time, real, or money data to character data. Some of the common style codes are presented in this figure. For a complete list of codes, please refer to Books Online.

The SELECT statement in this figure shows several examples of the CONVERT function. The first thing you should notice here is that if you don't code a style argument, the CONVERT function works just like CAST. This is illustrated by the first and fourth columns in the result set. Because of that, you'll probably use CONVERT only when you need to use one of the formats provided by the style argument.

The second and third columns both use the CONVERT function to format the InvoiceDate column. The second column uses a style code of 1, so the date is returned in the mm/dd/yy format. In contrast, the third column uses a style code of 107, so the date is returned in the Mon dd, yyyy format. Notice that neither of these formats includes a time. Finally, the fifth column uses the CONVERT function to format the InvoiceTotal column with two digits to the right of the decimal point and commas to the left.

## The syntax of the CONVERT function

```
CONVERT(data_type, expression [, style])
```

## A SELECT statement that uses the CONVERT function

```
SELECT CONVERT(varchar, InvoiceDate) AS varcharDate,
    CONVERT(varchar, InvoiceDate, 1) AS varcharDate_1,
    CONVERT(varchar, InvoiceDate, 107) AS varcharDate_107,
    CONVERT(varchar, InvoiceTotal) AS varcharTotal,
    CONVERT(varchar, InvoiceTotal, 1) AS varcharTotal_1
FROM Invoices;
```

| | varcharDate | varcharDate_1 | varcharDate_107 | varcharTotal | varcharTotal_1 |
|---|---|---|---|---|---|
| 1 | Dec 8 2011 12:00AM | 12/08/11 | Dec 08, 2011 | 3813.33 | 3,813.33 |
| 2 | Dec 10 2011 12:00AM | 12/10/11 | Dec 10, 2011 | 40.20 | 40.20 |
| 3 | Dec 13 2011 12:00AM | 12/13/11 | Dec 13, 2011 | 138.75 | 138.75 |
| 4 | Dec 16 2011 12:00AM | 12/16/11 | Dec 16, 2011 | 144.70 | 144.70 |

## Common style codes for converting date/time data to character data

| Code | Output format |
|---|---|
| 0 or 100 (default) | Mon dd yyyy hh:miAM/PM |
| 1 or 101 | mm/dd/yy or mm/dd/yyyy |
| 7 or 107 | Mon dd, yy or Mon dd, yyyy |
| 8 or 108 | hh:mi:ss |
| 10 or 110 | mm-dd-yy or mm-dd-yyyy |
| 12 or 112 | yymmdd or yyyymmdd |
| 14 or 114 | hh:mi:ss:mmm (24-hour clock) |

## Common style codes for converting real data to character data

| Code | Output |
|---|---|
| 0 (default) | 6 digits maximum |
| 1 | 8 digits; must use scientific notation |
| 2 | 16 digits; must use scientific notation |

## Common style codes for converting money data to character data

| Code | Output |
|---|---|
| 0 (default) | 2 digits to the right of the decimal point; no commas to the left |
| 1 | 2 digits to the right of the decimal point; commas to the left |
| 2 | 4 digits to the right of the decimal point; no commas to the left |

## Description

- You can use the CONVERT function to explicitly convert an expression from one data type to another.

- You can use the optional style argument to specify the format to be used for date/time, real, and money values converted to character data.

- Look up "cast and convert" in Books Online for a complete list of style codes.

Figure 8-8    How to convert data using the CONVERT function

# How to use the TRY_CONVERT function

When you use the CAST or CONVERT function, SQL Server returns an error if the expression can't be converted to the data type you specify. If that's not what you want, you can use the TRY_CONVERT function instead. Figure 8-9 shows how this function works.

If you compare the SELECT statement in this figure to the one in figure 8-8, you'll notice two differences. First, it uses the TRY_CONVERT function instead of the CONVERT function. If you look at the results of the first five columns, you'll see that they're identical to the results of the CONVERT function.

Second, a sixth column has been added to the SELECT clause. This column uses a TRY_CONVERT function that attempts to convert an invalid date to the date data type. As you can see, this function returns a value of NULL instead of generating an error. This is particularly useful if you need to test the value of a variable within a script. For more information on coding scripts, please see chapter 14.

## The syntax of the TRY_CONVERT function

```
TRY_CONVERT(data_type, expression [, style ])
```

## A SELECT statement that uses the CONVERT function

```
SELECT TRY_CONVERT(varchar, InvoiceDate) AS varcharDate,
    TRY_CONVERT(varchar, InvoiceDate, 1) AS varcharDate_1,
    TRY_CONVERT(varchar, InvoiceDate, 107) AS varcharDate_107,
    TRY_CONVERT(varchar, InvoiceTotal) AS varcharTotal,
    TRY_CONVERT(varchar, InvoiceTotal, 1) AS varcharTotal_1,
    TRY_CONVERT(date, 'Feb 29 2011') AS invalidDate
FROM Invoices;
```

| | varcharDate | varcharDate_1 | varcharDate_107 | varcharTotal | varcharTotal_1 | invalidDate |
|---|---|---|---|---|---|---|
| 1 | Dec 8 2011 12:00AM | Dec 8 2011 12:00AM | Dec 8 2011 12:00AM | 3813.33 | 3813.33 | NULL |
| 2 | Dec 10 2011 12:00AM | Dec 10 2011 12:00AM | Dec 10 2011 12:00AM | 40.20 | 40.20 | NULL |
| 3 | Dec 13 2011 12:00AM | Dec 13 2011 12:00AM | Dec 13 2011 12:00AM | 138.75 | 138.75 | NULL |
| 4 | Dec 16 2011 12:00AM | Dec 16 2011 12:00AM | Dec 16 2011 12:00AM | 144.70 | 144.70 | NULL |
| 5 | Dec 16 2011 12:00AM | Dec 16 2011 12:00AM | Dec 16 2011 12:00AM | 15.50 | 15.50 | NULL |
| 6 | Dec 16 2011 12:00AM | Dec 16 2011 12:00AM | Dec 16 2011 12:00AM | 42.75 | 42.75 | NULL |
| 7 | Dec 21 2011 12:00AM | Dec 21 2011 12:00AM | Dec 21 2011 12:00AM | 172.50 | 172.50 | NULL |
| 8 | Dec 24 2011 12:00AM | Dec 24 2011 12:00AM | Dec 24 2011 12:00AM | 95.00 | 95.00 | NULL |

## Description

- You can use the TRY_CONVERT function to explicitly convert an expression from one data type to another.
- If the TRY_CONVERT function can't convert the expression to the specified data type, it returns a NULL value instead of generating an error.
- You can use the optional style argument to specify the format to be used for date/time, real, and money values converted to character data. See figure 8-8 for more information.

Figure 8-9    How to convert data using the TRY_CONVERT function

# How to use other data conversion functions

Although CAST, CONVERT, and TRY_CONVERT are the conversion functions you'll use most often, SQL Server provides some additional functions to perform special types of conversions. These functions are presented in figure 8-10.

You can use the first function, STR, to convert a floating-point value to a character value. You can think of this function as two conversion functions combined into one. First, it converts a floating-point value to a decimal value with the specified length and number of digits to the right of the decimal point. Then, it converts the decimal value to a character value. The function in this figure, for example, converts the number 1234.5678 to a string with a maximum length of seven characters and one digit to the right of the decimal point. Notice that the decimal digits are rounded rather than truncated.

The other four functions are used to convert characters to their equivalent numeric code and vice versa. CHAR and ASCII work with standard character strings that are stored one byte per character. The CHAR function shown in this figure, for example, converts the number 79 to its equivalent ASCII code, the letter O. Conversely, the ASCII function converts the letter O to its numeric equivalent of 79. Notice that although the string in the ASCII function can include more than one character, only the first character is converted.

The NCHAR and UNICODE functions convert Unicode characters to and from their numeric equivalents. You can see how these functions work in the examples. Notice in the last example that to code a Unicode character as a literal value, you have to precede the literal with the letter N.

CHAR is frequently used to output ASCII control characters that can't be typed on your keyboard. The three most common control characters are presented in this figure. These characters can be used to format output so it's easy to read. The SELECT statement in this figure, for example, uses the CHAR(13) and CHAR(10) control characters to start new lines after the vendor name and vendor address in the output.

## Other data conversion functions

| Function | Description |
|---|---|
| `STR(float[,length[,decimal]])` | Converts a floating-point number to a character string with the given length and number of digits to the right of the decimal point. The length must include one character for the decimal point and one character for the sign. The sign is blank if the number is positive. |
| `CHAR(integer)` | Converts the ASCII code represented by an integer between 0 and 255 to its character equivalent. |
| `ASCII(string)` | Converts the leftmost character in a string to its equivalent ASCII code. |
| `NCHAR(integer)` | Converts the Unicode code represented by an integer between 0 and 65535 to its character equivalent. |
| `UNICODE(string)` | Converts the leftmost character in a UNICODE string to its equivalent UNICODE code. |

## Examples that use the data conversion functions

| Function | Result |
|---|---|
| `STR(1234.5678, 7, 1)` | `1234.6` |
| `CHAR(79)` | `O` |
| `ASCII('Orange')` | `79` |
| `NCHAR(332)` | `O` |
| `UNICODE(N'Or')` | `332` |

## ASCII codes for common control characters

| Control character | Value |
|---|---|
| Tab | Char(9) |
| Line feed | Char(10) |
| Carriage return | Char(13) |

## A SELECT statement that uses the CHAR function to format output

```
SELECT VendorName + CHAR(13) + CHAR(10)
    + VendorAddress1 + CHAR(13) + CHAR(10)
    + VendorCity + ', ' + VendorState + ' ' + VendorZipCode
FROM Vendors
WHERE VendorID = 1;
```

```
US Postal Service
Attn:  Supt. Window Services
Madison, WI 53707
```

## Description

- The CHAR function is typically used to insert control characters into a character string.
- To code a Unicode value as a literal, precede the value with the character N.

Figure 8-10    How to use other data conversion functions

# Perspective

In this chapter, you learned about the different SQL Server data types. In addition, you learned how to use some functions for converting data from one type to another. In the next chapter, you'll learn about some of the additional functions for working with data.

## Terms

data type
string data type
numeric data type
temporal data type
date/time data type
date data type
integer data type
decimal data type
scale
precision
real data type
fixed-point number
floating-point number
significant digits
single-precision number
double-precision number
scientific notation

exact numeric data types
approximate numeric data types
Unicode character
Unicode specification
national character
fixed-length string
variable-length string
bit
ASCII (American Standard Code
    for Information Interchange)
large value data types
implicit conversion
explicit conversion
cast
Universal Time Coordinate (UTC)
Greenwich Mean Time

## Exercises

1. Write a SELECT statement that returns four columns based on the InvoiceTotal column of the Invoices table:

   - Use the CAST function to return the first column as data type decimal with 2 digits to the right of the decimal point.

   - Use CAST to return the second column as a varchar.

   - Use the CONVERT function to return the third column as the same data type as the first column.

   - Use CONVERT to return the fourth column as a varchar, using style 1.

2. Write a SELECT statement that returns four columns based on the InvoiceDate column of the Invoices table:

   - Use the CAST function to return the first column as data type varchar.

   - Use the CONVERT function to return the second and third columns as a varchar, using style 1 and style 10, respectively.

   - Use the CAST function to return the fourth column as data type real.

# 9

# How to use functions

In chapter 3, you were introduced to some of the scalar functions that you can use in a SELECT statement. Now, this chapter expands on that coverage by presenting many more of the scalar functions. When you complete this chapter, you'll have a thorough understanding of the functions that you can use with SQL Server.

# How to work with string data

SQL Server provides a number of functions for working with string data. You'll learn how to use some of those functions in the topics that follow. In addition, you'll learn how to solve two common problems that can occur when you work with string data.

## A summary of the string functions

Part 1 of figure 9-1 summarizes the string functions that are available with SQL Server. Most of these functions are used to perform string manipulation. For example, you can use the LEN function to get the number of characters in a string. Note that this function counts spaces at the beginning of the string (leading spaces), but not spaces at the end of the string (trailing spaces). If you want to remove leading or trailing spaces from a string, you can use the LTRIM or RTRIM function.

You can use the LEFT and RIGHT functions to get the specified number of characters from the beginning and end of a string. You can use the SUBSTRING function to get the specified number of characters from anywhere in a string. You can use the REPLACE function to replace a substring within a string with another substring. And you can use the REVERSE function to reverse the order of the characters in a string.

The CHARINDEX function lets you locate the first occurrence of a substring within another string. The return value is a number that indicates the position of the substring. Note that you can start the search at a position other than the beginning of the string by including the start argument.

The PATINDEX function is similar to CHARINDEX. Instead of locating a string, however, it locates a string pattern. Like the string patterns you learned about in chapter 3 for use with the LIKE operator, the string patterns you use with the PATINDEX function can include wildcard characters. You can refer back to chapter 3 if you need to refresh your memory on how to use these characters.

The CONCAT function lets you concatenate two or more values into a single string. Although this function is similar to the concatenation operator, it lets you concatenate values other than strings. To do that, it implicitly converts all values to strings. That includes null values, which it converts to empty strings.

The last three functions should be self-explanatory. You use the LOWER and UPPER functions to convert the characters in a string to lower or upper case. And you use the SPACE function to return a string that has the specified number of spaces.

## Some of the string functions

| Function | Description |
|----------|-------------|
| `LEN(string)` | Returns the number of characters in the string. Leading spaces are included, but trailing spaces are not. |
| `LTRIM(string)` | Returns the string with any leading spaces removed. |
| `RTRIM(string)` | Returns the string with any trailing spaces removed. |
| `LEFT(string,length)` | Returns the specified number of characters from the beginning of the string. |
| `RIGHT(string,length)` | Returns the specified number of characters from the end of the string. |
| `SUBSTRING(string,start,length)` | Returns the specified number of characters from the string starting at the specified position. |
| `REPLACE(search,find,replace)` | Returns the search string with all occurrences of the find string replaced with the replace string. |
| `REVERSE(string)` | Returns the string with the characters in reverse order. |
| `CHARINDEX(find,search[,start])` | Returns an integer that represents the position of the first occurrence of the find string in the search string starting at the specified position. If the starting position isn't specified, the search starts at the beginning of the string. If the string isn't found, the function returns zero. |
| `PATINDEX(find,search)` | Returns an integer that represents the position of the first occurrence of the find pattern in the search string. If the pattern isn't found, the function returns zero. The find pattern can include wildcard characters. If the pattern begins with a wildcard, the value returned is the position of the first non-wildcard character. |
| `CONCAT(value1,value2[,value3]...` | Returns a string that contains a concatenation of the specified values. The values are implicitly converted to strings. A null value is converted to an empty string. |
| `LOWER(string)` | Returns the string converted to lowercase letters. |
| `UPPER(string)` | Returns the string converted to uppercase letters. |
| `SPACE(integer)` | Returns a string with the specified number of space characters (blanks). |

## Notes

- The start argument must be an integer from 1 to the length of the string.
- The ANSI standards specify a TRIM function that removes both leading and trailing spaces from a string. Although this function isn't supported by SQL Server, you can get the same result by combining LTRIM and RTRIM (see the example in part 2 of this figure).

Figure 9-1    A summary of the string functions (part 1 of 2)

Part 2 of figure 9-1 presents examples of most of the string functions. If you study the examples at the top of this figure, you shouldn't have any trouble figuring out how they work. If you're confused by any of them, though, you can refer back to part 1 to check the syntax.

The SELECT statement shown in this figure illustrates how you can use the LEFT and RIGHT functions to format columns in a result set. In this case, the LEFT function is used to retrieve the first character of the VendorContactFName column in the Vendors table, which contains the first name of the vendor contact. In other words, this function retrieves the first initial of the vendor contact. Then, this initial is combined with the last name of the vendor contact and two literal values. You can see the result in the second column of the result set.

The third column in the result set lists the vendor's phone number without an area code. To accomplish that, this column specification uses the RIGHT function to extract the eight rightmost characters of the VendorPhone column. This assumes, of course, that all of the phone numbers are stored in the same format, which isn't necessarily the case since the VendorPhone column is defined as varchar(50).

This SELECT statement also shows how you can use a function in the search condition of a WHERE clause. This condition uses the SUBSTRING function to select only those rows with an area code of 559. To do that, it retrieves three characters from the VendorPhone column starting with the second character. Again, this assumes that the phone numbers are all in the same format and that the area code is enclosed in parentheses.

## String function examples

| Function | Result |
|----------|--------|
| LEN('SQL Server') | 10 |
| LEN('  SQL Server  ') | 12 |
| LEFT('SQL Server', 3) | 'SQL' |
| LTRIM('  SQL Server  ') | 'SQL Server  ' |
| RTRIM('  SQL Server  ') | '  SQL Server' |
| LTRIM(RTRIM('  SQL Server  ')) | 'SQL Server' |
| LOWER('SQL Server') | 'sql server' |
| UPPER('ca') | CA |
| PATINDEX('%v_r%', 'SQL Server') | 8 |
| CHARINDEX('SQL', '  SQL Server') | 3 |
| CHARINDEX('-', '(559) 555-1212') | 10 |
| SUBSTRING('(559) 555-1212', 7, 8) | 555-1212 |
| REPLACE(RIGHT('(559) 555-1212', 13), ') ', '-') | 559-555-1212 |
| CONCAT('Run time: ',1.52,' seconds') | Run time: 1.52 seconds |

## A SELECT statement that uses the LEFT, RIGHT, and SUBSTRING functions

```
Select VendorName, VendorContactLName + ', ' + LEFT(VendorContactFName, 1)
        + '.' AS ContactName, RIGHT(VendorPhone, 8) AS Phone
FROM Vendors
WHERE SUBSTRING(VendorPhone, 2, 3) = 559
ORDER BY VendorName;
```

|   | VendorName | ContactName | Phone |
|---|------------|-------------|-------|
| 1 | Abbey Office Furnishings | Francis, K. | 555-8300 |
| 2 | BFI Industries | Kaleigh, E. | 555-1551 |
| 3 | Bill Marvin Electric Inc | Hostlery, K. | 555-5106 |
| 4 | Cal State Termite | Hunter, D. | 555-1534 |
| 5 | California Business Machines | Rohansen, A. | 555-5570 |

Figure 9-1    A summary of the string functions (part 2 of 2)

# How to solve common problems that occur with string data

Figure 9-2 presents solutions to two common problems that occur when you work with string data. The first problem occurs when you store numeric data in a character column and then want to sort the column in numeric sequence.

To illustrate, look at the first example in this figure. Here, the columns in the StringSample table are defined with character data types. The first SELECT statement shows the result of sorting the table by the first column, which contains a numeric ID. As you can see, the rows are not in numeric sequence. That's because SQL Server interprets the values as characters, not as numbers.

One way to solve this problem is to convert the values in the ID column to integers for sorting purposes. This is illustrated in the second SELECT statement in this example. As you can see, the rows are now sorted in numeric sequence.

Another way to solve this problem is to pad the numbers with leading zeros or spaces so that the numbers are aligned on the right. This is illustrated by the AltID column in this table, which is padded with zeros. If you sorted by this column instead of the first column, the rows would be returned in numeric sequence.

The second problem you'll encounter when working with string data occurs when two or more values are stored in the same string. For example, both a first and a last name are stored in the Name column of the StringSample table. If you want to work with the first and last names independently, you have to parse the string using the string functions. This is illustrated by the SELECT statement in the second example in this figure.

To extract the first name, this statement uses the LEFT and CHARINDEX functions. First, it uses the CHARINDEX function to locate the first space in the Name column. Then, it uses the LEFT function to extract all of the characters up to that space. Notice that one is subtracted from the value that's returned by the CHARINDEX function, so the space itself isn't included in the first name.

To extract the last name, this statement uses the RIGHT, LEN, and CHARINDEX functions. It uses the LEN function to get the number of characters in the Name column. Then, it uses the CHARINDEX function to locate the first space in the Name column, and it subtracts that value from the value returned by the LEN function. The result is the number of characters in the last name. That value is then used in the RIGHT function to extract the last name from the Name column.

As you review this example, you should keep in mind that I kept it simple so that you can focus on how the string functions are used. You should realize, however, that this code won't work for all names. If, for example, a first name contains a space, such as in the name Jean Paul, this code won't work properly. That illustrates the importance of designing a database so that this type of problem doesn't occur. You'll learn more about that in the next chapter. For now, just realize that if a database is designed correctly, you won't have to worry about this type of problem. Instead, this problem should occur only if you're importing data from another file or database system.

## How to use the CAST function to sort by a string column that contains numbers

### The StringSample table sorted by the ID column

```
SELECT * FROM StringSample
ORDER BY ID;
```

|   | ID | Name | AltID |
|---|----|------|-------|
| 1 | 1 | Lizbeth Darien | 01 |
| 2 | 17 | Lance Pinos-Potter | 17 |
| 3 | 2 | Darnell O'Sullivan | 02 |
| 4 | 20 | Jean Paul Renard | 20 |
| 5 | 3 | Alisha von Strump | 03 |

### The StringSample table sorted by the ID column cast to an integer

```
SELECT * FROM StringSample
ORDER BY CAST(ID AS int);
```

|   | ID | Name | AltID |
|---|----|------|-------|
| 1 | 1 | Lizbeth Darien | 01 |
| 2 | 2 | Darnell O'Sullivan | 02 |
| 3 | 3 | Alisha von Strump | 03 |
| 4 | 17 | Lance Pinos-Potter | 17 |
| 5 | 20 | Jean Paul Renard | 20 |

## How to use the string functions to parse a string

```
SELECT Name,
    LEFT(Name, CHARINDEX(' ', Name) - 1) AS First,
    RIGHT(Name, LEN(Name) - CHARINDEX(' ', Name) ) AS Last
FROM StringSample;
```

|   | Name | First | Last |
|---|------|-------|------|
| 1 | Lizbeth Darien | Lizbeth | Darien |
| 2 | Darnell O'Sullivan | Darnell | O'Sullivan |
| 3 | Lance Pinos-Potter | Lance | Pinos-Potter |
| 4 | Jean Paul Renard | Jean | Paul Renard |
| 5 | Alisha von Strump | Alisha | von Strump |

## Description

- If you sort by a string column that contains numbers, you may receive unexpected results. To avoid that, you can convert the string column to a numeric value in the ORDER BY clause.

- If a string consists of two or more components, you can parse it into its individual components. To do that, you can use the CHARINDEX function to locate the characters that separate the components. Then, you can use the LEFT, RIGHT, SUBSTRING, and LEN functions to extract the individual components.

Figure 9-2    How to solve common problems that occur with string data

# How to work with numeric data

In addition to the string functions, SQL Server provides several numeric functions. Although you'll probably use only a couple of these functions on a regular basis, you should be aware of all of them in case you ever need them. After you learn about these functions, I'll show you how you can use them and some of the other functions you've learned about in this chapter to solve common problems that occur when you work with numeric data.

## A summary of the numeric functions

Figure 9-3 summarizes eight of the numeric functions SQL Server provides. The function you'll probably use most often is ROUND. This function rounds a number to the precision specified by the length argument. Note that you can round the digits to the left of the decimal point by coding a negative value for this argument. However, you're more likely to code a positive number to round the digits to the right of the decimal point. You can also use the ROUND function to truncate a number to the specified length. To do that, you can code any integer value other than zero for the optional function argument.

The first set of examples in this figure shows how the ROUND function works. The first example rounds the number 12.5 to a precision of zero, which means that the result has no significant digits to the right of the decimal point. Note that this function does not change the precision of the value. The result still has one digit to the right of the decimal point. The number has just been rounded so that the digit is insignificant. To make that point clear, the second example rounds a number with four decimal places to a precision of zero. Notice that the result still has four digits to the right of the decimal point; they're just all zero.

The next three examples show variations of the first two examples. The third example rounds a number with four decimal places to a precision of 1, and the fourth example rounds the digits to the left of the decimal point to a precision of one. Finally, the last example truncates a number to a precision of zero.

The other function you're likely to use is ISNUMERIC. This function returns a Boolean value that indicates if an expression is numeric. This is illustrated by the next set of examples in this figure. This function can be useful for testing the validity of a value before saving it in a table.

You can use the next three functions, ABS, CEILING, and FLOOR, to get the absolute value of a number, the smallest integer greater than or equal to a number, or the largest integer less than or equal to a number. If you study the examples, you shouldn't have any trouble figuring out how these functions work.

The next two functions, SQUARE and SQRT, are used to calculate the square and square root of a number. And the last function, RAND, generates a floating-point number with a random value between 0 and 1. SQL Server provides a variety of functions like these for performing mathematical calculations, but you're not likely to use them. You can refer to Books Online to get a complete list of these functions.

## Some of the numeric functions

| Function | Description |
|---|---|
| `ROUND(number,length`<br>`    [,function])` | Returns the number rounded to the precision specified by length. If length is positive, the digits to the right of the decimal point are rounded. If it's negative, the digits to the left of the decimal point are rounded. To truncate the number rather than round it, code a non-zero value for function. |
| `ISNUMERIC(expression)` | Returns a value of 1 (true) if the expression is a numeric value; returns a value of 0 (false) otherwise. |
| `ABS(number)` | Returns the absolute value of the number. |
| `CEILING(number)` | Returns the smallest integer that is greater than or equal to the number. |
| `FLOOR(number)` | Returns the largest integer that is less than or equal to the number. |
| `SQUARE(float_number)` | Returns the square of a floating-point number. |
| `SQRT(float_number)` | Returns the square root of a floating-point number. |
| `RAND([integer])` | Returns a random floating-point number between 0 and 1. If integer is coded, it provides a starting value for the function. Otherwise, the function will return the same number each time it's invoked within the same query. |

## Examples that use the numeric functions

| Function | Result |
|---|---|
| `ROUND(12.5,0)` | `13.0` |
| `ROUND(12.4999,0)` | `12.0000` |
| `ROUND(12.4999,1)` | `12.5000` |
| `ROUND(12.4999,-1)` | `10.0000` |
| `ROUND(12.5,0,1)` | `12.0` |
| | |
| `ISNUMERIC(-1.25)` | `1` |
| `ISNUMERIC('SQL Server')` | `0` |
| `ISNUMERIC('2012-09-30')` | `0` |
| | |
| `ABS(-1.25)` | `1.25` |
| `CEILING(-1.25)` | `-1` |
| `FLOOR(-1.25)` | `-2` |
| `CEILING(1.25)` | `2` |
| `FLOOR(1.25)` | `1` |
| | |
| `SQUARE(5.2786)` | `27.86361796` |
| `SQRT(125.43)` | `11.199553562531` |
| | |
| `RAND()` | `0.243729` |

## Note

- To calculate the square or square root of a number with a data type other than float or real, you must cast it to a floating-point number.

Figure 9-3      A summary of the numeric functions

# How to solve common problems that occur with numeric data

Earlier in this chapter, you learned that numbers with the real data types don't contain exact values. The details of why that is are beyond the scope of this book. From a practical point of view, though, that means that you don't want to search for exact values when you're working with real numbers. If you do, you'll miss values that are in essence equal to the value you're looking for.

To illustrate, consider the RealSample table shown in figure 9-4. This table includes a column named R that's defined with the float(53) data type. Now, consider what would happen if you selected all the rows where the value of R is equal to 1. The result set would include only the second row, even though the table contains two other rows that have values approximately equal to 1.

When you perform a search on a column with a real data type, then, you usually want to search for an approximate value. This figure shows two ways to do that. First, you can search for a range of values. The first SELECT statement in this figure, for example, searches for values between .99 and 1.01. Second, you can search for values that round to an exact value. This is illustrated by the second SELECT statement. Both of these statements return the three rows in the RealSample table that are approximately equal to 1. In fact, the value in the first row is so close to 1 that the Management Studio removes the decimal places from this number when it displays the results.

Although both of the SELECT statements shown here return the same results, the first statement is more efficient than the second one. That's because SQL Server isn't able to optimize a query that uses a function in its search condition. Because of that, I recommend you use the range technique to search for a real value whenever possible.

Another problem you may face is formatting numeric values so that they're easy to read. One way to do that is to format them so they're aligned on the right, as shown in the third SELECT statement. To do this, the real numbers in the R column are first cast as decimal numbers to give them a consistent scale. Then, the decimal values are cast as character data and padded on the left with spaces to right-align the data as shown in the column named R_Formatted.

If you look at the expression for the last column, you'll see that it's quite complicated. If you break it down into its component parts, however, you shouldn't have much trouble understanding how it works. To help you break it down, the third, fourth, and fifth columns in the result set show the interim results returned by portions of the expression.

To align the values at the right, the last column specification assumes a column width of nine characters. Then, the length of the number to be formatted is subtracted from nine, and the SPACE function is used to create a string with the resulting number of spaces. Finally, the number is concatenated to the string of spaces after it's converted to a string value. The result is a string column with the numbers aligned at the right. However, this formatting isn't displayed properly when you use the Management Studio to view the results in the grid. As a result, to view this formatting, you must click on the Results to Text button to view the results as text as shown in this figure.

## The RealSample table

| ID | R |
|----|---|
| ▶ 1 | 1.0000000000000011 |
| 2 | 1 |
| 3 | 0.999999999999999 |
| 4 | 1234.56789012345 |
| 5 | 999.04440209348 |
| 6 | 24.04849 |

## How to search for approximate real values

### A SELECT statement that searches for a range of values

```
SELECT * FROM RealSample
WHERE R BETWEEN 0.99 AND 1.01;
```

### A SELECT statement that searches for rounded values

```
SELECT * FROM RealSample
WHERE ROUND(R,2) = 1;
```

| | ID | R |
|---|----|---|
| 1 | 1 | 1 |
| 2 | 2 | 1 |
| 3 | 3 | 0.999999999999999 |

## A SELECT statement that formats real numbers

```
SELECT ID, R, CAST(R AS decimal(9,3)) AS R_decimal,
    CAST(CAST(R AS decimal(9,3)) AS varchar(9)) AS R_varchar,
    LEN(CAST(CAST(R AS decimal(9,3)) AS varchar(9))) AS R_LEN,
    SPACE(9 - LEN(CAST(CAST(R AS decimal(9,3)) AS varchar(9)))) +
        CAST(CAST(R AS decimal(9,3)) AS varchar(9)) AS R_Formatted
FROM RealSample;
```

```
R_decimal                                   R_varchar R_LEN      R_Formatted
------------------------------------------- --------- ---------- -------------------
1.000                                       1.000     5                1.000
1.000                                       1.000     5                1.000
1.000                                       1.000     5                1.000
1234.568                                    1234.568  8             1234.568
999.044                                     999.044   7              999.044
24.048                                      24.048    6               24.048

100 %  ▾ ◄         III
```

## Description

- Because real values are approximate, you'll want to search for approximate values when retrieving real data. To do that, you can specify a range of values, or you can use the ROUND function to search for rounded values.

- When you display real or decimal values, you may want to format them so they're aligned on the right.

Figure 9-4    How to solve common problems that occur with numeric data

# How to work with date/time data

In the topics that follow, you'll learn how to use some of the functions SQL Server provides for working with dates and times. As you'll see, these include functions for extracting different parts of a date/time value and for performing operations on dates and times. In addition, you'll learn how to perform different types of searches on date/time values.

## A summary of the date/time functions

Figure 9-5 presents a summary of the date/time functions and shows how some of them work. One of the functions you'll use frequently is GETDATE, which gets the current local date and time from your system. GETUTCDATE is similar, but it returns the Universal Time Coordinate (UTC) date, also known as Greenwich Mean Time (GMT).

Although you probably won't use the GETUTCDATE function often, it's useful if your system will operate in different time zones. That way, the date/time values will always reflect Greenwich Mean Time, regardless of the time zone in which they're entered. For example, a date/time value entered at 11:00 a.m. Los Angeles time would be given the same value as a date/time value entered at 2:00 p.m. New York time. That makes it easy to compare and operate on these values.

The next three functions (SYSDATETIME, SYSUTCDATETIME, and SYSDATETIMEOFFSET) work similarly to the first two functions. However, they return the datetime2 and datetimeoffset types that were introduced with SQL Server 2008. As a result, these functions return a more precise fractional second value. In addition, the SYSDATETIMEOFFSET function returns a value that includes a time zone offset. Note that the time zone offset is not adjusted for daylight savings time.

The next five functions (DAY, MONTH, YEAR, DATENAME, and DATEPART) let you extract different parts of a date value. For more information about these functions, you can refer to figure 9-6. For now, just realize that when you use the DATEPART and DATENAME functions, you can retrieve any of the date parts listed in part 2 of this figure.

The DATEADD and DATEDIFF functions let you perform addition and subtraction operations on date/time values. As you can see, these functions let you specify the date part to be added. For more information about these functions, you can refer to figure 9-7.

The TODATETIMEOFFSET and SWITCHOFFSET functions let you work with the datetimeoffset data type. In particular, you can use the TODATETIMEOFFSET function to add a time zone offset to a datetime2 value and return a datetimeoffset value. In addition, you can use the SWITCHOFFSET function to specify a new time zone offset value for a datetimeoffset value.

The next two functions, EOMONTH and DATEFROMPARTS, are new with SQL Server 2012. The EOMONTH function gets the last day of the month for the specified date. This can be helpful for determining what days are valid for a

## Some of the date/time functions

| Function | Description |
|---|---|
| GETDATE() | Returns a datetime value for the current local date and time based on the system's clock. |
| GETUTCDATE() | Returns a datetime value for the current UTC date and time based on the system's clock and time zone setting. |
| SYSDATETIME() | Returns a datetime2(7) value for the current local date and time based on the system's clock. |
| SYSUTCDATETIME() | Returns a datetime2(7) value for the current UTC date and time based on the system's clock and time zone setting. |
| SYSDATETIMEOFFSET() | Returns a datetimeoffset(7) value for the current UTC date and time based on the system's clock and time zone setting with a time zone offset that is *not* adjusted for daylight savings time. |
| DAY(date) | Returns the day of the month as an integer. |
| MONTH(date) | Returns the month as an integer. |
| YEAR(date) | Returns the 4-digit year as an integer. |
| DATENAME(datepart,date) | Returns the part of the date specified by datepart as a character string. |
| DATEPART(datepart,date) | Returns the part of the date specified by datepart as an integer. |
| DATEADD(datepart,number,date) | Returns the date that results from adding the specified number of datepart units to the date. |
| DATEDIFF(datepart,startdate,enddate) | Returns the number of datepart units between the specified start and end dates. |
| TODATETIMEOFFSET(datetime2,tzoffset) | Returns a datetimeoffset value that results from adding the specified time zone offset to the specified datetime2 value. |
| SWITCHOFFSET(datetimeoffset,tzoffset) | Returns a datetimeoffset value that results from switching the time zone offset for the specified datetimeoffset value to the specified offset. |
| EOMONTH(startdate[,months]) | Returns a date for the last day of the month specified by the start date. If months is specified, the number of months is added to the start date before the end-of-month date is calculated. |
| DATEFROMPARTS(year,month,day) | Returns a date for the specified year, month, and day. |
| ISDATE(expression) | Returns a value of 1 (true) if the expression is a valid date/time value; returns a value of 0 (false) otherwise. |

Figure 9-5    A summary of the date/time functions (part 1 of 2)

given month. The DATEFROMPARTS function lets you create a date value for a given year, month, and day. In addition to this function, SQL Server 2012 provides other functions that let you create datetime, smalldatetime, time, datetime2, and datetimeoffset values. For more information, please see Books Online.

The last function (ISDATE) returns a Boolean value that indicates whether an expression can be cast as a valid date/time value. This function is useful for testing the validity of a date/time value before it's saved to a table. This is illustrated by the last set of examples. Here, you can see that the first and third expressions are valid dates, but the second and fourth expressions aren't. The second expression isn't valid because the month of September has only 30 days. And the fourth expression isn't valid because a time value can have a maximum of 59 minutes and 59 seconds. Note that this function checks for both a valid date/time format and a valid date/time value.

The first two sets of examples illustrate the differences between the functions that return date/time values. To start, there's a 7 hour difference between the datetime value that's returned by the GETDATE and GETUTCDATE functions. That's because I ran these functions from California, which is 7 hours behind the Universal Time Coordinate (UTC). In addition, note that the datetime2(7) value that's returned by the SYSDATETIME function provides more precise fractional second values than the datetime value that's returned by the GETDATE and GETUTCDATE functions. Finally, note that the SYSDATETIMEOFFSET function returns a datetimeoffset value that includes a time zone offset.

The third set of examples shows how you can use the date parts with the DATEPART and DATENAME functions. To start, you don't need to specify a date part when you use the MONTH function to return an integer value for the month. However, you can get the same result with the DATEPART function by specifying the month date part as the first argument. Or, if you want to return the name of the month as a string of characters, you can specify the month date part as the first argument of the DATENAME function. Finally, you can use an abbreviation for a date part whenever that makes sense. However, I generally prefer to avoid abbreviations as they tend to make the code more difficult to read and understand.

The fourth set of examples shows how to use two of the new date/time functions. Here, the first expression uses the EOMONTH function to return a date for the last day of the month for February 1, 2012. Since 2012 is a leap year, this returns February 29, 2012. The second expression is similar, but it adds two months to the specified date. Finally, the last expression uses the DATEFROMPARTS function to create a date with a year value of 2012, a month value of 4, and a day value of 3.

## Date part values and abbreviations

| Argument | Abbreviations |
|---|---|
| year | yy, yyyy |
| quarter | qq, q |
| month | mm, m |
| dayofyear | dy, y |
| day | dd, d |
| week | wk, ww |
| weekday | dw |
| hour | hh |
| minute | mi, n |
| second | ss, s |
| millisecond | ms |
| microsecond | mcs |
| nanosecond | ns |
| tzoffset | tz |

## Examples that use date/time functions

| Function | Result |
|---|---|
| `GETDATE()` | `2012-09-30 14:10:13.813` |
| `GETUTCDATE()` | `2012-09-30 21:10:13.813` |
| | |
| `SYSDATETIME()` | `2012-09-30 14:10:13.8160822` |
| `SYSUTCDATETIME()` | `2012-09-30 21:10:13.8160822` |
| `SYSDATETIMEOFFSET()` | `2012-09-30 14:10:13.8160822 -07.00` |
| | |
| `MONTH('2012-09-30')` | `9` |
| `DATEPART(month,'2012-09-30')` | `9` |
| `DATENAME(month,'2012-09-30')` | `September` |
| `DATENAME(m,'2012-09-30')` | `September` |
| | |
| `EOMONTH('2012-02-01')` | `2012-02-29` |
| `EOMONTH('2012-02-01',2)` | `2012-04-30` |
| `DATEFROMPARTS(2012,4,3)` | `2012-04-03` |
| | |
| `ISDATE('2012-09-30')` | `1` |
| `ISDATE('2012-09-31')` | `0` |
| `ISDATE('23:59:59')` | `1` |
| `ISDATE('23:99:99')` | `0` |

Figure 9-5    A summary of the date/time functions (part 2 of 2)

# How to parse dates and times

Figure 9-6 shows you how to use the DAY, MONTH, YEAR, DATEPART, and DATENAME functions to parse dates and times. If you just need to get an integer value for a day, month, or year, you should use the DAY, MONTH, and YEAR functions as shown in the examples at the top of this figure since these are ANSI-standard functions. If you need to extract another part of a date or time as an integer, however, you'll need to use the DATEPART function. And if you need to extract a date part as a string, you'll need to use the DATENAME function.

This figure shows the result of using each of the date part values with the DATEPART and DATENAME functions. As you can see, many of the values returned by the two functions appear to be the same. Keep in mind, however, that all of the values returned by DATEPART are integers. In contrast, all of the values returned by DATENAME are strings. That's why the month and week day are returned as names rather than numbers when you use DATENAME. The function you use, then, will depend on what you need to do with the date part. If you need to use it in an arithmetic operation, for example, you'll want to use the DATEPART function. But if you need to use it in a concatenation, you'll want to use the DATENAME function.

Finally, it's important to note the difference between the DATEPART and DATENAME functions when working with the tzoffset date part. With this part, the DATEPART function returns an integer value for the number of minutes for the time zone offset, and the DATENAME function returns a string value that specifies the hours and minutes.

## Examples that use the DAY, MONTH, and YEAR functions

| Function | Result |
|---|---|
| `DAY('2012-09-30')` | 30 |
| `MONTH('2012-09-30')` | 9 |
| `YEAR('2012-09-30')` | 2012 |

## Examples that use the DATEPART function

| Function | Result |
|---|---|
| `DATEPART(day, '2012-09-30 11:35:00')` | 30 |
| `DATEPART(month, '2012-09-30 11:35:00')` | 9 |
| `DATEPART(year, '2012-09-30 11:35:00')` | 2012 |
| `DATEPART(hour, '2012-09-30 11:35:00')` | 11 |
| `DATEPART(minute, '2012-09-30 11:35:00')` | 35 |
| `DATEPART(second, '2012-09-30 11:35:00')` | 0 |
| `DATEPART(quarter, '2012-09-30 11:35:00')` | 3 |
| `DATEPART(dayofyear, '2012-09-30 11:35:00')` | 273 |
| `DATEPART(week, '2012-09-30 11:35:00')` | 40 |
| `DATEPART(weekday, '2012-09-30 11:35:00')` | 1 |
| `DATEPART(millisecond, '11:35:00.1234567')` | 123 |
| `DATEPART(microsecond, '11:35:00.1234567')` | 123456 |
| `DATEPART(nanosecond, '11:35:00.1234567')` | 123456700 |
| `DATEPART(tzoffset, '11:35:00.1234567 -07:00')` | -420 |

## Examples that use the DATENAME function

| Function | Result |
|---|---|
| `DATENAME(day, '2012-09-30 11:35:00')` | 30 |
| `DATENAME(month, '2012-09-30 11:35:00')` | September |
| `DATENAME(year, '2012-09-30 11:35:00')` | 2012 |
| `DATENAME(hour, '2012-09-30 11:35:00')` | 11 |
| `DATENAME(minute, '2012-09-30 11:35:00')` | 35 |
| `DATENAME(second, '2012-09-30 11:35:00')` | 0 |
| `DATENAME(quarter, '2012-09-30 11:35:00')` | 3 |
| `DATENAME(dayofyear, '2012-09-30 11:35:00')` | 274 |
| `DATENAME(week, '2012-09-30 11:35:00')` | 40 |
| `DATENAME(weekday, '2012-09-30 11:35:00')` | Sunday |
| `DATENAME(millisecond, '11:35:00.1234567')` | 123 |
| `DATENAME(microsecond, '11:35:00.1234567')` | 123456 |
| `DATENAME(nanosecond, '11:35:00.1234567')` | 123456700 |
| `DATENAME(tzoffset, '11:35:00.1234567 -07:00')` | -07:00 |

## Notes

- When you use weekday with the DATEPART function, it returns an integer that indicates the day of the week where 1=Sunday, 2=Monday, etc.
- The DAY, MONTH, and YEAR functions are ANSI-standard functions. The DATEPART and DATENAME functions are more general-purpose functions provided by SQL Server.

Figure 9-6    How to parse dates and times

# How to perform operations on dates and times

Figure 9-7 shows you how to use the DATEADD and DATEDIFF functions to perform operations on dates and times. You can use the DATEADD function to add a specified number of date parts to a date. The first eight DATEADD functions in this figure, for example, show how you can add one day, month, year, hour, minute, second, quarter, and week to a date/time value. If you want to subtract date parts from a date/time value, you can do that with the DATEADD function too. Just code the number argument as a negative value, as illustrated by the next to last DATEADD function. The last DATEADD function illustrates that you can't add a fractional number of date parts to a date/time value. If you try to, the fractional portion is ignored.

If you need to find the difference between two date/time values, you can use the DATEDIFF function as illustrated by the second set of examples in this figure. As you can see, the result is expressed in the date part units you specify. The first function, for example, returns the number of days between two dates, and the second example returns the number of months between the same two dates.

In most cases, the earlier date is specified as the second argument in the DATEDIFF function and the later date is specified as the third argument. That way, the result of the function is a positive value. However, you can also code the later date first. Then, the result is a negative value as you can see in the last DATEDIFF function in this figure.

If you use the DATEDIFF function, you should realize that it returns the number of date/time boundaries crossed, which is not necessarily the same as the number of intervals between two dates. To understand this, consider the third DATEDIFF function. This function returns the difference in years between the dates 2011-12-01 and 2012-09-30. Since the second date is less than one year after the first date, you might expect this function to return a value of zero. As you can see, however, it returns a value of 1 because it crossed the one-year boundary between the years 2011 and 2012. Because this is not intuitive, you'll want to use this function carefully.

The last three examples in this figure show how you can perform operations on dates and times without using the DATEADD and DATEDIFF functions. The first expression, for example, adds one day to a date/time value, and the second expression subtracts one day from the same value. When you use this technique, SQL Server assumes you're adding or subtracting days. So you can't add or subtract other date parts unless you express them as multiples or fractions of days.

The last expression shows how you can subtract two date/time values to calculate the number of days between them. Notice that after the dates are subtracted, the result is converted to an integer. That's necessary because the result of the subtraction operation is implicitly cast as a date/time value that represents the number of days after January 1, 1900. For this reason, the integer difference of 304 days is interpreted as the following date/time value: 1900-10-31 00:00:00:000.

## Examples that use the DATEADD function

| Function | Result |
|----------|--------|
| DATEADD(day, 1, '2012-09-30 11:35:00') | 2012-10-01 11:35:00.000 |
| DATEADD(month, 1, '2012-09-30 11:35:00') | 2012-10-30 11:35:00.000 |
| DATEADD(year, 1, '2012-09-30 11:35:00') | 2013-09-30 11:35:00.000 |
| DATEADD(hour, 1, '2012-09-30 11:35:00') | 2012-09-30 12:35:00.000 |
| DATEADD(minute, 1, '2012-09-30 11:35:00') | 2012-09-30 11:36:00.000 |
| DATEADD(second, 1, '2012-09-30 11:35:00') | 2012-09-30 11:35:01.000 |
| DATEADD(quarter, 1, '2012-09-30 11:35:00') | 2012-12-30 11:35:00.000 |
| DATEADD(week, 1, '2012-09-30 11:35:00') | 2012-10-07 11:35:00.000 |
| DATEADD(month, -1, '2012-09-30 11:35:00') | 2012-08-30 11:35:00.000 |
| DATEADD(year, 1.5, '2012-09-30 11:35:00') | 2013-09-30 11:35:00.000 |

## Examples that use the DATEDIFF function

| Function | Result |
|----------|--------|
| DATEDIFF(day, '2011-12-01', '2012-09-30') | 304 |
| DATEDIFF(month, '2011-12-01', '2012-09-30') | 9 |
| DATEDIFF(year, '2011-12-01', '2012-09-30') | 1 |
| DATEDIFF(hour, '06:46:45', '11:35:00') | 5 |
| DATEDIFF(minute, '06:46:45', '11:35:00') | 289 |
| DATEDIFF(second, '06:46:45', '11:35:00') | 17295 |
| DATEDIFF(quarter, '2011-12-01', '2012-09-30') | 3 |
| DATEDIFF(week, '2011-12-01', '2012-09-30') | 44 |
| DATEDIFF(day, '2012-09-30', '2011-12-01') | -304 |

## Examples that use the addition and subtraction operators

| Operation | Result |
|-----------|--------|
| CAST('2012-09-30 11:35:00' AS smalldatetime) + 1 | 2012-10-01 11:35:00 |
| CAST('2012-09-30 11:35:00' AS smalldatetime) - 1 | 2012-09-29 11:35:00 |
| CAST(CAST('2012-09-30' AS datetime)<br>    - CAST('2011-12-01' AS datetime) AS int) | 304 |

## Description

- You can use the DATEADD function to subtract a specified number of date parts from a date by coding the number of date parts as a negative value.

- If the number of date parts you specify in the DATEADD function isn't an integer, the fractional portion of the number is ignored.

- If the end date you specify in a DATEDIFF function is before the start date, the function will return a negative value.

- You can also use the addition and subtraction operators to add and subtract days from a date value. To add and subtract days from a date string, cast the string to a date/time value.

- You can also calculate the number of days between two dates by subtracting the date/time values and converting the result to an integer.

Figure 9-7    How to perform operations on dates and times

# How to perform a date search

Because date/time values often contain both a date and a time component, searching for specific dates and times can be difficult. In this topic, you'll learn a variety of ways to ignore the time component when you search for a date value. And in the next topic, you'll learn how to ignore date components when you search for time values.

Before I go on, you should realize that the problems described here can sometimes be avoided by designing the database differently. For example, if you don't need to include a time component, you can use the date data type for the column that stores the date. That way, there's no time component to complicate your date searches. Conversely, if you don't need to include a date component, you can use the time data type for the column that holds the time. Of course, since these data types were introduced with SQL Server 2008, you'll need to use a different technique for prior versions of SQL Server.

Figure 9-8 illustrates the problem you can encounter when searching for dates. The examples in this figure use a table named DateSample. This table includes an ID column that contains an integer value and a StartDate column that contains a datetime value. Notice that the time components in the first three rows in this table have a zero value. In contrast, the time components in the next three rows have non-zero time components.

The problem occurs when you try to search for a date value. The first SELECT statement in this figure, for example, searches for rows in the DateSample table with the date 2011-10-28. Because a time component isn't specified, a zero time component is added when the date string is converted to a datetime value. However, because the row with this date has a non-zero time value, no rows are returned by this statement.

To solve this problem, you can use one of the five techniques shown in this figure. Of these techniques, the first technique is usually the easiest to use. Here, you use the CONVERT function to convert the datetime value to a date value. Of course, this only works for SQL Server 2008 or later.

As a result, if you need to work with a prior version of SQL Server, you'll need to use one of the other techniques. For example, you can use the second technique to search for dates that are greater than or equal to the date you're looking for and less than the date that follows the date you're looking for. Or, you can use the third technique to search for the values that are returned by the MONTH, DAY, and YEAR functions.

The fourth technique is to use the CAST function to convert the value in the StartDate column to an 11-character string. That causes the time portion of the date to be truncated (if you look back at figure 8-7, you'll see that when a date/time data type is cast to a string data type, the date portion contains 11 characters in the format "Mon dd yyyy"). Then, the string is converted back to a datetime value, which adds a zero time component.

The last technique is similar, but it uses the CONVERT function instead of the CAST function. The style code used in this function converts the datetime value to a 10-character string that doesn't include the time. Then, the string is converted back to a date with a zero time component.

## The contents of the DateSample table

| | ID | StartDate |
|---|---|---|
| 1 | 1 | 1982-11-01 00:00:00.000 |
| 2 | 2 | 2002-10-28 00:00:00.000 |
| 3 | 3 | 2007-06-30 00:00:00.000 |
| 4 | 4 | 2008-10-28 10:00:00.000 |
| 5 | 5 | 2011-10-28 13:58:32.823 |
| 6 | 6 | 2011-11-01 09:02:25.000 |

## A search condition that fails to return a row

```
SELECT * FROM DateSample
WHERE StartDate = '2011-10-28';
```

## Five SELECT statements that ignore time values

### A SELECT statement that uses the date type to remove time values (SQL Server 2008 or later)

```
SELECT * FROM DateSample
WHERE CONVERT(date, StartDate) = '2011-10-28';
```

### A SELECT statement that searches for a range of dates

```
SELECT * FROM DateSample
WHERE StartDate >= '2011-10-28' AND StartDate < '2011-10-29';
```

### A SELECT statement that searches for month, day, and year components

```
SELECT * FROM DateSample
WHERE MONTH(StartDate) = 10 AND
      DAY(StartDate) = 28 AND
      YEAR(StartDate) = 2011;
```

### A SELECT statement that uses the CAST function to remove time values

```
SELECT * FROM DateSample
WHERE CAST(CAST(StartDate AS char(11)) AS datetime) = '2011-10-28';
```

### A SELECT statement that uses the CONVERT function to remove time values

```
SELECT * FROM DateSample
WHERE CONVERT(datetime, CONVERT(char(10), StartDate, 110)) = '2011-10-28';
```

## The result set

| | ID | StartDate |
|---|---|---|
| 1 | 5 | 2011-10-28 13:58:32.823 |

## Description

- If you perform a search using a date string that doesn't include the time, the date string is converted implicitly to a date/time value with a zero time component. Then, if the date columns you're searching have non-zero time components, you have to accommodate the times in the search condition.

Figure 9-8    How to perform a date search

Note that the second technique (searching for a range of dates) is the only technique that doesn't use any functions in the WHERE clause. Because of that, this is the most efficient technique for searching for dates. As a result, you may want to use it even if you're using SQL Server 2008 or later.

# How to perform a time search

When you search for a time value without specifying a date component, SQL Server automatically uses the default date of January 1, 1900. That's why neither of the first two SELECT statements in figure 9-9 return any rows. Even though at least one row has the correct time value for each search condition, those rows don't have the correct date value.

To solve this problem, you can use a SELECT statement like the one shown in the third or fourth example. In the third example, the CONVERT function is used to convert the datetime value to a time value. Of course, since the time data type was introduced with SQL Server 2008, this won't work for earlier versions of SQL Server.

As a result, if you need to use an earlier version of SQL Server, you can use a SELECT statement like the one shown in the fourth example. In this statement, the search condition uses the CONVERT function to convert the datetime values in the StartDate column to string values without dates. To do that, it uses a style argument of 8. Then, it converts the string values back to datetime values, which causes the default date to be used. That way, the date will match the dates that are added to the date literals.

## The contents of the DateSample table

| | ID | StartDate |
|---|---|---|
| 1 | 1 | 1982-11-01 00:00:00.000 |
| 2 | 2 | 2002-10-28 00:00:00.000 |
| 3 | 3 | 2007-06-30 00:00:00.000 |
| 4 | 4 | 2008-10-28 10:00:00.000 |
| 5 | 5 | 2011-10-28 13:58:32.823 |
| 6 | 6 | 2011-11-01 09:02:25.000 |

## Two search conditions that fail to return a row

```
SELECT * FROM DateSample
WHERE StartDate = CAST('10:00:00' AS datetime);

SELECT * FROM DateSample
WHERE StartDate >= '09:00:00' AND
    StartDate < '12:59:59:999';
```

## Two SELECT statements that ignore date values

### A SELECT statement that removes date values (SQL Server 2008 or later)

```
SELECT * FROM DateSample
WHERE CONVERT(time, StartDate) >= '09:00:00' AND
      CONVERT(time, StartDate) < '12:59:59:999';
```

### A SELECT statement that removes date values (prior to SQL Server 2008)

```
SELECT * FROM DateSample
WHERE CONVERT(datetime, CONVERT(char(12), StartDate, 8)) >= '09:00:00' AND
      CONVERT(datetime, CONVERT(char(12), StartDate, 8)) < '12:59:59:999';
```

## The result set

| | ID | StartDate |
|---|---|---|
| 1 | 4 | 2008-10-28 10:00:00.000 |
| 2 | 6 | 2011-11-01 09:02:25.000 |

## Description

- If you perform a search using a date string that includes only a time, the date is converted implicitly to a date/time value with a default date component of 1900-01-01. Then, if the date columns you're searching have other dates, you have to accommodate those dates in the search condition.

Figure 9-9      How to perform a time search

# Other functions you should know about

In addition to the conversion functions and the functions for working with specific types of data, SQL Server provides some other general purpose functions you should know about. Several of these functions are described in the topics that follow.

## How to use the CASE function

Figure 9-10 presents the two formats of the CASE function. This function returns a value that's determined by the conditions you specify. The easiest way to describe how this function works is to look at the two examples shown in this figure.

The first example uses a simple CASE function. When you use this function, SQL Server compares the input expression you code in the CASE clause with the expressions you code in the WHEN clauses. In this example, the input expression is a value in the TermsID column of the Invoices table, and the when expressions are the valid values for this column. When SQL Server finds a when expression that's equal to the input expression, it returns the expression specified in the matching THEN clause. If the value of the TermsID column is 3, for example, this function returns the value "Net due 30 days." Although it's not shown in this example, you can also code an ELSE clause at the end of the CASE function. Then, if none of the when expressions are equal to the input expression, the function returns the value specified in the ELSE clause.

The simple CASE function is typically used with columns that can contain a limited number of values, such as the TermsID column used in this example. In contrast, the searched CASE function can be used for a wide variety of purposes. For example, you can test for conditions other than equal with this function. In addition, each condition can be based on a different column or expression. The second example in this figure illustrates how this function works.

This example determines the status of the invoices in the Invoices table. To do that, the searched CASE function uses the DATEDIFF function to get the number of days between the current date and the invoice due date. If the difference is greater than 30, the CASE function returns the value "Over 30 days past due." Similarly, if the difference is greater than 0, the function returns the value "1 to 30 days past due." Notice that if an invoice is 45 days old, both of these conditions are true. In that case, the function returns the expression associated with the first condition since this condition is evaluated first. In other words, the sequence of the conditions is critical to getting logical results. If neither of the conditions is true, the function returns the value "Current."

Because the WHEN clauses in this example use greater than conditions, this CASE function couldn't be coded using the simple syntax. Of course, CASE functions can be more complicated than what's shown here, but this should give you an idea of what you can do with this function.

## The syntax of the simple CASE function

```
CASE input_expression
    WHEN when_expression_1 THEN result_expression_1
    [WHEN when_expression_2 THEN result_expression_2]...
    [ELSE else_result_expression]
END
```

## The syntax of the searched CASE function

```
CASE
    WHEN conditional_expression_1 THEN result_expression_1
    [WHEN conditional_expression_2 THEN result_expression_2]...
    [ELSE else_result_expression]
END
```

## A SELECT statement that uses a simple CASE function

```
SELECT InvoiceNumber, TermsID,
    CASE TermsID
        WHEN 1 THEN 'Net due 10 days'
        WHEN 2 THEN 'Net due 20 days'
        WHEN 3 THEN 'Net due 30 days'
        WHEN 4 THEN 'Net due 60 days'
        WHEN 5 THEN 'Net due 90 days'
    END AS Terms
FROM Invoices;
```

| | InvoiceNumber | TermsID | Terms | |
|---|---|---|---|---|
| 6 | 963253261 | 3 | Net due 30 days | |
| 7 | 963253237 | 3 | Net due 30 days | |
| 8 | 125520-1 | 1 | Net due 10 days | |

## A SELECT statement that uses a searched CASE function

```
SELECT InvoiceNumber, InvoiceTotal, InvoiceDate, InvoiceDueDate,
    CASE
        WHEN DATEDIFF(day, InvoiceDueDate, GETDATE()) > 30
            THEN 'Over 30 days past due'
        WHEN DATEDIFF(day, InvoiceDueDate, GETDATE()) > 0
            THEN '1 to 30 days past due'
        ELSE 'Current'
    END AS Status
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

| | InvoiceNumber | InvoiceTotal | InvoiceDate | InvoiceDueDate | Status | |
|---|---|---|---|---|---|---|
| 9 | 134116 | 90.36 | 2012-03-28 00:00:00 | 2012-04-17 00:00:00 | Over 30 days past due | |
| 10 | 0-2436 | 10976.06 | 2012-03-31 00:00:00 | 2012-04-30 00:00:00 | 1 to 30 days past due | |
| 11 | 547480102 | 224.00 | 2012-04-01 00:00:00 | 2012-04-30 00:00:00 | 1 to 30 days past due | |

## Description

- The simple CASE function tests the expression in the CASE clause against the expressions in the WHEN clauses. Then, the function returns the result expression associated with the first test that results in an equal condition.

- The searched CASE function tests the conditional expression in each WHEN clause in sequence and returns the result expression for the first condition that evaluates to true.

Figure 9-10    How to use the CASE function

# How to use the IIF and CHOOSE functions

Figure 9-11 shows how to use the two *logical functions* that were introduced with SQL Server 2012. The IIF function returns one of two values depending on the result of a conditional expression, and the CHOOSE function returns a value from a list of values depending on the index you specify.

The SELECT statement in the first example in this figure illustrates how the IIF function works. This statement groups the rows in the Invoices table by the VendorID column and returns three columns. The first column contains the VendorID for each vendor, and the second column contains the sum of the invoice totals for that vendor. Then, the third column contains a value that indicates if the sum of invoice totals is less than 1000 or greater than or equal to 1000. To do that, the first argument of the IIF function tests if the sum of invoice totals is less than 1000. If it is, a value of "Low" is returned. Otherwise, a value of "High" is returned.

If you compare the IIF function with the searched CASE function in the previous figure, you'll see that it provides another way to test a conditional expression that can result in one of two values. In fact, SQL Server translates IIF functions to CASE functions before processing them. For example, SQL Server would translate the IIF function in this figure so it looks something like this:

```
SELECT VendorID, SUM(InvoiceTotal) AS SumInvoices,
    CASE
        WHEN SUM(InvoiceTotal) < 1000
            THEN 'Low'
        ELSE
            'High'
    END AS InvoiceRange
FROM Invoices
GROUP BY VendorID;
```

The technique you use is mostly a matter of preference.

The second SELECT statement in this figure illustrates how to use the CHOOSE function. Although this functions isn't as useful as some of the other functions presented in this book, it can be useful in certain situations. In this example, it's used to return a description of the due days for the invoices in the Invoices table with a balance due based on the value of the TermsID column. This works because the TermsID column is an int type.

## The syntax of the IIF function

```
IIF(conditional_expression, true_value, false_value)
```

## The syntax of the CHOOSE function

```
CHOOSE(index, value1, value2 [,value3]...)
```

## A SELECT statement that uses the IIF function

```
SELECT VendorID, SUM(InvoiceTotal) AS SumInvoices,
    IIF(SUM(InvoiceTotal) < 1000, 'Low', 'High') AS InvoiceRange
FROM Invoices
GROUP BY VendorID;
```

| | VendorID | SumInvoices | InvoiceRange |
|---|---|---|---|
| 1 | 34 | 1200.12 | High |
| 2 | 37 | 564.00 | Low |
| 3 | 48 | 856.92 | Low |
| 4 | 72 | 21927.31 | High |
| 5 | 80 | 265.36 | Low |
| 6 | 81 | 936.93 | Low |
| 7 | 82 | 600.00 | Low |
| 8 | 83 | 2154.42 | High |

## A SELECT statement that uses the CHOOSE function

```
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    CHOOSE(TermsID, '10 days', '20 days', '30 days', '60 days', '90 days')
        AS NetDue
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

| | InvoiceNumber | InvoiceDate | InvoiceTotal | NetDue |
|---|---|---|---|---|
| 1 | 39104 | 2012-03-10 00:00:00 | 85.31 | 30 days |
| 2 | 963253264 | 2012-03-18 00:00:00 | 52.25 | 30 days |
| 3 | 31361833 | 2012-03-21 00:00:00 | 579.42 | 20 days |
| 4 | 263253268 | 2012-03-21 00:00:00 | 59.97 | 30 days |
| 5 | 263253270 | 2012-03-22 00:00:00 | 67.92 | 30 days |

## Description

- The IIF and CHOOSE functions are known as *logical functions*, and they are new with SQL Server 2012.

- The IIF function lets you test an expression and return one value if the expression is true and another value if the expression is false. It provides a shorthand way of coding a searched CASE function with a single WHEN clause and an ELSE clause.

- The CHOOSE function provides an index into a list of values. The index value must be a type that can be converted to an int value and it must range from 1 to the number of values in the list.

Figure 9-11 How to use the IIF and CHOOSE functions

# How to use the COALESCE and ISNULL functions

Figure 9-12 presents two functions that you can use to work with null values: COALESCE and ISNULL. Both of these functions let you substitute non-null values for null values. Although these two functions are similar, COALESCE is more flexible because it lets you specify a list of values. Then, it returns the first non-null value in the list. In contrast, the ISNULL function uses only two expressions. It returns the first expression if that expression isn't null. Otherwise, it returns the second expression.

The examples in this figure illustrate how these functions work. The first example uses the COALESCE function to return the value of the PaymentDate column, if that column doesn't contain a null value. Otherwise, it returns the date 1900-01-01. The second example performs the operation using the ISNULL function. Note that when you use either of these functions, all of the expressions must have the same data type. So, for example, you couldn't substitute the string "Not Paid" for a null payment date.

The third example shows how you can work around this restriction. In this example, the value of the InvoiceTotal column is converted to a character value. That way, if the InvoiceTotal column contains a null value, the COALESCE function can substitute the string "No invoices" for this value. Notice that this example uses an outer join to combine all of the rows in the Vendors table with the rows for each vendor in the Invoices table. Because of that, a null value will be returned for the InvoiceTotal column for any vendor that doesn't have invoices. As you can see, then, this function is quite useful with outer joins.

## The syntax of the COALESCE function

```
COALESCE(expression_1 [, expression_2]...)
```

## The syntax of the ISNULL function

```
ISNULL(check_expression, replacement_value)
```

## A SELECT statement that uses the COALESCE function

```
SELECT PaymentDate,
    COALESCE(PaymentDate, '1900-01-01') AS NewDate
FROM Invoices;
```

## The same SELECT statement using the ISNULL function

```
SELECT PaymentDate,
    ISNULL(PaymentDate, '1900-01-01') AS NewDate
FROM Invoices;
```

## The result set

| | PaymentDate | NewDate |
|---|---|---|
| 111 | 2012-05-03 00:00:00 | 2012-05-03 00:00:00 |
| 112 | NULL | 1900-01-01 00:00:00 |
| 113 | NULL | 1900-01-01 00:00:00 |
| 114 | 2012-05-04 00:00:00 | 2012-05-04 00:00:00 |

## A SELECT statement that substitutes a different data type

```
SELECT VendorName,
    COALESCE(CAST(InvoiceTotal AS varchar), 'No invoices') AS InvoiceTotal
FROM Vendors LEFT JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
ORDER BY VendorName;
```

| | VendorName | InvoiceTotal |
|---|---|---|
| 1 | Abbey Office Furnishings | 17.50 |
| 2 | American Booksellers Assoc | No invoices |
| 3 | American Express | No invoices |
| 4 | ASC Signs | No invoices |
| 5 | Ascom Hasler Mailing Systems | No invoices |

## Description

- The COALESCE and ISNULL functions let you substitute non-null values for null values.
- The COALESCE function returns the first expression in a list of expressions that isn't null. All of the expressions in the list must have the same data type. If all of the expressions are null, this function returns a null value.
- The ISNULL function returns the expression if it isn't null. Otherwise, it returns the value you specify. The expression and the value must have the same data type.
- COALESCE is not an ANSI-standard function, but it's more widely supported than ISNULL, which is unique to SQL Server.

Figure 9-12    How to use the COALESCE and ISNULL functions

# How to use the GROUPING function

In chapter 5, you learned how to use the ROLLUP and CUBE operators to add summary rows to a summary query. You may recall that when you do that, a null value is assigned to any column in a summary row that isn't being summarized. If you need to, you can refer back to figures 5-7 and 5-8 to refresh your memory on how this works.

If you want to assign a value other than null to these columns, you can do that using the GROUPING function as illustrated in figure 9-13. This function accepts the name of a column as its argument. The column you specify must be one of the columns named in a GROUP BY clause that includes the ROLLUP or CUBE operator.

The example in this figure shows how you can use the GROUPING function in a summary query that summarizes vendors by state and city. This is the same summary query you saw back in figure 5-7. Instead of simply retrieving the values of the VendorState and VendorCity columns from the base table, however, this query uses the GROUPING function within a CASE function to determine the values that are assigned to those columns. If a row is added to summarize the VendorState column, for example, the value of the GROUPING function for that column is 1. Then, the CASE function assigns the value "All" to that column. Otherwise, it retrieves the value of the column from the Vendors table. Similarly, if a row is added to summarize the VendorCity column, the value "All" is assigned to that column. As you can see in the result set shown here, this makes it more obvious what columns are being summarized.

This technique is particularly useful if the columns you're summarizing can contain null values. In that case, it would be difficult to determine which rows are summary rows and which rows simply contain null values. Then, you may not only want to use the GROUPING function to replace the null values in summary rows, but you may want to use the COALESCE or ISNULL function to replace null values retrieved from the base table.

## The syntax of the GROUPING function

```
GROUPING(column_name)
```

## A summary query that uses the GROUPING function

```
SELECT
    CASE
        WHEN GROUPING(VendorState) = 1 THEN 'All'
        ELSE VendorState
    END AS VendorState,
    CASE
        WHEN GROUPING(VendorCity) = 1 THEN 'All'
        ELSE VendorCity
    END AS VendorCity,
    COUNT(*) AS QtyVendors
FROM Vendors
WHERE VendorState IN ('IA', 'NJ')
GROUP BY VendorState, VendorCity WITH ROLLUP
ORDER BY VendorState DESC, VendorCity DESC;
```

## The result set

| | VendorState | VendorCity | QtyVendors |
|---|---|---|---|
| 1 | NJ | Washington | 1 |
| 2 | NJ | Fairfield | 1 |
| 3 | NJ | East Brunswick | 2 |
| 4 | NJ | All | 4 |
| 5 | IA | Washington | 1 |
| 6 | IA | Fairfield | 1 |
| 7 | IA | All | 2 |
| 8 | All | All | 6 |

## Description

- You can use the GROUPING function to determine when a null value is assigned to a column as the result of the ROLLUP or CUBE operator. The column you name in this function must be one of the columns named in the GROUP BY clause.

- If a null value is assigned to the specified column as the result of the ROLLUP or CUBE operator, the GROUPING function returns a value of 1. Otherwise, it returns a value of 0.

- You typically use the GROUPING function with the CASE function. Then, if the GROUPING function returns a value of 1, you can assign a value other than null to the column.

Figure 9-13     How to use the GROUPING function

# How to use the ranking functions

Figure 9-14 shows how to use four *ranking functions* that were introduced with SQL Server 2005. These functions provide a variety of ways that you can rank the rows that are returned by a result set. All four of these functions have a similar syntax and work similarly.

The first example shows how to use the ROW_NUMBER function. Here, the SELECT statement retrieves two columns from the Vendors table. The first column uses the ROW_NUMBER function to sort the result set by VendorName and to number each row in the result set. To show that the first column has been sorted and numbered correctly, the second column displays the VendorName.

To accomplish the sorting and numbering, you code the name of the ROW_NUMBER function, followed by a set of parentheses, followed by the OVER keyword and a second set of parentheses. Within the second set of parentheses, you code the required ORDER BY clause that specifies the sort order that's used by the function. In this example, for instance, the ORDER BY clause sorts by VendorName in ascending order. However, you can code more complex ORDER BY clauses whenever that's necessary. In addition, when necessary, you can code an ORDER BY clause that applies to the entire result set. In that case, the ORDER BY clause within the ranking function is used to number the rows and the ORDER BY clause outside the ranking function is used to sort the rows after the numbering has been applied.

The second example shows how to use the optional PARTITION BY clause of a ranking function. This clause allows you to specify a column that's used to divide the result set into groups. In this example, for instance, the PARTITION BY clause uses a column within the Vendors table to group vendors by state and to sort these vendors by name within each state.

However, you can also use the PARTITION BY clause when a SELECT statement joins one or more tables like this:

```
SELECT VendorName, InvoiceNumber,
    ROW_NUMBER() OVER(PARTITION BY VendorName
    ORDER BY InvoiceNumber) As RowNumber
FROM Vendors JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID;
```

Here, the invoices will be grouped by vendor and sorted within each vendor by invoice number. As a result, if a vendor has three invoices, these invoices will be sorted by invoice number and numbered from 1 to 3.

## The syntax for the four ranking functions

```
ROW_NUMBER()             OVER ([partition_by_clause] order_by_clause)
RANK()                   OVER ([partition_by_clause] order_by_clause)
DENSE_RANK()             OVER ([partition_by_clause] order_by_clause)
NTILE(integer_expression) OVER ([partition_by_clause] order_by_clause)
```

## A query that uses the ROW_NUMBER function

```
SELECT ROW_NUMBER() OVER(ORDER BY VendorName) AS RowNumber, VendorName
FROM Vendors;
```

### The result set

| | RowNumber | VendorName |
|---|---|---|
| 1 | 1 | Abbey Office Furnishings |
| 2 | 2 | American Booksellers Assoc |
| 3 | 3 | American Express |
| 4 | 4 | ASC Signs |
| 5 | 5 | Ascom Hasler Mailing Systems |

## A query that uses the PARTITION BY clause

```
SELECT ROW_NUMBER() OVER(PARTITION BY VendorState
    ORDER BY VendorName) As RowNumber, VendorName, VendorState
FROM Vendors;
```

### The result set

| | RowNumber | VendorName | VendorState |
|---|---|---|---|
| 1 | 1 | AT&T | AZ |
| 2 | 2 | Computer Library | AZ |
| 3 | 3 | Wells Fargo Bank | AZ |
| 4 | 1 | Abbey Office Furnishings | CA |
| 5 | 2 | American Express | CA |
| 6 | 3 | ASC Signs | CA |

## Description

- The ROW_NUMBER, RANK, DENSE_RANK, and NTILE functions are known as *ranking functions*, and they were introduced with SQL Server 2005.
- The ROW_NUMBER function returns the sequential number of a row within a partition of a result set, starting at 1 for the first row in each partition.
- The ORDER BY clause of a ranking function specifies the sort order in which the ranking function is applied.
- The optional PARTITION BY clause of a ranking function specifies the column that's used to divide the result set into groups.

Figure 9-14     How to use the ranking functions (part 1 of 2)

The third example shows how the RANK and DENSE_RANK functions work. You can use these functions to rank the rows in a result set. In this example, both the RANK and the DENSE_RANK functions sort all invoices in the Invoices table by the invoice total. Since the first three rows have the same invoice total, both of these functions give these three rows the same rank, 1. However, the fourth row has a different value. To calculate the value for this row, the RANK function adds 1 to the total number of previous rows. In other words, since the first three rows are tied for first place, the fourth row gets fourth place and is assigned a rank of 4.

The DENSE_RANK function, on the other hand, calculates the value for the fourth row by adding 1 to the rank for the previous row. As a result, this function assigns a rank of 2 to the fourth row. In other words, since the first three rows are tied for first place, the fourth row gets second place.

The fourth example shows how the NTILE function works. You can use this function to divide the rows in a partition into the specified number of groups. When the rows can be evenly divided into groups, this function is easy to understand. For example, if a result set returns 100 rows, you can use the NTILE function to divide this result set into 10 groups of 10. However, when the rows can't be evenly divided into groups, this function is a little more difficult to understand. In this figure, for example, the NTILE function is used to divide a result set that contains 5 rows. Here, the first NTILE function divides this result into 2 groups with the first having 3 rows and the second having 2 rows. The second NTILE function divides this result set into 3 groups with the first having 2 rows, the second having 2 rows, and the third having 1 row. And so on. Although this doesn't result in groups with even numbers of rows, the NTILE function creates the number of groups specified by its argument.

In this figure, the examples for the RANK, DENSE_RANK, and NTILE functions don't include PARTITION BY clauses. As a result, these functions are applied to the entire result set. However, whenever necessary, you can use the PARTITION BY clause to divide the result set into groups just as shown in the second example for the ROW_NUMBER function.

## A query that uses the RANK and DENSE_RANK functions

```
SELECT RANK() OVER (ORDER BY InvoiceTotal) As Rank,
       DENSE_RANK() OVER (ORDER BY InvoiceTotal) As DenseRank,
       InvoiceTotal, InvoiceNumber
FROM Invoices;
```

### The result set

| | Rank | DenseRank | InvoiceTotal | InvoiceNumber |
|---|---|---|---|---|
| 1 | 1 | 1 | 6.00 | 25022117 |
| 2 | 1 | 1 | 6.00 | 24863706 |
| 3 | 1 | 1 | 6.00 | 24780512 |
| 4 | 4 | 2 | 9.95 | 21-4923721 |
| 5 | 4 | 2 | 9.95 | 21-4748363 |
| 6 | 6 | 3 | 10.00 | 4-321-2596 |

## Description

- The RANK and DENSE_RANK functions both return the rank of each row within the partition of a result set.
- If there is a tie, both of these functions give the same rank to all rows that are tied.
- To determine the rank for the next distinct row, the RANK function adds 1 to the total number of rows, while the DENSE_RANK function adds 1 to the rank for the previous row.

## A query that uses the NTILE function

```
SELECT TermsDescription,
    NTILE(2) OVER (ORDER BY TermsID) AS Tile2,
    NTILE(3) OVER (ORDER BY TermsID) AS Tile3,
    NTILE(4) OVER (ORDER BY TermsID) AS Tile4
FROM Terms;
```

### The result set

| | TermsDescription | Tile2 | Tile3 | Tile4 |
|---|---|---|---|---|
| 1 | Net due 10 days | 1 | 1 | 1 |
| 2 | Net due 20 days | 1 | 1 | 1 |
| 3 | Net due 30 days | 1 | 2 | 2 |
| 4 | Net due 60 days | 2 | 2 | 3 |
| 5 | Net due 90 days | 2 | 3 | 4 |

## Description

- The NTILE function divides the rows in a partition into the specified number of groups.
- If the rows can't be evenly divided into groups, the later groups may have one less row than the earlier groups.

Figure 9-14    How to use the ranking functions (part 2 of 2)

# How to use the analytic functions

In addition to the other new functions you've learned about in this chapter, SQL Server 2012 introduced the new *analytic functions*. These functions let you perform calculations on ordered sets of data. Figure 9-15 illustrates how these functions work. Note that all of the examples in this figure use the SalesReps and SalesTotals tables that are summarized in this figure. These tables are related by the RepID column in each table.

The FIRST_VALUE and LAST_VALUE functions let you return the first and last values in an ordered set of values. The first example in this figure uses these functions to return the name of the sales rep with the highest and lowest sales for each year. To do that, the OVER clause is used to group the result set by year and sort the rows within each year by sales total in descending sequence. Then, the expression that's specified for the functions causes the name for the first rep within each year to be returned.

For the LAST_VALUE function to return the value you want, you also have to include the RANGE clause as shown here. This clause indicates that the rows should be unbounded within the partition. In other words, all of the rows in the partition should be included in the calculation. If you don't include this clause, the LAST_VALUE function will return the last value for each group specified by the ORDER BY clause. In this case, that means that the function would return the last rep name for each sales total. Since all of the sales totals are different, though, the function would simply return the name of the rep in each row, which isn't what you want. So, you would typically use this clause only if you sorted the result set by a column that contains duplicate values. In that case, you can typically omit the PARTITION BY clause.

Instead of the RANGE clause, you can code a ROWS clause on a FIRST_VALUE or LAST_VALUE function. This clause lets you specify the rows to include relative to the current row. For more information on how to code this clause and the RANGE clause, please see Books Online.

## The syntax of the analytic functions

```
{FIRST_VALUE|LAST_VALUE}(scalar_expression)
    OVER ([partition_by_clause] order_by_clause [rows_range_clause])

{LEAD|LAG}(scalar_expression [, offset [, default]])
    OVER ([partition_by_clause] order_by_clause)

{PERCENT_RANK()|CUME_DIST} OVER ([partition_by_clause] order_by_clause)

{PERCENTILE_CONT|PERCENTILE_DISC}(numeric_literal)
    WITHIN GROUP (ORDER BY expression [ASC|DESC]) OVER (partition_by_clause)
```
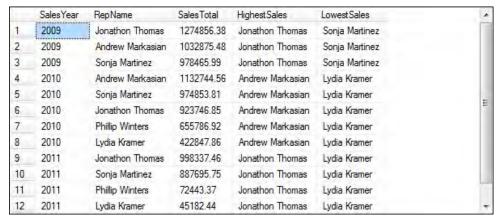
## The columns in the SalesReps and SalesTotals tables

| Column name | Data type | Column name | Data type |
|---|---|---|---|
| RepID | int | RepID | int |
| RepFirstName | varchar(50) | SalesYear | char(4) |
| RepLastName | varchar(50) | SalesTotal | money |

## A query that uses the FIRST_VALUE and LAST_VALUE functions

```
SELECT SalesYear, RepFirstName + ' ' + RepLastName AS RepName, SalesTotal,
    FIRST_VALUE(RepFirstName + ' ' + RepLastName)
        OVER (PARTITION BY SalesYear ORDER BY SalesTotal DESC)
        AS HighestSales,
    LAST_VALUE(RepFirstName + ' ' + RepLastName)
        OVER (PARTITION BY SalesYear ORDER BY SalesTotal DESC
            RANGE BETWEEN UNBOUNDED PRECEDING AND UNBOUNDED FOLLOWING)
        AS LowestSales
FROM SalesTotals JOIN SalesReps
  ON SalesTotals.RepID = SalesReps.RepID;
```

| | SalesYear | RepName | SalesTotal | HighestSales | LowestSales |
|---|---|---|---|---|---|
| 1 | 2009 | Jonathon Thomas | 1274856.38 | Jonathon Thomas | Sonja Martinez |
| 2 | 2009 | Andrew Markasian | 1032875.48 | Jonathon Thomas | Sonja Martinez |
| 3 | 2009 | Sonja Martinez | 978465.99 | Jonathon Thomas | Sonja Martinez |
| 4 | 2010 | Andrew Markasian | 1132744.56 | Andrew Markasian | Lydia Kramer |
| 5 | 2010 | Sonja Martinez | 974853.81 | Andrew Markasian | Lydia Kramer |
| 6 | 2010 | Jonathon Thomas | 923746.85 | Andrew Markasian | Lydia Kramer |
| 7 | 2010 | Phillip Winters | 655786.92 | Andrew Markasian | Lydia Kramer |
| 8 | 2010 | Lydia Kramer | 422847.86 | Andrew Markasian | Lydia Kramer |
| 9 | 2011 | Jonathon Thomas | 998337.46 | Jonathon Thomas | Lydia Kramer |
| 10 | 2011 | Sonja Martinez | 887695.75 | Jonathon Thomas | Lydia Kramer |
| 11 | 2011 | Phillip Winters | 72443.37 | Jonathon Thomas | Lydia Kramer |
| 12 | 2011 | Lydia Kramer | 45182.44 | Jonathon Thomas | Lydia Kramer |

## Description

- The FIRST_VALUE, LAST_VALUE, LEAD, LAG, PERCENT_RANK, CUME_DIST, PERCENTILE_CONT, and PERCENTILE_DISC functions are known as *analytic functions*, and they're new with SQL Server 2012.

- The FIRST_VALUE function returns the first value in a sorted set of values, and the LAST_VALUE function returns the last value in a sorted set of values. When you use the PARTITION BY clause with LAST_VALUE, you typically include the ROWS or RANGE clause as well.

Figure 9-15    How to use the analytic functions (part 1 of 2)

The LEAD and LAG functions let you refer to values in other rows of the result set. The LAG function is illustrated in the second example in this figure. Here, the OVER clause is used to group the result set by rep ID and sort it by year. Then, the LAG function in the fourth column gets the sales total from one row prior to the current row (the offset). Since the rows are sorted by year for each sales rep, that means that the function retrieves the sales rep's sales for the previous year. The fifth column uses the same function, but it subtracts the result of this function from the current sales to show the change in sales from the previous year. The LEAD function is similar, but it lets you refer to values in following rows rather than preceding rows.

Notice that the value of the LAG function for the first row for each sales rep is 0.00. That's because there isn't a row for the prior year. By default, this value is NULL. Because I wanted to calculate the change for each row in the result set, though, I set the third argument of the LAG function (default) to 0.

The third example in this figure shows how to use all four of the remaining functions. Each of these functions groups the rows by year and sorts them by sales total in ascending sequence. Notice, though, that the ORDER BY clause for the PERCENTILE_CONT and PERCENTILE_DISC functions isn't specified on the OVER clause. Instead, it's specified on the WITHIN GROUP clause, which, unlike the OVER clause, allows for the result set to be sorted only by a single column.

The PERCENT_RANK function calculates a percent that indicates the rank of each row within a group. The result of this function is always a value between 0 and 1. If you study the results in this example, you shouldn't have any trouble understanding how this function works.

The CUME_DIST function is similar, but it calculates the percent of values that are less than or equal to the current value. This function represents the *cumulative distribution* of the values. The cumulative distribution is calculated by dividing the number of rows with the current value or a lower value by the total number of rows in the group.

The PERCENTILE_CONT and PERCENTILE_DISC functions calculate the value at the percentile you specify. The difference between these two functions is that PERCENTILE_CONT is based on a *continuous distribution* of values, and PERCENTILE_DISC is based on a *discrete distribution* of values. This means that the value returned by PERCENTILE_CONT doesn't need to appear in the result set, but the value returned by PERCENTILE_DISC does.

In this example, these functions are used to calculate the median of the sales totals for each year (the value in the middle). Because there are an odd number of rows for 2009 and 2010, both functions return the value in the middle row. Because there are an even number of rows for 2011, though, there isn't a middle value. In that case, the PERCENTILE_CONT function calculates the median by adding the two middle values together and dividing by 2. As you can see, the resulting value doesn't exist in the result set. In contrast, the PERCENTILE_DISC function uses the CUME_DIST function to identify the row with a cumulative distribution of .5 (the same percentile specified by the PERCENTILE_DISC function), and it uses the value of that row as the result.

## A query that uses the LAG function

```
SELECT RepID, SalesYear, SalesTotal AS CurrentSales,
    LAG(SalesTotal, 1, 0) OVER (PARTITION BY RepID ORDER BY SalesYear)
        AS LastSales,
    SalesTotal - LAG(SalesTotal, 1, 0)
        OVER (PARTITION BY REPID ORDER BY SalesYear) AS Change
FROM SalesTotals;
```

| | RepID | SalesYear | CurrentSales | LastSales | Change |
|---|---|---|---|---|---|
| 1 | 1 | 2009 | 1274856.38 | 0.00 | 1274856.38 |
| 2 | 1 | 2010 | 923746.85 | 1274856.38 | -351109.53 |
| 3 | 1 | 2011 | 998337.46 | 923746.85 | 74590.61 |
| 4 | 2 | 2009 | 978465.99 | 0.00 | 978465.99 |
| 5 | 2 | 2010 | 974853.81 | 978465.99 | -3612.18 |
| 6 | 2 | 2011 | 887695.75 | 974853.81 | -87158.06 |

## A query that uses the PERCENT_RANK, CUME_DIST, PERCENTILE_CONT, and PERCENTILE_DISC functions

```
SELECT SalesYear, RepID, SalesTotal,
    PERCENT_RANK() OVER (PARTITION BY SalesYear ORDER BY SalesTotal)
        AS PctRank,
    CUME_DIST() OVER (PARTITION BY SalesYear ORDER BY SalesTotal)
        AS CumeDist,
    PERCENTILE_CONT(.5) WITHIN GROUP (ORDER BY SalesTotal)
        OVER (PARTITION BY SalesYear) AS PercentileCont,
    PERCENTILE_DISC(.5) WITHIN GROUP (ORDER BY SalesTotal)
        OVER (PARTITION BY SalesYear) AS PercentileDisc
FROM SalesTotals;
```

| | SalesYear | RepID | SalesTotal | PctRank | CumeDist | PercentileCont | PercentileDisc |
|---|---|---|---|---|---|---|---|
| 1 | 2009 | 2 | 978465.99 | 0 | 0.333333333333333 | 1032875.48 | 1032875.48 |
| 2 | 2009 | 3 | 1032875.48 | 0.5 | 0.666666666666667 | 1032875.48 | 1032875.48 |
| 3 | 2009 | 1 | 1274856.38 | 1 | 1 | 1032875.48 | 1032875.48 |
| 4 | 2010 | 5 | 422847.86 | 0 | 0.2 | 923746.85 | 923746.85 |
| 5 | 2010 | 4 | 655786.92 | 0.25 | 0.4 | 923746.85 | 923746.85 |
| 6 | 2010 | 1 | 923746.85 | 0.5 | 0.6 | 923746.85 | 923746.85 |
| 7 | 2010 | 2 | 974853.81 | 0.75 | 0.8 | 923746.85 | 923746.85 |
| 8 | 2010 | 3 | 1132744.56 | 1 | 1 | 923746.85 | 923746.85 |
| 9 | 2011 | 5 | 45182.44 | 0 | 0.25 | 480069.56 | 72443.37 |
| 10 | 2011 | 4 | 72443.37 | 0.333... | 0.5 | 480069.56 | 72443.37 |
| 11 | 2011 | 2 | 887695.75 | 0.666... | 0.75 | 480069.56 | 72443.37 |
| 12 | 2011 | 1 | 998337.46 | 1 | 1 | 480069.56 | 72443.37 |

## Description

- The LEAD function retrieves data from a subsequent row in a result set, and the LAG function retrieves data from a previous row in a result set.

- The PERCENT_RANK function calculates the rank of the values in a sorted set of values as a percent. The CUME_DIST function calculates the percent of the values in a sorted set of values that are less than or equal to the current value.

- The PERCENTILE_CONT and PERCENTILE_DISC functions calculate the value at the specified percentile for a sorted set of values. PERCENTILE_CONT returns an exact percentile, and PERCENTILE_DIST returns a value that exists in the sorted column.

Figure 9-15    How to use the analytic functions (part 2 of 2)

# Perspective

In this chapter, you learned about many of the functions that you can use to operate on SQL Server data. At this point, you have all of the essential skills you need to develop SQL code at a professional level.

However, there's a lot more to learn about SQL Server. In the next section of this book, then, you'll learn the basic skills for designing a database. Even if you never need to design your own database, understanding this material will help you work more efficiently with databases that have been designed by others.

# Terms

logical functions
ranking functions
analytic functions
cumulative distribution
continuous distribution
discrete distribution

# Exercises

1. Write a SELECT statement that returns two columns based on the Vendors table. The first column, Contact, is the vendor contact name in this format: first name followed by last initial (for example, "John S.") The second column, Phone, is the VendorPhone column without the area code. Only return rows for those vendors in the 559 area code. Sort the result set by first name, then last name.

2. Write a SELECT statement that returns the InvoiceNumber and balance due for every invoice with a non-zero balance and an InvoiceDueDate that's less than 30 days from today.

3. Modify the search expression for InvoiceDueDate from the solution for exercise 2. Rather than 30 days from today, return invoices due before the last day of the current month.

4. Write a summary query WITH CUBE that returns LineItemSum (which is the sum of InvoiceLineItemAmount) grouped by Account (an alias for AccountDescription) and State (an alias for VendorState). Use the CASE and GROUPING function to substitute the literal value "*ALL*" for the summary rows with null values.

5. Add a column to the query described in exercise 4 that uses the RANK() function to return a column named BalanceRank that ranks the balance due in descending order.

# Section 3

# Database design and implementation

In large programming shops, database administrators are usually responsible for designing the databases that are used by production applications, and they may also be responsible for the databases that are used for testing those applications. Often, though, programmers are asked to design, create, or maintain small databases that are used for testing. And in a small shop, programmers may also be responsible for the production databases.

So whether you're a database administrator or a SQL programmer, you need the skills and knowledge presented in this section. That's true even if you aren't ever called upon to design or maintain a database. By understanding what's going on behind the scenes, you'll be able to use SQL more effectively.

So in chapter 10, you'll learn how to design a SQL Server database. In chapter 11, you'll learn how to use the Data Definition Language (DDL) statements to create and maintain the SQL Server objects of a database. And in chapter 12, you'll learn how to use the Management Studio to do the same tasks.

# 10

# How to design a database

In this chapter, you'll learn how to design a new database. This is useful information for the SQL programmer whether or not you ever design a database on your own. To illustrate this process, I'll use the accounts payable (AP) system that you've seen throughout this book because that will make it easier for you to understand the design techniques.

# How to design a data structure

Databases are often designed by database administrators (DBAs) or design specialists. This is especially true for large, multiuser databases. How well this is done can directly affect your job as a SQL programmer. In general, a well designed database is easy to understand and query, while a poorly designed database is difficult to work with. In fact, when you work with a poorly designed database, you will often need to figure out how it is designed before you can code your queries appropriately.

The topics that follow will teach you a basic approach for designing a *data structure*. We use that term to refer to a model of the database rather than the database itself. Once you design the data structure, you can use the techniques presented in the next two chapters to create a database with that design. By understanding the right way to design a database, you'll work more effectively as a SQL programmer.

## The basic steps for designing a data structure
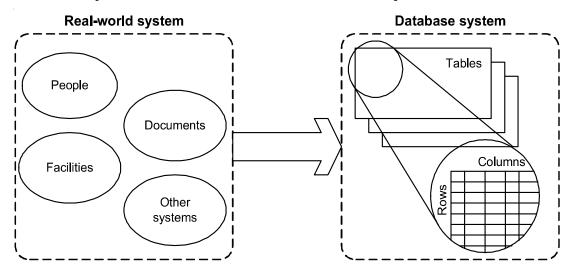
In many cases, you can design a data structure based on an existing real-world system. The illustration at the top of figure 10-1 presents a conceptual view of how this works. Here, you can see that all of the information about the people, documents, and facilities within a real-world system is mapped to the tables, columns, and rows of a database system.

As you design a data structure, each table represents one object, or *entity*, in the real-world system. Then, within each table, each column stores one item of information, or *attribute*, for the entity, and each row stores one occurrence, or instance, of the entity.

This figure also presents the six steps you can follow to design a data structure. You'll learn more about each of these steps in the topics that follow. In general, though, step 1 is to identify all the data elements that need to be stored in the database. Step 2 is to break complex elements down into smaller components whenever that makes sense. Step 3 is to identify the tables that will make up the system and to determine which data elements are assigned as columns in each table. Step 4 is to define the relationships between the tables by identifying the primary and foreign keys. Step 5 is to normalize the database to reduce data redundancy. And step 6 is to identify the indexes that are needed for each table.

To model a database system after a real-world system, you can use a technique called *entity-relationship (ER) modeling*. Because this is a complex subject of its own, I won't present it in this book. However, I have applied some of the basic elements of this technique to the design diagrams presented in this chapter. In effect, then, you'll be learning some of the basics of this modeling technique.

## A database system is modeled after a real-world system

**Real-world system**

People

Documents

Facilities

Other systems

**Database system**

Tables

Columns

Rows

## The six basic steps for designing a data structure

Step 1:    Identify the data elements

Step 2:    Subdivide each element into its smallest useful components

Step 3:    Identify the tables and assign columns

Step 4:    Identify the primary and foreign keys

Step 5:    Review whether the data structure is normalized

Step 6:    Identify the indexes

## Description

- A relational database system should model the real-world environment where it's used. The job of the designer is to analyze the real-world system and then map it onto a relational database system.

- A table in a relational database typically represents an object, or *entity*, in the real world. Each column of a table is used to store an *attribute* associated with the entity, and each row represents one *instance* of the entity.

- To model a database and the relationships between its tables after a real-world system, you can use a technique called *entity-relationship (ER) modeling*. Some of the diagrams you'll see in this chapter apply the basic elements of ER modeling.

Figure 10-1    The basic steps for designing a data structure

# How to identify the data elements

The first step for designing a data structure is to identify the data elements required by the system. You can use several techniques to do that, including analyzing the existing system if there is one, evaluating comparable systems, and interviewing anyone who will be using the system. One particularly good source of information are the documents used by an existing system.

In figure 10-2, for example, you can see an invoice that's used by an accounts payable system. We'll use this document as the main source of information for the database design presented in this chapter. Keep in mind, though, that you'll want to use all available resources when you design your own database.

If you study this document, you'll notice that it contains information about three different entities: vendors, invoices, and line items. First, the form itself has preprinted information about the vendor who issued the invoice, such as the vendor's name and address. If this vendor were to issue another invoice, this information wouldn't change.

This document also contains specific information about the invoice. Some of this information, such as the invoice number, invoice date, and invoice total, is general in nature. Although the actual information will vary from one invoice to the next, each invoice will include this information. In addition to this general information, each invoice includes information about the items that were purchased. Although each line item contains similar information, each invoice can contain a different number of line items.

One of the things you need to consider as you review a document like this is how much information your system needs to track. For an accounts payable system, for example, you may not need to store detailed data such as the information about each line item. Instead, you may just need to store summary data like the invoice total. As you think about what data elements to include in the database, then, you should have an idea of what information you'll need to get back out of the system.

## An invoice that can be used to identify data elements

### Acme Fabrication, Inc.

| | |
|---|---|
| *Custom Contraptions, Contrivances and Confabulations* | Invoice Number:  I01-1088 |
| 1234 West Industrial Way   East Los Angeles   California 90022 | Invoice Date:  06/05/12 |
| 800.555.1212     fax 562.555.1213     www.acmefabrication.com | Terms:  Net 30 |

| Part No. | Qty. | Description | Unit Price | Extension |
|---|---|---|---|---|
| CUST345 | 12 | Design service, hr | 100.00 | 1200.00 |
| 457332 | 7 | Baling wire, 25x3ft roll | 79.90 | 559.30 |
| 50173 | 4375 | Duct tape, black, yd | 1.09 | 4768.75 |
| 328771 | 2 | Rubber tubing, 100ft roll | 4.79 | 9.58 |
| CUST281 | 7 | Assembly, hr | 75.00 | 525.00 |
| CUST917 | 2 | Testing, hr | 125.00 | 250.00 |
| | | Sales Tax | | 245.20 |

| | |
|---|---|
| Your salesperson:    Ruben Goldberg, ext 4512 | |
| Accounts receivable:    Inigo Jones, ext 4901 | |

$7,557.83
**PLEASE PAY THIS AMOUNT**

*Thanks for your business!*

## The data elements identified on the invoice document

| | | |
|---|---|---|
| Vendor name | Invoice date | Item extension |
| Vendor address | Invoice terms | Vendor sales contact name |
| Vendor phone number | Item part number | Vendor sales contact extension |
| Vendor fax number | Item quantity | Vendor AR contact name |
| Vendor web address | Item description | Vendor AR contact extension |
| Invoice number | Item unit price | Invoice total |

## Description

- Depending on the nature of the system, you can identify data elements in a variety of ways, including interviewing users, analyzing existing systems, and evaluating comparable systems.
- The documents used by a real-world system, such as the invoice shown above, can often help you identify the data elements of the system.
- As you identify the data elements of a system, you should begin thinking about the entities that those elements are associated with. That will help you identify the tables of the database later on.

Figure 10-2    How to identify the data elements

# How to subdivide the data elements

Some of the data elements you identify in step 1 of the design procedure will consist of multiple components. The next step, then, is to divide these elements into their smallest useful values. Figure 10-3 shows how you can do that.
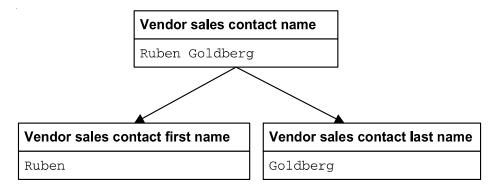
The first example in this figure shows how you can divide the name of the sales contact for a vendor. Here, the name is divided into two elements: a first name and a last name. When you divide a name like this, you can easily perform operations like sorting by last name and using the first name in a salutation, such as "Dear Ruben." In contrast, if the full name is stored in a single column, you have to use the string functions to extract the component you need. And, as you learned in the last chapter, that can lead to inefficient and complicated code. In general, then, you should separate a name like this whenever you'll need to use the name components separately. Later, when you need to use the full name, you can combine the first and last names using concatenation.

The second example shows how you typically divide an address. Notice in this example that the street number and street name are stored in a single column. Although you could store these components in separate columns, that usually doesn't make sense since these values are typically used together. That's what I mean when I say the data elements should be divided into their smallest *useful* values.
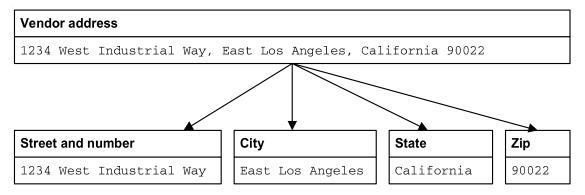
With that guideline in mind, you might even need to divide a single string into two or more components. A bulk mail system, for example, might require a separate column for the first three digits of the zip code. And a telephone number could require as many as four columns: one for the area code, one for the three-digit prefix, one for the four-digit number, and one for the extension.

As in the previous step, knowledge of the real-world system and of the information that will be extracted from the database is critical. In some circumstances, it may be okay to store data elements with multiple components in a single column. That can simplify your design and reduce the overall number of columns. In general, though, most designers divide data elements as much as possible. That way, it's easy to accommodate almost any query, and you don't have to change the database design later on when you realize that you need to use just part of a column value.

## A name that's divided into first and last names

| Vendor sales contact name |
|---|
| Ruben Goldberg |

| Vendor sales contact first name | Vendor sales contact last name |
|---|---|
| Ruben | Goldberg |

## An address that's divided into street address, city, state, and zip code

| Vendor address |
|---|
| 1234 West Industrial Way, East Los Angeles, California 90022 |

| Street and number | City | State | Zip |
|---|---|---|---|
| 1234 West Industrial Way | East Los Angeles | California | 90022 |

## Description

- If a data element contains two or more components, you should consider subdividing the element into those components. That way, you won't need to parse the element each time you use it.

- The extent to which you subdivide a data element depends on how it will be used. Because it's difficult to predict all future uses for the data, most designers subdivide data elements as much as possible.

- When you subdivide a data element, you can easily rebuild it when necessary by concatenating the individual components.

Figure 10-3    How to subdivide the data elements

# How to identify the tables and assign columns

Figure 10-4 presents the three main entities for the accounts payable system and lists the possible data elements that can be associated with each one. In most cases, you'll recognize the main entities that need to be included in a data structure as you identify the data elements. As I reviewed the data elements represented on the invoice document in figure 10-2, for example, I identified the three entities shown in this figure: vendors, invoices, and invoice line items. Although you may identify additional entities later on in the design process, it's sufficient to identity the main entities at this point. These entities will become the tables of the database.

After you identify the main entities, you need to determine which data elements are associated with each entity. These elements will become the columns of the tables. In many cases, the associations are obvious. For example, it's easy to determine that the vendor name and address are associated with the vendors entity and the invoice date and invoice total are associated with the invoices entity. Some associations, however, aren't so obvious. In that case, you may need to list a data element under two or more entities. In this figure, for example, you can see that the invoice number is included in both the invoices and invoice line items entities and the account number is included in all three entities. Later, when you normalize the data structure, you may be able to remove these repeated elements. For now, though, it's okay to include them.

Before I go on, I want to point out the notation I used in this figure. To start, any data elements I included that weren't identified in previous steps are shown in italics. Although you should be able to identify most of the data elements in the first two steps of the design process, you'll occasionally think of additional elements during the third step. In this case, since the initial list of data elements was based on a single document, I added several data elements to this list.

Similarly, you may decide during this step that you don't need some of the data elements you've identified. For example, I decided that I didn't need the fax number or web address of each vendor. So I used the strikethrough feature of my word processor to indicate that these data elements should not be included.

Finally, I identified the data elements that are included in two or more tables by coding an asterisk after them. Although you can use any notation you like for this step of the design process, you'll want to be sure that you document your design decisions. For a complicated design, you may even want to use a *CASE (computer-aided software engineering)* tool.

By the way, a couple of the new data elements I added may not be clear to you if you haven't worked with a corporate accounts payable system before. "Terms" refers to the payment terms that the vendor offers. For example, the terms might be net 30 (the invoice must be paid in 30 days) or might include a discount for early payment. "Account number" refers to the general ledger accounts that a company uses to track its expenses. For example, one account number might be assigned for advertising expenses, while another might be for office supplies. Each invoice that's paid is assigned to an account, and in some cases, different line items on an invoice are assigned to different accounts.

## Possible tables and columns for an accounts payable system

| Vendors | Invoices | Invoice line items |
|---|---|---|
| Vendor name | Invoice number* | Invoice number* |
| Vendor address | Invoice date | ~~Item part number~~ |
| Vendor city | Terms* | Item quantity |
| Vendor state | Invoice total | Item description |
| Vendor zip code | *Payment date* | Item unit price |
| Vendor phone number | *Payment total* | Item extension |
| ~~Vendor fax number~~ | *Invoice due date* | Account number* |
| ~~Vendor web address~~ | *Credit total* | *Sequence number* |
| Vendor contact first name | Account number* | |
| Vendor contact last name | | |
| ~~Vendor contact phone~~ | | |
| ~~Vendor AR first name~~ | | |
| ~~Vendor AR last name~~ | | |
| ~~Vendor AR phone~~ | | |
| Terms* | | |
| Account number* | | |

## Description

- After you identify and subdivide all of the data elements for a database, you should group them by the entities with which they're associated. These entities will later become the tables of the database, and the elements will become the columns.

- If a data element relates to more than one entity, you can include it under all of the entities it relates to. Then, when you normalize the database, you may be able to remove the duplicate elements.

- As you assign the elements to entities, you should omit elements that aren't needed, and you should add any additional elements that are needed.

## The notation used in this figure

- Data elements that were previously identified but aren't needed are crossed out.
- Data elements that were added are displayed in italics.
- Data elements that are related to two or more entities are followed by an asterisk.
- You can use a similar notation or develop one of your own. You can also use a *CASE* (*computer-aided software engineering*) tool if one is available to you.

Figure 10-4    How to identify the tables and assign columns

# How to identify the primary and foreign keys

Once you identify the entities and data elements of a system, the next step is to identify the relationships between the tables. To do that, you need to identify the primary and foreign keys as shown in figure 10-5.

As you know, a primary key is used to uniquely identify each row in a table. In some cases, you can use an existing column as the primary key. For example, you might consider using the VendorName column as the primary key of the Vendors table. Because the values for this column can be long, however, and because it would be easy to enter a value incorrectly, that's not a good candidate. Instead, an identity column is used as the primary key.

Similarly, you might consider using the InvoiceNumber column as the primary key of the Invoices table. However, it's possible for different vendors to use the same invoice number, so this value isn't necessarily unique. Because of that, an identity column is used as the primary key of this table as well.
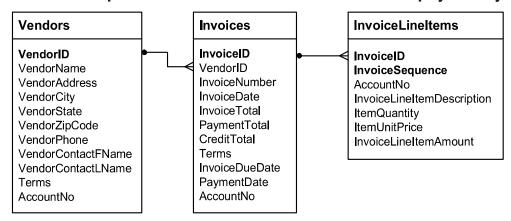
To uniquely identify the rows in the InvoiceLineItems table, this design uses a composite key. This composite key uses two columns to uniquely identify each row. The first column is the InvoiceID column from the Invoices table, and the second column is the InvoiceSequence column. This is necessary because this table may contain more than one row (line item) for each invoice. And that means that the InvoiceID value by itself may not be unique.

After you identify the primary key of each table, you need to identify the relationships between the tables and add foreign key columns as necessary. In most cases, two tables will have a one-to-many relationship with each other. For example, each vendor can have many invoices, and each invoice can have many line items. To identify the vendor that each invoice is associated with, a VendorID column is included in the Invoices table. Because the InvoiceLineItems table already contains an InvoiceID column, it's not necessary to add another column to this table.

The diagram at the top of this figure illustrates the relationships I identified between the tables in the accounts payable system. As you can see, the primary keys are displayed in bold. Then, the lines between the tables indicate how the primary key in one table is related to the foreign key in another table. Here, a small, round connector indicates the one side of the relationship, and the connector with three lines indicates the many side of the relationship.

In addition to the one-to-many relationships shown in this diagram, you can also use many-to-many relationships and one-to-one relationships. The second diagram in this figure, for example, shows a many-to-many relationship between an Employees table and a Committees table. As you can see, this type of relationship can be implemented by creating a *linking table*, also called a *connecting table* or an *associate table*. This table contains the primary key columns from the two tables. Then, each table has a one-to-many relationship with the linking table. Notice that the linking table doesn't have its own primary key. Because this table doesn't correspond to an entity and because it's used only in conjunction with the Employees and Committees tables, a primary key isn't needed.

## The relationships between the tables in the accounts payable system

**Vendors**

**VendorID**
VendorName
VendorAddress
VendorCity
VendorState
VendorZipCode
VendorPhone
VendorContactFName
VendorContactLName
Terms
AccountNo

**Invoices**

**InvoiceID**
VendorID
InvoiceNumber
InvoiceDate
InvoiceTotal
PaymentTotal
CreditTotal
Terms
InvoiceDueDate
PaymentDate
AccountNo

**InvoiceLineItems**

**InvoiceID**
**InvoiceSequence**
AccountNo
InvoiceLineItemDescription
ItemQuantity
ItemUnitPrice
InvoiceLineItemAmount

## Two tables with a many-to-many relationship

**Employees**

**EmployeeID**
FirstName
LastName

**Memberships**

EmployeeID
CommitteeID

Linking table

**Committees**

**CommitteeID**
CommitteeName

## Two tables with a one-to-one relationship

**Employees**

**EmployeeID**
FirstName
LastName

**EmployeePhotos**

**EmployeeID**
EmployeePhoto

## Description

- Each table should have a primary key that uniquely identifies each row. If possible, you should use an existing column for the primary key.

- The values of the primary keys should seldom, if ever, change. The values should also be short and easy to enter correctly.

- If a suitable column doesn't exist, you can create an identity column that can be used as the primary key.

- If two tables have a one-to-many relationship, you may need to add a foreign key column to the table on the "many" side. The foreign key column must have the same data type as the primary key column it's related to.

- If two tables have a many-to-many relationship, you'll need to define a *linking table* to relate them. Then, each of the tables in the many-to-many relationship will have a one-to-many relationship with the linking table. The linking table doesn't usually have a primary key.

- If two tables have a one-to-one relationship, they should be related by their primary keys. This type of relationship is typically used to improve performance. Then, columns with large amounts of data can be stored in a separate table.

Figure 10-5    How to identify the primary and foreign keys

The third example illustrates two tables that have a one-to-one relationship. With this type of relationship, both tables have the same primary key, which means that the information could be stored in a single table. This type of relationship is often used when a table contains one or more columns with large amounts of data. In this case, the EmployeePhotos table contains a large binary column with a photo of each employee. Because this column is used infrequently, storing it in a separate table will make operations on the Employees table more efficient. Then, when this column is needed, it can be combined with the columns in the Employees table using a join.

# How to enforce the relationships between tables

Although the primary keys and foreign keys indicate how the tables in a database are related, SQL Server doesn't enforce those relationships automatically. Because of that, any of the operations shown in the table at the top of figure 10-6 would violate the *referential integrity* of the tables. If you deleted a row from a primary key table, for example, and the foreign key table included rows related to that primary key, the referential integrity of the two tables would be destroyed. In that case, the rows in the foreign key table that no longer have a related row in the primary key table would be *orphaned*. Similar problems can occur when you insert a row into the foreign key table or update a primary key or foreign key value.

To enforce those relationships and maintain the referential integrity of the tables, you can use one of two features provided by SQL Server: declarative referential integrity or triggers. To use *declarative referential integrity*, you define *foreign key constraints* that indicate how the referential integrity between the tables is enforced. You'll learn more about defining foreign key constraints in the next two chapters. For now, just realize that these constraints can prevent all of the operations listed in this figure that violate referential integrity.

A *trigger* is a special type of procedure that can be executed automatically when an insert, update, or delete operation is executed on a table. Then, you can use the triggers to determine whether an operation violates referential integrity. If so, the trigger can cancel the operation. You'll learn more about coding triggers in chapter 15.

## Operations that can violate referential integrity

| This operation... | Violates referential integrity if... |
|---|---|
| Delete a row from the primary key table | The foreign key table contains one or more rows related to the deleted row |
| Insert a row in the foreign key table | The foreign key value doesn't have a matching primary key value in the related table |
| Update the value of a foreign key | The new foreign key value doesn't have a matching primary key value in the related table |
| Update the value of a primary key | The foreign key table contains one or more rows related to the row that's changed |

## Description

- *Referential integrity* means that the relationships between tables are maintained correctly. That means that a table with a foreign key doesn't have rows with foreign key values that don't have matching primary key values in the related table.

- In SQL Server, you can enforce referential integrity by using declarative referential integrity or by defining triggers.

- To use *declarative referential integrity (DRI)*, you define *foreign key constraints*. You'll learn how to do that in the next two chapters.

- When you define foreign key constraints, you can specify how referential integrity is enforced when a row is deleted from the primary key table. The options are to return an error or to delete the related rows in the foreign key table.

- You can also specify how referential integrity is enforced when the primary key of a row is changed and foreign key constraints are in effect. The options are to return an error or to change the foreign keys of all the related rows to the new value.

- To enforce referential integrity using *triggers*, you define the triggers that will be executed when an insert, update, or delete operation is performed on a table. If the operation violates referential integrity, the trigger prevents the change from occurring. You'll learn how to define triggers in chapter 15.

- If referential integrity isn't enforced and a row is deleted from the primary key table that has related rows in the foreign key table, the rows in the foreign key table are said to be *orphaned*.

- The three types of errors that can occur when referential integrity isn't enforced are called the *deletion anomaly*, the *insertion anomaly*, and the *update anomaly*.

Figure 10-6    How to enforce the relationships between tables

# How normalization works

The next step in the design process is to review whether the data structure is *normalized*. To do that, you look at how the data is separated into related tables. If you follow the first four steps for designing a database that are presented in this chapter, your database will already be partially normalized when you get to this step. However, almost every design can be normalized further.

Figure 10-7 illustrates how *normalization* works. The first two tables in this figure show some of the problems caused by an *unnormalized* data structure. In the first table, you can see that each row represents an invoice. Because an invoice can have one or more line items, however, the ItemDescription column must be repeated to provide for the maximum number of line items. But since most invoices have fewer line items than the maximum, this can waste storage space.

In the second table, each line item is stored in a separate row. That eliminates the problem caused by repeating the ItemDescription column, but it introduces a new problem: the invoice number must be repeated in each row. This, too, can cause storage problems, particularly if the repeated column is large. In addition, it can cause maintenance problems if the column contains a value that's likely to change. Then, when the value changes, each row that contains the value must be updated. And if a repeated value must be reentered for each new row, it would be easy for the value to vary from one row to another.

To eliminate the problems caused by *data redundancy*, you can normalize the data structure. To do that, you apply the *normal forms* you'll learn about later in this chapter. As you'll see, there are a total of seven normal forms. However, it's common to apply only the first three. The diagram in this figure, for example, shows the accounts payable system in third normal form. Although it may not be obvious at this point how this reduces data redundancy, that will become clearer as you learn about the different normal forms.
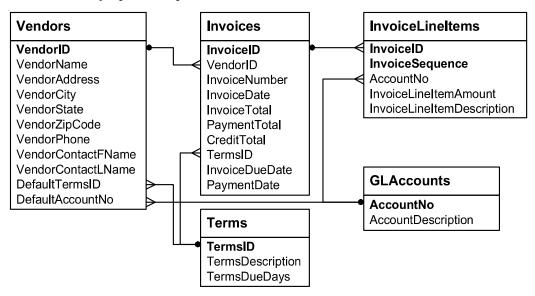
## A table that contains repeating columns

| | InvoiceNumber | ItemDescription1 | ItemDescription2 | ItemDescription3 |
|---|---|---|---|---|
| 1 | 112897 | VB ad | SQL ad | Library directory |
| 2 | 97/552 | Catalogs | SQL flyer | NULL |
| 3 | 97/533B | Card revision | NULL | NULL |

## A table that contains redundant data

| | InvoiceNumber | ItemDescription |
|---|---|---|
| 1 | 112897 | VB ad |
| 2 | 112897 | SQL ad |
| 3 | 112897 | Library directory |
| 4 | 97/522 | Catalogs |
| 5 | 97/522 | SQL flyer |
| 6 | 97/533B | Card revision |

## The accounts payable system in third normal form

**Vendors**

**VendorID**
VendorName
VendorAddress
VendorCity
VendorState
VendorZipCode
VendorPhone
VendorContactFName
VendorContactLName
DefaultTermsID
DefaultAccountNo

**Invoices**

**InvoiceID**
VendorID
InvoiceNumber
InvoiceDate
InvoiceTotal
PaymentTotal
CreditTotal
TermsID
InvoiceDueDate
PaymentDate

**InvoiceLineItems**

**InvoiceID**
**InvoiceSequence**
AccountNo
InvoiceLineItemAmount
InvoiceLineItemDescription

**GLAccounts**

**AccountNo**
AccountDescription

**Terms**

**TermsID**
TermsDescription
TermsDueDays

## Description

- *Normalization* is a formal process you can use to separate the data in a data structure into related tables. Normalization reduces *data redundancy*, which can cause storage and maintenance problems.

- In an *unnormalized data structure*, a table can contain information about two or more entities. It can also contain repeating columns, columns that contain repeating values, and data that's repeated in two or more rows.

- In a *normalized data structure*, each table contains information about a single entity, and each piece of information is stored in exactly one place.

- To normalize a data structure, you apply the *normal forms* in sequence. Although there are a total of seven normal forms, a data structure is typically considered normalized if the first three normal forms are applied.

Figure 10-7     How normalization works

# How to identify the columns to be indexed

The last step in the design process is to identify the columns that should be indexed. An *index* is a structure that provides for locating one or more rows directly. Without an index, SQL Server has to perform a *table scan*, which involves searching through the entire table. Just as the index of a book has page numbers that direct you to a specific subject, a database index has pointers that direct the system to a specific row. This can speed performance not only when you're searching for rows based on a search condition, but when you're joining data from tables as well. If a join is done based on a primary key to foreign key relationship, for example, and an index is defined for the foreign key column, SQL Server can use that index to locate the rows for each primary key value.

In general, a column should meet the guidelines listed at the top of figure 10-8 before you consider creating an index for it. To start, you should index a column if it will be used frequently in search conditions or joins. Since you use foreign keys in most joins, you should typically index each foreign key column. The column should also contain mostly distinct values, and the values in the column should be updated infrequently. If these conditions aren't met, the overhead of maintaining the index will probably outweigh the advantages of using it.

SQL Server provides for two types of indexes. A *clustered index* defines the sequence in which the rows of the table are stored. Because of that, each table can contain a single clustered index. Although SQL Server creates a clustered index automatically for the primary key, you can change that if you need to. The second list in this figure presents some guidelines you can use to determine when to change the clustered index from the primary key column to another column. If you review these guidelines, you'll see that the primary key is usually the best column to use for the clustered index.

The other type of index is a *nonclustered index*. You can define up to 249 nonclustered indexes for each table. You should be aware, however, that the indexes must be updated each time you add, update, or delete a row. Because of that, you don't want to define more indexes than you need.

As you identify the indexes for a table, keep in mind that, like a key, an index can consist of two or more columns. This type of index is called a *composite index*. A special type of composite index that includes all of the columns used by a query is called a *covering index*. Although a covering index speeds retrieval, the overhead to maintain this type of index is significant, particularly if the table is updated frequently. Because of that, you won't usually define covering indexes.

## When to create an index

- When the column is a foreign key
- When the column is used frequently in search conditions or joins
- When the column contains a large number of distinct values
- When the column is updated infrequently

## When to reassign the clustered index

- When the column is used in almost every search condition
- When the column contains mostly distinct values
- When the column is small
- When the column values seldom, if ever, change
- When most queries against the column will return large result sets

## Description

- An *index* provides a way for SQL Server to locate information more quickly. When it uses an index, SQL Server can go directly to a row rather than having to search through all the rows until it finds the ones you want.
- An index can be either *clustered* or *nonclustered*. Each table can have one clustered index and up to 249 nonclustered indexes.
- The rows of a table are stored in the sequence of the clustered index. By default, SQL Server creates a clustered index for the primary key. If you don't identify a primary key, the rows of the table are stored in the order in which they're entered.
- Indexes speed performance when searching and joining tables. However, they can't be used in search conditions that use the LIKE operator with a pattern that starts with a wildcard. And they can't be used in search conditions that include functions or expressions.
- You can create *composite indexes* that include two or more columns. You should use this type of index when the columns in the index are updated infrequently or when the index will cover almost every search condition on the table.
- Because indexes must be updated each time you add, update, or delete a row, you shouldn't create more indexes than you need.

Figure 10-8    How to identify the columns to be indexed

# How to normalize a data structure

The topics that follow describe the seven normal forms and teach you how to apply the first three. As I said earlier, you apply these three forms to some extent in the first four database design steps, but these topics will give you more insight into the process. Then, the last topic explains when and how to denormalize a data structure. When you finish these topics, you'll have the basic skills for designing databases that are efficient and easy to use.

## The seven normal forms

Figure 10-9 summarizes the seven normal forms. Each normal form assumes that the previous forms have already been applied. Before you can apply the third normal form, for example, the design must already be in the second normal form.

Strictly speaking, a data structure isn't normalized until it's in the fifth or sixth normal form. However, the normal forms past the third normal form are applied infrequently. Because of that, I won't present those forms in detail here. Instead, I'll just describe them briefly so you'll have an idea of how to apply them if you need to.

The *Boyce-Codd normal form* can be used to eliminate *transitive dependencies*. With this type of dependency, one column depends on another column, which depends on a third column. To illustrate, consider the city, state, and zip code columns in the Vendors table. Here, a zip code identifies a city and state, which means that the city and state are dependent on the zip code. The zip code, in turn, is dependent on the VendorID column. To eliminate this dependency, you could store the city and state values in a separate table that uses zip code as its primary key.

The fourth normal form can be used to eliminate multiple *multivalued dependencies* from a table. A multivalued dependency is one where a primary key column has a one-to-many relationship with a non-key column. To illustrate, consider the vendor contact phone in the Vendors table. If you wanted to accommodate alternate phone numbers, such as a cellular or home phone, you could add extra columns for each type of number. However, this creates a multivalued dependency between the phone numbers and the VendorID. To be in fourth normal form, therefore, you'd need to store phone numbers in a separate table that uses VendorID as a foreign key.

To apply the fifth normal form, you continue to divide the tables of the data structure into smaller tables until all redundancy has been removed. When further splitting would result in tables that couldn't be used to reconstruct the original table, the data structure is in fifth normal form. In this form, most tables consist of little more than key columns with one or two data elements.

The *domain-key normal form*, sometimes called the sixth normal form, is only of academic interest since no database system has implemented a way to apply it. For this reason, even normalization purists might consider a database to be normalized in fifth normal form.

## The seven normal forms

| Normal form | Description |
| --- | --- |
| First (1NF) | The value stored at the intersection of each row and column must be a scalar value, and a table must not contain any repeating columns. |
| Second (2NF) | Every non-key column must depend on the entire primary key. |
| Third (3NF) | Every non-key column must depend only on the primary key. |
| Boyce-Codd (BCNF) | A non-key column can't be dependent on another non-key column. This prevents *transitive dependencies*, where column A depends on column C and column B depends on column C. Since both A and B depend on C, A and B should be moved into another table with C as the key. |
| Fourth (4NF) | A table must not have more than one *multivalued dependency*, where the primary key has a one-to-many relationship to non-key columns. This form gets rid of misleading many-to-many relationships. |
| Fifth (5NF) | The data structure is split into smaller and smaller tables until all redundancy has been eliminated. If further splitting would result in tables that couldn't be joined to recreate the original table, the structure is in fifth normal form. |
| Domain-key (DKNF) or Sixth (6NF) | Every constraint on the relationship is dependent only on key constraints and *domain* constraints, where a domain is the set of allowable values for a column. This form prevents the insertion of any unacceptable data by enforcing constraints at the level of a relationship, rather than at the table or column level. DKNF is less a design model than an abstract "ultimate" normal form. SQL Server has no way to implement the constraints required for DKNF. |

## The benefits of normalization

- Since a normalized database has more tables than an unnormalized database, and since each table can have a clustered index, the database has more clustered indexes. That makes data retrieval more efficient.

- Since each table contains information about a single entity, each index has fewer columns (usually one) and fewer rows. That makes data retrieval and insert, update, and delete operations more efficient.

- Each table has fewer indexes, which makes insert, update, and delete operations more efficient.

- Data redundancy is minimized, which simplifies maintenance and reduces storage.

## Description

- Each normal form assumes that the design is already in the previous normal form.

- A database is typically considered to be normalized if it is in third normal form. The other four forms are not commonly used and are not covered in detail in this book.

Figure 10-9    The seven normal forms

Figure 10-9 also lists the benefits of normalizing a data structure. To summarize, normalization produces smaller, more efficient tables. In addition, it reduces data redundancy, which makes the data easier to maintain and reduces the amount of storage needed for the database. Because of these benefits, you should always consider normalizing your data structures.

You should also be aware that the subject of normalization is a contentious one in the database community. In the academic study of computer science, normalization is considered a form of design perfection that should always be strived for. In practice, though, database designers and DBAs tend to use normalization as a flexible design guideline.

# How to apply the first normal form

Figure 10-10 illustrates how you apply the first normal form to an unnormalized invoice data structure consisting of the data elements that are shown in figure 10-2. The first two tables in this figure illustrate structures that aren't in first normal form. Both of these tables contain a single row for each invoice. Because each invoice can contain one or more line items, however, the first table allows for repeating values in the ItemDescription column. The second table is similar, except it includes a separate column for each line item description. Neither of these structures is acceptable in first normal form.

The third table in this figure has eliminated the repeating values and columns. To do that, it includes one row for each line item. Notice, however, that this has increased the data redundancy. Specifically, the vendor name and invoice number are now repeated for each line item. This problem can be solved by applying the second normal form.

Before I describe the second normal form, I want you to realize that I intentionally omitted many of the columns in the invoice data structure from the examples in this figure and the next figure. In addition to the columns shown here, for example, each of these tables would also contain the vendor address, invoice date, invoice total, etc. By eliminating these columns, it will be easier for you to focus on the columns that are affected by applying the normal forms.

### The invoice data with a column that contains repeating values

| | VendorName | InvoiceNumber | ItemDescription |
|---|---|---|---|
| 1 | Cahners Publishing | 112897 | VB ad, SQL ad, Library directory |
| 2 | Zylka Design | 97/522 | Catalogs, SQL flyer |
| 3 | Zylka Design | 97/533B | Card revision |

### The invoice data with repeating columns

| | VendorName | InvoiceNumber | ItemDescription1 | ItemDescription2 | ItemDescription3 |
|---|---|---|---|---|---|
| 1 | Cahners Publishing | 112897 | VB ad | SQL ad | Library directory |
| 2 | Zylka Design | 97/522 | Catalogs | SQL flyer | NULL |
| 3 | Zylka Design | 97/533B | Card revision | NULL | NULL |

### The invoice data in first normal form

| | VendorName | InvoiceNumber | ItemDescription |
|---|---|---|---|
| 1 | Cahners Publishing | 112897 | VB ad |
| 2 | Cahners Publishing | 112897 | SQL ad |
| 3 | Cahners Publishing | 112897 | Library directory |
| 4 | Zylka Design | 97/522 | Catalogs |
| 5 | Zylka Design | 97/522 | SQL flyer |
| 6 | Zylka Design | 97/533B | Card revision |

### Description

- For a table to be in first normal form, its columns must not contain repeating values. Instead, each column must contain a single, scalar value. In addition, the table must not contain repeating columns that represent a set of values.

- A table in first normal form often has repeating values in its rows. This can be resolved by applying the second normal form.

Figure 10-10     How to apply the first normal form

# How to apply the second normal form

Figure 10-11 shows how to apply the second normal form. To be in second normal form, every column in a table that isn't a key column must be dependent on the entire primary key. This form only applies to tables that have composite primary keys, which is often the case when you start with data that is completely unnormalized. The table at the top of this figure, for example, shows the invoice data in first normal form after key columns have been added. In this case, the primary key consists of the InvoiceID and InvoiceSequence columns. The InvoiceSequence column is needed to uniquely identify each line item for an invoice.

Now, consider the three non-key columns shown in this table. Of these three, only one, ItemDescription, depends on the entire primary key. The other two, VendorName and InvoiceNumber, depend only on the InvoiceID column. Because of that, these columns should be moved to another table. The result is a data structure like the second one shown in this figure. Here, all of the information related to an invoice is stored in the Invoices table, and all of the information related to an individual line item is stored in the InvoiceLineItems table.
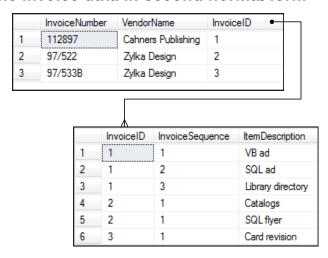
Notice that the relationship between these tables is based on the InvoiceID column. This column is the primary key of the Invoices table, and it's the foreign key in the InvoiceLineItems table that relates the rows in that table to the rows in the Invoices table. This column is also part of the primary key of the InvoiceLineItems table.

When you apply second normal form to a data structure, it eliminates some of the redundant row data in the tables. In this figure, for example, you can see that the invoice number and vendor name are now included only once for each invoice. In first normal form, this information was included for each line item.

## The invoice data in first normal form with keys added

| | InvoiceID | VendorName | InvoiceNumber | InvoiceSequence | ItemDescription |
|---|---|---|---|---|---|
| 1 | 1 | Cahners Publishing | 112897 | 1 | VB ad |
| 2 | 2 | Cahners Publishing | 112897 | 2 | SQL ad |
| 3 | 3 | Cahners Publishing | 112897 | 3 | Library directory |
| 4 | 4 | Zylka Design | 97/522 | 1 | Catalogs |
| 5 | 5 | Zylka Design | 97/522 | 2 | SQL flyer |
| 6 | 6 | Zylka Design | 97/533B | 1 | Card revision |

## The invoice data in second normal form

| | InvoiceNumber | VendorName | InvoiceID |
|---|---|---|---|
| 1 | 112897 | Cahners Publishing | 1 |
| 2 | 97/522 | Zylka Design | 2 |
| 3 | 97/533B | Zylka Design | 3 |

| | InvoiceID | InvoiceSequence | ItemDescription |
|---|---|---|---|
| 1 | 1 | 1 | VB ad |
| 2 | 1 | 2 | SQL ad |
| 3 | 1 | 3 | Library directory |
| 4 | 2 | 1 | Catalogs |
| 5 | 2 | 1 | SQL flyer |
| 6 | 3 | 1 | Card revision |

## Description

- For a table to be in second normal form, every non-key column must depend on the entire primary key. If a column doesn't depend on the entire key, it indicates that the table contains information for more than one entity. This is reflected by the table's composite key.

- To apply second normal form, you move columns that don't depend on the entire primary key to another table and then establish a relationship between the two tables.

- Second normal form helps remove redundant row data, which can save storage space, make maintenance easier, and reduce the chance of storing inconsistent data.

Figure 10-11   How to apply the second normal form

# How to apply the third normal form

To apply the third normal form, you make sure that every non-key column depends *only* on the primary key. Figure 10-12 illustrates how you can apply this form to the data structure for the accounts payable system. At the top of this figure, you can see all of the columns in the Invoices and InvoiceLineItems tables in second normal form. Then, you can see a list of questions that you might ask about some of the columns in these tables when you apply third normal form.
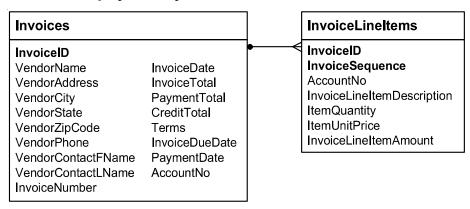
First, does the vendor information depend only on the InvoiceID column? Another way to phrase this question is, "Will the information for the same vendor change from one invoice to another?" If the answer is no, the vendor information should be stored in a separate table. That way, you can be sure that the vendor information for each invoice for a vendor will be the same. In addition, you will reduce the redundancy of the data in the Invoices table. This is illustrated by the diagram in this figure that shows the accounts payable system in third normal form. Here, a Vendors table has been added to store the information for each vendor. This table is related to the Invoices table by the VendorID column, which has been added as a foreign key to the Invoices table.

Second, does the Terms column depend only on the InvoiceID column? The answer to that question depends on how this column is used. In this case, I'll assume that this column is used not only to specify the terms for each invoice, but also to specify the default terms for a vendor. Because of that, the terms information could be stored in both the Vendors and the Invoices tables. To avoid redundancy, however, the information related to different terms can be stored in a separate table, as illustrated by the Terms table in this figure. As you can see, the primary key of this table is an identity column named TermsID. Then, a foreign key column named DefaultTermsID has been added to the Vendors table, and a foreign key column named TermsID has been added to the Invoices table.

Third, does the AccountNo column depend only on the InvoiceID column? Again, that depends on how this column is used. In this case, it's used to specify the general ledger account number for each line item, so it depends on the InvoiceID and the InvoiceSequence columns. In other words, this column should be stored in the InvoiceLineItems table. In addition, each vendor has a default account number, which should be stored in the Vendors table. Because of that, another table named GLAccounts has been added to store the account numbers and account descriptions. Then, foreign key columns have been added to the Vendors and InvoiceLineItems tables to relate them to this table.

Fourth, can the InvoiceDueDate column in the Invoices table and the InvoiceLineItemAmount column in the InvoiceLineItems table be derived from other data in the database? If so, they depend on the columns that contain that data rather than on the primary key columns. In this case, the value of the InvoiceLineItemAmount column can always be calculated from the ItemQuantity and ItemUnitPrice columns. Because of that, this column could be omitted. Alternatively, you could omit the ItemQuantity and ItemUnitPrice columns and keep just the InvoiceLineItemAmount column. That's what I did in the data structure shown in this figure. The solution you choose, however, depends on how the data will be used.
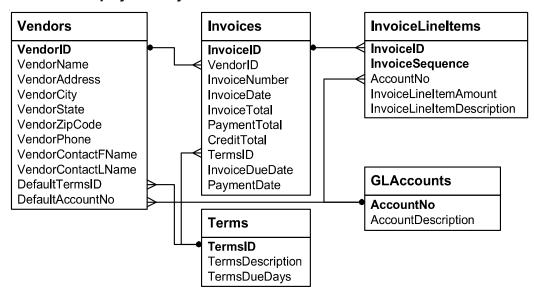
## The accounts payable system in second normal form

**Invoices**

**InvoiceID**
| | |
|---|---|
| VendorName | InvoiceDate |
| VendorAddress | InvoiceTotal |
| VendorCity | PaymentTotal |
| VendorState | CreditTotal |
| VendorZipCode | Terms |
| VendorPhone | InvoiceDueDate |
| VendorContactFName | PaymentDate |
| VendorContactLName | AccountNo |
| InvoiceNumber | |

**InvoiceLineItems**

**InvoiceID**
**InvoiceSequence**
AccountNo
InvoiceLineItemDescription
ItemQuantity
ItemUnitPrice
InvoiceLineItemAmount

### Questions about the structure

1. Does the vendor information (VendorName, VendorAddress, etc.) depend only on the InvoiceID column?

2. Does the Terms column depend only on the InvoiceID column?

3. Does the AccountNo column depend only on the InvoiceID column?

4. Can the InvoiceDueDate and InvoiceLineItemAmount columns be derived from other data?

## The accounts payable system in third normal form

**Vendors**

**VendorID**
VendorName
VendorAddress
VendorCity
VendorState
VendorZipCode
VendorPhone
VendorContactFName
VendorContactLName
DefaultTermsID
DefaultAccountNo

**Invoices**

**InvoiceID**
VendorID
InvoiceNumber
InvoiceDate
InvoiceTotal
PaymentTotal
CreditTotal
TermsID
InvoiceDueDate
PaymentDate

**InvoiceLineItems**

**InvoiceID**
**InvoiceSequence**
AccountNo
InvoiceLineItemAmount
InvoiceLineItemDescription

**GLAccounts**

**AccountNo**
AccountDescription

**Terms**

**TermsID**
TermsDescription
TermsDueDays

### Description

- For a table to be in third normal form, every non-key column must depend only on the primary key.

- If a column doesn't depend only on the primary key, it implies that the column is assigned to the wrong table or that it can be computed from other columns in the table. A column that can be computed from other columns contains *derived data*.

Figure 10-12    How to apply the third normal form

In contrast, although the InvoiceDueDate column could be calculated from the InvoiceDate column in the Invoices table and the TermsDueDays column in the related row of the Terms table, the system also allows this date to be overridden. Because of that, the InvoiceDueDate column should not be omitted. If the system didn't allow this value to be overridden, however, this column could be safely omitted.

# When and how to denormalize a data structure
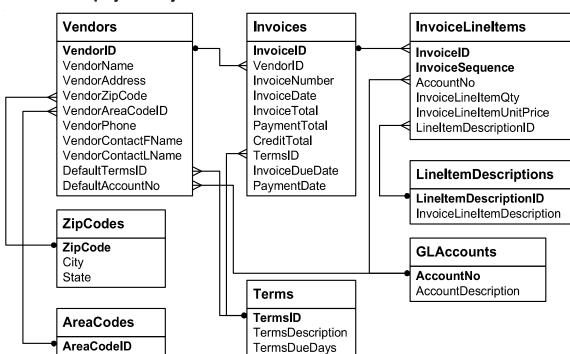
*Denormalization* is the deliberate deviation from the normal forms. Most denormalization occurs beyond the third normal form. In contrast, the first three normal forms are almost universally applied.

To illustrate when and how to denormalize a data structure, figure 10-13 presents the design of the accounts payable system in fifth normal form. Here, notice that the vendor zip codes are stored in a separate table that contains the city and state for each zip code. In addition, the area codes are stored in a separate table. Because of that, a query that retrieves vendor addresses and phone numbers would require two joins. In contrast, if you left the city, state, and area code information in the Vendors table, no joins would be required, but the Vendors table would be larger. In general, you should denormalize based on the way the data will be used. In this case, we'll seldom need to query phone numbers without the area code. Likewise, we'll seldom need to query city and state without the zip code. For these reasons, I've denormalized my design by eliminating the ZipCodes and AreaCodes tables.

You might also consider denormalizing a table if the data it contains is updated infrequently. In that case, redundant data isn't as likely to cause problems.

Finally, you should consider including derived data in a table if that data is used frequently in search conditions. For example, if you frequently query the Invoices table based on invoice balances, you might consider including a column that contains the balance due. That way, you won't have to calculate this value each time it's queried. Keep in mind, though, that if you store derived data, it's possible for it to deviate from the derived value. For this reason, you may need to protect the derived column so it can't be updated directly. Alternatively, you could update the table periodically to reset the value of the derived column.

Because normalization eliminates the possibility of data redundancy errors and optimizes the use of storage, you should carefully consider when and how to denormalize a data structure. In general, you should denormalize only when the increased efficiency outweighs the potential for redundancy errors and storage problems. Of course, your decision to denormalize should also be based on your knowledge of the real-world environment in which the system will be used. If you've carefully analyzed the real-world environment as outlined in this chapter, you'll have a good basis for making that decision.

## The accounts payable system in fifth normal form

**Vendors**

**VendorID**
VendorName
VendorAddress
VendorZipCode
VendorAreaCodeID
VendorPhone
VendorContactFName
VendorContactLName
DefaultTermsID
DefaultAccountNo

**Invoices**

**InvoiceID**
VendorID
InvoiceNumber
InvoiceDate
InvoiceTotal
PaymentTotal
CreditTotal
TermsID
InvoiceDueDate
PaymentDate

**InvoiceLineItems**

**InvoiceID**
**InvoiceSequence**
AccountNo
InvoiceLineItemQty
InvoiceLineItemUnitPrice
LineItemDescriptionID

**LineItemDescriptions**

**LineItemDescriptionID**
InvoiceLineItemDescription

**ZipCodes**

**ZipCode**
City
State

**GLAccounts**

**AccountNo**
AccountDescription

**Terms**

**TermsID**
TermsDescription
TermsDueDays

**AreaCodes**

**AreaCodeID**
AreaCode

## When to denormalize

- When a column from a joined table is used repeatedly in search criteria, you should consider moving that column to the primary key table if it will eliminate the need for a join.

- If a table is updated infrequently, you should consider denormalizing it to improve efficiency. Because the data remains relatively constant, you don't have to worry about data redundancy errors once the initial data is entered and verified.

- Include columns with derived values when those values are used frequently in search conditions. If you do that, you need to be sure that the column value is always synchronized with the value of the columns it's derived from.

## Description

- Data structures that are normalized to the fourth normal form and beyond typically require more joins than tables normalized to the third normal form and can therefore be less efficient.

- SQL statements that work with tables that are normalized to the fourth normal form and beyond are typically more difficult to code and debug.

- Most designers *denormalize* data structures to some extent, usually to the third normal form.

- *Denormalization* can result in larger tables, redundant data, and reduced performance.

- Only denormalize when necessary. It is better to adhere to the normal forms unless it is clear that performance will be improved by denormalizing.

Figure 10-13    When and how to denormalize a data structure

# Perspective

Database design is a complicated subject. Because of that, it's impossible to teach you everything you need to know in a single chapter. With the skills you've learned in this chapter, however, you should now be able to design simple databases of your own. More important, you should now be able to evaluate the design of any database that you work with. That way, you can be sure that the queries you code will be as efficient and as effective as possible.

One aspect of database design that isn't covered in this chapter is designing the security of the database. Among other things, that involves creating login IDs and database users and assigning permissions. It may also involve organizing the tables and other objects in the database into two or more schemas. You'll learn more about how to implement database security in chapter 18.

# Terms

| | |
|---|---|
| data structure | normalization |
| entity | data redundancy |
| attribute | unnormalized data structure |
| instance | normalized data structure |
| entity-relationship (ER) modeling | normal forms |
| CASE (computer-aided software | index |
| engineering) | table scan |
| linking table | clustered index |
| connecting table | nonclustered index |
| associate table | composite index |
| referential integrity | covering index |
| declarative referential integrity | Boyce-Codd normal form |
| (DRI) | transitive dependency |
| foreign key constraints | multivalued dependency |
| triggers | domain-key normal form |
| orphaned row | derived data |
| update anomaly | denormalized data structure |
| insertion anomaly | denormalization |
| deletion anomaly | |

## Exercises

1.  Design a database diagram for a product orders database with four tables. Indicate the relationships between tables and identify the primary key and foreign keys in each table. Explain your design decisions.

| **Customers** |
| :--- |
| **CustomerID** |
| CustomerName |
| CustomerAddress |
| CustomerPhone |
| ... |

| **Orders** |
| :--- |
| **OrderID** |
| CustomerID |
| OrderDate |
| ShipAddress |
| ShipDate |
| ... |

| **OrderLineItems** |
| :--- |
| **OrderID** |
| **OrderSequence** |
| ProductID |
| Quantity |
| UnitPrice |

| **Products** |
| :--- |
| **ProductID** |
| ProductName |
| QtyPerUnit |
| UnitPrice |
| InStock |
| OnOrder |
| ... |

2.  Add the two tables below into the design for exercise 1. Create additional tables and columns, if necessary. Explain your design decisions.

| **Shippers** |
| :--- |
| **ShipperID** |
| ShipperName |
| ShipperAddress |
| ShipperPhone |
| ... |

| **Employees** |
| :--- |
| **EmployeeID** |
| FirstName |
| LastName |
| SSN |
| HireDate |
| ... |

3.  Modify your design for exercise 2 to identify the columns that should be indexed, and explain your decision.

4.  Design a database diagram that allows individuals to be assigned membership in one or more groups. Each group can have any number of individuals and each individual can belong to any number of groups. Create additional tables and columns, if necessary. Explain your design decisions.

5.  Modify your design for exercise 4 to keep track of the *role* served by each individual in each group. Each individual can only serve one role in each group. Each group has a unique set of roles that members can fulfill. Create additional tables and columns, if necessary. Explain your design decisions.

# 11

# How to create and maintain databases, tables, and sequences with SQL statements

Now that you've learned how to design a database, you're ready to learn how to implement your design. To do that, you use the set of SQL statements that are known as the data definition language (DDL). As an application programmer, you can use the DDL statements to create and modify the database objects that you need for testing. Beyond that, knowing what these statements do will give you a better appreciation for how a database works.

# An introduction to DDL

All of the SQL statements that you've seen so far have been part of the data manipulation language, or DML. But now, you'll learn how to use the SQL statements that are part of the data definition language. You use these statements to define the objects of a database.

## The SQL statements for data definition

Figure 11-1 summarizes the *data definition language*, or *DDL*, statements that you use to create, delete, or change the *objects* of a database. In this chapter, you'll learn how to use the statements that work with databases, tables, indexes, and sequences. You'll learn how to use the statements that work with other objects in later chapters.

To work with the objects of a database, you often use the Management Studio that comes with SQL Server. This tool lets you create and change database objects using a graphical user interface. To do that, it generates and executes the DDL statements that implement the changes you've made. You'll learn how to use the Management Studio to work with database objects in chapter 12.

But first, this chapter teaches you how to code the DDL statements yourself. This is useful for two reasons. First, you sometimes need to examine and verify the DDL that's generated by the Management Studio. This is especially true for large database projects. Second, knowing the DDL statements helps you use the DML statements more effectively. Beyond that, if you ever use a DBMS that doesn't offer a graphical tool like the Management Studio, you have to code the DDL yourself.

Because the syntax of each of the DDL statements is complex, this chapter doesn't present complete syntax diagrams for the statements. Instead, the diagrams present only the most commonly used clauses. If you're interested in the complete syntax of any statement, of course, you can find it in Books Online.

If you're working on a large database project, you probably won't have the option of coding DDL statements at all because that will be handled by a database administrator (DBA). This is a common practice because the DDL statements can destroy data if they're used incorrectly. In addition, many of the optional clauses for these statements are used for tuning the performance of the system, which is typically the role of a DBA.

For small projects, though, the SQL programmer may often have to serve as the DBA too. And even for large databases, the SQL programmer often uses the DDL to create and work with smaller databases that are needed for testing or for special projects.

## DDL statements to create, modify, and delete objects

| Statement | Description |
|---|---|
| CREATE DATABASE | Creates a new database. |
| CREATE TABLE | Creates a new table in the current database. |
| CREATE INDEX | Creates a new index for the specified table. |
| CREATE SEQUENCE | Creates a new sequence in the current database. |
| CREATE FUNCTION | Creates a new function in the current database. |
| CREATE PROCEDURE | Creates a new stored procedure in the current database. |
| CREATE TRIGGER | Creates a new trigger in the current database. |
| CREATE VIEW | Creates a new view in the current database. |
| ALTER TABLE | Modifies the structure of the specified table. |
| ALTER SEQUENCE | Modifies the attributes of a sequence. |
| ALTER FUNCTION | Modifies the specified function. |
| ALTER PROCEDURE | Modifies the specified stored procedure. |
| ALTER TRIGGER | Modifies the specified trigger. |
| ALTER VIEW | Modifies the specified view. |
| DROP DATABASE | Deletes the specified database. |
| DROP TABLE | Deletes the specified table. |
| DROP SEQUENCE | Deletes the specified sequence. |
| DROP INDEX | Deletes the specified index. |
| DROP FUNCTION | Deletes the specified function. |
| DROP PROCEDURE | Deletes the specified stored procedure. |
| DROP TRIGGER | Deletes the specified trigger. |
| DROP VIEW | Deletes the specified view. |

## Description

- You use the *data definition language* (*DDL*) statements to create, modify, and delete database objects such as the database itself, the tables contained in a database, and the indexes for those tables.

- Typically, a database administrator is responsible for using the DDL statements on production databases in a large database system. However, every SQL programmer should be comfortable using these statements so that they can create and work with small databases for testing.

- In most cases, you'll use the graphical user interface of the Management Studio to create and maintain database objects as described in chapter 12. Although the Management Studio generates DDL statements for you, you may need to verify or correct these statements. To do that, you need to understand their syntax and use.

- If you use a SQL database other than SQL Server, it may not have a graphical tool for managing database objects. In that case, you must use the DDL statements.

---

Figure 11-1    The SQL statements for data definition

# Rules for coding object names

When you create most database objects, you give them names. In SQL Server, the name of an object is its *identifier*. Each identifier can be up to 128 characters in length. To code an identifier, you typically follow the formatting rules presented in figure 11-2.

As you can see, the formatting rules limit the characters you can use in an identifier. For example, the first character of an identifier can be a letter, an underscore, an at sign, or a number sign. The characters that can be used in the remainder of the identifier include all of the characters allowed as the first character, plus numbers and dollar signs. Note that a regular identifier can't include spaces and can't be a Transact-SQL reserved keyword, which is a word that's reserved for use by SQL Server.

The first set of examples in this figure presents some valid regular identifiers. Notice that the identifier in the second example starts with a number sign. This type of identifier is used for a temporary table or procedure. Similarly, an identifier that starts with an at sign as in the fifth example is used for a local variable or parameter. You'll learn about these special types of identifiers in chapters 14 and 15.

In most cases, you'll create objects with identifiers that follow the formatting rules shown here. If you're working with an existing database, however, the identifiers may not follow these rules. In that case, you have to delimit the identifiers to use them in SQL statements. You can code a delimited identifier by enclosing it in either brackets or double quotes. The second set of examples shows how this works. Here, two of the identifiers are enclosed in brackets and one is enclosed in double quotes. The identifier in the first example must be delimited because it starts with a percent sign. The identifier in the second example must be delimited because it includes spaces. The third example illustrates that even when a name follows the formatting rules, you can delimit it. In most cases, though, there's no reason to do that.

## Formatting rules for identifiers

- The first character of an identifier must be a letter as defined by the Unicode Standard 2.0, an underscore (_), an at sign (@), or a number sign (#).

- All characters after the first must be a letter as defined by the Unicode Standard 2.0, a number, an at sign, a dollar sign ($), a number sign, or an underscore.

- An identifier can't be a Transact-SQL reserved keyword.

- An identifier can't contain spaces or special characters other than those already mentioned.

## Valid regular identifiers

```
Employees
#PaidInvoices
ABC$123
Invoice_Line_Items
@TotalDue
```

## Valid delimited identifiers

```
[%Increase]
"Invoice Line Items"
[@TotalDue]
```

## Description

- The name of an object in SQL Server is called its *identifier*. Most objects are assigned an identifier when they're created. Then, the identifier can be used to refer to the object.

- SQL Server provides for two classes of identifiers. Regular identifiers follow the formatting rules for identifiers. Delimited identifiers are enclosed in brackets ([]) or double quotation marks ("") and may or may not follow the formatting rules. If an identifier doesn't follow the formatting rules, it must be delimited.

- An identifier can contain from 1 to 128 characters.

- An at sign (@) at the beginning of an identifier indicates that the identifier is a local variable or parameter, a number sign (#) indicates that the identifier is a temporary table or procedure, and two number signs (##) indicates that the identifier is a global temporary object. See chapters 14 and 15 for details.

Figure 11-2    Rules for coding object names

# How to create databases, tables, and indexes

The primary role of the DDL statements is to define database objects on the server. So to start, the three topics that follow will teach you how to code the DDL statements that you use to create databases, tables, and indexes.

## How to create a database

Figure 11-3 presents the basic syntax of the CREATE DATABASE statement. This statement creates a new database on the current server. In many cases, you'll code this statement with just a database name to create the database with the default options. This is illustrated by the first example in this figure.

The CREATE DATABASE statement in this example creates a database named New_AP. When you issue a statement like this one, SQL Server creates two files and allocates space for them. The first file, New_AP.mdf, will hold the data for the database. The second file, New_AP_log.ldf, will keep a log of any changes made to the database.

If you want to use a database that was created on another server, you can copy the mdf file to your server, but at that point, it's simply a data file. To be able to use the database, you have to *attach* it to your server. To do that, you use two of the optional clauses in the CREATE DATABASE statement, ON PRIMARY and FOR ATTACH. As you can see in the second example, you specify the name of the file that contains the database in the ON PRIMARY clause. Then, instead of creating a new database, SQL Server simply makes the existing database available from the current server.

In this figure, the statement that attaches the database doesn't specify an existing transaction log file for the database. As a result, SQL Server attempts to use an ldf file with the same name as the database followed by "_log". For example, for the data stored in the file named Test_AP.mdf, SQL Server will attempt to use the log data stored in the file named Test_AP_log.ldf. If a log file with that name doesn't exist, SQL Server will create a new log file with that name. However, it will also return an error message that indicates that a new log file was created.

Most of the clauses that aren't included in the syntax shown here are used to tune the database by changing the locations of the database files. For small databases, though, this tuning usually isn't necessary. If you want to learn about these options, you can refer to the description of this statement in Books Online.

## The basic syntax of the CREATE DATABASE statement

```
CREATE DATABASE database_name
    [ON [PRIMARY] (FILENAME = 'file_name')]
    [FOR ATTACH]
```

## A statement that creates a new database

```
CREATE DATABASE New_AP;
```

### The response from the system

```
Command(s) completed successfully.
```

## A statement that attaches an existing database file

```
CREATE DATABASE Test_AP
    ON PRIMARY (FILENAME =
        'C:\Murach\SQL Server 2012\Databases\Test_AP.mdf')
    FOR ATTACH;
```

### The response from the system

```
Command(s) completed successfully.
```

## Description

- The CREATE DATABASE statement creates a new, empty database on the current server. Although the ANSI standards don't include this statement, it's supported by virtually all SQL database systems. The optional clauses shown here, however, are supported only by SQL Server.

- If you code this statement without any options, the new database is created using the default settings and the database files are stored in the default directory on the hard drive. For most small database projects, these settings are acceptable.

- One of the files SQL Server creates when it executes the CREATE DATABASE statement is a *transaction log file*. This file is used to record modifications to the database. SQL Server generates the name for this file by appending "_log" to the end of the database name. The database name is limited to 123 characters.

- If you have a copy of a database file that you'd like to work with on your server, you can use the FOR ATTACH clause in addition to the ON PRIMARY clause to *attach* the file as a database to the current server.

- Most of the optional clauses that have been omitted from this syntax are used to specify the underlying file structure of the database. These clauses are used by DBAs to tune the performance of the database. See Books Online for details.

## Warning

- On some systems, the CREATE DATABASE statement can overwrite an existing database. Because of that, you'll want to check with the DBA before using this statement.

Figure 11-3    How to create a database

# How to create a table

Figure 11-4 presents the basic syntax of the CREATE TABLE statement. By default, this statement creates a new table in the default schema, dbo, within the current database. If that's not what you want, you can qualify the table name with the schema name or the database name.

All of the clauses and keywords for the CREATE TABLE statement can be divided into two categories: attributes that affect a single column and attributes that affect the entire table. This figure summarizes some of the common column attributes. You'll learn about the table attributes later in this chapter.

In its simplest form, the CREATE TABLE statement consists of the name of the new table followed by the names and data types of its columns. This is illustrated by the first example of this figure. Notice that the column definitions are enclosed in parentheses. In most cases, you'll code one or more attributes for each column as illustrated by the second example in this figure.

To identify whether a column can accept null values, you code either the NULL or NOT NULL keyword. If you omit both keywords, the default value is NULL unless the column is also defined as the primary key, in which case the default is NOT NULL.

The PRIMARY KEY keywords identify the primary key for the table. To create a primary key based on a single column, you can code these keywords as an attribute of that column. To create a primary key based on two or more columns, however, you must code PRIMARY KEY as a table attribute. You'll see how to do that in a later figure.

When you identify a column as the primary key, two of the column's attributes are changed automatically. First, the column is forced to be NOT NULL. Second, the column is forced to contain a unique value for each row. In addition, a clustered index is automatically created based on the column.

In addition to a primary key, you can also define one or more unique keys using the UNIQUE keyword. Unlike a primary key column, a unique key column can contain null values. And instead of creating a clustered index for the key, SQL Server creates a nonclustered index. Like the PRIMARY keyword, you can code the UNIQUE keyword at either the column or the table level.

NOT NULL, PRIMARY KEY, and UNIQUE are examples of *constraints*. Constraints are special attributes that restrict the data that can be stored in the columns of a table. You'll learn how to code other constraints in a moment.

The IDENTITY keyword defines a column as an identity column. As you know, SQL Server assigns an identity column a unique integer value. This value is generated by incrementing the previous value for the column. SQL Server allows only one identity column per table, and that column is typically used as the primary key.

The DEFAULT attribute specifies a default value for a column. This value is used if another value isn't specified. The default value that's specified must correspond to the data type for the column.

The last attribute, the SPARSE attribute, optimizes the storage of null values for a column. Since this optimization requires more overhead to retrieve

## The basic syntax of the CREATE TABLE statement

```
CREATE TABLE table_name
(column_name_1 data_type [column_attributes]
[, column_name_2 data_type [column_attributes]]...
[, table_attributes])
```

## Common column attributes

| Attribute | Description |
|-----------|-------------|
| NULL\|NOT NULL | Indicates whether or not the column can accept null values. If omitted, NULL is the default unless PRIMARY KEY is specified. |
| PRIMARY KEY\|UNIQUE | Identifies the primary key or a unique key for the table. If PRIMARY is specified, the NULL attribute isn't allowed. |
| IDENTITY | Identifies an identity column. Only one identity column can be created per table. |
| DEFAULT default_value | Specifies a default value for the column. |
| SPARSE | Optimizes storage of null values for the column. This attribute was introduced with SQL Server 2008. |

## A statement that creates a table without column attributes

```
CREATE TABLE Vendors
(VendorID       INT,
VendorName      VARCHAR(50));
```

## A statement that creates a table with column attributes

```
CREATE TABLE Invoices
(InvoiceID      INT             PRIMARY KEY IDENTITY,
VendorID        INT             NOT NULL,
InvoiceDate     SMALLDATETIME   NULL,
InvoiceTotal    MONEY           NULL DEFAULT 0);
```

## A column definition that uses the SPARSE attribute

```
VendorAddress2   VARCHAR(50)   SPARSE NULL
```

## Description

- The CREATE TABLE statement creates a table based on the column definitions, column attributes, and table attributes you specify. A database can contain as many as two billion tables.

- A table can contain between one and 1,024 columns. Each column must have a unique name and must be assigned a data type. In addition, you can assign one or more of the column attributes shown above.

- You can also assign one or more constraints to a column or to the entire table. See figures 11-7, 11-8, and 11-9 for details.

- For the complete syntax of the CREATE TABLE statement, refer to Books Online.

Figure 11-4    How to create a table

non-null values, you should only use it when a column contains a high percentage of null values. As a general guideline, it usually makes sense to use the SPARSE attribute when at least 60% of the column's values are null.

# How to create an index

Figure 11-5 presents the basic syntax of the CREATE INDEX statement, which creates an index based on one or more columns of a table. This syntax omits some of the optional clauses that you can use for tuning the indexes for better performance. This tuning is often done by DBAs working with large databases, but usually isn't necessary for small databases.

In the last chapter, you learned that a table can have one clustered index and up to 249 nonclustered indexes. By default, SQL Server creates a clustered index based on the primary key of a table, which is usually what you want. Because of that, you'll rarely create a clustered index.

To create an index, you name the table and columns that the index will be based on in the ON clause. For each column, you can specify the ASC or DESC keyword to indicate whether you want the index sorted in ascending or descending sequence. If you don't specify a sort order, ASC is the default.

The first example in this figure creates an index based on the VendorID column in the Invoices table. Because none of the optional keywords are specified, this creates a nonclustered index that is sorted in ascending sequence.

The second example creates a nonclustered index based on two columns in the Invoices table: InvoiceDate and InvoiceTotal. Notice here that the InvoiceDate column is sorted in descending sequence. That way, the most recent invoices will occur first.

For most databases, you can achieve adequate performance using indexes like the ones shown in the first two examples. Since these indexes index every row in the table, they are known as *full-table indexes*. However, when a database becomes very large, you may be able to improve performance by creating *filtered indexes*, which are indexes that use a WHERE clause to filter the rows in the index.

In general, it makes sense to create a filtered index when the number of rows in the index is small compared to the total number of rows in the table as shown in the third and fourth examples. That way, it's easier for the database engine to use and maintain the index, which results in better performance. Otherwise, a full-table index may yield better performance.

You should also notice the names that are assigned to the indexes in these examples. Although you can name an index anything you like, SQL Server's convention is to prefix index names with the characters *IX_*. So I recommend you do that too. Then, if the index is based on a single column, you can follow the prefix with the name of that column as shown in the first example. Or, if an index is based on two or more columns, you can use the table name instead of the column names as shown in the second example. If necessary, you can add additional information to identify the index as shown in the last two examples.

## The basic syntax of the CREATE INDEX statement

```
CREATE [CLUSTERED|NONCLUSTERED] INDEX index_name
    ON table_name (col_name_1 [ASC|DESC] [, col_name_2 [ASC|DESC]]...)
    [WHERE filter-condition]
```

## A statement that creates a nonclustered index based on a single column

```
CREATE INDEX IX_VendorID
    ON Invoices (VendorID);
```

## A statement that creates a nonclustered index based on two columns

```
CREATE INDEX IX_Invoices
    ON Invoices (InvoiceDate DESC, InvoiceTotal);
```

## A statement that creates a filtered index for a subset of data in a column

```
CREATE INDEX IX_InvoicesPaymentFilter
    ON Invoices (InvoiceDate DESC, InvoiceTotal)
WHERE PaymentDate IS NULL;
```

## A statement that creates a filtered index for categories in a column

```
CREATE INDEX IX_InvoicesDateFilter
    ON Invoices (InvoiceDate DESC, InvoiceTotal)
WHERE InvoiceDate > '2012-02-01';
```

## Description

- You use the CREATE INDEX statement to create an index for a table. An index can improve performance when SQL Server searches for rows in the table.
- SQL Server automatically creates a clustered index for a table's primary key. If that's not what you want, you can drop the primary key constraint using the ALTER TABLE statement shown in figure 11-11 and then recreate the primary key with a nonclustered index.
- Each table can have a single clustered index and up to 249 nonclustered indexes. SQL Server automatically creates a nonclustered index for each unique key other than the primary key.
- By default, an index is sorted in ascending sequence. If that's not what you want, you can code the DESC keyword. The sequence you use should be the sequence in which the rows are retrieved most often when using that index.
- A *full-table index* is an index that applies to every row in the table.
- A *filtered index* is a type of nonclustered index that includes a WHERE clause that filters the rows that are included in the index. Filtered indexes were introduced with SQL Server 2008.
- A filtered index can improve performance when the number of rows in the index is small compared to the total number of rows in the table.
- For more details about working with filtered indexes, refer to Books Online.

Figure 11-5 How to create an index
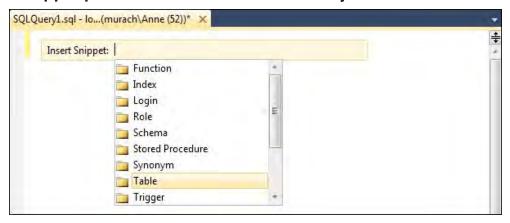
## How to use snippets to create database objects

Now that you're familiar with the statements for creating tables and indexes, you should know that you don't have to start these statements from scratch when you use the SQL Server Management Studio. Instead, you can use a new feature of SQL Server 2012 called Transact-SQL *snippets* that provide the basic structure of the statements for creating these objects as well as many others. Figure 11-6 shows how snippets work.
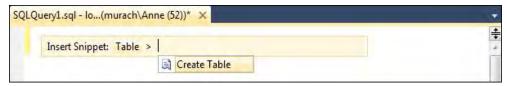
To insert a snippet, you use the *snippet picker*. The easiest way to display this picker is to right-click in the Query Editor window and then select the Insert Snippet command from the shortcut menu. When you do, a list of folders that correspond with different types of database objects is displayed. Then, you can double-click the folder for the type of object you want to create to display a list of the snippets for that object. Finally, you can double-click a snippet to insert it into your code. In this figure, I inserted the snippet for the CREATE TABLE statement.

After you insert a snippet, you need to replace the highlighted portions of code so the object is defined appropriately. For the CREATE TABLE statement shown here, for example, you'll want to change the name of the table, and you'll want to change the definitions for the two columns. You may also want to change the schema name. In addition, you'll typically need to add code to the snippet. To create a table, for example, you'll need to enter the definitions for any additional columns.

## The snippet picker with a list of database object folders



## The snippet picker with the list of snippets for a table



## The CREATE TABLE snippet after it has been inserted



## Description

- Transact-SQL *snippets* help you write statements for creating database objects.
- To insert a Transact-SQL snippet, right-click in the Query Editor window and select the Insert Snippet command from the resulting menu. Then, use the *snippet picker* to select a snippet.
- To select a snippet using the snippet picker, double-click on the folder for the object you want to create, then double-click on a snippet in the list that's displayed. Alternatively, you can select the folder or snippet and then press the Tab or Enter key.
- Once a snippet has been inserted, you can replace the highlighted portions with your own code and add any other required code. To move from one highlighted portion of code to the next, press the Tab key. To move to the previous highlighted portion, press the Shift+Tab keys.

Figure 11-6    How to use snippets to create database objects

# How to use constraints

As you've already learned, you can code constraints to restrict the values that can be stored in a table. These constraints are tested before a new row is added to a table or an existing row is updated. Then, if one or more of the constraints aren't satisfied, the operation isn't performed.

The constraints you've seen so far identify a primary key or unique key column or prevent null values in a column. Now you'll learn how to code other types of constraints. In particular, you'll learn how to code constraints to validate data and to enforce referential integrity.

## An introduction to constraints

Figure 11-7 summarizes the five types of constraints provided by SQL Server. Except for NOT NULL, each of these constraints can be coded at either the column level or the table level. You've already seen how to code a primary key constraint at the column level, and you can code a unique key constraint in the same way. Now, the first example in this figure shows how to code a primary key constraint at the table level.

In this example, the primary key consists of two columns. Because of that, it can't be defined at the column level. Notice that when you code a constraint at the table level, you must code a comma at the end of the preceding column definition. If you don't, SQL Server will try to associate the constraint with the preceding column, and an error will result.

Two types of constraints you haven't seen yet are *check constraints* and *foreign key constraints*. You'll learn more about these types of constraints in the next two topics. To illustrate the difference between *column-level constraints* and *table-level constraints*, however, the second and third examples in this figure show two ways you can code the same two check constraints. The first example uses column-level constraints to limit the values in the InvoiceTotal and PaymentTotal columns to numbers greater than or equal to zero. The second example uses a compound condition to specify both constraints at the table level. Although the first technique is preferred, the second example illustrates that a table-level constraint can refer to any of the columns in a table. In contrast, a column-level constraint can refer only to the column that contains the constraint.

## Column and table constraints

| Constraint | Used as a column-level constraint | Used as a table-level constraint |
|---|---|---|
| **NOT NULL** | Prevents null values from being stored in the column. | n/a |
| **PRIMARY KEY** | Requires that each row in the table have a unique value in the column. Null values are not allowed. | Requires that each row in the table have a unique set of values over one or more columns. Null values are not allowed. |
| **UNIQUE** | Requires that each row in the table have a unique value in the column. | Requires that each row in the table have a unique set of values over one or more columns. |
| **CHECK** | Limits the values for a column. | Limits the values for one or more columns. |
| **[FOREIGN KEY] REFERENCES** | Enforces referential integrity between a column in the new table and a column in a related table. | Enforces referential integrity between one or more columns in the new table and one or more columns in the related table. |

## A statement that creates a table with a two-column primary key constraint

```
CREATE TABLE InvoiceLineItems1
(InvoiceID               INT         NOT NULL,
InvoiceSequence          SMALLINT    NOT NULL,
InvoiceLineItemAmount    MONEY       NOT NULL,
PRIMARY KEY (InvoiceID, InvoiceSequence));
```

## A statement that creates a table with two column-level check constraints

```
CREATE TABLE Invoices1
(InvoiceID      INT   NOT NULL IDENTITY PRIMARY KEY,
InvoiceTotal    MONEY NOT NULL CHECK (InvoiceTotal >= 0),
PaymentTotal    MONEY NOT NULL DEFAULT 0 CHECK (PaymentTotal >= 0));
```

## The same statement with the check constraints coded at the table level

```
CREATE TABLE Invoices2
(InvoiceID      INT   NOT NULL IDENTITY PRIMARY KEY,
InvoiceTotal    MONEY NOT NULL,
PaymentTotal    MONEY NOT NULL DEFAULT 0,
CHECK ((InvoiceTotal >= 0) AND (PaymentTotal >= 0)));
```

## Description

- *Constraints* are used to enforce the integrity of the data in a table by defining rules about the values that can be stored in the columns of the table. Constraints can be used at the column level to restrict the value of a single column or at the table level to restrict the value of one or more columns.

- You code a *column-level constraint* as part of the definition of the column it constrains. You code a *table-level constraint* as if it were a separate column definition, and you name the columns it constrains within that definition.

- Constraints are tested before a new row is added to a table or an existing row is updated. If the new or modified row meets all of the constraints, the operation succeeds. Otherwise, an error occurs and the operation fails.

Figure 11-7    An introduction to constraints

# How to use check constraints

To code a check constraint, you use the syntax presented in figure 11-8. As you can see, you code the CHECK keyword followed by the condition that the data must satisfy. This condition is evaluated as a Boolean expression. The insert or update operation that's being performed is allowed only if this expression evaluates to a True value.

The first example in this figure uses a column-level check constraint to limit the values in the InvoiceTotal column to numbers greater than zero. This is similar to the constraints you saw in the previous figure. Notice that if you try to store a negative value in this column as illustrated by the INSERT statement in this example, the system responds with an error and the insert operation is terminated.

The second example shows how you can use a check constraint to limit a column to values that have a specific format. Note that although this constraint limits the values in a single column, it's coded at the table level because it refers to a column other than the one being constrained. The first part of the condition in this check constraint uses a LIKE expression to restrict the VendorCode column to six characters, consisting of two alphabetic characters followed by four numeric characters. Then, the second part of the condition restricts the first two characters of the VendorCode column to the first two characters of the VendorName column.

In general, you should use check constraints to restrict the values in a column whenever possible. In some situations, however, check constraints can be too restrictive. As an example, consider a telephone number that's constrained to the typical "(000) 000-0000" format used for US phone numbers. The problem with this constraint is that it wouldn't let you store phone numbers with extensions (although you could store extensions in a separate column) or phone numbers with an international format.

For this reason, check constraints aren't used by all database designers. That way, the database can store values with formats that weren't predicted when the database was designed. However, this flexibility comes at the cost of allowing some invalid data. For some systems, this tradeoff is acceptable.

Keep in mind, too, that application programs that add and update data can also include data validation. In that case, check constraints may not be necessary. Because you can't always assume that an application program will check for valid data, though, you should include check constraints whenever that makes sense.

## The syntax of a check constraint

```
CHECK (condition)
```

## A column-level check constraint that limits invoices to positive amounts

### A statement that defines the check constraint

```
CREATE TABLE Invoices3
(InvoiceID        INT   NOT NULL IDENTITY PRIMARY KEY,
InvoiceTotal      MONEY NOT NULL CHECK (InvoiceTotal > 0));
```

### An INSERT statement that fails due to the check constraint

```
INSERT Invoices3
VALUES (-100);
```

### The response from the system

```
The INSERT statement conflicted with the CHECK constraint
"CK__Invoices3__Invoi__0BC6C43E". The conflict occurred in database "New_AP",
table "dbo.Invoices3", column 'InvoiceTotal'.
The statement has been terminated.
```

## A table-level check constraint that limits vendor IDs to a specific format

### A statement that defines the check constraint

```
CREATE TABLE Vendors1
(VendorCode      CHAR(6)     NOT NULL PRIMARY KEY,
VendorName        VARCHAR(50) NOT NULL,
CHECK      ((VendorCode LIKE '[A-Z][A-Z][0-9][0-9][0-9][0-9]') AND
            (LEFT(VendorCode,2) = LEFT(VendorName,2))));
```

### An INSERT statement that fails due to the check constraint

```
INSERT Vendors1
VALUES ('Mc4559','Castle Printers, Inc.');
```

### The response from the system

```
The INSERT statement conflicted with the CHECK constraint
"CK__Vendors1__164452B1". The conflict occurred in database "New_AP", table
"dbo.Vendors1".
The statement has been terminated.
```

## Description

- *Check constraints* limit the values that can be stored in the columns of a table.

- The condition you specify for a check constraint is evaluated as a Boolean expression. If the expression is true, the insert or update operation proceeds. Otherwise, it fails.

- A check constraint that's coded at the column level can refer only to that column. A check constraint that's coded at the table level can refer to any column in the table.

---

Figure 11-8     How to use check constraints

# How to use foreign key constraints

Figure 11-9 presents the syntax of a foreign key constraint, also known as a *reference constraint*. This type of constraint is used to define the relationships between tables and to enforce referential integrity.

To create a foreign key constraint at the column level, you code the REFER-ENCES keyword followed by the name of the related table and the name of the related column in parentheses. Although you can also code the FOREIGN KEY keywords, these keywords are optional and are usually omitted. After the REFERENCES clause, you can code the ON DELETE and ON UPDATE clauses. I'll have more to say about these clauses in a moment.

The first two statements in this figure show how to create two related tables. The first statement creates the primary key table, a table named Vendors9. Then, the second statement creates the foreign key table, named Invoices9. Notice that the VendorID column in this table includes a REFERENCES clause that identi-fies the VendorID column in the Vendors9 table as the related column.

The next statement in this figure is an INSERT statement that attempts to insert a row into the Invoices9 table. Because the Vendors9 table doesn't contain a row with the specified VendorID value, however, the insert operation fails.

Before I go on, you should realize that although the foreign key of one table is typically related to the primary key of another table, that doesn't have to be the case. Instead, a foreign key can be related to any unique key. For the pur-poses of this topic, though, I'll assume that the related column is a primary key column.

By default, you can't delete a row from the primary key table if related rows exist in a foreign key table. Instead, you have to delete the related rows from the foreign key table first. If that's not what you want, you can code the ON DELETE clause with the CASCADE option. Then, when you delete a row from the primary key table, the delete is *cascaded* to the related rows in the foreign key table. Because a *cascading delete* can destroy valuable data if it's used improperly, you should use it with caution.

The ON UPDATE clause is similar. If you code the CASCADE keyword in this clause, a change to the value of a primary key is automatically cascaded to the related rows in the foreign key table. Otherwise, the change isn't allowed. Since most tables are designed so their primary key values don't change, you won't usually code the ON UPDATE clause.

When you code a foreign key constraint at the column level, you relate a single column in the foreign key table to a single column in the primary key table. If the keys consist of two or more columns, however, you have to code the constraint at the table level. For example, suppose that a foreign key consists of two columns named CustomerID2 and CustomerID4 and that the foreign key is related to two columns with the same name in a table named Customers. Then, you would define the foreign key constraint like this:

```
FOREIGN KEY (CustomerID2, CustomerID4)
    REFERENCES Customers (CustomerID2, CustomerID4)
```

In this case, you must include the FOREIGN KEY keywords.

## The syntax of a column-level foreign key constraint

```
[FOREIGN KEY] REFERENCES ref_table_name (ref_column_name)
    [ON DELETE {CASCADE|NO ACTION}]
    [ON UPDATE {CASCADE|NO ACTION}]
```

## The syntax of a table-level foreign key constraint

```
FOREIGN KEY (column_name_1 [, column_name_2]...)
    REFERENCES ref_table_name (ref_column_name_1 [, ref_column_name_2]...)
    [ON DELETE {CASCADE|NO ACTION}]
    [ON UPDATE {CASCADE|NO ACTION}]
```

## A foreign key constraint defined at the column level

### A statement that creates the primary key table

```
CREATE TABLE Vendors9
(VendorID          INT NOT NULL PRIMARY KEY,
VendorName         VARCHAR(50) NOT NULL);
```

### A statement that creates the foreign key table

```
CREATE TABLE Invoices9
(InvoiceID         INT NOT NULL PRIMARY KEY,
VendorID           INT NOT NULL REFERENCES Vendors9 (VendorID),
InvoiceTotal       MONEY NULL);
```

### An INSERT statement that fails because a related row doesn't exist

```
INSERT Invoices9
VALUES (1, 99, 100);
```

### The response from the system

```
The INSERT statement conflicted with the FOREIGN KEY constraint
"FK__Invoices9__Vendo__1367E606". The conflict occurred in database
"New_AP", table "dbo.Vendors9", column 'VendorID'.
The statement has been terminated.
```

## Description

- You use the FOREIGN KEY clause to define a *foreign key constraint*, also called a *reference constraint*. A foreign key constraint defines the relationship between two tables and enforces referential integrity.

- A foreign key constraint that's coded at the column level can only relate a single column in the new table to a single column in the related table. A constraint that's coded at the table level can relate two tables by two or more columns.

- Typically, a foreign key constraint refers to the primary key of the related table. However, it can also refer to a unique key.

- The ON DELETE clause specifies what happens to rows in the table if the row in the related table with the same key value is deleted. The ON UPDATE clause specifies what happens to rows in the table if the key of the related row is updated.

- The CASCADE keyword causes the rows in this table to be deleted or updated to match the row in the related table. This is known as a *cascading delete* or a *cascading update*.

- The NO ACTION keyword prevents the row in the related table from being deleted or updated and causes an error to be raised. This usually is the preferred option.

Figure 11-9    How to use foreign key constraints

# How to change databases and tables

After you create a database, you may need to change it. For example, you may need to add a new table or index. To do that, you can use the CREATE statements that you've already learned. If you need to modify an existing table, however, or if you need to delete an existing index, table, or database, you'll need to use the statements that follow.

## How to delete an index, table, or database

Figure 11-10 presents the syntax of the three DROP statements you use to delete an index, a table, or a database. You can use these statements to delete one or more indexes, tables, or databases on the current server. Note that the syntax of the DROP INDEX statement changed with SQL Server 2005. Because the syntax that was used with previous versions of SQL Server has been deprecated, you should use the syntax shown in this figure for all new development work.

If other objects depend on the object you're trying to delete, SQL Server won't allow the deletion. For example, you can't delete a table if a foreign key constraint in another table refers to that table, and you can't delete an index if it's based on a primary key or a unique key. In addition, you can't drop a database that's currently in use.

You should also know that when you delete a table, many of the objects related to that table are deleted as well. That includes any indexes, triggers, or constraints defined for the table. In contrast, any views or stored procedures that are associated with a deleted table are not deleted. Instead, you have to delete these objects explicitly using the statements you'll learn in chapter 15.

Because the DROP statements delete objects permanently, you'll want to use them cautiously. In fact, you may want to create a backup copy of the database before using any of these statements. That way, you can restore the database if necessary.

## The syntax of the DROP INDEX statement

```
DROP INDEX index_name_1 ON table_name_1 [, index_name_2 ON table_name_2]...
```

## The syntax of the DROP TABLE statement

```
DROP TABLE table_name_1 [, table_name_2]...
```

## The syntax of the DROP DATABASE statement

```
DROP DATABASE database_name_1 [, database_name_2]...
```

## Statements that delete database objects

### A statement that deletes an index from the Invoices table

```
DROP INDEX IX_Invoices ON Invoices;
```

### A statement that deletes a table from the current database

```
DROP TABLE Vendors1;
```

### A statement that qualifies the table to be deleted

```
DROP TABLE New_AP.dbo.Vendors1;
```

### A statement that deletes a database

```
DROP DATABASE New_AP;
```

## Description

- You can use the DROP INDEX statement to delete one or more indexes from one or more tables in any database on the current server.

- You can use the DROP TABLE statement to delete one or more tables from any database on the current server. To delete a table from a database other than the current database, you must qualify the table name with the database name.

- You can use the DROP DATABASE statement to delete one or more databases from the current server.

- You can't delete a table if a foreign key constraint in another table refers to that table.

- When you delete a table, all of the data, indexes, triggers, and constraints are deleted. Any views or stored procedures associated with the table must be deleted explicitly.

- You can't delete an index that's based on a primary key or unique key constraint. To do that, you have to use the ALTER TABLE statement. See figure 11-11 for details.

## Warnings

- You can't undo a delete operation. For this reason, you may want to back up the database before you use any of these statements so you can restore it if necessary.

- You should never use these statements on a production database without first consulting the DBA.

Figure 11-10   How to delete an index, table, or database

# How to alter a table

Figure 11-11 presents the basic syntax of the ALTER TABLE statement. You can use this statement to modify an existing table in one of several ways. The clauses shown here are the ones you're most likely to use.

The first example in this figure shows how to add a new column to a table. As you can see, you code the column definition the same way you do when you create a new table: You specify the column name, followed by its data type and its attributes.

The second example shows how to drop an existing column. Note that SQL Server prevents you from dropping some columns. For example, you can't drop a column if it's the primary key column, if it's used in a check constraint or a foreign key constraint, or if an index is based on it.

The third and fourth examples show how to add constraints to a table. The third example adds a check constraint, and the fourth example adds a foreign key constraint. You can use the same technique to add a primary key or unique constraint. Note that you use this technique regardless of whether the constraint refers to a single column or to two or more columns. That's because the ALTER COLUMN clause only lets you change the data type or the NULL or NOT NULL attribute of an existing column. You can't use it to add column constraints.

When you add a table constraint, SQL Server automatically checks that existing data meets the constraint. If that's not what you want, you can include the **WITH NOCHECK** keywords in the **ALTER** statement. This is illustrated in the third example.

In addition to adding constraints, you can use the ALTER TABLE statement to delete constraints. To do that, you have to know the name of the constraint. Although you can name a constraint when you create it, you don't usually do that. That's why I didn't include that information in the syntax for creating constraints. Instead, you usually let SQL Server generate a constraint name for you. Then, if you need to delete the constraint, you can use the Management Studio as described in the next chapter to find out what name SQL Server assigned to it.

The last example shows how to modify the data type of an existing column. In this case, a column that was defined as VARCHAR(100) is changed to VARCHAR(200). Because the new data type is wider than the old data type, you can be sure that the existing data will still fit. However, that's not always the case. Because of that, SQL Server checks to be sure that no data will be lost before it changes the data type. If the change will result in a loss of data, it's not allowed.

## The basic syntax of the ALTER TABLE statement

```
ALTER TABLE table_name [WITH CHECK|WITH NOCHECK]
{ADD new_column_name data_type [column_attributes] |
 DROP COLUMN column_name |
 ALTER COLUMN column_name new_data_type [NULL|NOT NULL] |
 ADD [CONSTRAINT] new_constraint_definition |
 DROP [CONSTRAINT] constraint_name}
```

## Examples of the ALTER TABLE statement

### A statement that adds a new column

```
ALTER TABLE Vendors
ADD LastTranDate SMALLDATETIME NULL;
```

### A statement that drops a column

```
ALTER TABLE Vendors
DROP COLUMN LastTranDate;
```

### A statement that adds a new check constraint

```
ALTER TABLE Invoices WITH NOCHECK
ADD CHECK (InvoiceTotal >= 1);
```

### A statement that adds a foreign key constraint

```
ALTER TABLE InvoiceLineItems WITH CHECK
ADD FOREIGN KEY (AccountNo) REFERENCES GLAccounts(AccountNo);
```

### A statement that changes the data type of a column

```
ALTER TABLE InvoiceLineItems
ALTER COLUMN InvoiceLineItemDescription VARCHAR(200);
```

## Description

- You use the ALTER TABLE statement to modify an existing table. You can use this statement to add columns or constraints, drop columns or constraints, or change the definition of an existing column, including changing the column's data type.

- Before SQL Server changes the data type of a column, it checks to be sure that no data will be lost. If it will, the operation isn't performed.

- You can modify a column to allow null values as long as the column isn't defined as the primary key. You can modify a column so that it doesn't allow null values as long as none of the existing rows contain null values in that column.

- You can add a column that doesn't allow null values only if you specify a default value for that column.

- To delete a constraint, you must know its name. If you let SQL Server generate the name for you, you can use the Management Studio as shown in the next chapter to look up the name.

- By default, SQL Server verifies that existing data satisfies a new check or foreign key constraint. If that's not what you want, you can code the WITH NOCHECK keywords.

## Warning

- You should never alter a table in a production database without first consulting the DBA.

Figure 11-11    How to alter a table

# How to work with sequences

A *sequence* is a new type of database object with SQL Server 2012 that automatically generates a sequence of integer values. Because you can use the IDENTITY attribute for the primary key of a table to generate a simple sequence of numbers that starts with 1 and is incremented by 1, you won't typically use a sequence for that purpose. Instead, you'll use a sequence only if you want to generate a more complex sequence of numbers or if you want to share the sequence between multiple tables.

## How to create a sequence

Figure 11-12 shows how to create a sequence. Most of the time, you can create a sequence by coding the CREATE SEQUENCE statement followed by the name of the sequence and the starting value. In the first example, for instance, the CREATE SEQUENCE statement creates a sequence named TestSequence1. This sequence starts with a value of 1, is incremented by a value of 1, has minimum and maximum values that are determined by the data type (bigint by default). In addition, this sequence doesn't cycle back to the minimum value when it reaches the last number in the sequence, and it doesn't cache any sequence numbers. (Although CACHE is the default, a cache size must be specified to use it.) In most cases, these settings are adequate.

If you need to create a sequence that works differently, you can use any of the other clauses of the CREATE SEQUENCE statement to modify the sequence. For example, you can use the INCREMENT BY clause as shown in the second example to increment the sequence numbers by a value other than 1. Although you'll typically code a positive increment value to create an ascending sequence, you can also code a negative value to create a descending sequence.

The third example shows how to create a sequence using all of the optional clauses. This example generates a sequence of int values that begins with 100, is incremented by a value of 10, has a minimum value of 0, has a maximum value of 1,000,000, stores 10 values in the cache at a time, and cycles back to the beginning of the sequence when it reaches the end. Note that a sequence cycles back to the minimum value for the sequence (the maximum value for a descending sequence) even if a starting value is specified. Because of that, you'll want to be sure to specify a minimum value when you use the CYCLE keyword.

## How to use a sequence

Once you've created a sequence, you can use it in a variety of ways. For example, you can use it to specify the default value for a column in a table. You can also use it in an INSERT statement as the value for a column. This is illustrated in the second set of examples in figure 11-12. Here, the first example creates a table that contains an int column named SequenceNo. Then, the second example inserts two rows into the table. Here, the NEXT VALUE FOR

## How to create a sequence

### The syntax of the CREATE SEQUENCE statement

```
CREATE SEQUENCE sequence_name
    [AS integer_type]
    [START WITH starting_integer]
    [INCREMENT BY increment_integer]
    [{MINVALUE minimum_integer | NO MINVALUE}]
    [{MAXVALUE maximum_integer | NO MAXVALUE}]
    [{CYCLE|NOCYCLE}]
    [{CACHE cache_size|NOCACHE}]
```

### A statement that creates a sequence that starts with 1

```
CREATE SEQUENCE TestSequence1
    START WITH 1;
```

### A statement that specifies a starting value and an increment for a sequence

```
CREATE SEQUENCE TestSequence2
    START WITH 10
    INCREMENT BY 10;
```

### A statement that specifies all optional parameters for a sequence

```
CREATE SEQUENCE TestSequence3
    AS int
    START WITH 100 INCREMENT BY 10
    MINVALUE 0 MAXVALUE 1000000
    CYCLE CACHE 10;
```

## How to use a sequence

### A statement that creates a test table

```
CREATE TABLE SequenceTable(
    SequenceNo      INT,
    Description     VARCHAR(50));
```

### Statements that get the next value for a sequence

```
INSERT INTO SequenceTable
VALUES (NEXT VALUE FOR TestSequence3, 'First inserted row')
INSERT INTO SequenceTable
VALUES (NEXT VALUE FOR TestSequence3, 'Second inserted row');
```

### A statement that gets the current value of the sequence

```
SELECT current_value FROM sys.sequences WHERE name = 'TestSequence3';
```

| | current_value |
|---|---|
| 1 | 110 |

## Description

- You use the CREATE SEQUENCE statement to generate integer values for a column in one or more tables.

- By default, the CREATE SEQUENCE statement creates a sequence with the bigint data type that starts with the minimum value for the data type, is incremented by a value of 1, has minimum and maximum values based on the data type, doesn't specify the cache size, and doesn't restart the sequence when the end is reached.

- You can use the NEXT VALUE FOR function to get the next value in the sequence.

- You can query the sys.sequences table to get information about a sequence.

Figure 11-12    How to create and use a sequence

function gets the next value from the sequence named TestSequence3 so it can be inserted into the SequenceNo column.

The last example in figure 11-12 shows how to use the sys.sequences catalog view to get information about a sequence. You'll learn about catalog views in chapter 13. For now, just realize that the SELECT statement shown here retrieves the current value for the sequence from this view. If you review the starting value and the increment for the sequence, you'll see how this works.

## How to delete a sequence

When you delete a table, all indexes related to the table are also deleted. However, the sequences that are used by the table aren't deleted since they're independent of any table. As a result, if you want to delete a sequence, you must use the DROP SEQUENCE statement shown in figure 11-13. Here, the first example drops the sequence named TestSequence2 that was created in figure 11-12.

## How to alter a sequence

Once you've created a sequence, you can use the ALTER SEQUENCE statement to alter the attributes of the sequence as shown in figure 11-13. This statement is similar to the CREATE SEQUENCE statement. The two differences are that you can't change the data type for a sequence, and you use the RESTART clause to set a new starting number for the sequence. In addition, you can't set the minimum and maximum values so they don't make sense. For example, if the starting value of the sequence is 1, you can't set the minimum value to 2 without resetting the starting value so it's greater than or equal to 2. Similarly, if the current value of the sequence is 99, you can't set the maximum value to 98 without resetting the starting value so it's less than or equal to 98.

## The syntax of the DROP SEQUENCE statement

```
DROP SEQUENCE sequence_name1[, sequence_name2]...
```

## A statement that drops a sequence

```
DROP SEQUENCE TestSequence2;
```

## The syntax of the ALTER SEQUENCE statement

```
ALTER SEQUENCE sequence_name
    [RESTART [WITH starting_integer]]
    [INCREMENT BY increment_integer]
    [{MINVALUE minimum_integer | NO MINVALUE}]
    [{MAXVALUE maximum_integer | NO MAXVALUE}]
    [{CYCLE|NOCYCLE}]
    [{CACHE cache_size|NOCACHE}]
```

## A statement that alters a sequence

```
ALTER SEQUENCE TestSequence1
    INCREMENT BY 9
    MINVALUE 1 MAXVALUE 999999
    CACHE 9
    CYCLE;
```

## Description

- You can use the DROP SEQUENCE statement to delete a sequence. A sequence can't be deleted if it's used as the default value for a column.

- You can use the ALTER SEQUENCE statement to alter the attributes of a sequence. However, you can't change the data type, and you can't set the minimum and maximum values so they don't make sense.

- If you omit the WITH value of the RESTART clause, the sequence is restarted based on the current definition of the sequence.

Figure 11-13  How to delete and alter a sequence

# The script used to create the AP database

To complete this chapter, figure 11-14 presents the DDL statements that I used to create the AP database that's used in the examples throughout this book. By studying these DDL statements, you'll get a better idea of how a database is actually implemented. Note, however, that these statements are coded as part of a script. So before I describe the DDL statements, I'll introduce you to scripts.

## How the script works

In this figure, all of the DDL statements are coded as part of a *script*, which consists of one or more SQL statements that are stored in a file. This is typically the way that all of the objects for a database are created. In chapter 14, you'll learn the details of coding scripts, but here are some basic concepts.

A script consists of one or more *batches*. The script shown in the two parts of this figure, for example, consists of two batches. Each batch consists of one or more SQL statements that are executed as a unit. To signal the end of a batch and execute the statements it contains, you use the GO command. As you can see, then, the first batch shown in this figure consists of a single CREATE DATABASE statement, and the second batch consists of several CREATE TABLE and CREATE INDEX statements. Notice that a GO command isn't required at the end of the second batch, which is the last batch in this script.

To create and execute a script, you can use the Management Studio. Although you may not be aware of it, you're creating a script each time you enter a SQL statement into the Management Studio. So far, though, the scripts you've created have consisted of a single batch.

The reason for breaking a script like the one shown here into batches is that some of the statements must be executed before others can execute successfully. Before any tables can be created in the AP database, for example, the database itself must be created.

The only other statement used in this script that you're not familiar with is the USE statement. You use this statement to change the current database. That way, after the script creates the AP database, the statements that follow will operate on that database rather than on the one that's selected in the Management Studio toolbar.

## How the DDL statements work

Notice that each CREATE TABLE statement in this script lists the primary key column (or columns) first. Although this isn't required, it's a conventional coding practice. Also note that the order in which you declare the columns defines the default order for the columns. That means that when you use a SELECT * statement to retrieve all of the columns, they're returned in this order. For that reason, you'll want to define the columns in a logical sequence.

## The SQL script that creates the AP database                    Page 1

```
CREATE DATABASE AP;
GO

USE AP;
CREATE TABLE Terms
(TermsID                INT          NOT NULL PRIMARY KEY,
TermsDescription        VARCHAR(50)  NOT NULL,
TermsDueDays            SMALLINT     NOT NULL);

CREATE TABLE GLAccounts
(AccountNo              INT          NOT NULL PRIMARY KEY,
AccountDescription      VARCHAR(50)  NOT NULL);

CREATE TABLE Vendors
(VendorID               INT          NOT NULL IDENTITY PRIMARY KEY,
VendorName              VARCHAR(50)  NOT NULL,
VendorAddress1          VARCHAR(50)  NULL,
VendorAddress2          VARCHAR(50)  SPARSE NULL,
VendorCity              VARCHAR(50)  NOT NULL,
VendorState             CHAR(2)      NOT NULL,
VendorZipCode           VARCHAR(20)  NOT NULL,
VendorPhone             VARCHAR(50)  NULL,
VendorContactLName      VARCHAR(50)  NULL,
VendorContactFName      VARCHAR(50)  NULL,
DefaultTermsID          INT          NOT NULL
                        REFERENCES Terms(TermsID),
DefaultAccountNo        INT          NOT NULL
                        REFERENCES GLAccounts(AccountNo));
```

## Basic script concepts

- Instead of creating database objects one at a time, you can write a *script* that contains all of the statements needed to create the database and its tables and indexes.

- A script is a set of one or more *batches* that can be stored in a file. A batch is a sequence of SQL statements that are executed as a unit. You can use the Management Studio to create and execute script files.

- The GO command signals the end of the batch and causes all of the statements in the batch to be executed. You should issue a GO command when the execution of the next statement depends on the successful completion of the previous statements.

- SQL Server executes the last batch in a script automatically, so a final GO command isn't required.

- To change the current database within a script, you use the USE statement.

## Note

- The Terms and GLAccounts tables are created first so the other tables can define foreign keys that refer to them. Similarly, the Vendors table is created before the Invoices table, and the Invoices table is created before the InvoiceLineItems table (see part 2).

Figure 11-14    The script used to create the AP database (part 1 of 2)

Also notice that most of the columns in this database are assigned the NOT NULL constraint. The exceptions are the VendorAddress1, VendorAddress2, VendorPhone, VendorContactLName, and VendorContactFName columns in the Vendors table and the PaymentDate column in the Invoices table. Because not all vendor addresses will require two lines and because some vendors won't provide a street address at all, a null value can be assigned to both address columns to indicate that they're not applicable. Similarly, you may not have a phone number and contact information for each vendor. For this reason, you could assign a null value to one of these columns to indicate an unknown value. Finally, an invoice wouldn't be assigned a payment date until it was paid. Until that time, you could assign a null value to the PaymentDate column to indicate that it hasn't been paid.

I could also have used a default date to indicate an unpaid invoice. To do that, I could have defined the PaymentDate column like this:

```
PaymentDate SMALLDATETIME NOT NULL DEFAULT '1900-01-01'
```

In this case, the date January 1, 1900 would be stored in the PaymentDate column unless another value was assigned to that column. Usually, a null value is a more intuitive representation of an unknown value than a default such as this, but either representation is acceptable. Keep in mind, though, that the technique you use will affect how you query the table.

Since at least 60% of the values for the VendorAddress2 column are likely to contain a null value, this column uses the SPARSE attribute to optimize storage of null values. Although this attribute isn't critical, it can significantly reduce the amount of storage required for this column.

Because each of the five tables in this database has a primary key, SQL Server creates a clustered index for each table based on that key. In addition, this script creates seven additional indexes to improve the performance of the database. The first five of these indexes are based on the foreign keys that each referring table uses to relate to another table. For example, since the VendorID column in the Invoices table references the VendorID column in the Vendors table, I created a nonclustered index on VendorID in the Invoices table. Similarly, I created indexes for TermsID in the Invoices table, DefaultTermsID and DefaultAccountNo in the Vendors table, and AccountNo in the InvoiceLineItems table. Finally, I created indexes for the VendorName column in the Vendors table and the InvoiceDate column in the Invoices table because these columns are frequently used to search for rows in these tables.

As you may have noticed, I created an index for each column that appears in a foreign key constraint except one: the InvoiceID column in the InvoiceLineItems table. Since this column is part of the composite primary key for this table, it's already included in the clustered index. For this reason, the addition of a nonclustered index on InvoiceID by itself won't improve performance.

**The SQL script that creates the AP database** **Page 2**

```
CREATE TABLE Invoices
(InvoiceID              INT            NOT NULL IDENTITY PRIMARY KEY,
 VendorID               INT            NOT NULL
                        REFERENCES Vendors(VendorID),
 InvoiceNumber          VARCHAR(50)    NOT NULL,
 InvoiceDate            SMALLDATETIME  NOT NULL,
 InvoiceTotal           MONEY          NOT NULL,
 PaymentTotal           MONEY          NOT NULL DEFAULT 0,
 CreditTotal            MONEY          NOT NULL DEFAULT 0,
 TermsID                INT            NOT NULL
                        REFERENCES Terms(TermsID),
 InvoiceDueDate         SMALLDATETIME  NOT NULL,
 PaymentDate            SMALLDATETIME  NULL);

CREATE TABLE InvoiceLineItems
(InvoiceID              INT            NOT NULL
                        REFERENCES Invoices(InvoiceID),
 InvoiceSequence        SMALLINT       NOT NULL,
 AccountNo              INT            NOT NULL
                        REFERENCES GLAccounts(AccountNo),
 InvoiceLineItemAmount  MONEY          NOT NULL,
 InvoiceLineItemDescription VARCHAR(100) NOT NULL,
 PRIMARY KEY (InvoiceID, InvoiceSequence));

CREATE INDEX IX_Invoices_VendorID
    ON Invoices (VendorID);
CREATE INDEX IX_Invoices_TermsID
    ON Invoices (TermsID);
CREATE INDEX IX_Vendors_TermsID
    ON Vendors (DefaultTermsID);
CREATE INDEX IX_Vendors_AccountNo
    ON Vendors (DefaultAccountNo);
CREATE INDEX IX_InvoiceLineItems_AccountNo
    ON InvoiceLineItems (AccountNo);
CREATE INDEX IX_VendorName
    ON Vendors (VendorName);
CREATE INDEX IX_InvoiceDate
    ON Invoices (InvoiceDate DESC);
```

## Notes

- The InvoiceLineItems table has a composite primary key that consists of the InvoiceID and InvoiceSequence columns. For this reason, the PRIMARY KEY constraint must be defined as a table-level constraint.
- In addition to the five indexes that SQL Server automatically creates for the primary key of each table, this script creates seven additional indexes. The first five are indexes for the foreign keys that are used in the REFERENCES constraints. The last two create indexes on the VendorName column and the InvoiceDate column since these columns are used frequently in search conditions.

Figure 11-14 The script used to create the AP database (part 2 of 2)

# Perspective

Now that you've completed this chapter, you should be able to create and modify databases, tables, and indexes by coding DDL statements. This provides a valuable background for working with any database. In practice, though, you may sometimes want to use the Management Studio to perform the functions that are done by DDL statements, so that's what you'll learn to do in the next chapter.
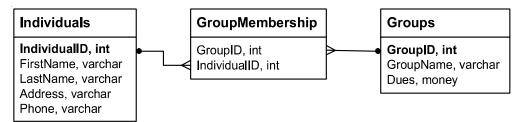
# Terms

| | |
|---|---|
| data definition language (DDL) | column-level constraint |
| database objects | table-level constraint |
| identifier | check constraint |
| transaction log file | foreign key constraint |
| attach a database | reference constraint |
| full-table index | cascading delete |
| filtered index | cascading update |
| snippet | sequence |
| snippet picker | script |
| constraint | batch |

## Exercises

1.  Create a new database named Membership.

2.  Write the CREATE TABLE statements needed to implement the following design in the Membership database. Include foreign key constraints. Define IndividualID and GroupID as identity columns. Decide which columns should allow null values, if any, and explain your decision. Define the Dues column with a default of zero and a check constraint to allow only positive values.

| **Individuals** | **GroupMembership** | **Groups** |
|---|---|---|
| **IndividualID, int**<br>FirstName, varchar<br>LastName, varchar<br>Address, varchar<br>Phone, varchar | GroupID, int<br>IndividualID, int | **GroupID, int**<br>GroupName, varchar<br>Dues, money |

3.  Write the CREATE INDEX statements to create a clustered index on the GroupID column and a nonclustered index on the IndividualID column of the GroupMembership table.

4.  Write an ALTER TABLE statement that adds a new column, DuesPaid, to the Individuals table. Use the bit data type, disallow null values, and assign a default Boolean value of False.

5.  Write an ALTER TABLE statement that adds two new check constraints to the Invoices table of the AP database. The first should allow (1) PaymentDate to be null only if PaymentTotal is zero and (2) PaymentDate to be not null only if PaymentTotal is greater than zero. The second constraint should prevent the sum of PaymentTotal and CreditTotal from being greater than InvoiceTotal.

6.  Delete the GroupMembership table from the Membership database. Then, write a CREATE TABLE statement that recreates the table, this time with a unique constraint that prevents an individual from being a member in the same group twice.

# How to use the Management Studio for database design

Now that you've learned how to code all of the essential SQL statements for data definition, you're ready to learn how to use the Management Studio to generate this code for you. The Management Studio makes it easy to perform common tasks, and you'll use it frequently to create, modify, and delete the objects of a database. Once you learn how to use the Management Studio to create or modify the design of a database, you can decide when it makes sense to use it and when it makes sense to code the DDL statements yourself.

# How to work with a database

In chapter 2, you learned how to use the Management Studio to attach the files for an existing database to the SQL Server engine. Now, you'll learn how to use the Management Studio to create a new database from scratch.

## How to create a database

Figure 12-1 shows the New Database dialog box that's used to create a new database. To display this dialog box, you can start the Management Studio, right-click on the Databases folder, and select the New Database command. Then, you can use the New Database dialog box to enter a name for the database. When you do, the names for the data and log files are automatically updated in the Database Files pane. In this figure, for example, I entered New_AP for the database name. As a result, the New Database dialog box automatically changed the name for the data file to New_AP, and it changed the name of the log file to New_AP_log. Although it's possible to alter these names, I recommend using this naming convention.
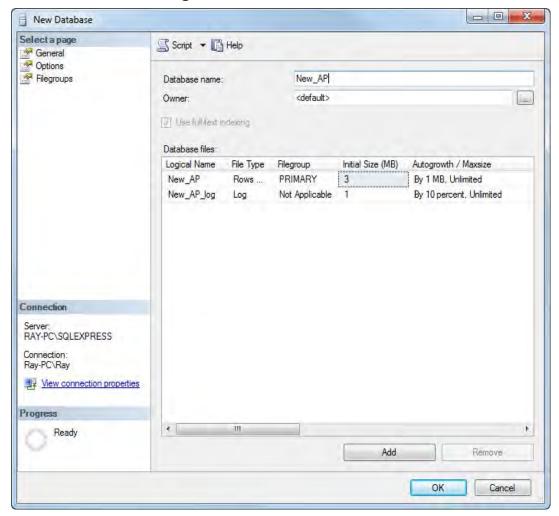
Since the default properties for a database are set correctly for most databases, that's usually all you need to do to create a new database. However, if necessary, you can use the New Database dialog box to change any default properties that are used by SQL Server. If you want to change the initial size for the data file for a database, you can click in the Initial Size column and enter a new initial size. If you want to change the owner of the database from the default owner, you can click on the button to the right of the Owner text box. If you want to change other properties, you can display the Options page and change the properties that are available from this page. And so on.

By default, the data and log files for a new database are stored in the directory shown in this figure, which is usually what you want. If it isn't, you can detach, move, and reattach the database files as described in chapter 2.

## How to delete a database

If you want to delete a database, you can right-click on the database in the Management Studio, select the Delete command, and click OK in the resulting dialog box. Keep in mind, however, that this permanently deletes the data and log files for the database. Because of that, you may want to create a backup copy of the database before you delete it as described in chapter 2. That way, you can restore the database later if necessary. Alternatively, you may want to detach the database from the server instead of deleting it. That way, the database files aren't deleted and can be attached again later if necessary.

## The New Database dialog box



## The default directory for SQL Server 2012 databases

```
C:\Program Files\Microsoft SQL Server\MSSQL11.SQLEXPRESS\MSSQL\DATA
```

## How to create a new database

- To create a new database, right-click on the Databases folder in the Management Studio and select the New Database command to display the New Database dialog box shown above. Then, enter a name for the database. This updates the names for the data and log files that are displayed in the Database Files pane. Finally, click OK to create the database and its files.

## How to delete a database

- To delete a database, expand the Databases folder, right-click on the database, and select the Delete command to display the Delete Object dialog box. Then, click OK to delete the database and its files.

Figure 12-1    How to create or delete a database

# How to work with tables

In the last chapter, you learned how to work with tables, keys, indexes, and constraints by coding DDL statements. Now you'll learn how to work with these objects by using the Management Studio.

## How to create, modify, or delete a table

Figure 12-2 shows how to use the Table Designer to create, modify, or delete a table. To start, this figure shows the Table Designer for a simple version of the Invoices table that only has four columns. Here, each column in the table is listed in the column grid that's at the top of the Table Designer. This grid includes the column name, the data type for the column, and whether the column allows null values. In addition to these properties, you can use the Column Properties pane that appears at the bottom of this window to set the other column properties. In this figure, for example, the InvoiceID column has been defined as an identity column. As you modify column properties, you'll find that the properties that are available for each column change depending on the properties that are specified in the column grid. For example, since the InvoiceDate column isn't of the int type, it can't be specified as an identity column.
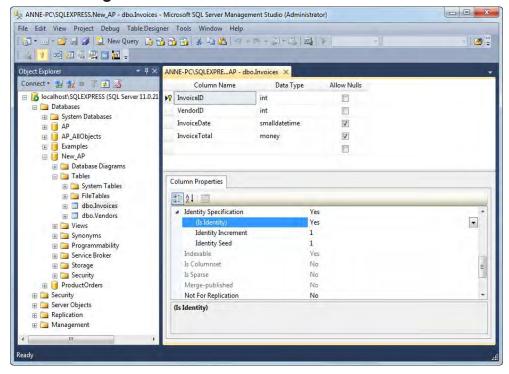
To create a new table, you can right-click on the Tables folder and select the New Table command to display a blank table in the Table Designer. Then, you can enter the column names and data types for one or more columns in the table. When you save the table for the first time, the Management Studio will prompt you with a dialog box that allows you to enter a name for the table.

To edit the design of an existing table, you can expand the Tables folder, right-click on the table, and select the Design command. This displays the table in the Table Designer. In addition, the Management Studio automatically displays the Table Designer toolbar. You can use this toolbar to work with the keys, relationships, indexes, and constraints defined by a table.

To set the primary key for a table, for example, you can click on the box to the left of the key column to select it and then click on the Set Primary Key button that's available from the Table Designer toolbar. If the key consists of two or more columns, you can hold down the Ctrl key and click multiple columns to select them. When you set the primary key, a key icon appears to the left of the key column or columns. In this figure, for example, a key icon appears to the left of the InvoiceID column.

By default, you can't use the Table Designer to modify a table in such a way that requires dropping and recreating the table. For example, you can't modify the identity column for an existing table. Since dropping the table deletes all data in the table, this isn't usually what you want. However, in some cases, you may want to allow this type of change. To do that, you can pull down the Tools menu, select the Options command, expand the Designers group, select the Table and Database Designers group, and deselect the "Prevent saving changes that require table re-creation" option.

## The Table Designer for the Invoices table



## How to create or modify the design of a table

- To create a new table, right-click on the Tables folder and select the New Table command to display a new table in the Table Designer. Then, when you click on the Save button in the toolbar, you can supply a name for the table.

- To edit the design of an existing table, expand the Tables folder, right-click on the table, and select the Design command to display the table in the Table Designer.

- To set the basic properties for each column, use the grid at the top of the Table Designer to specify the column name, data type, and whether or not the column allows nulls.

- To set other column properties, such as the identity column or a default value, use the Column Properties pane.

- To set the primary key, select the column or columns and click the Set Primary Key button in the Table Designer toolbar. Then, a key icon appears to the left of key columns.

## How to delete a table

- To delete a table, expand the Tables folder, right-click on the table, select the Delete command, and click the OK button in the Delete Object dialog box.

## Note

- When you create a table using the Management Studio, the table is automatically stored in the default schema. If you want to transfer the table to a different schema, you can use the ALTER SCHEMA statement. See chapter 18 for details.

Figure 12-2     How to create, modify, or delete a table

# How to work with foreign key relationships

Figure 12-3 shows how to specify foreign key relationships between tables. To start, you display the table that you want to contain the foreign key in the Table Designer as shown in figure 12-2. Then, you click on the Relationships button in the Table Designer toolbar to display the Foreign Key Relationships dialog box. To add a new foreign key relationship, you click on the Add button. This causes a relationship with a default name such as FK_Invoices_Invoices to be added to the list box on the left side of the dialog box.
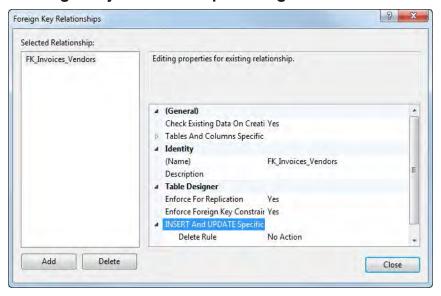
To specify the primary key table and the columns for a relationship, you use the Tables and Columns dialog box shown in this figure. Here, I specified that the VendorID column should be used as the foreign key relationship between the Invoices and Vendors tables. When I specified this relationship, the Tables and Columns dialog box automatically changed the name of the relationship to the more meaningful name of FK_Invoices_Vendors.

You can also use the Foreign Key Relationships dialog box to control how the foreign key constraint is enforced. In this figure, for example, the Enforce Foreign Key Constraint property is set to Yes so that the referential integrity between these two tables will be maintained. If this property was set to No, SQL Server would recognize but not enforce the relationship. In most cases, then, you'll want to be sure this property is set to Yes.
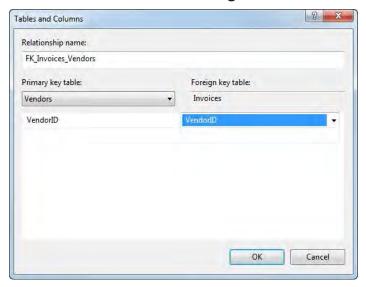
You'll also want to be sure that the Delete Rule and Update Rule properties are set the way you want them. In this figure, these properties, which appear in the INSERT and UPDATE Specification group, are set to No Action. That means that primary keys in the Vendors table can't be changed if related records exist in the Invoices table, and a row can't be deleted from the Vendors table if related rows exist in the Invoices table. In most cases, that's what you want. In other cases, though, you'll want to change these properties to Cascade so that update and delete operations are cascaded to the foreign key table.

Although these properties are the ones you're most likely to change, you can also use the Check Existing Data On Creation Or Re-Enabling property to control whether SQL Server checks existing data to be sure that it satisfies the constraint. By default, this property is set to Yes, which is usually what you want. In addition, by default, the Enforce For Replication property is set to Yes, which causes the relationship to be enforced when the database is replicated. *Replication* is a technique that's used to create multiple copies of the same database in different locations. By using replication, SQL Server can keep the various copies of a database synchronized. Because this feature is only used by DBAs for enterprise systems, a complete presentation is beyond the scope of this book.

## The Foreign Key Relationships dialog box for the Invoices table



## The Tables and Columns dialog box



## Description

- To display the Foreign Key Relationships dialog box for a table, display the table in the Table Designer and click on the Relationships button in the toolbar.

- To add a new foreign key relationship, click on the Add button. To delete an existing relationship, select the relationship and click on the Delete button.

- To specify the tables and columns that define the relationship, select the relationship, select the Tables And Columns Specification property, and click the button that appears to display the Tables and Columns dialog box. Then, use this dialog box to specify the primary key table and the appropriate columns in both tables.

- To set other properties for a relationship, select the relationship and use the properties grid to change the properties.

Figure 12-3    How to work with foreign key relationships

# How to work with indexes and keys

Figure 12-4 shows how to work with the indexes and keys of a table. To start, you display the table that contains the foreign key in the Table Designer. Then, you click on the Manage Indexes and Keys button in the Table Designer toolbar to display the Indexes/Keys dialog box. In this figure, for example, the Indexes/Keys dialog box is shown for the Vendors table.

If you have defined a primary key as described in figure 12-2, the primary key for the table is displayed in this dialog box. In this figure, for example, the primary key is named PK_Vendors. If you click on this key to select it and view its properties, you'll see that it defines a unique primary key with a clustered index.
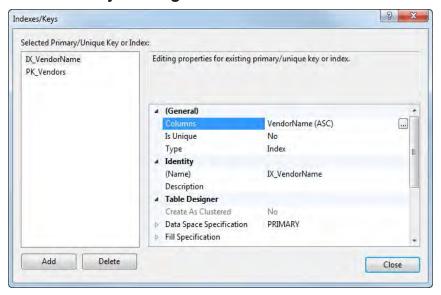
To add a new index, you can click on the Add button. This causes an index with a default name such as IX_Vendors to be added to the list box on the left side of the dialog box. Then, you can click on this index to select it, and you can set its properties. Here, you can use the Columns property to display a dialog box that allows you to specify the column or columns to index along with a sort order for each column. You can set the Type property to Index or Unique Key. If you set this property to Unique Key, the Is Unique property will automatically be set to Yes and grayed out. And finally, you can use the Name property to provide a more meaningful name for the index.

The Index/Keys dialog box in this figure shows most of the properties for an index named IX_VendorName. This index uses a nonclustered index to index the VendorName column in ascending order, and it does not require each vendor name to be unique. However, if you change the Type property to Unique Key, this index will define a unique key constraint. Then, SQL Server requires each vendor to have a unique name.

You can also create an index that enforces the uniqueness of its values without using a unique key constraint. To do that, you set the Is Unique property to Yes, and you set the Type property to Index. In most cases, though, you'll want to enforce uniqueness by setting the Type property to Unique Key.

By default, the Create As Clustered property is set to Yes for the primary key of the table, which is usually what you want. This causes the primary key to use a clustered index. Since a table can only have one clustered index, SQL Server grays out this property for all other indexes. If a table doesn't have a primary key, however, you can set the Create As Clustered property to Yes to create a clustered index for one index in the table.

## The Indexes/Keys dialog box



## Description

- To display the Indexes/Keys dialog box for a table, display the table in the Table Designer and click on the Manage Indexes and Keys button in the Table Designer toolbar.

- To add a new index, click the Add button, use the Columns property to specify the column name and sort order for each column in the index, and use the Name property to enter the name you want to use for the index.

- To view or edit an existing index, select the index from the list box on the left side of the dialog box. Then, you can view its properties on the right side of the dialog box.

- To create a unique key and an index that's based on that key, use the Type property to select the Unique Index option. When you do, the Is Unique property will automatically be set to Yes.

- To create an index without creating a unique key, use the Type property to select the Index option and set the Is Unique property to No.

- To create a clustered index, set the Create As Clustered property to Yes. If a table already contains a clustered index, this property is grayed out to show that it isn't available.

- The other options in this dialog box are used for performance tuning. In most cases, the default values for these options are acceptable. For more information, see Books Online.

Figure 12-4    How to work with indexes and keys
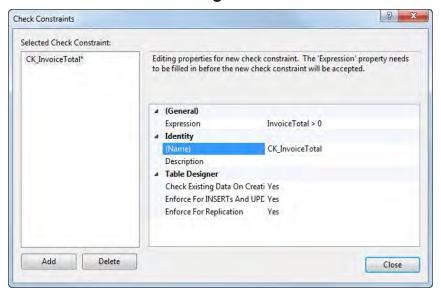
# How to work with check constraints

Figure 12-5 shows how to work with check constraints. To start, it shows the Check Constraints dialog box that you can display by clicking on the Manage Check Constraints button in the Table Designer toolbar. You can use this dialog box to modify or delete existing check constraints for a table or to add new constraints. In this figure, for example, you can see a check constraint for the Invoices table. This constraint specifies that the InvoiceTotal column must be greater than zero.

As you learned in chapter 11, when you create check constraints using DDL, you can define them at either the column level or the table level. In contrast, the check constraints you create using the Check Constraints dialog box are always defined at the table level. Because of that, a constraint can refer to any column in the table where it's defined.

The properties that are available from the Table Designer group are similar to the properties you saw in the Foreign Key Relationships dialog box. The first one determines if existing data is checked when a new constraint is created. The second one determines if constraints are enforced when rows are inserted or updated. The third one determines if constraints are enforced when the database is replicated. In most cases, you'll set all three of these properties to Yes. If you want to temporarily disable a constraint during testing, however, you can do that by setting one or more of these properties to No.

## The Check Constraints dialog box



## Description
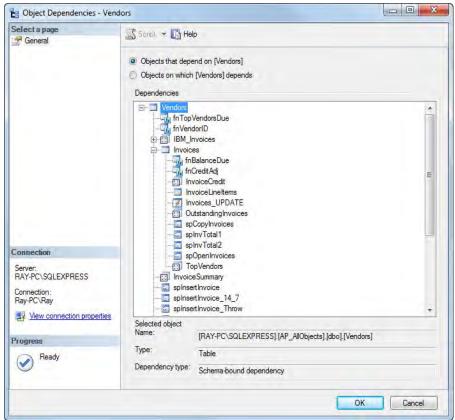
- To display the Check Constraints dialog box for a table, display the table in the Table Designer and click on the Manage Check Constraints button in the toolbar.

- To add a new constraint to the table, click the Add button. Then, you must enter the expression that defines the constraint in the Expression property, and you usually want to use the Name property to provide a meaningful name for the constraint.

- To delete a constraint, select the constraint and click the Delete button.

- To view or edit the properties for an existing constraint, select the constraint from the list that's displayed on the left side of the dialog box.

- By default, SQL Server checks existing data when you add a new check constraint to be sure it satisfies the constraint. If that's not what you want, you can set the Check Existing Data On Creation Or Re-Enabling property to No.

- By default, SQL Server enforces the constraint for insert and update operations. If that's not what you want, you can set the Enforce For INSERTs And UPDATEs property to No.

- By default, SQL Server enforces the constraint when the database is replicated. If that's not what you want, you can set the Enforce For Replication property to No.

Figure 12-5    How to work with check constraints

## How to examine table dependencies

Figure 12-6 shows how you can view the *dependencies* for a table. To do that, right-click on the table and select View Dependencies. Then, the Object Dependencies dialog box lists the objects that depend on that table. In this case, you can see that the Invoices table depends on the Vendors table, and the InvoiceLineItems table depends on the Invoices table.

Besides the dependencies that are based on the relationships between the tables, you can see that several views and stored procedures depend on the Invoices table. Before you make a change to the Invoices table, then, you'll want to consider how that change will affect these objects.

## The Object Dependencies dialog box for the Vendors table



## Description

- To view the *dependencies* for a table, right-click the table and select View Dependencies to display the Object Dependencies dialog box.

- To expand or collapse a dependency, click on the plus (+) or minus sign (-) that's displayed to the left of the dependency.

- You should check table dependencies before you delete or modify a column or an entire table.

Figure 12-6     How to examine table dependencies

# How to generate scripts

If you use the Management Studio to design a database, you may eventually need or want to generate a script that contains the DDL statements that define the database or that record the changes that you've made to a database. That way, you can save these scripts and run them later if necessary.

Fortunately, the Management Studio makes it easy to generate these types of scripts. Unfortunately, these scripts are often formatted in a way that's not easy to read. Worse, these scripts often contain DDL statements that are more complex than if you had coded them yourself. Still, by studying these DDL statements, you can learn a lot, and you can see the DDL statements that the Management Studio uses to create or alter a database.

## How to generate scripts for databases and tables

Figure 12-7 describes how you can use the Management Studio to generate a script that creates, drops, or alters most database objects including the database itself. To do that, you right-click on the appropriate database object and select the appropriate commands from the resulting menus. For example, to create a script that creates the Invoices table, right-click on the Invoices table, select the Script Table as submenu, select the CREATE To submenu, and select the New Query Editor Window command. This generates a script like the one in this figure that creates the Invoices table, and it places this script in a new Query Editor window.

If you study this script, you'll see that it uses nearly a full page of DDL statements to create a simple Invoices table that only contains four columns. In addition, this script encloses all names in square brackets ([]) even though that's not required, it qualifies table names with the default schema even though that's not required, and it uses separate ALTER TABLE statements to define the foreign key constraint, check constraints, and default constraint even though that isn't necessary. Compared with the script presented at the end of chapter 11, I think you'll agree that this script is unwieldy and difficult to read even though it's for a simple table that contains only four columns. But that's one of the downsides of using a graphical tool like the Management Studio instead of coding the DDL statements yourself.

Although the CREATE scripts are the ones that you'll want to generate most often, you can also generate other scripts such as ALTER and DROP scripts for most objects. In addition, you can generate SELECT, INSERT, UPDATE, and DELETE scripts for some objects such as tables, and you can generate EXECUTE scripts for other objects such as stored procedures. Some of these scripts are essentially templates that you'll need to modify before they become functional. Still, they can give you a good start for creating certain types of statements. With a little experimentation, you should be able to figure out how this works.

## A generated script that creates a simple Invoices table

```
USE [New_AP]
GO

SET ANSI_NULLS ON
GO

SET QUOTED_IDENTIFIER ON
GO

CREATE TABLE [dbo].[Invoices]
(
    [InvoiceID] [int] IDENTITY(1,1) NOT NULL,
    [VendorID] [int] NOT NULL,
    [InvoiceDate] [smalldatetime] NULL,
    [InvoiceTotal] [money] NULL,
    CONSTRAINT [PK_Invoices] PRIMARY KEY CLUSTERED ([InvoiceID] ASC)
    WITH (PAD_INDEX = OFF, STATISTICS_NORECOMPUTE = OFF, IGNORE_DUP_KEY = OFF,
    ALLOW_ROW_LOCKS = ON, ALLOW_PAGE_LOCKS = ON) ON [PRIMARY]
)
ON [PRIMARY]
GO

ALTER TABLE [dbo].[Invoices] ADD  CONSTRAINT [DF_Invoices_InvoiceTotal]
    DEFAULT ((0)) FOR [InvoiceTotal]
GO

ALTER TABLE [dbo].[Invoices]  WITH CHECK ADD  CONSTRAINT [FK_Invoices_Vendors]
    FOREIGN KEY([VendorID]) REFERENCES [dbo].[Vendors] ([VendorID])
GO

ALTER TABLE [dbo].[Invoices]
    CHECK CONSTRAINT [FK_Invoices_Vendors]
GO

ALTER TABLE [dbo].[Invoices]  WITH CHECK ADD  CONSTRAINT [CK_InvoiceTotal]
    CHECK  (([InvoiceTotal]>(0)))
GO

ALTER TABLE [dbo].[Invoices]
    CHECK CONSTRAINT [CK_InvoiceTotal]
GO
```

## Description

- You can use the Management Studio to generate scripts to create, drop, or alter most objects that are contained in the database. You can send each script to Management Studio's Query Editor, a file, or to the clipboard.

- To generate a script that creates a database, right-click on the database and select Script Database as➔CREATE To. Then, select New Query Editor Window, File, or Clipboard.

- To generate a script that creates a table, right-click on the table and select Script Table as➔CREATE To. Then, select New Query Editor Window, File, or Clipboard.

Figure 12-7     How to generate scripts for databases and tables
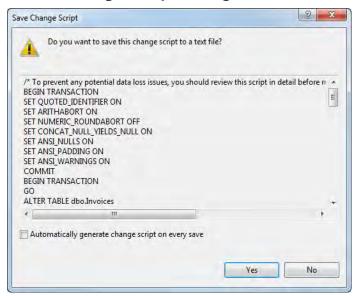
If you experiment with scripts, you'll find that you can send them to a new Query Editor window, to a file, or to the clipboard. Most of the time, it's easiest to send the generated script to a Query Editor window. Then, you can review the script before you run it, save it, or copy it to the clipboard. In some cases, though, you may want to send a script directly to a file without viewing it first. Or, you may want to send the script to the clipboard without viewing it first so you can paste it into another tool and use that tool to view, save, or run the script.

# How to generate a change script
# when you modify a table

When you use the Table Designer to modify the design of a table, a Generate Change Script button becomes available in the Table Designer toolbar. If you want, you can click on this button to display the Save Change Script dialog box shown in figure 12-8. Then, you can use this dialog box to examine or save the SQL script that the Management Studio uses to alter the table. Most of the time, this isn't necessary. However, if you want to keep a permanent record of the change, or if you want to apply the change to other databases, you can save this script. Then, if necessary, you can run it against other databases.

If you want to generate and save a change script every time you modify a table, you can check the Automatically Generate Change Script On Every Save option. Then, every time you attempt to save changes to the design of a table, the Management Studio will prompt you with a Save Change Script dialog box like the one in this figure. To save the script, you can click Yes and respond to the resulting Save dialog box.

## The Save Change Script dialog box



## Description

- If you use the Table Designer to modify the design of a table, you can click the Generate Change Script button in the toolbar to display the Save Change Script dialog box shown above. You can use this dialog box to examine or save the SQL script that the Management Studio uses to alter the table.

- If you check the Automatically Generate Change Script On Every Save box, the Management Studio will prompt you with a Save Change Script dialog box each time you attempt to save changes to the design of a table.

Figure 12-8     How to generate a change script when you modify a table

# Perspective

In this chapter, you learned how to use the Management Studio to create and work with database objects, such as tables, keys, indexes, and constraints as well as the database itself. Now that you know how to use the Management Studio to work with the design of a database, you may be wondering when you should use it for database design and when you should code the DDL statements yourself.

Although it's often a matter of preference, most SQL programmers find it easier to use the Management Studio to create and work with database objects. That way, they don't have to worry about the exact syntax of the DDL statements, which they may use infrequently.
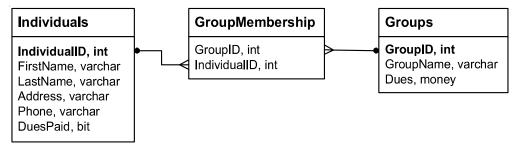
However, some experienced database programmers prefer to enter the DDL statements themselves. That way, they have more control over how these scripts are coded. In addition, they can save a copy of the script that creates the database for future reference, which may be helpful if the database design ever needs to be ported to another type of database management system such as Oracle, DB2, or MySQL.

## Terms

Table Designer
replication
dependencies

## Exercises

1.  Use the Management Studio to create a new database called Membership2 using the default settings. (If the database already exists, use the Management Studio to delete it and then recreate it.)

2.  Use the Management Studio to create the following tables and relationships in the Membership database. Define IndividualID and GroupID as IDENTITY columns. Allow Address and Phone to accept null values; none of the other columns should allow null values. Define the Dues column with a default of zero and a check constraint to allow only positive values. Define the DuesPaid column with a default Boolean value of False.

| Individuals | GroupMembership | Groups |
|---|---|---|
| **IndividualID, int** | GroupID, int | **GroupID, int** |
| FirstName, varchar | IndividualID, int | GroupName, varchar |
| LastName, varchar | | Dues, money |
| Address, varchar | | |
| Phone, varchar | | |
| DuesPaid, bit | | |

3.  Use the Management Studio to index the GroupMembership table. Create a clustered index on the GroupID column, a nonclustered index on the IndividualID column, and a unique index and constraint on both columns.

# Section 4

# Advanced SQL skills

This section teaches SQL skills that go beyond the essentials. After you read all of the chapters in this section, you'll have the skills of a professional SQL programmer. To make these chapters as easy to use as possible, they're designed as independent modules. That means that you can read them in any order you prefer.

In chapter 13, you can learn how to work with views, which let you simplify and restrict access to the data in a database. In chapter 14, you can learn how to use scripts to control the processing of SQL statements that you execute from a client tool like the Management Studio. Scripts are just one type of procedural program you can create in SQL Server. In chapter 15, you can learn how to use the other types of procedural programs: stored procedures, triggers, and functions.

In chapter 16, you can learn about cursors, which let you work with one row in a result set at a time. In chapter 17, you can learn how to use transactions and locking to prevent data errors in a multi-user environment. In chapter 18, you can learn how to secure a database to restrict who has access to it. In chapter 19, you can learn how to work with XML. And in chapter 20, you can learn how to work with large binary values such as images, sound, and video.

# 13

# How to work with views

As you've seen throughout this book, SELECT queries can be complicated, particularly if they use multiple joins, subqueries, or complex functions. Because of that, you may want to save the queries you use regularly. One way to do that is to store the statement in a file using the Management Studio. Another way is to create a view.

Unlike a file you create with the Management Studio, a view is stored as part of the database. That means it can be used not only by SQL programmers, but by users and application programs that have access to the database. This provides some distinct advantages over using tables directly, as you'll see in this chapter.

# An introduction to views

In chapter 1, you learned the basics of how views work. In the next topic, then, I'll just review this information. Then, I'll present some of the benefits of views so you'll know when and why you should use them.

## How views work

A *view* is a SELECT statement that's stored with the database. To create a view, you use a CREATE VIEW statement like the one shown in figure 13-1. This statement creates a view named VendorsMin that retrieves the VendorName, VendorState, and VendorPhone columns from the Vendors table.

You can think of a view as a virtual table that consists only of the rows and columns specified in its CREATE VIEW statement. The table or tables that are listed in the FROM clause are called the *base tables* for the view. Since the view refers back to the base tables, it doesn't store any data itself, and it always reflects the most current data in the base tables.

To use a view, you refer to it from another SQL statement. The SELECT statement in this figure, for example, uses the VendorsMin view in the FROM clause instead of a table. As a result, this SELECT statement extracts its result set from the virtual table that the view represents. In this case, all the rows for vendors in California are retrieved from the view.

Because a view is stored as an object in a database, it can be used by anyone who has access to the database. That includes users who have access to the database through end-user programs such as programs that provide for ad hoc queries and report generation, and application programs that are written specifically to work with the data in the database. In fact, views are often designed to be used with these types of programs. In the next topic, you'll learn why.

## A CREATE VIEW statement for a view named VendorsMin

```
CREATE VIEW VendorsMin AS
    SELECT VendorName, VendorState, VendorPhone
    FROM Vendors;
```

## The virtual table that's represented by the view

| | VendorName | VendorState | VendorPhone |
|---|---|---|---|
| 1 | US Postal Service | WI | (800) 555-1205 |
| 2 | National Information Data Ctr | DC | (301) 555-8950 |
| 3 | Register of Copyrights | DC | NULL |
| 4 | Jobtrak | CA | (800) 555-8725 |
| 5 | Newbrige Book Clubs | NJ | (800) 555-9980 |
| 6 | California Chamber Of Commerce | CA | (916) 555-6670 |
| 7 | Towne Advertiser's Mailing Svcs | CA | NULL |
| 8 | BFI Industries | CA | (559) 555-1551 |

**(122 rows)**

## A SELECT statement that uses the VendorsMin view

```
SELECT * FROM VendorsMin
WHERE VendorState = 'CA'
ORDER BY VendorName;
```

## The result set that's returned by the SELECT statement

| | VendorName | VendorState | VendorPhone |
|---|---|---|---|
| 1 | Abbey Office Furnishings | CA | (559) 555-8300 |
| 2 | American Express | CA | (800) 555-3344 |
| 3 | ASC Signs | CA | NULL |
| 4 | Aztek Label | CA | (714) 555-9000 |
| 5 | Bertelsmann Industry Svcs. Inc | CA | (805) 555-0584 |
| 6 | BFI Industries | CA | (559) 555-1551 |
| 7 | Bill Jones | CA | NULL |
| 8 | Bill Marvin Electric Inc | CA | (559) 555-5106 |

**(75 rows)**

## Description

- A *view* consists of a SELECT statement that's stored as an object in the database. The tables referenced in the SELECT statement are called the *base tables* for the view.

- When you create a view, the query on which it's based is optimized by SQL Server before it's saved in the database. Then, you can refer to the view anywhere you would normally use a table in any of the data manipulation statements: SELECT, INSERT, UPDATE, and DELETE.

- Although a view behaves like a virtual table, it doesn't store any data. Since the view refers back to its base tables, it always returns current data.

- A view can also be referred to as a *viewed table* because it provides a view to the underlying base tables.

Figure 13-1    How views work

# Benefits of using views

Figure 13-2 describes some of the advantages of using views. To start, the data that you access through a view isn't dependent on the structure of the database. To illustrate, suppose a view refers to a table that you've decided to divide into two tables. To accommodate this change, you simply modify the view; you don't have to modify any statements that refer to the view. That means that users who query the database using the view don't have to be aware of the change in the database structure, and application programs that use the view don't have to be modified.

You can also use views to restrict access to a database. To do that, you include just the columns and rows you want a user or application program to have access to in the views. Then, you let the user or program access the data only through the views. The view shown in this figure, for example, restricts access to a table that contains information on investors. In this case, the view provides access to name and address information that might be needed by the support staff that maintains the table. In contrast, another view that includes investment information could be used by the consultants who manage the investments.

Views are also flexible. Because views can be based on almost any SELECT statement, they can be used to provide just the data that's needed for specific situations. In addition, views can hide the complexity of a SELECT statement. That makes it easier for end users and application programs to retrieve the data they need. Finally, views can be used not only to retrieve data, but to modify data as well. You'll see how that works later in this chapter.

## Some of the benefits provided by views

| Benefit | Description |
|---------|-------------|
| Design independence | Data that's accessed through a view is independent of the underlying database structure. That means that you can change the design of a database and then modify the view as necessary so that the queries that use it don't need to be changed. |
| Data security | You can create views that provide access only to the data that specific users are allowed to see. |
| Flexibility | You can create custom views to accommodate different needs. |
| Simplified queries | You can create views that hide the complexity of retrieval operations. Then, the data can be retrieved using simple SELECT statements. |
| Updatability | With certain restrictions, a view can be used to update, insert, and delete data from a base table. |

## The data in a table of investors

| | InvestorID | LastName | FirstName | Address | City | State | ZipCode | Phone | Investments | NetGain |
|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 1 | Anders | Maria | 345 Winchell Pl | Anderson | IN | 46014 | (765) 555-7878 | 15000.00 | 1242.57 |
| 2 | 2 | Trujilo | Ana | 1298 E Smathers St. | Benton | AR | 72018 | (510) 555-7733 | 43500.00 | 8497.44 |
| 3 | 3 | Moreno | Antonio | 6925 N Parkland Ave. | Puyallup | WA | 98373 | (253) 555-8332 | 22900.00 | 2338.87 |
| 4 | 4 | Hardy | Thomas | 83 d'Urberville Ln. | Casterbridge | GA | 31209 | (478) 555-1139 | 5000.00 | -245.69 |
| 5 | 5 | Berglund | Christina | 22717 E 73rd Ave. | Dubuque | IA | 52004 | (319) 555-1139 | 11750.00 | 865.77 |

`(5 rows)`

## A view that restricts access to certain columns

```
CREATE VIEW InvestorsGeneral
AS
SELECT InvestorID, LastName, FirstName, Address,
    City, State, ZipCode, Phone
FROM Investors;
```

## The data retrieved by the view

| | InvestorID | LastName | FirstName | Address | City | State | ZipCode | Phone |
|---|---|---|---|---|---|---|---|---|
| 1 | 1 | Anders | Maria | 345 Winchell Pl | Anderson | IN | 46014 | (765) 555-7878 |
| 2 | 2 | Trujilo | Ana | 1298 E Smathers St. | Benton | AR | 72018 | (510) 555-7733 |
| 3 | 3 | Moreno | Antonio | 6925 N Parkland Ave. | Puyallup | WA | 98373 | (253) 555-8332 |
| 4 | 4 | Hardy | Thomas | 83 d'Urberville Ln. | Casterbridge | GA | 31209 | (478) 555-1139 |
| 5 | 5 | Berglund | Christina | 22717 E 73rd Ave. | Dubuque | IA | 52004 | (319) 555-1139 |

`(5 rows)`

## Description

- You can create a view based on almost any SELECT statement. That means that you can code views that join tables, summarize data, and use subqueries and functions.
- You can restrict access to the data in a table by including selected columns in the SELECT clause for a view, or by including a WHERE clause in the SELECT statement so that only selected rows are retrieved by the view.

Figure 13-2    Benefits of using views

# How to create and manage views

Now that you understand how views work and what benefits they provide, you're ready to learn how to create and manage them. That's what you'll learn in the topics that follow.

## How to create a view

Figure 13-3 presents the CREATE VIEW statement you use to create a view. In its simplest form, you code the name of the view in the CREATE VIEW clause followed by the AS keyword and the SELECT statement that defines the view. The statement shown in this figure, for example, creates a view named VendorShortList. This view includes selected columns from the Vendors table for all vendors with invoices. When this statement is executed, the view is added to the current database and a message like the one shown in this figure is displayed to indicate that the statement was successful.

Because a SELECT statement can refer to a view, the SELECT statement you code within the definition of a view can also refer to another view. In other words, views can be *nested*. I recommend you avoid using nested views, however, because the dependencies between tables and views can become confusing, which can make problems difficult to locate.

The SELECT statement for a view can use any of the features of a normal SELECT statement with two exceptions. First, it can't include an ORDER BY clause unless it also uses a TOP clause or the OFFSET and FETCH clauses. That means that if you want to sort the result set that's extracted from a view, you have to include an ORDER BY clause in the SELECT statement that refers to the view. Second, it can't include the INTO keyword. That's because a view can't be used to create a permanent table.

By default, the columns in a view are given the same names as the columns in the base tables. If a view contains a calculated column, however, you'll want to name that column just as you do in other SELECT statements. In addition, you'll need to rename columns from different tables that have the same name. To do that, you can use the AS clause in the SELECT statement or you can code the column names in the CREATE VIEW clause. You'll see examples of both of these techniques in the next figure.

The CREATE VIEW statement also provides three optional clauses: WITH ENCRYPTION, WITH SCHEMABINDING, and WITH CHECK OPTION. The WITH ENCRYPTION clause prevents other users from examining the SELECT statement on which the view is based. In general, though, you don't need to use this option unless your system requires enhanced security.

The WITH SCHEMABINDING clause protects a view by binding it to the database structure, or *schema*. This prevents the underlying base tables from being deleted or modified in any way that affects the view. You'll typically use this option for production databases, but not for databases you're using for testing.

The WITH CHECK OPTION clause prevents a row in a view from being updated if that would cause the row to be excluded from the view. I'll have more to say about this clause in the topic on updating rows using a view.

## The syntax of the CREATE VIEW statement

```
CREATE VIEW view_name [(column_name_1 [, column_name_2]...)]
[WITH {ENCRYPTION|SCHEMABINDING|ENCRYPTION,SCHEMABINDING}]
AS
select_statement
[WITH CHECK OPTION]
```

## A CREATE VIEW statement that creates a view of vendors that have invoices

```
CREATE VIEW VendorShortList
AS
SELECT VendorName, VendorContactLName, VendorContactFName, VendorPhone
FROM Vendors
WHERE VendorID IN (SELECT VendorID FROM Invoices);
```

### The response from the system

```
Command(s) completed successfully.
```

## Description

- You use the CREATE VIEW statement to create a view. The name you give the view must not be the same as the name of any existing table or view.

- The SELECT statement within the view can refer to as many as 256 tables, and it can use any valid combination of joins, unions, or subqueries.

- You can create a view that's based on another view rather than on a table, called a *nested view*. SQL Server views can be nested up to 32 levels deep.

- The SELECT statement for a view can't include an INTO clause, and it can't include an ORDER BY clause unless the TOP clause or the OFFSET and FETCH clauses are also used. To sort the rows in a view, you have to include the ORDER BY clause in the SELECT statement that uses the view.

- You can name the columns in a view by coding a list of names in parentheses following the view name or by coding the new names in the SELECT clause. A column must be named if it's calculated from other columns or if a column with the same name already exists. Otherwise, the name from the base table can be used.

- You can use the WITH ENCRYPTION clause to keep users from examining the SQL code that defines the view.

- You can use the WITH SCHEMABINDING clause to bind a view to the *database schema*. Then, you can't drop the tables on which the view is based or modify the tables in a way that would affect the view.

- If you include the WITH SCHEMABINDING clause, you can't use the all columns operator (*) in the SELECT statement. In addition, you must qualify the names of tables and views in the FROM clause with the name of the schema that contains them.

- You can use the WITH CHECK OPTION clause to prevent a row from being updated through a view if it would no longer be included in the view. See figure 13-7 for details.

Figure 13-3   How to create a view

# Examples that create views

To help you understand the flexibility that views provide, figure 13-4 presents several CREATE VIEW statements. The first statement creates a view that joins data from the Vendors and Invoices tables. The second statement creates a view that retrieves the top five percent of invoices in the Invoices table. Notice that this SELECT statement includes an ORDER BY clause that sorts the rows in descending sequence so the invoices with the largest amounts are retrieved. This is one of only two cases in which you can use the ORDER BY clause. The other is if you include the OFFSET and FETCH clauses on the ORDER BY clause.

The third and fourth statements illustrate the two ways that you can name the columns in a view. In both cases, the SELECT statement retrieves a calculated column, so a name must be assigned to this column. The third statement shows how you would do this using the CREATE VIEW clause. Notice that even if you only want to name one column, you have to include the names for all the columns even if they're the same as the names in the base tables. In contrast, if you name this column in the SELECT clause as shown in the fourth example, you can let the other column names default to the column names in the base table. Since this syntax is easier to use, you'll use it most of the time. Keep in mind, though, that the ANSI standards don't support this syntax for naming view columns.

The fifth statement creates a view that summarizes the rows in the Invoices table by vendor. This illustrates the use of the aggregate functions and the GROUP BY clause in a view. In this case, the rows are grouped by vendor name, and a count of the invoices and the invoice total are calculated for each vendor.

Like the first statement, the last statement joins data from the Vendors and Invoices table. Unlike the first statement, though, this statement includes the WITH SCHEMABINDING clause. That means that neither the Vendors nor the Invoices table can be deleted without first deleting the view. In addition, no changes can be made to these tables that would affect the view. Notice that the table names in the FROM clause are qualified with the name of the table's schema, in this case, dbo. If you include the WITH SCHEMABINDING clause, you must qualify the table names in this way.

## A CREATE VIEW statement that uses a join

```
CREATE VIEW VendorInvoices
AS
SELECT VendorName, InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Vendors JOIN Invoices ON Vendors.VendorID = Invoices.VendorID;
```

## A CREATE VIEW statement that uses TOP and ORDER BY clauses

```
CREATE VIEW TopVendors
AS
SELECT TOP 5 PERCENT VendorID, InvoiceTotal
FROM Invoices
ORDER BY InvoiceTotal DESC;
```

## Two CREATE VIEW statements that name the columns in a view

### A statement that names all the view columns in its CREATE VIEW clause

```
CREATE VIEW OutstandingInvoices
    (InvoiceNumber, InvoiceDate, InvoiceTotal, BalanceDue)
AS
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

### A statement that names just the calculated column in its SELECT clause

```
CREATE VIEW OutstandingInvoices
AS
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

## A CREATE VIEW statement that summarizes invoices by vendor

```
CREATE VIEW InvoiceSummary
AS
SELECT VendorName, COUNT(*) AS InvoiceQty, SUM(InvoiceTotal) AS InvoiceSum
FROM Vendors JOIN Invoices ON Vendors.VendorID = Invoices.VendorID
GROUP BY VendorName;
```

## A CREATE VIEW statement that uses the WITH SCHEMABINDING option

```
CREATE VIEW VendorsDue
WITH SCHEMABINDING
AS
SELECT InvoiceDate AS Date, VendorName AS Name,
    VendorContactFName + ' ' + VendorContactLName AS Contact,
    InvoiceNumber AS Invoice,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM dbo.Vendors JOIN dbo.Invoices
    ON Vendors.VendorID = Invoices.VendorID
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

## Note

* If you name the columns of a view in the CREATE VIEW clause, you have to name all of the columns. In contrast, if you name the columns in the SELECT clause, you can name just the columns you need to rename.

Figure 13-4    CREATE VIEW examples

## How to create an updatable view

Once you create a view, you can refer to it in a SELECT statement as you saw in figure 13-1. In addition, you can refer to it in INSERT, UPDATE, and DELETE statements to modify an underlying table. To do that, the view must be updatable. Figure 13-5 lists the requirements for creating *updatable views*.

The first three requirements have to do with what you can code in the select list of the SELECT statement that defines the view. As you can see, the select list can't include the DISTINCT or TOP clause, it can't include aggregate functions, and it can't include calculated columns. In addition, the SELECT statement can't include a GROUP BY or HAVING clause, and two SELECT statements can't be joined by a union operation.

The first CREATE VIEW statement in this figure creates a view that's updatable. This view adheres to all of the requirements for updatable views. That means that you can refer to it in an INSERT, UPDATE, or DELETE statement. For example, you could use the UPDATE statement shown in this figure to update the CreditTotal column in the Invoices base table.

In contrast, the second CREATE VIEW statement in this figure creates a *read-only view*. This view is read-only because the select list contains a calculated value.

In general, using INSERT, UPDATE, and DELETE statements to update data through a view is inflexible and prone to errors. Because of that, you should avoid this technique whenever possible. Instead, you should consider using INSTEAD OF triggers to update data through a view. You'll learn about this type of trigger in chapter 15.

## Requirements for creating updatable views

- The select list can't include a DISTINCT or TOP clause.
- The select list can't include an aggregate function.
- The select list can't include a calculated value.
- The SELECT statement can't include a GROUP BY or HAVING clause.
- The view can't include the UNION operator.

## A CREATE VIEW statement that creates an updatable view

```
CREATE VIEW InvoiceCredit
AS
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal, PaymentTotal, CreditTotal
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

## An UPDATE statement that updates the view

```
UPDATE InvoiceCredit
SET CreditTotal = CreditTotal + 200
WHERE InvoiceTotal - PaymentTotal - CreditTotal >= 200;
```

## A CREATE VIEW statement that creates a read-only view

```
CREATE VIEW OutstandingInvoices
AS
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal,
    InvoiceTotal - PaymentTotal - CreditTotal AS BalanceDue
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

## Description

- An *updatable view* is one that can be used in an INSERT, UPDATE, or DELETE statement to modify the contents of a base table that the view refers to. If a view is not updatable, it's called a *read-only view*.
- The requirements for coding updatable views are more restrictive than for coding read-only views. That's because SQL Server must be able to unambiguously determine which base tables and which columns are affected.
- You can also insert, update, and delete data through a view using an INSTEAD OF trigger. See chapter 15 for details.

Figure 13-5    How to create an updatable view

# How to delete or modify a view

Figure 13-6 presents the statements you use to delete or modify a view. To delete a view, you use the DROP VIEW statement. In this statement, you simply name the view you want to delete. Like the other statements for deleting database objects, this statement deletes the view permanently. So you may want to make a backup copy of the database first if there's any chance that you may want to restore the view later.

To modify a view, you can use the ALTER VIEW statement. Notice that the syntax of this statement is the same as the syntax of the CREATE VIEW statement. If you understand the CREATE VIEW statement, then, you won't have any trouble using the ALTER VIEW statement.

Instead of using the ALTER VIEW statement to modify a view, you can delete the view and then recreate it. If you've defined permissions for the view, you should know that those permissions are deleted when the view is deleted. If that's not what you want, you should use the ALTER VIEW statement instead.

The examples in this figure show how you can use the DROP VIEW and ALTER VIEW statements. The first example is a CREATE VIEW statement that creates a view named Vendors_SW. This view retrieves rows from the Vendors table for vendors located in four states. Then, the second example is an ALTER VIEW statement that modifies this view so it includes vendors in two additional states. Finally, the third example is a DROP VIEW statement that deletes this view.

In the last chapter, you learned how to display the dependencies for a table. Before you delete a table, you should display its dependencies to determine if any views are dependent on the table. If so, you should delete the views along with the tables. If you don't, a query that refers to the view will cause an error. To prevent this problem, you can bind the view to the database schema by specifying the WITH SCHEMABINDING option in the CREATE VIEW or ALTER VIEW statement. Then, you won't be able to delete the base table without deleting the views that depend on it first.

## The syntax of the DROP VIEW statement

```
DROP VIEW view_name
```

## The syntax of the ALTER VIEW statement

```
ALTER VIEW view_name [(column_name_1 [, column_name_2]...)]
[WITH {ENCRYPTION|SCHEMABINDING|ENCRYPTION,SCHEMABINDING}]
AS
select_statement
[WITH CHECK OPTION]
```

## A statement that creates a view

```
CREATE VIEW Vendors_SW
AS
SELECT *
FROM Vendors
WHERE VendorState IN ('CA','AZ','NV','NM');
```

## A statement that modifies the view

```
ALTER VIEW Vendors_SW
AS
SELECT *
FROM Vendors
WHERE VendorState IN ('CA','AZ','NV','NM','UT','CO');
```

## A statement that deletes the view

```
DROP VIEW Vendors_SW;
```

## Description

- To delete a view from the database, use the DROP VIEW statement.
- To modify the definition of a view, you can delete the view and then create it again, or you can use the ALTER VIEW statement to specify the new definition.
- When you delete a view, any permissions that are assigned to the view are also deleted.
- If you delete a table, you should also delete any views that are based on that table. Otherwise, an error will occur when you run a query that refers to one of those views. To find out what views are dependent on a table, display the table's dependencies as described in chapter 12.
- If you specify the WITH SCHEMABINDING option when you create or modify a view, you won't be able to delete the base tables without first deleting the view.

## Note

- ALTER VIEW isn't an ANSI-standard statement. Although it's supported on other SQL-based systems, its behavior on each system is different.

Figure 13-6    How to delete or modify a view

# How to use views

So far, you've seen how to use views in SELECT statements to retrieve data from one or more base tables. But you can also use views in INSERT, UPDATE, and DELETE statements to modify the data in a base table. You'll learn how to do that in the topics that follow. In addition, you'll learn how to use some views provided by SQL Server to get information about the database schema.

## How to update rows through a view

Figure 13-7 shows how you can update rows in a table through a view. To do that, you simply name the view that refers to the table in the UPDATE statement. Note that for this to work, the view must be updatable as described in figure 13-5. In addition, the UPDATE statement can only update the data in a single base table, even if the view refers to two or more tables.

The examples in this figure illustrate how this works. First, the CREATE VIEW statement creates an updatable view named VendorPayment that joins data from the Vendors and Invoices tables. The data that's retrieved by this view is shown in this figure. Then, the UPDATE statement uses this view to modify the PaymentDate and PaymentTotal columns for a specific vendor and invoice. As you can see, the Invoices table reflects this update.

Notice, however, that the row that was updated is no longer included in the view. That's because the row no longer meets the criteria in the WHERE clause of the SELECT statement that defines the view. If that's not what you want, you can include the WITH CHECK OPTION clause in the CREATE VIEW statement. Then, an update through the view isn't allowed if it causes the row to be excluded from the view. If the WITH CHECK OPTION clause had been included in the definition of the VendorPayment view, for example, the UPDATE statement in this figure would have resulted in an error message like the one shown.

## A statement that creates an updatable view

```
CREATE VIEW VendorPayment
AS
SELECT VendorName, InvoiceNumber, InvoiceDate, PaymentDate,
    InvoiceTotal, CreditTotal, PaymentTotal
FROM Invoices JOIN Vendors ON Invoices.VendorID = Vendors.VendorID
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
```

## The data retrieved by the view before the update

| | VendorName | InvoiceNumber | InvoiceDate | PaymentDate | InvoiceTotal | CreditTotal | PaymentTotal |
|---|---|---|---|---|---|---|---|
| 6 | Federal Express Corporation | 263253273 | 2012-03-22 00:00:00 | NULL | 30.75 | 0.00 | 0.00 |
| 7 | Malloy Lithographing Inc | P-0608 | 2012-03-23 00:00:00 | NULL | 20551.18 | 1200.00 | 0.00 |
| 8 | Ford Motor Credit Company | 9982771 | 2012-03-24 00:00:00 | NULL | 503.20 | 0.00 | 0.00 |
| 9 | Cardinal Business Media, I... | 134116 | 2012-03-28 00:00:00 | NULL | 90.36 | 0.00 | 0.00 |

## A statement that updates the Invoices table through the view

```
UPDATE VendorPayment
SET PaymentTotal = 19351.18, PaymentDate = '2012-04-02'
WHERE VendorName = 'Malloy Lithographing Inc' AND InvoiceNumber = 'P-0608';
```

## The updated Invoices table

| | InvoiceID | VendorID | InvoiceNumber | InvoiceDate | InvoiceTotal | PaymentTotal | CreditTotal | TermsID | InvoiceDueD |
|---|---|---|---|---|---|---|---|---|---|
| 102 | 102 | 110 | P-0608 | 2012-03-23 00:00:00 | 20551.18 | 19351.18 | 1200.00 | 3 | 2012-04-22 |
| 103 | 103 | 122 | 989319-417 | 2012-03-23 00:00:00 | 2051.59 | 2051.59 | 0.00 | 3 | 2012-04-22 |
| 104 | 104 | 123 | 263253243 | 2012-03-23 00:00:00 | 44.44 | 44.44 | 0.00 | 3 | 2012-04-22 |
| 105 | 105 | 106 | 9982771 | 2012-03-24 00:00:00 | 503.20 | 0.00 | 0.00 | 3 | 2012-04-23 |

## The data retrieved by the view after the update

| | VendorName | InvoiceNumber | InvoiceDate | PaymentDate | InvoiceTotal | CreditTotal | PaymentTotal |
|---|---|---|---|---|---|---|---|
| 6 | Federal Express Corporation | 263253273 | 2012-03-22 00:00:00 | NULL | 30.75 | 0.00 | 0.00 |
| 7 | Ford Motor Credit Company | 9982771 | 2012-03-24 00:00:00 | NULL | 503.20 | 0.00 | 0.00 |
| 8 | Cardinal Business Media, I... | 134116 | 2012-03-28 00:00:00 | NULL | 90.36 | 0.00 | 0.00 |
| 9 | Malloy Lithographing Inc | 0-2436 | 2012-03-31 00:00:00 | NULL | 10976.06 | 0.00 | 0.00 |

## The response if WITH CHECK OPTION is specified for the view

```
The attempted insert or update failed because the target view either speci-
fies WITH CHECK OPTION or spans a view that specifies WITH CHECK OPTION and
one or more rows resulting from the operation did not qualify under the
CHECK OPTION constraint.
The statement has been terminated.
```

## Description

- You can use the UPDATE statement to update a table through a view. To do that, you name the view in the UPDATE clause.

- The view you name in the UPDATE statement must be updatable. In addition, the UPDATE statement can't update data in more than one base table.

- If you don't specify WITH CHECK OPTION when you create a view, a change you make through the view can cause the modified rows to no longer be included in the view.

- If you specify WITH CHECK OPTION when you create a view, an error will occur if you try to modify a row in such a way that it would no longer be included in the view.

Figure 13-7 How to update rows through a view

# How to insert rows through a view

To insert rows through a view, you use the INSERT statement as shown in figure 13-8. At the top of this figure, you can see a CREATE VIEW statement for a view named IBM_Invoices. This view retrieves columns and rows from the Invoices table for the vendor named IBM. Then, the INSERT statement attempts to insert a row into the Invoices table through this view.

This insert operation fails, though, because the view and the INSERT statement don't include all of the required columns for the Invoices table. In this case, a value is required for the InvoiceNumber, InvoiceDate, InvoiceTotal, TermsID, and InvoiceDueDate columns. In contrast, the InvoiceID column can be omitted because it's an identity column; the PaymentTotal and CreditTotal columns can be omitted because they have default values; and the PaymentDate column can be omitted because it allows null values.

In addition to providing values for all the required columns in a table, you should know that the INSERT statement can insert rows into only one table. That's true even if the view is based on two or more tables and all of the required columns for those tables are included in the view. In that case, you could use a separate INSERT statement to insert rows into each table through the view.

# How to delete rows through a view

Figure 13-8 also shows how to delete rows through a view. To do that, you use DELETE statements like the ones shown here. To start, the first DELETE statement attempts to delete an invoice from the Invoices table through the IBM_Invoices view. However, this DELETE statement fails because the InvoiceLineItems table contains rows related to the invoice. This causes an error message like the one in this figure to be displayed. To get this DELETE statement to work, you must first delete the related line items for the invoice. This is illustrated by the last two DELETE statements in this figure.

## A statement that creates an updatable view

```
CREATE VIEW IBM_Invoices
AS
SELECT InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices
WHERE VendorID = (SELECT VendorID FROM Vendors WHERE VendorName = 'IBM');
```

## The contents of the view

| | InvoiceNumber | InvoiceDate | InvoiceTotal |
|---|---|---|---|
| 1 | QP58872 | 2012-01-07 00:00:00 | 116.54 |
| 2 | Q545443 | 2012-02-09 00:00:00 | 1083.58 |

## An INSERT statement that fails due to columns with null values

```
INSERT INTO IBM_Invoices
    (InvoiceNumber, InvoiceDate, InvoiceTotal)
VALUES ('RA23988', '2012-05-04', 417.34);
```

## The response from the system

```
Cannot insert the value NULL into column 'VendorID', table
'AP.dbo.Invoices'; column does not allow nulls. INSERT fails.
The statement has been terminated.
```

## A DELETE statement that fails due to a foreign key constraint

```
DELETE FROM IBM_Invoices
WHERE InvoiceNumber = 'Q545443';
```

## The response from the system

```
The DELETE statement conflicted with the REFERENCE constraint
"FK_InvoiceLineItems_Invoices". The conflict occurred in database
"AP_AllObjects", table "dbo.InvoiceLineItems", column 'InvoiceID'.
The statement has been terminated.
```

## Two DELETE statements that succeed

```
DELETE FROM InvoiceLineItems
WHERE InvoiceID = (SELECT InvoiceID FROM Invoices
                   WHERE InvoiceNumber = 'Q545443');

DELETE FROM IBM_Invoices
WHERE InvoiceNumber = 'Q545443';
```

## The response from the system

```
(1 row(s) affected)
```

## Description

- You can use the INSERT statement to insert rows into a base table through a view. To do that, you name the view in the INSERT clause. Both the view and the INSERT statement must include all of the columns from the base table that require a value.

- If the view names more than one base table, an INSERT statement can insert data into only one of those tables.

- You can use the DELETE statement to delete rows from a base table through a view. To do that, you name the table in the DELETE clause. For this to work, the view must be based on a single table.

---

Figure 13-8    How to insert or delete rows through a view

# How to use the catalog views

The ANSI standards specify that a SQL database must maintain an online *system catalog* that lists all of the objects in a database. Although SQL Server lets you query the system catalogs directly, I don't recommend you do that. That's because if you do, you have to code queries that are dependent on the structure of the system tables that make up the system catalog. So if the system tables change in a future release of SQL Server, you have to change your queries.

Instead of querying the system tables directly, you can use the *catalog views* provided by SQL Server. Because these views are independent of the structure of the system tables, you don't have to worry about changing the queries that refer to them if the structure changes. Figure 13-9 lists some of these views and shows you how to use them.

To display the data defined by a catalog view, you use a SELECT statement just as you would for any other view. The SELECT statement shown in this figure, for example, displays the name and schema of every table in the current database. To do that, it joins the sys.tables and sys.schemas views on the schema_id column in each view.

If you look up the sys.tables view in Books Online, you'll notice that this table doesn't include the name column that's retrieved by the SELECT statement in this figure. Instead, this column is inherited from the sys.objects view. A view like this that contains columns that can be inherited by other views is called a *base view*, and the view that inherits the columns is called the *derived view*. Because the columns of the base view are inherited automatically, you can think of these columns as part of the derived view.

Catalog views were introduced with SQL Server 2005. With previous releases of SQL Server, you used *information schema views* to query the system catalog. Although these views are still available, we recommend you use the catalog views instead. That's because unlike the information schema views, the catalog views provide access to all of the data in the system catalogs. In addition, the catalog views are more efficient than the information schema views. If you use the information schema views, however, you should know that some of them have changed. Because of that, SQL statements you've written previously that use information schema views may no longer work. To get more information about a particular information schema view, you can look it up by name in Books Online.

At this point, you may be wondering why you would want to use the catalog or information schema views. After all, you can get the same information using the Management Studio. The answer is that you may occasionally need to get information about the objects in a database from a script. You'll learn how to do that in the next chapter.

## Some of the SQL Server catalog views

| View name | Contents |
|---|---|
| sys.schemas | One row for each schema in the current database. |
| sys.sequences | One row for each sequence in the current database. |
| sys.tables | One row for each table in the current database. |
| sys.views | One row for each view in the current database. |
| sys.columns | One row for each column in each table, view, or table-valued function in the current database. |
| sys.key_constraints | One row for each primary or unique key in each table in the current database. |
| sys.foreign_keys | One row for each foreign key. |
| sys.foreign_key_columns | One row for each column or set of columns that make up a foreign key. |
| sys.objects | One row for each user-defined object in the current database, except for triggers. |

## A SELECT statement that retrieves the name and schema of each table

```
SELECT sys.tables.name AS TableName, sys.schemas.name AS SchemaName
FROM sys.tables INNER JOIN sys.schemas
ON sys.tables.schema_id = sys.schemas.schema_id;
```

### The result set

| | TableName | SchemaName |
|---|---|---|
| 1 | ContactUpdates | dbo |
| 2 | dtproperties | dbo |
| 3 | InvoiceLineItems | dbo |
| 4 | Vendors | dbo |
| 5 | InvoiceArchive | dbo |
| 6 | GLAccounts | dbo |
| 7 | Terms | dbo |
| 8 | sysdiagrams | dbo |
| 9 | Invoices | dbo |

## Description

- You can use the *catalog views* to examine the *system catalog*, which lists all of the system objects that define a database, including tables, views, columns, keys, and constraints. Catalog views were introduced with SQL Server 2005.

- Some catalog views inherit columns from other catalog views. In that case, the catalog view from which the columns are inherited is called the *base view*, and the catalog view that inherits the columns is called the *derived view*.

- For a complete listing of the catalog views, refer to Books Online.

## Note

- You can also use *information schema views*, which were available with earlier releases of SQL Server, to examine the system catalog. However, we recommend you use the catalog views instead.

Figure 13-9    How to use the catalog views

# How to use the View Designer

The Management Studio provides a graphical tool called the View Designer that you can use to work with views. However, many programmers prefer to use the Query Editor to manually code the SQL for views as described earlier. As a result, this topic only provides a brief description of the View Designer.

## How to create or modify a view

You can use the View Designer to create or modify a view as described in figure 13-10. This figure shows a view named VendorPayment in the View Designer. This tool is similar to the Query Designer that was briefly introduced in chapter 2.

To create a new view, right-click on the Views folder and select the New View command. When you do, the View Designer prompts you to select the tables that the view will be based on. Then, it displays the tables that you select in the Diagram pane of the View Designer. When appropriate, the Diagram pane includes a link icon that shows the relationships between these tables. When you save the view for the first time, the Management Studio prompts you with a dialog box that allows you to enter a name for the view.
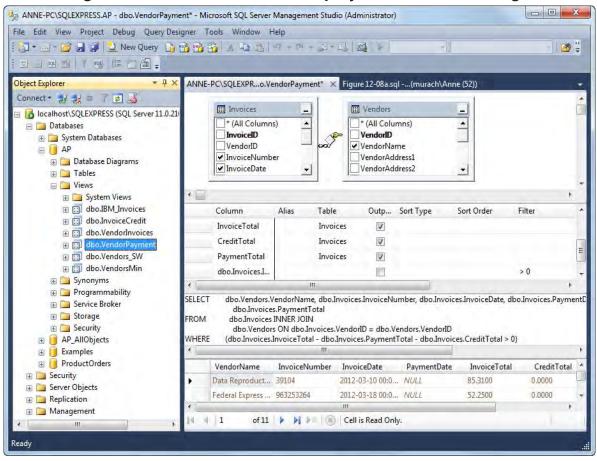
To edit the design of an existing view, you can expand the Views folder, right-click on the view, and select the Design command to display the view in the View Designer. If necessary, you can use the Add Table button in the View Designer toolbar to add new tables to the Diagram pane.

Once you display the tables for the view in the Diagram pane, you can use that pane to select the columns that are displayed in the Criteria pane. Then, you can use the Criteria pane to set the criteria and sort sequence for the query. In this figure, for example, the Criteria pane shows the columns that will be included in the view, and it shows that the last column, which is a calculated column and is not included in the result set, must be greater than zero.

As you work in the Diagram and Criteria panes, the View Designer generates a SQL statement and displays it in the SQL pane. When you have the statement the way you want it, you can test the view by clicking on the Execute SQL button in the View Designer toolbar. Then, the data that's returned by the view is displayed in the Results pane. In this figure, for example, the Results pane displays the results as read-only because the view that's defined by the SQL statement uses a calculated column.

## How to delete a view

You can also use the Management Studio to delete a view. You do that using the same technique you use to delete any other type of database object. To start, right-click on the view and select Delete. Then, select OK to confirm the delete.

## The Management Studio with a view displayed in the View Designer



## How to create or modify the design of a view

- To create a new view, right-click on the Views folder and select the New View command to display a new view in the View Designer. Then, when you click on the Save button in the toolbar, you can supply a name for the view.

- To edit the design of an existing view, expand the Views folder, right-click on the view, and select the Design command to display the view in the View Designer.

- To add tables to the Diagram pane, click on the Add Table button in the View Designer toolbar.

- To select the columns for a view, use the Diagram pane.

- To specify the selection criteria and sort order for the view, use the Criteria pane.

- To view the code that's generated for the view or to modify the generated code, use the SQL pane.

- To display the results of the view in the Results pane, click on the Execute SQL button in the View Designer toolbar.

## How to delete a view

- To delete a view, expand the Views folder, right-click on the view, select the Delete command, and click OK in the resulting Delete Object dialog box.

Figure 13-10   How to use the Management Studio to work with views

# Perspective

In this chapter, you learned how to create and use views. As you've seen, views provide a powerful and flexible way to predefine the data that can be retrieved from a database. By using them, you can restrict the access to a database while providing a consistent and simplified way for end users and application programs to access that data.

# Terms

view
viewed table
base table
nested view
database schema
updatable view
read-only view
catalog views
base view
derived view
system catalog
information schema view

# Exercises

1. Write a CREATE VIEW statement that defines a view named InvoiceBasic that returns three columns: VendorName, InvoiceNumber, and InvoiceTotal. Then, write a SELECT statement that returns all of the columns in the view, sorted by VendorName, where the first letter of the vendor name is N, O, or P.

2. Create a view named Top10PaidInvoices that returns three columns for each vendor: VendorName, LastInvoice (the most recent invoice date), and SumOfInvoices (the sum of the InvoiceTotal column). Return only the 10 vendors with the largest SumOfInvoices and include only paid invoices.

3. Create an updatable view named VendorAddress that returns the VendorID, both address columns, and the city, state, and zip code columns for each vendor. Then, write a SELECT query to examine the result set where VendorID=4. Next, write an UPDATE statement that changes the address so that the suite number (Ste 260) is stored in VendorAddress2 rather than in VendorAddress1. To verify the change, rerun your SELECT query.

4. Write a SELECT statement that selects all of the columns for the catalog view that returns information about foreign keys. How many foreign keys are defined in the AP database?

5. Using the Management Studio, modify the InvoiceBasic view created in exercise 1 to sort the result set by VendorName. What clause does the system automatically code to allow the use of an ORDER BY clause in the view?

# 14

# How to code scripts

At the end of chapter 11, you saw a simple script that defines the AP database and the tables it contains. Now, this chapter teaches you how to code more complex scripts. With the skills you'll learn in this chapter, you'll be able to code scripts with functionality that's similar to the functionality provided by procedural programming languages like Visual Basic, C#, Java, and C++.

If you have experience with another procedural programming language, you shouldn't have any trouble with the skills presented in this chapter. However, you should know that the programming power of Transact-SQL is limited when compared to other languages. That's because Transact-SQL is designed specifically to work with SQL Server databases rather than as a general-purpose programming language. For its intended use, Transact-SQL programming is powerful and flexible.

# An introduction to scripts

To start, this chapter reviews and expands on the script concepts you learned in chapter 11. Then, it summarizes the Transact-SQL statements you can use within scripts. Most of these statements will be presented in detail later in this chapter.

## How to work with scripts

Most of the *scripts* you've created so far in this book have consisted of a single SQL statement. However, a script can include any number of statements, and those statements can be divided into one or more *batches*. To indicate the end of a batch, you code a GO command. The script in figure 14-1, for example, consists of two batches. The first one creates a database, and the second one creates three tables in that database.

Because the new database must exist before you can add tables to it, the CREATE DATABASE statement must be coded in a separate batch that's executed before the CREATE TABLE statements. In contrast, the three CREATE TABLE statements don't have to be in separate batches. However, notice that these three statements are coded in a logical sequence within the second batch. In this case, the CommitteeAssignments table references the other two tables, so I created the other tables first. If I'd created the CommitteeAssignments table first, I couldn't have declared the foreign key constraints. In that case, I would have had to add these constraints in an ALTER TABLE statement after the other two tables were created.

Although you don't have to code the CREATE TABLE statement in a separate batch, you do have to code the five statements listed in this figure in separate batches. Each of these statements must be the first and only statement in the batch. You learned how to code the CREATE VIEW statement in the last chapter, you'll learn how to code the CREATE PROCEDURE, CREATE FUNCTION, and CREATE TRIGGER statements in the next chapter, and you'll learn how to code the CREATE SCHEMA statement in chapter 18.

Before I go on, you should realize that GO isn't a Transact-SQL statement. Instead, it's a command that's interpreted by two of the software tools that are included with SQL Server: the Management Studio and the SQLCMD utility. When one of these tools encounters a GO command, it sends the preceding statements to the server to be executed. You already know how to use the Management Studio, and you'll learn the basics of working with the SQLCMD utility later in this chapter.

## A script with two batches

```
/*
Creates three tables in a database named ClubRoster.
Author:   Bryan Syverson
Created:  2006-08-12
Modified: 2008-09-26
*/


CREATE DATABASE ClubRoster;
GO

USE ClubRoster;

CREATE TABLE Members
(MemberID int NOT NULL IDENTITY PRIMARY KEY,
LastName varchar(75) NOT NULL,
FirstName varchar(50) NOT NULL,
MiddleName varchar(50) NULL);

CREATE TABLE Committees
(CommitteeID int NOT NULL IDENTITY PRIMARY KEY,
CommitteeName varchar(50) NOT NULL);

CREATE TABLE CommitteeAssignments
(MemberID int NOT NULL REFERENCES Members(MemberID),
CommitteeID int NOT NULL REFERENCES Committees(CommitteeID));
```

## Statements that must be in their own batch

```
CREATE VIEW       CREATE PROCEDURE      CREATE FUNCTION
CREATE TRIGGER    CREATE SCHEMA
```

## Description

- A *script* is a series of SQL statements that you can store in a file. Each script can contain one or more *batches* that are executed as a unit.

- To signal the end of a batch, you use the GO command. A GO command isn't required after the last batch in a script or for a script that contains a single batch.

- If a statement must be executed before the statements that follow can succeed, you should include a GO command after it.

- The statements within a batch are executed in the order that they appear in the batch. Because of that, you need to code statements that depend on other statements after the statements they depend on.

- If you create a database within a script, you have to execute the batch that contains the CREATE DATABASE statement before you can execute other statements that refer to the database.

- The five statements listed above (CREATE VIEW, CREATE PROCEDURE, CREATE FUNCTION, CREATE TRIGGER, and CREATE SCHEMA) can't be combined with other statements in a batch.

- If a script will be used with a production database, you should include documentation as shown above. Additional information should be included when appropriate.

Figure 14-1    How to work with scripts

# The Transact-SQL statements
# for script processing

Figure 14-2 presents the Transact-SQL statements used to process scripts. These statements, which are sometimes referred to as *T-SQL statements*, are specific to SQL Server. You'll learn how to code many of these statements throughout this chapter.

Two statements I want to present right now are USE and PRINT. You can see both of these statements in the script presented in this figure. You use the USE statement to change the current database within a script. In this example, the USE statement makes the AP database the current database. That way, you don't have to worry about setting the current database using the drop-down list in the Management Studio. And when you create stored procedures, functions, and triggers as you'll learn in the next chapter, you have to use the USE statement.

You use the PRINT statement to return a message to the client. If the client is the Management Studio, for example, the message is displayed in the Messages tab of the Query Editor. The script in this figure includes two PRINT statements. Notice that the first statement uses concatenation to combine a literal string with the value of a variable. You'll learn how to work with variables as well as the other statements in this script in a moment.

Two statements I won't present in this chapter are GOTO and RETURN. I recommend that you don't use the GOTO statement because it can make your scripts difficult to follow. And the RETURN statement is used most often with stored procedures, so I'll present it in the next chapter.

## Transact-SQL statements for controlling the flow of execution

| Keyword | Description |
|---------|-------------|
| IF...ELSE | Controls the flow of execution based on a condition. |
| BEGIN...END | Defines a statement block. |
| WHILE | Repeats statements while a specific condition is true. |
| BREAK | Exits the innermost WHILE loop. |
| CONTINUE | Returns to the beginning of a WHILE loop. |
| TRY...CATCH | Controls the flow of execution when an error occurs. |
| GOTO | Unconditionally changes the flow of execution. |
| RETURN | Exits unconditionally. |

## Other Transact-SQL statements for script processing

| Keyword | Description |
|---------|-------------|
| USE | Changes the database context to the specified database. |
| PRINT | Returns a message to the client. |
| DECLARE | Declares a local variable. |
| SET | Sets the value of a local variable or a session variable. |
| EXEC | Executes a dynamic SQL statement or stored procedure. |

## The syntax of the USE statement

```
USE database
```

## The syntax of the PRINT statement

```
PRINT string_expression
```

## A script that uses some of the statements shown above

```
USE AP;
DECLARE @TotalDue money;
SET @TotalDue = (SELECT SUM(InvoiceTotal - PaymentTotal - CreditTotal)
    FROM Invoices);
IF @TotalDue > 0
    PRINT 'Total invoices due = $' + CONVERT(varchar,@TotalDue,1);
ELSE
    PRINT 'Invoices paid in full';
```

## Description

- These statements are used within SQL scripts to add functionality similar to that provided by procedural programming languages.
- These statements are part of the Transact-SQL, or *T-SQL*, language and aren't available on SQL-based systems other than SQL Server.

Figure 14-2    The Transact-SQL statements for script processing

# How to work with variables and temporary tables

If you need to store values within a script, you can store them in scalar variables, table variables, or temporary tables. You'll learn how to use all three of these techniques in the topics that follow. In addition, you'll see a comparison of the different types of SQL Server objects that you can use to work with table data so you'll know when to use each type.

## How to work with scalar variables

Figure 14-3 presents the DECLARE and SET statements that you use to work with *variables*. Specifically you use these statements to work with *scalar variables*, which can contain a single value. You use the DECLARE statement to create a variable and specify the type of data it can contain, and you use the SET statement to assign a value to a variable.

The variables you create using the DECLARE statement are also known as *local variables*. That's because a variable's scope is limited to a single batch. In other words, you can't refer to a variable from outside the batch. Variables are also described as local to distinguish them from *global variables*, which is an obsolete term for system functions. You'll learn about some of the system functions later in this chapter.

You can also assign a value to a variable within the select list of a SELECT statement. To do that, you use the alternate syntax shown in this figure. Although you can accomplish the same thing by using a SET statement to assign the result of a SELECT query to the variable, the alternate syntax usually results in more readable code. In addition, when you use a SELECT statement, you can assign values to two or more variables with a single statement.

The script shown in this figure uses five variables to calculate the percent difference between the minimum and maximum invoices for a particular vendor. This script starts by declaring all of these variables. Then, it assigns values to two of the variables using SET statements. Notice that the second SET statement assigns the result of a SELECT statement to the variable, and the value of the first variable is used in the WHERE clause of that SELECT statement. The SELECT statement that follows this SET statement uses the alternate syntax to assign values to two more variables. Then, the next SET statement assigns the result of an arithmetic expression to the final variable. Finally, PRINT statements are used to display the values of four of the variables.

Although you can use a variable in any expression, you can't use it in place of a keyword or an object name. For example, this use is invalid:

```
DECLARE @TableNameVar varchar(128);
SET @TableNameVar = 'Invoices';
SELECT * FROM @TableNameVar;
```

Later in this chapter, however, you'll learn how to execute a SQL statement like this one using dynamic SQL.

## The syntax of the DECLARE statement for scalar variables

```
DECLARE @variable_name_1 data_type [, @variable_name_2 data_type]...
```

## The syntax of the SET statement for a scalar variable

```
SET @variable_name = expression
```

## An alternate syntax for setting a variable's value in a select list

```
SELECT @variable_name_1 = column_specification_1
    [, @variable_name_2 = column_specification_2]...
```

## A SQL script that uses variables

```
USE AP;
DECLARE @MaxInvoice money, @MinInvoice money;
DECLARE @PercentDifference decimal(8,2);
DECLARE @InvoiceCount int, @VendorIDVar int;

SET @VendorIDVar = 95;
SET @MaxInvoice = (SELECT MAX(InvoiceTotal) FROM Invoices
    WHERE VendorID = @VendorIDVar);
SELECT @MinInvoice = MIN(InvoiceTotal), @InvoiceCount = COUNT(*)
FROM Invoices
WHERE VendorID = @VendorIDVar;
SET @PercentDifference = (@MaxInvoice - @MinInvoice) / @MinInvoice * 100;

PRINT 'Maximum invoice is $' + CONVERT(varchar,@MaxInvoice,1) + '.';
PRINT 'Minimum invoice is $' + CONVERT(varchar,@MinInvoice,1) + '.';
PRINT 'Maximum is ' + CONVERT(varchar,@PercentDifference) +
    '% more than minimum.';
PRINT 'Number of invoices: ' + CONVERT(varchar,@InvoiceCount) + '.';
```

### The response from the system

```
Maximum invoice is $46.21.
Minimum invoice is $16.33.
Maximum is 182.97% more than minimum.
Number of invoices: 6.
```

## Description

- A *variable* is used to store data. To create a variable, you use the DECLARE statement. The initial value of a variable is always null.

- A *scalar variable* is defined with a standard data type and contains a single value. You can also create table variables to store an entire result set.

- The name of a variable must always start with an at sign (@). Whenever possible, you should use long, descriptive names for variables.

- The scope of a variable is the batch in which it's defined, which means that it can't be referred to from outside that batch. Because of that, variables are often called *local variables*.

- To assign a value to a variable, you can use the SET statement. Alternatively, you can use the SELECT statement to assign a value to one or more variables.

- You can use a variable in any expression, but you can't use it in place of an object name or a keyword.

Figure 14-3    How to work with scalar variables

# How to work with table variables

Figure 14-4 presents the syntax of the DECLARE statement you use to create table variables. A *table variable* is a variable that can store the contents of an entire table. To create this type of variable, you specify the table data type in the DECLARE statement rather than one of the standard SQL data types. Then, you define the columns and constraints for the table using the same syntax that you use for the CREATE TABLE statement.

The script shown in this figure illustrates how you might use a table variable. Here, a DECLARE statement is used to create a table variable named @BigVendors that contains two columns: VendorID and VendorName. Then, an INSERT statement is used to insert all of the rows from the Vendors table for vendors that have invoices totaling over $5000 into this table variable. Finally, a SELECT statement is used to retrieve the contents of the table variable.

Notice that the table variable in this example is used in place of a table name in the INSERT and SELECT statements. You can also use a table variable in place of a table name in an UPDATE or DELETE statement. The only place you can't use a table variable instead of a table name is in the INTO clause of a SELECT INTO statement.

### The syntax of the DECLARE statement for a table variable

```
DECLARE @table_name TABLE
(column_name_1 data_type [column_attributes]
[, column_name_2 data_type [column_attributes]]...
[, table_attributes])
```

### A SQL script that uses a table variable

```
USE AP;

DECLARE @BigVendors table
(VendorID int,
VendorName varchar(50));

INSERT @BigVendors
SELECT VendorID, VendorName
FROM Vendors
WHERE VendorID IN
    (SELECT VendorID FROM Invoices WHERE InvoiceTotal > 5000);

SELECT * FROM @BigVendors;
```

### The result set

| | VendorID | VendorName |
|---|---|---|
| 1 | 72 | Data Reproductions Corp |
| 2 | 99 | Bertelsmann Industry Svcs. Inc |
| 3 | 104 | Digital Dreamworks |
| 4 | 110 | Malloy Lithographing Inc |

### Description

- A *table variable* can store an entire result set rather than a single value. To create a table variable, use a DECLARE statement with the table data type.

- You use the same syntax for defining the columns of a table variable as you do for defining a new table with the CREATE TABLE statement. See figure 11-4 in chapter 11 for details.

- Like a scalar variable, a table variable has local scope, so it's available only within the batch where it's declared.

- You can use a table variable like a standard table within SELECT, INSERT, UPDATE, and DELETE statements. The exception is that you can't use it within the INTO clause of a SELECT INTO statement.

Figure 14-4    How to work with table variables

## How to work with temporary tables

In addition to table variables, you can use *temporary tables* to store table data within a script. Temporary tables are useful for storing table data within a complex script. In addition, they provide a way for you to test queries against temporary data rather than permanent data.

Unlike a table variable, a temporary table exists for the duration of the database session in which it's created. If you create a temporary table in the Management Studio's Query Editor, for example, it exists as long as the Query Editor is open. Because of that, you can refer to the table from more than one script.

Figure 14-5 presents two scripts that use temporary tables. The first script creates a temporary table named #TopVendors using a SELECT INTO query. This temporary table contains the VendorID and average invoice total for the vendor in the Vendors table with the greatest average. Then, the second SELECT statement joins the temporary table with the Invoices table to get the date of the most recent invoice for that vendor. Note, however, that you could have created the same result set using a single SELECT statement like the second one in this figure with a derived table in place of the temporary table. Because derived tables are more efficient to use than temporary tables, you should use them whenever possible.

The second script in this figure shows another use of a temporary table. This script creates a temporary table that contains two columns: an identity column and a character column with a nine-digit default value that's generated using the RAND function. Then, the script inserts two rows into this table using the default values. Finally, the script uses a SELECT statement to retrieve the contents of the table. A script like this can be useful during testing.

In these examples, you can see that the name of a temporary table begins with a number sign ( # ). If the name begins with a single number sign, the table is defined as a *local temporary table*, which means that it's visible only to the database session in which it's created. However, you can also create temporary tables that are visible to all open database sessions, called *global temporary tables*. To create a global temporary table, code two number signs at the beginning of the table name.

When a database session ends, any temporary tables created during that session are deleted. If you want to delete a temporary table before the session ends, however, you can do that by issuing a DROP TABLE statement. Note that although temporary tables are stored in a separate database named tempdb, you don't need to specify the name of this database when you delete a temporary table. That's because its name identifies it as a temporary table, so SQL Server knows where to look for it.

## A script that uses a local temporary table instead of a derived table

```
SELECT TOP 1 VendorID, AVG(InvoiceTotal) AS AvgInvoice
INTO #TopVendors
FROM Invoices
GROUP BY VendorID
ORDER BY AvgInvoice DESC;

SELECT Invoices.VendorID, MAX(InvoiceDate) AS LatestInv
FROM Invoices JOIN #TopVendors
    ON Invoices.VendorID = #TopVendors.VendorID
GROUP BY Invoices.VendorID;
```

### The result set

| | VendorID | LatestInv |
|---|---|---|
| 1 | 110 | 2012-03-31 00:00:00 |

## A script that creates a global temporary table of random numbers

```
CREATE TABLE ##RandomSSNs
(SSN_ID int IDENTITY,
SSN char(9) DEFAULT
    LEFT(CAST(CAST(CEILING(RAND()*10000000000)AS bigint)AS varchar),9));

INSERT ##RandomSSNs VALUES (DEFAULT);
INSERT ##RandomSSNs VALUES (DEFAULT);

SELECT * FROM ##RandomSSNs;
```

### The result set

| | SSN_ID | SSN |
|---|---|---|
| 1 | 1 | 506832442 |
| 2 | 2 | 841209488 |

## Description

- A *temporary table* exists only during the current database session. In the Management Studio, that means that the table is available until you close the window where you created the table.

- Temporary tables are stored in the system database named tempdb.

- If you need to drop a temporary table before the end of the current session, you can do that using the DROP TABLE statement.

- Temporary tables are useful for testing queries or for storing data temporarily in a complex script.

- A *local temporary table* is visible only within the current session, but a *global temporary table* is visible to all sessions. To identify a local temporary table, you prefix the name with a number sign ( # ). To identify a global temporary table, you prefix the name with two number signs ( ## ). Temporary table names are limited to 116 characters.

- Because derived tables result in faster performance than temporary tables, you should use derived tables whenever possible. See figure 14-6 for details.

Figure 14-5    How to work with temporary tables

# A comparison of the five types of Transact-SQL table objects

Now that you've learned about table variables and temporary tables, you might want to consider when you'd use them within a script and when you'd create a new standard table or view or simply use a derived table instead. Figure 14-6 presents a comparison of these five types of table objects. Note that although a view isn't technically a table, I've included it in this figure because it can be used in place of a table.

One of the biggest differences between these objects is their *scope*, which determines where it can be used in a script. Because standard tables and views are stored permanently within a database, they have the broadest scope and can be used anywhere, including in other scripts on the current connection or other scripts on other connections. In contrast, a derived table exists only while the query that creates it is executing. Because of that, a derived table can't be referred to from outside the query. As you've just learned, temporary tables and table variables fall somewhere in between.

Another difference between the five table types is where they're stored. Like standard tables, temporary tables are stored on disk. In contrast, table variables and derived tables are stored in memory if they're relatively small. Because of that, table variables and derived tables usually take less time to create and access than standard or temporary tables.

Although a view is also stored on disk, it can be faster to use than any of the other table objects. That's because it's simply a precompiled query, so it takes less time to create and access than an actual table. However, with the other table objects, you can insert, update, or delete data without affecting any of the base tables in your database, which isn't true of a view. For this reason, you can't use a view in the same way as the other table objects. But if you find that you're creating a table object that doesn't need to be modified within your script, then you should be defining it as a view instead.

In most scripts, table variables and temporary tables can be used interchangeably. Since a script that uses a table variable will outperform the same script with a temporary table, you should use table variables whenever possible. However, table variables are dropped when the batch finishes execution. So if you need to use the table in other batches, you'll need to use a temporary table instead.

## The five types of Transact-SQL table objects

| Type | Scope |
|------|-------|
| Standard table | Available within the system until explicitly deleted. |
| Temporary table | Available within the system while the current database session is open. |
| Table variable | Available within a script while the current batch is executing. |
| Derived table | Available within a statement while the current statement is executing. |
| View | Available within the system until explicitly deleted. |

## Description

- Within a Transact-SQL script, you often need to work with table objects other than the base tables in your database.

- The *scope* of a table object determines what code has access to that table.

- Standard tables and views are stored permanently on disk until they are explicitly deleted, so they have the broadest scope and are therefore always available for use.

- Derived tables and table variables are generally stored in memory, so they can provide the best performance. In contrast, standard tables and temporary tables are always stored on disk and therefore provide slower performance.

- To improve the performance of your scripts, use a derived table instead of creating a table variable. However, if you need to use the table in other batches, create a temporary table. Finally, if the data needs to be available to other connections to the database, create a standard table or, if possible, a view.

- Although a view isn't a table, it can be used like one. Views provide fast performance since they're predefined, and high availability since they're permanent objects. For these reasons, you should try to use a view rather than create a table whenever that's possible. However, if you need to insert, delete, or update the data in the table object without affecting the base tables of your database, then you can't use a view.

- A common table expression (CTE) is a type of derived table. For more information about CTEs, see chapter 6.

Figure 14-6    A comparison of the five types of Transact-SQL tables

# How to control the execution of a script

The ability to control the execution of a program is an essential feature of any procedural programming language. T-SQL provides three basic control structures that you can use within scripts. You can use the first one to perform conditional processing, you can use the second one to perform repetitive processing, and you can use the third one to handle errors. You'll learn how to use the statements that implement these structures in the topics that follow.

## How to perform conditional processing

To execute a statement or a block of statements based on a condition, you use the IF…ELSE statement. This statement is presented in figure 14-7. When an IF…ELSE statement is executed, SQL Server evaluates the conditional expression after the IF keyword. If this condition is true, the statement or block of statements after the IF keyword is executed. Otherwise, the statement or block of statements after the ELSE keyword is executed if this keyword is included.

The first script in this figure uses a simple IF statement to test the value of a variable that's assigned in a SELECT statement. This variable contains the oldest invoice due date in the Invoices table. If this value is less than the current date, the PRINT statement that follows the IF keyword is executed. Otherwise, no action is taken.

In the second script, the logic of the first script has been enhanced. Here, a block of statements is executed if the oldest due date is less than the current date. Notice that this block of statements begins with the BEGIN keyword and ends with the END keyword. In addition, an ELSE clause has been added. Then, if the oldest due date is greater than or equal to the current date, a PRINT statement is executed to indicate that none of the invoices are overdue.

Notice the comment that follows the ELSE keyword. This comment describes the expression that would result in this portion of code being executed. Although it isn't required, this programming practice makes it easier to find and debug logical errors, especially if you're *nesting* IF...ELSE statements within other IF...ELSE statements.

## The syntax of the IF...ELSE statement

```
IF Boolean_expression
    {statement|BEGIN...END}
[ELSE
    {statement|BEGIN...END}]
```

## A script that tests for outstanding invoices with an IF statement

```
USE AP;
DECLARE @EarliestInvoiceDue smalldatetime;
SELECT @EarliestInvoiceDue = MIN(InvoiceDueDate) FROM Invoices
    WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
IF @EarliestInvoiceDue < GETDATE()
    PRINT 'Outstanding invoices overdue!';
```

### The response from the system

```
Outstanding invoices overdue!
```

## An enhanced version of the same script that uses an IF...ELSE statement

```
USE AP;
DECLARE @MinInvoiceDue money, @MaxInvoiceDue money;
DECLARE @EarliestInvoiceDue smalldatetime, @LatestInvoiceDue smalldatetime;
SELECT @MinInvoiceDue = MIN(InvoiceTotal - PaymentTotal - CreditTotal),
    @MaxInvoiceDue = MAX(InvoiceTotal - PaymentTotal - CreditTotal),
    @EarliestInvoiceDue = MIN(InvoiceDueDate),
    @LatestInvoiceDue = MAX(InvoiceDueDate)
FROM Invoices
WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0;
IF @EarliestInvoiceDue < GETDATE()
    BEGIN
        PRINT 'Outstanding invoices overdue!';
        PRINT 'Dated ' + CONVERT(varchar,@EarliestInvoiceDue,1) +
            ' through ' + CONVERT(varchar,@LatestInvoiceDue,1) + '.';
        PRINT 'Amounting from $' + CONVERT(varchar,@MinInvoiceDue,1) +
            ' to $' + CONVERT(varchar,@MaxInvoiceDue,1) + '.';
    END;
ELSE --@EarliestInvoiceDue >= GETDATE()
    PRINT 'No overdue invoices.';
```

### The response from the system

```
Outstanding invoices overdue!
Dated 04/09/12 through 04/30/12.
Amounting from $30.75 to $19,351.18.
```

## Description

- You use the IF…ELSE statement to test a conditional expression. If that expression is true, the statements that follow the IF keyword are executed. Otherwise, the statements that follow the ELSE keyword are executed if that keyword is included.

- If you need to execute two or more SQL statements within an IF or ELSE clause, enclose them within a BEGIN...END block.

- You can *nest* IF...ELSE statements within other IF...ELSE statements. Although SQL Server doesn't limit the number of nested levels, you should avoid nesting so deeply that your script becomes difficult to read.

Figure 14-7    How to perform conditional processing

# How to test for the existence of a database object

Frequently, you'll need to write scripts that create and work with database objects. If you try to create an object that already exists, SQL Server will return an error. Similarly, SQL Server will return an error if you try to work with an object that doesn't exist. To avoid these types of errors, you should check for the existence of an object before you create or work with it.

Figure 14-8 presents two functions you can use to check for the existence of database objects. You can use the OBJECT_ID function to check for the existence of a table, view, stored procedure, user-defined function, or trigger. To check for the existence of a database, you use the DB_ID function. If the specified object exists, these functions return the unique identification number assigned to that object by SQL Server. Otherwise, they return a null value.

You can use these functions within an IF...ELSE statement to test for a null return value. The first script in this figure, for example, uses the DB_ID function to test for the existence of a database. If the database already exists, a DROP DATABASE statement is executed to delete it. Notice that a USE statement is coded before the IF statement to change the current database to something other than the database you're testing. That's because a database can't be deleted if it's currently in use.

The second script tests for the existence of a table name InvoiceCopy. Then, if the table exists, a DROP TABLE statement is executed to delete it. Note, however, that when you use the OBJECT_ID function, you may not know what type of object you're dealing with. For example, InvoiceCopy could also be the name of a view or a stored procedure. In that case, the DROP TABLE statement would cause an error.

To avoid this situation, you can use the technique shown in the third script. Instead of using a function, it uses information in the catalog view named tables to determine if a table named InvoiceCopy exists. If it does, the table is deleted. Otherwise, no action is taken. You can use similar code to check for the existence of a view using the sys.views catalog view.

The last script tests for the existence of a temporary table. Notice that the table name is qualified with the name of the database that contains temporary tables, tempdb. You can omit the schema qualification, though, since this is a system database.

## The syntax of the OBJECT_ID function

```
OBJECT_ID('object')
```

## The syntax of the DB_ID function

```
DB_ID('database')
```

## Examples that use the OBJECT_ID and DB_ID functions

### Code that tests whether a database exists before it deletes it

```
USE master;
IF DB_ID('TestDB') IS NOT NULL
    DROP DATABASE TestDB;

CREATE DATABASE TestDB;
```

### Code that tests for the existence of a table

```
IF OBJECT_ID('InvoiceCopy') IS NOT NULL
    DROP TABLE InvoiceCopy;
```

### Another way to test for the existence of a table

```
IF EXISTS (SELECT * FROM sys.tables
            WHERE name = 'InvoiceCopy')
    DROP TABLE InvoiceCopy;
```

### Code that tests for the existence of a temporary table

```
IF OBJECT_ID('tempdb..#AllUserTables') IS NOT NULL
    DROP TABLE #AllUserTables;
```

## Description

- Before you work with an object in a database, you'll want to be sure that it exists. Similarly, before you create an object in a database, you'll want to be sure that it doesn't already exist. To do that, you can use the OBJECT_ID and DB_ID functions within IF statements.

- You can use the OBJECT_ID function to check for the existence of a table, view, stored procedure, user-defined function, or trigger. You use the DB_ID function to check for the existence of a database. Both functions return a null value if the object doesn't exist. Otherwise, they return the object's identification number.

- To test for the existence of a temporary table, you must qualify the table name with the database that contains it: tempdb. Since this is a system database, though, you can omit the schema name as shown above.

Figure 14-8    How to test for the existence of a database object

## How to perform repetitive processing

In some cases, you'll need to repeat a statement or a block of statements while a condition is true. To do that, you use the WHILE statement that's presented in figure 14-9. This coding technique is referred to as a *loop*.

The script in this figure illustrates how the WHILE statement works. Here, a WHILE loop is used to adjust the credit amount of each invoice in the Invoices table that has a balance due until the total balance due is less than $20,000. Although this example is unrealistic, it will help you understand how the WHILE statement works. A more realistic example would be to use a WHILE statement to process cursors, which you'll learn about in chapter 16.

This script starts by creating a copy of the Invoices table named InvoiceCopy that contains just the invoices that have a balance due. Since the WHILE statement will change the data in the table, this prevents corruption of the data in the source table. Then, the expression in the WHILE statement uses a SELECT statement to retrieve the sum of the invoice balances in this table. If the sum is greater than or equal to 20,000, the block of statements that follows is executed. Otherwise, the loop ends.

The UPDATE statement within the WHILE loop adds five cents to the CreditTotal column of each invoice that has a balance due. (Although the table initially contains only invoices that have a balance due, that may change as credits are applied to the invoices within the loop.) Then, an IF statement tests the maximum credit amount in the table to see if it's more than 3000. If it is, a BREAK statement is used to terminate the loop. Because this statement can make your scripts difficult to read and debug, I recommend you use it only when necessary. In this case, it's used only for illustrative purposes.

If the maximum credit total is less than or equal to 3000, the CONTINUE statement is executed. This statement causes control to return to the beginning of the loop. Then, the condition for the loop is tested again, and if it's true, the statements within the loop are processed again.

Note that because the CONTINUE statement is the last statement in the loop, it's not required. That's because control will automatically return to the beginning of the loop after the last statement in the loop is executed. For example, this code would produce the same result:

```
BEGIN
    ...
    IF (SELECT MAX(CreditTotal) FROM #InvoiceCopy) > 3000
        BREAK;
END;
```

Sometimes, though, the CONTINUE statement can clarify the logic of an IF statement, as it does in the example in this figure. In addition, since this statement returns control to the beginning of the loop, it can be used in an IF clause to bypass the remaining statements in the loop. However, like the BREAK statement, this makes your code confusing to read, so I recommend you code your IF statements in such a way that you avoid using the CONTINUE statement whenever possible.

## The syntax of the WHILE statement

```
WHILE expression
    {statement|BEGIN...END}
    [BREAK]
    [CONTINUE]
```

## A script that tests and adjusts credit amounts with a WHILE loop

```
USE AP;
IF OBJECT_ID('tempdb..#InvoiceCopy') IS NOT NULL
    DROP TABLE #InvoiceCopy;

SELECT * INTO #InvoiceCopy FROM Invoices
WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0;

WHILE (SELECT SUM(InvoiceTotal - CreditTotal - PaymentTotal)
        FROM #InvoiceCopy) >= 20000
    BEGIN
        UPDATE #InvoiceCopy
        SET CreditTotal = CreditTotal + .05
        WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0;

        IF (SELECT MAX(CreditTotal) FROM #InvoiceCopy) > 3000
            BREAK;
        ELSE --(SELECT MAX(CreditTotal) FROM #InvoiceCopy) <= 3000
            CONTINUE;
    END;

SELECT InvoiceDate, InvoiceTotal, CreditTotal
FROM #InvoiceCopy;
```

### The result set

| | InvoiceDate | InvoiceTotal | CreditTotal |
|---|---|---|---|
| 1 | 2012-03-10 00:00:00 | 85.31 | 85.35 |
| 2 | 2012-03-18 00:00:00 | 52.25 | 52.25 |
| 3 | 2012-03-21 00:00:00 | 579.42 | 579.45 |
| 4 | 2012-03-21 00:00:00 | 59.97 | 60.00 |

## Description

- To execute a SQL statement repeatedly, you use the WHILE statement. This statement is executed as long as the conditional expression in the WHILE clause is true.

- If you need to execute two or more SQL statements within a WHILE *loop*, enclose the statements within BEGIN and END keywords.

- To exit from a WHILE loop immediately without testing the expression, use the BREAK statement. To return to the beginning of a WHILE loop without executing any additional statements in the loop, use the CONTINUE statement.

- WHILE loops are frequently used to manage cursors, which you'll learn about in chapter 16.

## Warning

- This script takes a few seconds to execute.

---

Figure 14-9      How to perform repetitive processing

# How to handle errors

SQL Server 2005 introduced a TRY…CATCH statement that makes it much easier to handle errors than it was in previous versions of SQL Server. Handling errors is often referred to as *error handling* or *exception handling*, and the TRY…CATCH statement works similarly to the exception handling statements that are available from the .NET languages such as C# and Visual Basic. Figure 14-10 shows how you can use the TRY…CATCH statement to handle errors.

To start, you code the TRY block around any statements that might cause an error to be raised. A TRY block begins with the BEGIN TRY keywords and ends with the END TRY keywords. In this figure, for example, you can see that a TRY block is coded around an INSERT statement and a PRINT statement.

Immediately following the TRY block, you must code a single CATCH block. A CATCH block begins with the BEGIN CATCH keywords and ends with the END CATCH keywords. Within the CATCH block, you can include any statements that handle the error that might be raised in the TRY block. In this figure, for example, the first statement in the CATCH block uses a PRINT statement to display a simple message that indicates that the INSERT statement in the TRY block did not execute successfully. Then, the second PRINT statement uses two functions that are designed to work within a CATCH block to provide more detailed information about the error. All four of the functions you can use within a CATCH block are presented in this figure. Although it's common to use a CATCH block to display information to the user, you can also use a CATCH block to perform other error handling tasks such as writing information about the error to a log table or rolling back a transaction.

In this figure, the INSERT statement that's coded within the TRY block provides a vendor ID that doesn't exist. As a result, when SQL Server attempts to execute this statement, a foreign key constraint will be violated and an error will be raised. Then, program execution will skip over the PRINT statement that follows the INSERT statement and jump into the CATCH block. This causes the message that's shown in this figure to be displayed. However, if the INSERT statement had executed successfully, program execution would have continued by executing the PRINT statement immediately following the INSERT statement and skipping the CATCH block. In that case, this code would have displayed a message indicating that the INSERT statement executed successfully.

When coding TRY…CATCH statements, you may find that some types of errors aren't handled. In particular, errors with a low severity are considered warnings and aren't handled. Conversely, errors with a high severity often cause the database connection to be closed, which prevents them from being handled.

Another thing to keep in mind when coding TRY…CATCH statements is that they must be coded within a single batch, stored procedure, or trigger. In other words, you can't code a TRY block that spans multiple batches within a script. However, you can nest one TRY…CATCH statement within another. For example, if a CATCH block contains complex code that inserts error data into a log table, you may want to code a TRY…CATCH statement within that CATCH block to catch any errors that might occur there.

## The syntax of the TRY…CATCH statement

```
BEGIN TRY
      {sql_statement|statement_block}
END TRY
BEGIN CATCH
      {sql_statement|statement_block}
END CATCH
```

## Functions you can use within a CATCH block

| Function | Description |
|---|---|
| ERROR_NUMBER() | Returns the error number. |
| ERROR_MESSAGE() | Returns the error message. |
| ERROR_SEVERITY() | Returns the severity of the error. |
| ERROR_STATE() | Returns the state of the error. |

## A script that uses a TRY…CATCH statement

```
BEGIN TRY
    INSERT Invoices
    VALUES (799, 'ZXK-799', '2012-05-07', 299.95, 0, 0,
            1, '2012-06-06', NULL);
    PRINT 'SUCCESS: Record was inserted.';
END TRY
BEGIN CATCH
    PRINT 'FAILURE: Record was not inserted.';
    PRINT 'Error ' + CONVERT(varchar, ERROR_NUMBER(), 1)
        + ': ' + ERROR_MESSAGE();
END CATCH;
```

### The message that's displayed

```
FAILURE: Record was not inserted.
Error 547: The INSERT statement conflicted with the FOREIGN KEY constraint
"FK_Invoices_Vendors". The conflict occurred in database "AP", table
"dbo.Vendors", column 'VendorID'.
```

## Description

- SQL Server 2005 introduced a TRY…CATCH statement that you can use to provide *error handling* (also known as *exception handling*). This works similarly to exception handling statements provided by C# and Visual Basic.

- A TRY block must be followed immediately by a single CATCH block.

- When an error occurs in a statement within a TRY block, control is passed to the CATCH block where the error can be processed. If no error occurs inside the TRY block, the CATCH block is skipped.

- Errors that have a severity of 10 or lower are considered warnings and are not handled by TRY…CATCH blocks. Errors that have a severity of 20 or higher and cause the database connection to be closed are not handled by TRY…CATCH blocks.

- Within a CATCH block, you can use the functions shown in this figure to return data about the error that caused the CATCH block to be executed.

Figure 14-10   How to handle errors

# How to use surround-with snippets

In chapter 11, you learned how to use snippets to help you code statements for creating database objects. In addition to these snippets, you can use *surround-with snippets* to enclose a block of statements in a BEGIN…END, IF, or WHILE statement. Figure 14-11 shows how surround-with snippets work.

The first screen in this figure shows part of the code from the script in figure 14-9. If you look back at that figure, you'll see that the UPDATE and IF…ELSE statements were executed within a WHILE loop. In this figure, I used a surround-with snippet to add the WHILE statement. To do that, I selected the statements I wanted to include in the loop and then selected the snippet for the WHILE statement as described in this figure.

When you insert a snippet for an IF or WHILE statement, a BEGIN…END statement is added automatically. That's true regardless of the number of statements you selected. That makes it easy to add statements to the block later on.

After you insert a snippet for an IF or WHILE statement, you have to enter a condition for the statement. To make that easy to do, the placeholder for the condition is highlighted. Then, you can just replace the placeholder with the appropriate condition.

## The list of surround-with snippets



## The code after the snippet is inserted



## Description

- *Surround-with snippets* make it easy to enclose a block of statements in a BEGIN…END, IF, or WHILE statement.

- To insert a surround-with snippet, select the statements you want to enclose. Then, right-click on the statements, select the Surround With command from the resulting menu, and select the snippet you want to insert from the list that's displayed.

- To select a snippet from the list, double-click on it. Alternatively, you can use the Up and Down arrow keys to select the snippet and then press the Tab or Enter key.

- If you insert a snippet for an IF or WHILE statement, you will need to complete the statement by replacing the highlighted condition. You can also enter an ELSE clause for an IF statement.

- When you insert a snippet for an IF or WHILE statement, a BEGIN…END statement is added automatically.

---

Figure 14-11    How to use surround-with snippets

# Advanced scripting techniques

The remaining topics of this chapter present some additional techniques you can use in the scripts you write. Here, you'll learn how to use some of the system functions that come with SQL Server, change some of the settings for the current session, use dynamic SQL, and use a command line utility to execute SQL statements and scripts. In addition, you'll see a complete script that uses many of the techniques presented in this chapter.

## How to use the system functions

Figure 14-12 presents some of the Transact-SQL *system functions*. These functions are particularly helpful for writing Transact-SQL scripts. For example, the script shown in this figure illustrates how you might use the @@IDENTITY and @@ROWCOUNT functions. This script starts by inserting a row into the Vendors table. Because the VendorID column in that table is defined as an identity column, SQL Server generates the value of this column automatically. Then, the script uses the @@IDENTITY function to retrieve this value so it can insert an invoice for the new vendor. Before it does that, though, it uses the @@ROWCOUNT function to determine if the vendor was inserted successfully.

Notice that this script stores the values returned by the @@IDENTITY and @@ROWCOUNT functions in variables named @MyIdentity and @MyRowCount. Alternatively, the script could have used the system functions directly in the IF and VALUES clauses. However, the values returned by these functions can change each time a SQL statement is executed on the system, so it usually makes sense to store these values in variables immediately after you execute a SQL statement.

You can use the @@ERROR function to get the error number returned by the most recent SQL statement. If you use the TRY…CATCH statement as shown in the previous figure, you won't need to use this function. However, you may see it used in scripts that were written with earlier versions of SQL Server.

The other functions I want to point out right now are the @@SERVERNAME, HOST_NAME, and SYSTEM_USER functions. The values returned by these functions can vary depending on who enters them and where they're entered. Because of that, they're often used to identify who entered or modified a row. For example, you could define a table with a column that defaults to the SYSTEM_USER function like this:

```
CREATE TABLE #SysFunctionEx
(EntryDBUser varchar(128) DEFAULT SYSTEM_USER);
```

This would cause the user name to be inserted automatically when each new row was added to the table.

At this point, you may be wondering why the names of some of the system functions start with two at signs (@@) and some don't. Those with @@ in their names have been a part of the T-SQL dialect for a long time and used to be called *global variables*. As of version 7.0, however, that term is no longer used. The other system functions have been added to T-SQL more recently.

## Some of the Transact-SQL system functions

| Function name | Description |
| --- | --- |
| `@@IDENTITY` | Returns the last value generated for an identity column on the server. Returns NULL if no identity value was generated. |
| `IDENT_CURRENT('tablename')` | Similar to @@IDENTITY, but returns the last identity value that was generated for a specified table. |
| `@@ROWCOUNT` | Returns the number of rows affected by the most recent SQL statement. |
| `@@ERROR` | Returns the error number generated by the execution of the most recent SQL statement. Returns 0 if no error occurred. |
| `@@SERVERNAME` | Returns the name of the local server. |
| `HOST_NAME()` | Returns the name of the current workstation. |
| `SYSTEM_USER` | Returns the name of the current user. |

## A script that inserts a new vendor and a new invoice

```
USE AP;
DECLARE @MyIdentity int, @MyRowCount int;

INSERT Vendors (VendorName, VendorAddress1, VendorCity, VendorState,
    VendorZipCode, VendorPhone, DefaultTermsID, DefaultAccountNo)
VALUES ('Peerless Binding', '1112 S Windsor St', 'Hallowell', 'ME',
    '04347', '(207) 555-1555', 4, 400);

SET @MyIdentity = @@IDENTITY;
SET @MyRowCount = @@ROWCOUNT;

IF @MyRowCount = 1
    INSERT Invoices
    VALUES (@MyIdentity, 'BA-0199', '2012-05-01', 4598.23,
        0, 0, 4, '2012-06-30', NULL);
```

## The response from the system

```
(1 row(s) affected)


(1 row(s) affected)
```

## Description

- The *system functions* return information about SQL Server values, objects, and settings. They can be used anywhere an expression is allowed.

- System functions are useful in writing scripts. In addition, some of these functions can be used to provide a value for a DEFAULT constraint on a column.

- System functions used to be called *global variables*, but that name is no longer used.

- In general, it's better to store the value returned by a system function in a variable than to use the system function directly. That's because the value of a system function can change when subsequent statements are executed.

Figure 14-12    How to use the system functions

# How to change the session settings

Each time you start a new session, SQL Server sets the settings for that session to the defaults. If that's not what you want, you can change the settings using the SET statements presented in figure 14-13. Although SQL Server provides a variety of other statements, these are the ones you're most likely to use. And you're likely to use these only under special circumstances.

For example, because the default format for entering dates is "mdy," 05/06/12 is interpreted as May 6, 2012. If this date is being inserted from another data source, however, that data source could have used a date format where the year is entered first, followed by the month and the day. In that case, you could use a SET statement like the one shown in this figure to change the date format of the current session to "ymd." Then, the date would be interpreted as June 12, 2005.

The ANSI_NULLS option determines how null values are compared. By default, this option is set to ON, in which case you can't compare a value to the NULL keyword using a comparison operator. In that case,

`PaymentDate = NULL`

is always Unknown rather than True or False, even if PaymentDate contains a null value. To determine if a column contains a null value, you must use the IS NULL or IS NOT NULL clause. If you set the ANSI_NULLS option to OFF, however, the expression shown above would return True if PaymentDate contains a null value, and it would return False otherwise. Because a future version of SQL Server will require that the ANSI_NULLS option is always set to on, I recommend that you don't set this option to OFF.

The SET ROWCOUNT statement limits the number of rows that are processed by subsequent queries. For a SELECT query, this works the same as coding a TOP clause. However, since most other dialects of SQL don't support the TOP clause, you'll often see SET ROWCOUNT used in the code of other SQL programmers. Be aware, though, that this session setting affects all queries, including action queries and queries stored within views and stored procedures. Since this can cause unexpected results, I recommend that you avoid modifying this session setting and use the TOP clause instead.

Note that with a future version of SQL Server, SET ROWCOUNT won't affect actions queries. Because of that, you'll have to use the TOP clause to limit the rows processed by INSERT, UPDATE, and DELETE statements. Since you don't typically use the TOP clause with these statements, though, we don't present it in this book. If you want to learn more about using it with these statements, you can refer to Books Online.

## Transact-SQL statements for changing session settings

| Statement | Description |
|-----------|-------------|
| `SET DATEFORMAT format` | Sets the order of the parts of a date (month/day/year) for entering date/time data. The default is mdy, but any permutation of m, d, and y is valid. |
| `SET NOCOUNT {ON|OFF}` | Determines whether SQL Server returns a message indicating the number of rows that were affected by a statement. OFF is the default. |
| `SET ANSI_NULLS {ON|OFF}` | Determines how SQL Server handles equals ( = ) and not equals ( <> ) comparisons with null values. The default is ON, in which case "WHERE column = NULL" will always return an empty result set, even if there are null values in the column. |
| `SET ANSI_PADDING {ON|OFF}` | Determines how SQL Server stores char and varchar values that are smaller than the maximum size for a column or that contain trailing blanks. Only affects new column definitions. The default is ON, which causes char values to be padded with blanks. In addition, trailing blanks in varchar values are not trimmed. If this option is set to OFF, char values that don't allow nulls are padded with blanks, but blanks are trimmed from char values that allow nulls as well as from varchar values. |
| `SET ROWCOUNT number` | Limits the number of rows that are processed by a query. The default setting is 0, which causes all rows to be processed. |

## A statement that changes the date format

```
SET DATEFORMAT ymd;
```

## Description

- You use the SET statement to change configuration settings for the current session. These settings control the way queries and scripts execute.
- If the ANSI_NULLS option is set to ON, you can only test for null values in a column by using the IS NULL clause. See figure 3-15 in chapter 3 for details.
- In a future version of SQL Server, the ANSI_NULLS and ANSI_PADDING options will always be on and you won't be able to turn them off. Because of that, you shouldn't use these options in new scripts that you write.
- Instead of using the SET ROWCOUNT statement to limit the numbers of rows that are processed by a query, you should use the TOP clause. See chapter 3 for information on how to use this clause with the SELECT statement. For information on how to use it with the INSERT, UPDATE, and DELETE statements, see Books Online.
- In a future version of SQL Server, the SET ROWCOUNT statement won't affect INSERT, UPDATE, and DELETE statements.
- For a complete list of the Transact-SQL statements for changing session settings, see the topic on the SET statement in Books Online.

Figure 14-13  How to change the session settings

# How to use dynamic SQL

So far, the scripts you've seen in this chapter have contained predefined SQL statements. In other words, the statements don't change from one execution of the script to another other than for the values of variables used in the statements. However, you can also define an SQL statement as a script executes. Then, you use the EXEC statement shown in figure 14-14 to execute the *dynamic SQL*. Notice that EXEC is an abbreviation for EXECUTE.

The EXEC statement executes a string that contains a SQL statement. To illustrate, the first script in this figure executes a SELECT statement against a table that's specified at run time. To do that, it concatenates the literal string "SELECT * FROM" with the value of a variable named @TableNameVar. If you think about it, you'll realize that there's no other way to do this in SQL. Of course, it would have been easier to submit the simple query shown here directly rather than to use dynamic SQL. However, a more complex script might use an IF...ELSE statement to determine the table that's used in the query. In that case, dynamic SQL can make the script easier to code.

The second script in this figure is more complicated. It creates a table with columns that represent each vendor with outstanding invoices. That means that the number of columns in the new table will vary depending on the current values in the Invoices table.

This script starts by creating a variable named @DynamicSQL that will store the SQL string that's executed. Next, the new table to be created is deleted if it already exists. Then, a SET statement assigns the beginning of the SQL string to @DynamicSQL, which includes the CREATE TABLE statement, the name of the new table, and an opening parenthesis. The SELECT statement that follows concatenates the name of each column (the name of the current vendor) and data type to the SQL string. Finally, the second SET statement concatenates a closing parenthesis and a semicolon to the string, and the string is executed.

Notice that for each row retrieved by the SELECT statement, the variable @DynamicSQL is concatenated with its previous value. Although this syntax is valid for any query, it isn't useful except when generating dynamic SQL as shown here. Also notice that the name of each vendor is enclosed in brackets. That's because many of the vendors have spaces or other special characters in their names, which aren't allowed in column names unless they're delimited.

This figure also shows the SQL statement that's created by one execution of this script along with the contents of the table that's created. Although this table isn't useful the way it is, it could be used to cross-tabulate data based on the Vendors table. For example, each row of this table could represent a date and the Boolean value in each column could represent whether the vendor has an invoice that's due on that date. Since more than one vendor's invoice can be due on the same date, a cross-tabulation is a good representation of this data.

As you may have noticed, the SQL string that's generated by this script has an extra comma following the last column specification. Fortunately, the CREATE TABLE statement ignores this extra comma without generating an error. However, if you wanted to, you could eliminate this comma by using the LEFT function before concatenating the closing parenthesis.

## The syntax of the EXEC statement

```
{EXEC|EXECUTE} ('SQL_string')
```

## A script that uses an EXEC statement

```
USE AP;
DECLARE @TableNameVar varchar(128);
SET @TableNameVar = 'Invoices';
EXEC ('SELECT * FROM ' + @TableNameVar + ';');
```

## The contents of the SQL string at execution

```
SELECT * FROM Invoices;
```

## A script that creates a table with one column for each vendor with a balance due

```
USE AP;
DECLARE @DynamicSQL varchar(8000);

IF OBJECT_ID('XtabVendors') IS NOT NULL
    DROP TABLE XtabVendors;

SET @DynamicSQL = 'CREATE TABLE XtabVendors ('
    SELECT @DynamicSQL = @DynamicSQL + '[' + VendorName + '] bit,'
    FROM Vendors
    WHERE VendorID IN
        (SELECT VendorID
        FROM Invoices
        WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0)
    ORDER BY VendorName;
SET @DynamicSQL = @DynamicSQL + ');';

EXEC (@DynamicSQL);

SELECT * FROM XtabVendors;
```

## The contents of the SQL string

```
CREATE TABLE XtabVendors ([Blue Cross] bit,[Cardinal Business Media, Inc.]
bit,[Data Reproductions Corp] bit,[Federal Express Corporation] bit,[Ford
Motor Credit Company] bit,[Ingram] bit,[Malloy Lithographing Inc] bit,);
```

## The result set

| Blue Cross | Cardinal Business Media, Inc. | Data Reproductions Corp | Federal Express Corporation | Ford M |
|---|---|---|---|---|

## Description

- The EXEC statement executes the SQL statement contained in a string. Because you define the SQL string within the script, you can create and execute SQL code that changes each time the script is run. This is called *dynamic SQL*.

- You can use dynamic SQL to perform operations that can't be accomplished using any other technique.

Figure 14-14    How to use dynamic SQL

# A script that summarizes the structure of a database

Figure 14-15 presents a script that you can use to summarize the structure of a database. This script illustrates many of the techniques you learned in this chapter. It also shows how you might use some of the catalog views you learned about in the last chapter.

This script starts by dropping the temporary table named #TableSummary if it already exists. Then, it recreates this table using a SELECT INTO statement and data from three catalog views named tables, columns, and types. The tables view provides information about the tables in the current database. The columns view provides information about the columns in the current database, including the ID of the table that contains the column and the ID of the column's data type. And the types view contains information about data types.

As you can see in this figure, the tables and columns catalog views are joined to get the name of the table that contains a column. In addition, the columns and types catalog views are joined together to get the name of a column's data type. Then, the WHERE clause excludes three tables by name, including the two temporary tables created by this script and the system table named dtproperties.

Next, this script drops and recreates another temporary table named #AllUserTables. This table will be used to generate the row count for each table. It has two columns: an identity column and a column for the table name. The INSERT statement that follows populates this table with the same list of table names that was inserted into the #TableSummary table.

## A script that creates a summary of the tables in a database          Page 1

```
/*
Creates and queries a table, #TableSummary, that lists
the columns for each user table in the database, plus
the number of rows in each table.

Author:   Bryan Syverson
Created:  2006-07-02
Modified: 2008-07-16
*/

USE AP;

IF OBJECT_ID('tempdb..#TableSummary') IS NOT NULL
    DROP TABLE #TableSummary;

SELECT sys.tables.name AS TableName, sys.columns.name AS ColumnName,
    sys.types.name AS Type
INTO #TableSummary
FROM sys.tables
    JOIN sys.columns ON sys.tables.object_id = sys.columns.object_id
    JOIN sys.types ON sys.columns.system_type_id = sys.types.system_type_id
WHERE sys.tables.name IN
    (SELECT name
    FROM sys.tables
    WHERE name NOT IN ('dtproperties', 'TableSummary', 'AllUserTables'));

IF OBJECT_ID('tempdb..#AllUserTables') IS NOT NULL
    DROP TABLE #AllUserTables;

CREATE TABLE #AllUserTables
(TableID int IDENTITY, TableName varchar(128));
GO

INSERT #AllUserTables (TableName)
SELECT name
FROM sys.tables
WHERE name NOT IN ('dtproperties', 'TableSummary', 'AllUserTables');
```

## Description

- A SELECT INTO statement is used to retrieve information from the tables, columns, and types catalog views and store it in a temporary table named #TableSummary. This table has one row for each column in each table of the database that includes the table name, column name, and data type.

- A CREATE TABLE statement is used to create a temporary table named #AllUserTables. Then, an INSERT statement is used to insert rows into this table that contain the name of each table in the database. This information is retrieved from the catalog view named tables. Each row also contains a sequence number that's generated by SQL Server.

- The system table named dtproperties and the two temporary tables themselves are omitted from both SELECT queries.

Figure 14-15    A script that summarizes the structure of a database (part 1 of 2)

Part 2 of this script includes a WHILE loop that uses dynamic SQL to insert an additional row into #TableSummary for each table in #AllUserTables. Each of these rows indicates the total number of rows in one of the base tables. The @LoopMax variable used by this loop is set to the maximum value of the TableID column in #AllUserTables. The @LoopVar variable is set to 1, which is the minimum value of TableID. The WHILE loop uses @LoopVar to step through the rows of #AllUserTables.

Within the loop, the SELECT statement sets @TableNameVar to the value of the TableName column for the current table. Then, @ExecVar is built by concatenating each of the clauses of the final SQL string. This string consists of three statements. The DECLARE statement is used to create a variable named @CountVar that will store the number of rows in the current table. Note that because this variable is created within the dynamic SQL statement, its scope is limited to the EXEC statement. In other words, it isn't available to the portion of the script outside of the EXEC statement.

The SELECT statement within the dynamic SQL statement retrieves the row count from the current table and stores it in the @CountVar variable. Then, the INSERT statement inserts a row into the #TableSummary table that includes the table name, a literal value that indicates that the row contains the row count, and the number of rows in the table. You can see the contents of the SQL string that's created for one table, the ContactUpdates table, in this figure.

After the SQL string is created, it's executed using an EXEC statement. Then, @LoopVar is increased by 1 and the loop is executed again. When the loop completes, the script executes a SELECT statement that retrieves the data from the #TableSummary table. That result set is also shown in this figure.

## A script that creates a summary of the tables in a database          Page 2

```
DECLARE @LoopMax int, @LoopVar int;
DECLARE @TableNameVar varchar(128), @ExecVar varchar(1000);

SELECT @LoopMax = MAX(TableID) FROM #AllUserTables;

SET @LoopVar = 1;

WHILE @LoopVar <= @LoopMax
    BEGIN
        SELECT @TableNameVar = TableName
            FROM #AllUserTables
            WHERE TableID = @LoopVar;
        SET @ExecVar = 'DECLARE @CountVar int; ';
        SET @ExecVar = @ExecVar + 'SELECT @CountVar = COUNT(*) ';
        SET @ExecVar = @ExecVar + 'FROM ' + @TableNameVar + '; ';
        SET @ExecVar = @ExecVar + 'INSERT #TableSummary ';
        SET @ExecVar = @ExecVar + 'VALUES (''' + @TableNameVar + ''',';
        SET @ExecVar = @ExecVar + '''*Row Count*'',';
        SET @ExecVar = @ExecVar + ' @CountVar);';
        EXEC (@ExecVar);
        SET @LoopVar = @LoopVar + 1;
    END;

SELECT * FROM #TableSummary
ORDER BY TableName, ColumnName;
```

## The contents of the SQL string for one iteration of the loop

```
DECLARE @CountVar int; SELECT @CountVar = COUNT(*) FROM ContactUpdates;
INSERT #TableSummary VALUES ('ContactUpdates','*Row Count*', @CountVar);
```

## The result set

| | TableName | ColumnName | Type |
|---|---|---|---|
| 19 | InvoiceLineItems | *Row Count* | 118 |
| 20 | InvoiceLineItems | AccountNo | int |
| 21 | InvoiceLineItems | InvoiceID | int |
| 22 | InvoiceLineItems | InvoiceLineItemAmount | money |
| 23 | InvoiceLineItems | InvoiceLineItemDescription | varchar |
| 24 | InvoiceLineItems | InvoiceSequence | smallint |
| 25 | Invoices | *Row Count* | 114 |
| 26 | Invoices | CreditTotal | money |

## Description

- The WHILE statement loops through the tables in the #AllUserTables table. For each table, it creates a dynamic SQL string that contains a SELECT statement and an INSERT statement. The SELECT statement retrieves the number of rows in the table, and the INSERT statement inserts a new row into the #TableSummary table that indicates the number of rows.

- The final SELECT statement retrieves all of the rows and columns from the #TableSummary table sorted by column name within table name.

Figure 14-15    A script that summarizes the structure of a database (part 2 of 2)

## How to use the SQLCMD utility

SQL Server 2005 introduced a new command line utility known as the *SQLCMD utility* that replaced the *OSQL utility* that was available from previous versions of SQL Server. Unlike the Management Studio you've used throughout this book, the SQLCMD utility lets you enter and execute scripts from a command line. One advantage of the SQLCMD utility is that it provides a way to run a SQL script from a DOS batch file.

Figure 14-16 presents an example of a Command Prompt window running a SQLCMD session. To open a session, you enter "sqlcmd" at the command prompt, followed by the appropriate command line switches. To start a session, you must begin by using the -S switch to specify a valid server. Then, if you want to connect to SQL Server using Windows authentication, the only command line switch you need is -E as shown in this figure. If you connect using SQL Server authentication, though, you'll need to enter switches for the user name and password like this:

```
sqlcmd -S localhost\SQLExpress -U joel -P Top$Secret
```

You can also omit the password switch and the SQLCMD utility will prompt you for your password. This improves security because, unlike the Command Prompt window, the SQLCMD utility doesn't display the password on the screen.

Once you're connected, you can type one SQL statement per line as shown. Then, to execute the statement you've entered, you enter a GO command. When you're done, you can close the SQLCMD session by entering the EXIT command. Then, you're returned to the command prompt.

You can also execute a script that's stored in a file on disk. To do that, you use the -i switch. To save the response from the server to a file, you use the -o switch. For example, this command would execute the script contained in a file named test.sql and save the result set in a file named test.txt:

```
sqlcmd -S localhost\SQLExpress -i test.sql -o test.txt
```

Note that the response also includes any result sets that are created. As a result, if the script stored in the test.sql file contains a SELECT statement, the result set returned by that SELECT statement will be stored in text format in the test.txt file.

Although the SQLCMD utility provides an easy way to run T-SQL scripts from a DOS command line, SQL Server 2008 introduced support for Microsoft's new command line tool, *Microsoft Windows PowerShell*. PowerShell is a powerful scripting tool that makes it possible to automate complex administrative tasks across multiple servers. However, due to its power and complexity, PowerShell has a steep learning curve. As a result, if you aren't familiar with it already, you'll only want to use it if you can't accomplish the task using a DOS batch file and a T-SQL script.

## A Command Prompt window running the SQLCMD utility



## Command line switches

| Switch | Function |
|---|---|
| **-?** | Show a summary of all command line switches. |
| **-E** | Use a trusted connection (Windows authentication mode). |
| **-L** | List the names of the available servers. |
| **-S** server_name | Log in to a specific server. |
| **-U** user_name | Log in as a specific user (SQL Server authentication mode). |
| **-P** password | Specify the password in the command line (SQL Server authentication mode). |
| **-Q** "query" | Execute the specified query, then exit. |
| **-i** file_name | Specify the name of the script file to be executed. |
| **-o** file_name | Specify an output file in which to save responses from the system. |

## Description

- You can use the *SQLCMD utility* to run T-SQL scripts from a command line.  This provides a way to use a DOS batch file to run a script.

- To open a command prompt window where you can start SQLCMD, select Start→All Programs→Accessories→Command Prompt. When you're done, enter "exit".

- To start the SQLCMD utility, enter "sqlcmd" at the C:\> prompt along with the appropriate command line switches.

- You must begin most commands with the -S switch to specify the name of a valid server.

- To log in, you can use the -E switch for Windows authentication, or you can use the -U and -P switches for SQL Server authentication.

- Once you've started the SQLCMD utility and logged in, you can enter the statements you want to execute followed by the GO command.

- To exit from the SQLCMD utility, enter "exit" at the SQLCMD prompt.

Figure 14-16    How to use the SQLCMD utility

# Perspective

In this chapter, you've learned how to code procedural scripts in T-SQL. By using the techniques you've learned here, you'll be able to code scripts that are more general, more useful, and less susceptible to failure. In particular, when you use dynamic SQL, you'll be able to solve problems that can't be solved using any other technique.

In the next chapter, you'll expand on what you've learned here by learning how to code stored procedures, functions, and triggers. These objects are basically one-batch scripts that are stored with the database. But they provide special functionality that gives you greater control over a database, who has access to it, and how they can modify it.

# Terms

script
batch
T-SQL statement
variable
scalar variable
local variable
table variable
temporary table
local temporary table
global temporary table
scope
nested IF...ELSE statements
WHILE loop
error handling
exception handling
surround-with snippets
system function
global variable
dynamic SQL
SQLCMD
OSQL
Windows PowerShell

## Exercises

1.  Write a script that declares and sets a variable that's equal to the total outstanding balance due. If that balance due is greater than $10,000.00, the script should return a result set consisting of VendorName, InvoiceNumber, InvoiceDueDate, and Balance for each invoice with a balance due, sorted with the oldest due date first. If the total outstanding balance due is less than $10,000.00, the script should return the message "Balance due is less than $10,000.00."

2.  The following script uses a derived table to return the date and invoice total of the earliest invoice issued by each vendor. Write a script that generates the same result set but uses a temporary table in place of the derived table. Make sure your script tests for the existence of any objects it creates.

    ```
    USE AP;

    SELECT VendorName, FirstInvoiceDate, InvoiceTotal
    FROM Invoices JOIN
      (SELECT VendorID, MIN(InvoiceDate) AS FirstInvoiceDate
       FROM Invoices
       GROUP BY VendorID) AS FirstInvoice
      ON (Invoices.VendorID = FirstInvoice.VendorID AND
          Invoices.InvoiceDate = FirstInvoice.FirstInvoiceDate)
    JOIN Vendors
      ON Invoices.VendorID = Vendors.VendorID
    ORDER BY VendorName, FirstInvoiceDate;
    ```

3.  Write a script that generates the same result set as the code shown in exercise 2, but uses a view instead of a derived table. Also write the script that creates the view. Make sure that your script tests for the existence of the view. The view doesn't need to be redefined each time the script is executed.

4.  Write a script that uses dynamic SQL to return a single column that represents the number of rows in the first table in the current database. The script should automatically choose the table that appears first alphabetically, and it should exclude tables named dtproperties and sysdiagrams. Name the column CountOf*Table*, where *Table* is the chosen table name.

    Hint: Use the sys.tables catalog view.

# 15

# How to code stored procedures, functions, and triggers

Now that you've learned how to work with scripts, you know that procedural statements can help you manage a database and automate tasks. In this chapter, you'll learn how to extend this functionality by creating database objects that store program code within a database. The three types of programs discussed in this chapter provide a powerful and flexible way to control how a database is used.

# Procedural programming options in Transact-SQL

Figure 15-1 presents the four types of procedural programs you can code using Transact-SQL. Each program type contains SQL statements. However, they differ by how they're stored and executed.

## Scripts

Of the four types of procedural programs, only scripts can contain two or more batches. That's because only scripts can be executed by SQL Server tools such as the Management Studio and the SQLCMD utility. In addition, only scripts are stored in files outside of the database. For these reasons, scripts tend to be used most often by SQL Server programmers and database administrators.

## Stored procedures, user-defined functions, and triggers

The other three types of procedural programs—*stored procedures*, *user-defined functions*, and *triggers*—are executable database objects. This means that each is stored within the database. To create these objects, you use the DDL statements you'll learn about in this chapter. Then, these objects remain as a part of the database until they're explicitly dropped.

Stored procedures, user-defined functions, and triggers differ by how they're executed. Stored procedures and user-defined functions can be run from any database connection that can run a SQL statement. In contrast, triggers run automatically in response to the execution of an action query on a specific table.

Stored procedures are frequently written by SQL programmers for use by end users or application programmers. If you code stored procedures in this way, you can simplify the way these users interact with a database. In addition, you can provide access to a database exclusively through stored procedures. This gives you tight control over the security of the data.

Both user-defined functions and triggers are used more often by SQL programmers than by application programmers or end users. SQL programmers often use their own functions within the scripts, stored procedures, and triggers they write. Since triggers run in response to an action query, programmers use them to help prevent errors caused by inconsistent or invalid data.

Stored procedures, functions, and triggers also differ by whether or not they can use parameters. *Parameters* are values that can be passed to or returned from a procedure. Both stored procedures and user-defined functions can use parameters, but triggers can't.

## A comparison of the different types of procedural SQL programs

| Type | Batches | How it's stored | How it's executed | Accepts parameters |
|---|---|---|---|---|
| Script | Multiple | In a file on a disk | From within a client tool such as the Management Studio or SQLCMD | No |
| Stored procedure | One only | In an object in the database | By an application or within a SQL script | Yes |
| User-defined function | One only | In an object in the database | By an application or within a SQL script | Yes |
| Trigger | One only | In an object in the database | Automatically by the server when a specific action query is executed | No |

## Description

- You can write procedural programs with Transact-SQL using scripts, stored procedures, user-defined functions, and triggers.

- Scripts are useful for those users with access to the SQL Server client tools, such as the Management Studio. Typically, these tools are used by SQL programmers and DBAs, not by application programmers or end users.

- Stored procedures, user-defined functions, and triggers are all executable database objects that contain SQL statements. Although they differ in how they're executed and by the kinds of values they can return, they all provide greater control and better performance than a script.

- *Stored procedures* give the SQL programmer control over who accesses the database and how. Since some application programmers don't have the expertise to write certain types of complex SQL queries, stored procedures can simplify their use of the database.

- *User-defined functions* are most often used by SQL programmers within the stored procedures and triggers that they write, although they can also be used by application programmers and end users.

- *Triggers* are special procedures that execute when an action query, such as an INSERT, UPDATE, or DELETE statement, is executed. Like constraints, you can use triggers to prevent database errors, but triggers give you greater control and flexibility.

- Since procedures, functions, and triggers are database objects, the SQL statements you use to create, delete, and modify them are considered part of the DDL.

Figure 15-1    Procedural programming options in Transact-SQL

# How to code stored procedures

A *stored procedure* is a database object that contains one or more SQL statements. In the topics that follow, you'll learn how to create and use stored procedures. In addition, you'll learn how to use some of the stored procedures provided by SQL Server.

## An introduction to stored procedures

Figure 15-2 presents a script that creates a stored procedure, also called an *sproc* or just a *procedure*. To do that, you use the CREATE PROC statement. You'll learn the details of coding this statement in a moment.

The first time a procedure is executed, each SQL statement it contains is compiled and executed to create an *execution plan*. Then, the procedure is stored in compiled form within the database. For each subsequent execution, the SQL statements are executed without compilation, because they're *precompiled*. This makes the execution of a stored procedure faster than the execution of an equivalent SQL script.

To execute, or *call*, a stored procedure, you use the EXEC statement. If the EXEC statement is the first line in a batch, you can omit the EXEC keyword and just code the procedure name. Since this can lead to code that's confusing to read, however, I recommend that you include the EXEC keyword.

The script in this figure creates a stored procedure named spInvoiceReport. This procedure consists of a single statement: a SELECT statement that retrieves data from the Vendors and Invoices tables. As you'll see in the topics that follow, however, a stored procedure can contain more than one statement, along with the same procedural code used in scripts.

When you execute the script in this figure, you create the stored procedure. The response from the system shows that the procedure was created successfully. Then, when you execute the stored procedure, the result set retrieved by the SELECT statement is returned.

As you can see, a user or program that calls this procedure doesn't need to know the structure of the database to use the stored procedure. This simplifies the use of the database by eliminating the need to know SQL and the need to understand the structure of the database.

As you'll learn in chapter 18, you can allow a user or program to call specific stored procedures but not to execute other SQL statements. By doing this, you can secure your database by restricting access to only those rows, columns, and tables that you provide access to through the stored procedures. For those systems where security is critical, this can be the best way to secure the data.

## A script that creates a stored procedure

```
USE AP;
GO
CREATE PROC spInvoiceReport
AS

SELECT VendorName, InvoiceNumber, InvoiceDate, InvoiceTotal
FROM Invoices JOIN Vendors
        ON Invoices.VendorID = Vendors.VendorID
WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0
ORDER BY VendorName;
```

### The response from the system

```
Command(s) completed successfully.
```

## A statement that calls the procedure

```
EXEC spInvoiceReport;
```

### The result set created by the procedure

| | VendorName | InvoiceNumber | InvoiceDate | InvoiceTotal | |
|---|---|---|---|---|---|
| 1 | Blue Cross | 547480102 | 2012-04-01 00:00:00 | 224.00 | |
| 2 | Cardinal Business Media, Inc. | 134116 | 2012-03-28 00:00:00 | 90.36 | |
| 3 | Data Reproductions Corp | 39104 | 2012-03-10 00:00:00 | 85.31 | |
| 4 | Federal Express Corporation | 963253264 | 2012-03-18 00:00:00 | 52.25 | |
| 5 | Federal Express Corporation | 263253268 | 2012-03-21 00:00:00 | 59.97 | |

## Description

- A stored procedure is an executable database object that contains SQL statements. A stored procedure is also called a *sproc* (pronounced either as one word or as "ess-proc") or a *procedure*.

- Stored procedures are *precompiled*. That means that the *execution plan* for the SQL code is compiled the first time the procedure is executed and is then saved in its compiled form. For this reason, stored procedures execute faster than an equivalent SQL script.

- You use the EXEC statement to run, or *call*, a procedure. If this statement is the first line in a batch, you can omit the EXEC keyword and code just the procedure name. To make your code easier to read, however, you should always include the EXEC keyword.

- You can call a stored procedure from within another stored procedure. You can even call a stored procedure from within itself. This technique, called a *recursive call* or *recursion*, is seldom used in SQL programming.

- One of the advantages of using procedures is that application programmers and end users don't need to know the structure of the database or how to code SQL.

- Another advantage of using procedures is that they can restrict and control access to a database. If you use procedures in this way, you can prevent both accidental errors and malicious damage.

Figure 15-2    An introduction to stored procedures

## How to create a stored procedure

Figure 15-3 presents the syntax of the CREATE PROC statement you use to create a stored procedure. You code the name of the procedure in the CREATE PROC clause. Note that stored procedure names can't be the same as the name of any other object in the database. To help distinguish a stored procedure from other database objects, it's a good practice to prefix its name with the letters *sp*.

When the CREATE PROC statement is executed, the syntax of the SQL statements within the procedure is checked. If you've made a coding error, the system responds with an appropriate message and the procedure isn't created.

Because the stored procedure is created in the current database, you need to change the database context by coding a USE statement before the CREATE PROC statement. In addition, CREATE PROC must be the first and only statement in the batch. Since the script in this figure creates the procedure after a USE and DROP PROC statement, for example, it has a GO command just before the CREATE PROC statement.

In addition to stored procedures that are stored in the current database, you can create *temporary stored procedures* that are stored in the tempdb database. These procedures exist only while the current database session is open, so they aren't used often. To identify a temporary stored procedure, prefix the name with one number sign (#) for a *local procedure* and two number signs (##) for a *global procedure*.

After the name of the procedure, you code declarations for any parameters it uses. You'll learn more about that in the figures that follow.

You can also code the optional WITH clause with the RECOMPILE option, the ENCRYPTION option, the EXECUTE_AS_clause option, or any combination of these options. The RECOMPILE option prevents the system from precompiling the procedure. That means that the execution plan for the procedure must be compiled each time it's executed, which will slow down most procedures. For this reason, you should generally omit this option.

Some procedures, however, might make use of unusual or atypical values. If so, the first compilation may result in an execution plan that isn't efficient for subsequent executions. In that case, the additional overhead involved in recompiling the procedure may be offset by the reduced query execution time. If you find that a stored procedure you've written performs erratically, you may want to try this option.

ENCRYPTION is a security option that prevents the user from being able to view the declaration of a stored procedure. Since the system stores the procedure as an object in the database, it also stores the code for the procedure. If this code contains information that you don't want the user to examine, you should use this option.

The EXECUTE_AS_clause option allows you to specify an EXECUTE AS clause to allow users to execute the stored procedure with a specified security context. For example, you can use this clause to allow users to execute the stored procedure with the same security permissions as you. That way, you can be sure that the stored procedure will work for the caller even if the caller doesn't have permissions to access all of the objects that you used within the stored procedure.

## The syntax of the CREATE PROC statement

```
CREATE {PROC|PROCEDURE} procedure_name
[parameter_declarations]
[WITH [RECOMPILE] [, ENCRYPTION] [, EXECUTE_AS_clause]]
AS sql_statements
```

## A script that creates a stored procedure that copies a table

```
USE AP;
IF OBJECT_ID('spCopyInvoices') IS NOT NULL
    DROP PROC spCopyInvoices;
GO


CREATE PROC spCopyInvoices
AS
    IF OBJECT_ID('InvoiceCopy') IS NOT NULL
        DROP TABLE InvoiceCopy;
    SELECT *
    INTO InvoiceCopy
    FROM Invoices;
```

## Description

- You use the CREATE PROC statement to create a stored procedure in the current database. The name of a stored procedure can be up to 128 characters and is typically prefixed with the letters *sp*.

- The CREATE PROC statement must be the first and only statement in a batch. If you're creating the procedure within a script, then, you must code a GO command following any statements that precede the CREATE PROC statement.

- To create a *temporary stored procedure*, prefix the procedure name with a number sign (#) for a *local procedure* or two number signs (##) for a *global procedure*. A temporary stored procedure only exists while the current database session is open.

- You can use *parameters* to pass one or more values from the calling program to the stored procedure or from the procedure to the calling program. See figures 15-4 and 15-5 for more information on working with parameters.

- The AS clause contains the SQL statements to be executed by the stored procedure. Since a stored procedure must consist of a single batch, a GO command is interpreted as the end of the CREATE PROC statement.

- The RECOMPILE option prevents the system from precompiling the procedure, which means that it has to be compiled each time it's run. Since that reduces system performance, you don't typically use this option.

- The ENCRYPTION option prevents users from viewing the code in a stored procedure. See figure 15-11 for more information on viewing stored procedures.

- The EXECUTE_AS_clause option was introduced with SQL Server 2005. You can use this option to allow users to execute the stored procedure with the permissions specified by the EXECUTE AS clause. For more information, look up "EXECUTE AS clause" in Books Online.

Figure 15-3    How to create a stored procedure

## How to declare and work with parameters

Figure 15-4 presents the syntax for declaring parameters in a CREATE PROC statement. Like a local variable, the name of a parameter must begin with an at sign (@). The data type for a parameter can be any valid SQL Server data type except for the table data type.

Stored procedures provide for two different types of parameters: input parameters and output parameters. An *input parameter* is passed to the stored procedure from the calling program. An *output parameter* is returned to the calling program from the stored procedure. You identify an output parameter with the OUTPUT keyword. If this keyword is omitted, the parameter is assumed to be an input parameter.

You can declare an input parameter so it requires a value or so its value is optional. The value of a *required parameter* must be passed to the stored procedure from the calling program or an error occurs. The value of an *optional parameter* doesn't need to be passed from the calling program. You identify an optional parameter by assigning a default value to it. Then, if a value isn't passed from the calling program, the default value is used. Although you can also code a default value for an output parameter, there's usually no reason for doing that.

You can also use output parameters as input parameters. That is, you can pass a value from the calling program to the stored procedure through an output parameter. However, that's an unusual way to use output parameters. To avoid confusion, you should use output parameters strictly for output.

Within the procedure, you use parameters like variables. Although you can change the value of an input parameter within the procedure, that change isn't returned to the calling program and has no effect on it. Instead, when the procedure ends, the values of any output parameters are returned to the calling program.

## The syntax for declaring parameters

```
@parameter_name_1 data_type [= default] [OUTPUT]
[, @parameter_name_2 data_type [= default] [OUTPUT]]...
```

## Typical parameter declarations

```
@DateVar smalldatetime              -- Input parameter that accepts
                                    -- a date/time value
@VendorVar varchar(40) = NULL       -- Optional input parameter that accepts
                                    -- a character value
@InvTotal money OUTPUT              -- Output parameter that returns
                                    -- a monetary value
```

## A CREATE PROC statement that uses an input and an output parameter

```
CREATE PROC spInvTotal1
        @DateVar smalldatetime,
        @InvTotal money OUTPUT
AS
SELECT @InvTotal = SUM(InvoiceTotal)
FROM Invoices
WHERE InvoiceDate >= @DateVar;
```

## A CREATE PROC statement that uses an optional parameter

```
CREATE PROC spInvTotal2
        @DateVar smalldatetime = NULL
AS
IF @DateVar IS NULL
    SELECT @DateVar = MIN(InvoiceDate) FROM Invoices;
SELECT SUM(InvoiceTotal)
FROM Invoices
WHERE InvoiceDate >= @DateVar;
```

## Description

- To declare a parameter within a stored procedure, you code the name of the parameter followed by its data type. The parameter name must start with an at sign (@), and the data type can be any type except table. Parameters are always local to the procedure.

- *Input parameters* accept values passed from the calling program.

- *Output parameters* store values that are passed back to the calling program. You identify an output parameter by coding the OUTPUT keyword after the parameter name and data type

- *Optional parameters* are parameters that do not require that a value be passed from the calling program. To declare an optional parameter, you assign it a default value. Then, that value is used if one isn't passed from the calling program.

- A stored procedure can declare up to 2100 parameters. If you declare two or more parameters, the declarations must be separated by commas.

- It's a good programming practice to code your CREATE PROC statements so they list required parameters first, followed by optional parameters.

---

Figure 15-4    How to declare and work with parameters

# How to call procedures with parameters

Figure 15-5 shows how you call procedures that use parameters. The stored procedure in this figure accepts two input parameters and returns one output parameter. As you can see, both of the input parameters are optional because each has a default value.

To pass parameter values to a stored procedure, you code the values in the EXEC statement after the procedure name. You can pass parameters to a stored procedure either by position or by name. The first EXEC statement in this figure passes the parameters *by position*. When you use this technique, you don't include the names of the parameters. Instead, the parameters are listed in the same order as they appear in the CREATE PROC statement. This is the most common way to call stored procedures that have a short list of parameters.

The second EXEC statement shows how you can pass the parameters *by name*. To do that, you include the names of the parameters as defined in the CREATE PROC statement. When you use this technique, you can list parameters in any order. If the procedure has many parameters, particularly if some of them are optional, passing parameters by name is usually easier than passing parameters by position.

The third EXEC statement in this figure shows how you can omit an optional parameter when you pass the parameters by name. To do that, you simply omit the optional parameter. In contrast, when you pass parameters by position, you can omit them only if they appear after the required parameters. This is illustrated by the last EXEC statement in this figure.

Notice that in all four of these examples, the EXEC statement is preceded by a DECLARE statement that creates a variable named @MyInvTotal. This variable is used to store the value of the output parameter that's returned from the stored procedure. As you can see, the name of this variable is included in each of the EXEC statements in this figure. In addition, the variable name is followed by the OUTPUT keyword, which identifies it as an output parameter.

## A CREATE PROC statement that includes three parameters

```
CREATE PROC spInvTotal3
        @InvTotal money OUTPUT,
        @DateVar smalldatetime = NULL,
        @VendorVar varchar(40) = '%'
AS

IF @DateVar IS NULL
    SELECT @DateVar = MIN(InvoiceDate) FROM Invoices;

SELECT @InvTotal = SUM(InvoiceTotal)
FROM Invoices JOIN Vendors
    ON Invoices.VendorID = Vendors.VendorID
WHERE (InvoiceDate >= @DateVar) AND
        (VendorName LIKE @VendorVar);
```

## Code that passes the parameters by position

```
DECLARE @MyInvTotal money;
EXEC spInvTotal3 @MyInvTotal OUTPUT, '2012-02-01', 'P%';
```

## Code that passes the parameters by name

```
DECLARE @MyInvTotal money;
EXEC spInvTotal3 @DateVar = '2012-02-01', @VendorVar = 'P%',
    @InvTotal = @MyInvTotal OUTPUT;
```

## Code that omits one optional parameter

```
DECLARE @MyInvTotal money;
EXEC spInvTotal3 @VendorVar = 'M%', @InvTotal = @MyInvTotal OUTPUT;
```

## Code that omits both optional parameters

```
DECLARE @MyInvTotal money;
EXEC spInvTotal3 @MyInvTotal OUTPUT;
```

## Description

- To call a procedure that accepts parameters, you pass values to the procedure by coding them following the procedure name. You can pass the parameters by position or by name.

- To pass parameters *by position*, list them in the same order as they appear in the CREATE PROC statement and separate them with commas. When you use this technique, you can omit optional parameters only if they're declared after any required parameters.

- To pass parameters *by name*, code the name of the parameter followed by an equal sign and the value. You can separate multiple parameters with commas. When you use this technique, you can list the parameters in any order and you can easily omit optional parameters.

- To use an output parameter in the calling program, you must declare a variable to store its value. Then, you use the name of that variable in the EXEC statement, and you code the OUTPUT keyword after it to identify it as an output parameter.

Figure 15-5    How to call procedures with parameters

# How to work with return values

In addition to passing output parameters back to the calling program, stored procedures also pass back a *return value*. By default, this value is zero. However, you can use a RETURN statement to return another number. For example, if a stored procedure updates rows, you may want to return the number of rows that have been updated. To do that, you can use the @@ROWCOUNT function described in chapter 14.

In figure 15-6, the stored procedure named spInvCount returns a count of the number of invoices that meet the conditions specified by the input parameters. These parameters are identical to the input parameters used by the stored procedure in figure 15-5. However, since this procedure uses a RETURN statement to return an integer value, there's no need to use an output parameter.

The script that calls the procedure uses a variable to store the return value. To do that, the name of the variable is coded after the EXEC keyword, followed by an equals sign and the name of the stored procedure. After the procedure returns control to the script, the script uses a PRINT statement to print the return value.

In this figure, the script gets the count of invoices where the invoice date is after February 1, 2012 and the vendor's name begins with P. Here, the return value indicates that 6 invoices match these specifications.

So, when should you use the RETURN statement to return values and when should you use output parameters? If a stored procedure needs to return a single integer value, many programmers prefer using a RETURN statement since the syntax for returning a value is more concise and intuitive than using output parameters. However, if a stored procedure needs to return other types of data, or if it needs to return multiple values, then a RETURN statement won't work. In that case, you can use output parameters, or you can use a function to return other data types (including result sets) as described later in this chapter. Of course, you can always use a RETURN statement together with output parameters whenever that makes sense.

## The syntax of the RETURN statement for a stored procedure

```
RETURN [integer_expression]
```

## A stored procedure that returns a value

```
CREATE PROC spInvCount
        @DateVar smalldatetime = NULL,
        @VendorVar varchar(40) = '%'
AS

IF @DateVar IS NULL
    SELECT @DateVar = MIN(InvoiceDate) FROM Invoices;

DECLARE @InvCount int;

SELECT @InvCount = COUNT(InvoiceID)
FROM Invoices JOIN Vendors
    ON Invoices.VendorID = Vendors.VendorID
WHERE  (InvoiceDate >= @DateVar) AND
        (VendorName LIKE @VendorVar);

RETURN @InvCount;
```

## A script that calls the stored procedure

```
DECLARE @InvCount int;
EXEC @InvCount = spInvCount '2012-02-01', 'P%';
PRINT 'Invoice count: ' + CONVERT(varchar, @InvCount);
```

### The response from the system

```
Invoice count: 6
```

## Description

- The RETURN statement immediately exits the procedure and returns an optional integer value to the calling program. If you don't specify a value in this statement, the *return value* is zero.

- To use the return value in the calling program, you must declare a variable to store its value. Then, you code that variable name followed by an equals sign and the name of the procedure in the EXEC statement.

Figure 15-6     How to work with return values

# How to validate data and raise errors

In addition to using the TRY…CATCH statement to handle errors after they occur, you can also prevent errors before they occur by checking data before it's used to make sure it's valid. Checking data before it's used is often referred to as *data validation*, and it often makes sense to perform data validation within a stored procedure. Then, if the data is not valid, you can execute code that makes it valid, or you can return an error to the calling program. To return an error, it's often helpful to use the THROW statement. Then, if the calling program contains a TRY…CATCH statement, it can catch and handle the error. Otherwise, the client connection is terminated immediately.

Figure 15-7 presents the syntax of the THROW statement. The first parameter is the error number you want to assign to the error. The value of this parameter must be 50000 or greater, which identifies it as a custom error. You can use this value to indicate the type of error that occurred. The second parameter is simply the error message you want to display if the error is raised. And the third parameter is the state that you want to associate with the error. The state code is strictly informational and has no system meaning. You can use any value between 0 and 255 to represent the state that the system was in when the error was raised. In most cases, you'll just code 1 for this argument.

The stored procedure in this figure illustrates how the THROW statement works. This procedure checks the VendorID that's passed from the calling program before it performs the insert operation that's specified by the INSERT statement. That way, the system error that's raised when you try to insert a row with an invalid foreign key will never occur. Instead, if the VendorID value is invalid, the THROW statement will raise a custom error that provides a user-friendly message. In this case, the custom error contains a short message that indicates that the VendorID is not valid.

The calling script in this figure attempts to insert a row into the Invoices table with VendorID 799. Since this VendorID doesn't exist in the Vendors table, the insertion causes the custom error to be raised. As a result, program execution jumps into the CATCH block of the TRY…CATCH statement. This CATCH block prints a message that indicates that an error occurred, and it prints the message that's stored in the custom error. Then, the catch block uses an IF statement to check if the error number is greater than or equal to 50000. If so, it prints a message on the third line that indicates that the error is a custom error.

When you code a THROW statement within a block of statements, you should be aware that it must always be preceded by a semicolon. That's true even if the THROW statement is the first or only statement in the block. This is illustrated in the last example in this figure. Here, you can see that I've coded a semicolon on the line before the THROW statement. Of course, if the THROW statement is preceded by another statement in the block, you can just code the semicolon at the end of that statement.

Now that you understand how the THROW statement works, you should realize that it's new with SQL Server 2012. In previous versions of SQL Server, you used the RAISERROR statement to perform a similar function. In addition to raising custom errors, this statement lets you raise system errors. It also lets

## The syntax of the THROW statement

```
THROW [error_number, message, state]
```

## A stored procedure that tests for a valid foreign key

```
CREATE PROC spInsertInvoice
      @VendorID    int,              @InvoiceNumber  varchar(50),
      @InvoiceDate smalldatetime, @InvoiceTotal    money,
      @TermsID     int,              @InvoiceDueDate smalldatetime
AS

IF EXISTS(SELECT * FROM Vendors WHERE VendorID = @VendorID)
    INSERT Invoices
    VALUES (@VendorID, @InvoiceNumber,
            @InvoiceDate, @InvoiceTotal, 0, 0,
            @TermsID, @InvoiceDueDate, NULL);
ELSE
    THROW 50001, 'Not a valid VendorID!', 1;
```

## A script that calls the procedure

```
BEGIN TRY
    EXEC spInsertInvoice
        799,'ZXK-799','2012-05-01',299.95,1,'2012-06-01';
END TRY
BEGIN CATCH
    PRINT 'An error occurred.';
    PRINT 'Message: ' + CONVERT(varchar, ERROR_MESSAGE());
    IF ERROR_NUMBER() >= 50000
        PRINT 'This is a custom error message.';
END CATCH;
```

### The response from the system

```
An error occurred.
Message: Not a valid VendorID!
This is a custom error message.
```

## The THROW statement coded within a block

```
BEGIN
    ;
    THROW 50001, 'Not a valid VendorID!', 1;
END;
```

## Description

- The process of checking the values in one or more columns is known as *data validation*. It's a good practice to validate the data within a stored procedure whenever possible.

- The THROW statement manually raises an error. Unless this error is caught by a TRY…CATCH statement within the stored procedure, the error will be returned to the caller just like an error that's raised by the database engine.

- You use the state argument to identify how serious an error is. The severity of an error that's raised with the THROW statement is always 16.

- A THROW statement that's coded within a block must be preceded by a semicolon.

- A THROW statement that doesn't include any parameters must be coded in a CATCH block.

Figure 15-7    How to validate data and raise errors

you specify a severity level that indicates whether the error in informational, whether program execution should jump into a CATCH block, or whether the client connection should be terminated. Because you shouldn't throw system errors, though, and because you'll almost always want an error to be caught and handled, you can simplify your error handling by using THROW statements instead of RAISERROR statements.

# A stored procedure
# that manages insert operations

Figure 15-8 presents a stored procedure that might be used by an application program that inserts new invoices into the Invoices table. This should give you a better idea of how you can use stored procedures.

This procedure starts with a comment that documents the stored procedure. This documentation includes the author's name, the date the procedure was created, the dates it was modified, who it was modified by, and a general description of the procedure's purpose. Since this procedure returns a value, the return value is briefly described in the comments too. Of course, you can include any other information that you feel is useful.

This procedure uses nine parameters that correspond to nine of the columns in the Invoices table. All of these parameters are input parameters, and each parameter is assigned the same data type as the matching column in the Invoices table. This means that if the calling program passes a value that can't be cast into the proper data type, an error will be raised as the procedure is called. In other words, this type of error won't be caught by the procedure.

If the calling program was to pass a value of 13-15-89 to the @InvoiceDate parameter, for example, an error would occur because this value can't be cast as a date. To handle this type of error within the procedure, you could define each parameter with the varchar data type. Then, the procedure could test for invalid data types and raise appropriate errors when necessary.

All of the input parameters are also assigned a default value of NULL. Since most of the columns in the Invoices table can't accept null values, this might seem like a problem. As you'll see in a minute, however, the procedure tests the value of each parameter before the insertion is attempted. Then, if the parameter contains an invalid value, an appropriate error is returned to the calling program and the insert operation is never performed. By coding the procedure this way, you can fix some errors by supplying default values, and you can return custom error messages for other errors.

## A stored procedure that validates the data in a new invoice            Page 1

```
/*
Handles insertion of new invoices into AP database,
including data validation.
Author:         Bryan Syverson
Created:        2002-07-17
Modified:       2008-07-29 by Joel Murach
                2012-05-09 by Anne Boehm
Return value:   InvoiceID for the new row if successful,
                0 if unsuccessful
*/

USE AP;
GO

IF OBJECT_ID('spInsertInvoice') IS NOT NULL
    DROP PROC spInsertInvoice;
GO

CREATE PROC spInsertInvoice
        @VendorID       int = NULL,
        @InvoiceNumber  varchar(50) = NULL,
        @InvoiceDate    smalldatetime = NULL,
        @InvoiceTotal   money = NULL,
        @PaymentTotal   money = NULL,
        @CreditTotal    money = NULL,
        @TermsID        int = NULL,
        @InvoiceDueDate smalldatetime = NULL,
        @PaymentDate    smalldatetime = NULL
AS
```

## Description

- The nine parameters used in this procedure correspond to nine of the columns in the Invoices table.

---

Figure 15-8     A stored procedure that manages insert operations (part 1 of 3)

After the AS keyword, a series of IF statements are used to test the values in each input parameter. The first IF statement, for example, tests the value of @VendorID to determine if that vendor exists in the Vendors table. If not, a THROW statement is used to raise a custom error that indicates that the VendorID is invalid. This statement also exits the stored procedure and returns the custom error to the calling program.

For the first IF statement, the custom error contains a short message that says, "Invalid VendorID." However, this message could easily be enhanced to display a more helpful and descriptive message such as "The specified VendorID value does not exists in the Vendors table. Please specify a valid VendorID."

The next five IF statements check for null values. In addition, the IF statement for the @InvoiceDate parameter checks to be sure that it falls between the current date and 30 days prior to the current date, and the IF statement for the @InvoiceTotal parameter checks to be sure that it's greater than zero. If not, a custom error is raised.

Instead of returning an error if the @CreditTotal or @PaymentTotal parameter contains a null value, this procedure sets the value of the parameter to zero. It also checks that the credit total isn't greater than the invoice total, and it checks that the payment total isn't greater than the invoice total minus the credit total.

The next IF statement checks the value of the @TermsID parameter to see if a row with this value exists in the Terms table. If not, this parameter is set to the value of the DefaultTermsId column for the vendor if the parameter contains a null value. If it contains any other value, though, a custom error is raised.

This procedure also sets the @InvoiceDueDate parameter if a due date isn't passed to the procedure. To do that, it adds the value of the TermsDueDays column in the Terms table to the value in the @InvoiceDate parameter. If a due date is passed to the procedure, the procedure checks that the date is after the invoice date but isn't more than 180 days after the invoice date. Finally, the procedure checks the @PaymentDate parameter to be sure that it's not less than the invoice date or more than 14 days before the current date.

As you can see, some of the data validation performed by this procedure duplicates the constraints for the Invoices table. If you didn't check the VendorID to be sure that it existed in the Vendors table, for example, the foreign key constraint would cause an error to occur when the row was inserted. By testing for the error before the insertion, however, the stored procedure can raise a custom error message that might be more easily handled by the calling program.

Some of the data validation performed by this procedure goes beyond the constraints for the columns in the table. For example, all three of the date values are tested to determine whether they fall within an appropriate range. This illustrates the flexibility provided by using stored procedures to validate data.

## A stored procedure that validates the data in a new invoice          Page 2

```
IF NOT EXISTS (SELECT * FROM Vendors WHERE VendorID = @VendorID)
    THROW 50001, 'Invalid VendorID.', 1;
IF @InvoiceNumber IS NULL
    THROW 50001, 'Invalid InvoiceNumber.', 1;
IF @InvoiceDate IS NULL OR @InvoiceDate > GETDATE()
        OR DATEDIFF(dd, @InvoiceDate, GETDATE()) > 30
    THROW 50001, 'Invalid InvoiceDate.', 1;
IF @InvoiceTotal IS NULL OR @InvoiceTotal <= 0
    THROW 50001, 'Invalid InvoiceTotal.', 1;
IF @PaymentTotal IS NULL
    SET @PaymentTotal = 0;
IF @CreditTotal IS NULL
    SET @CreditTotal = 0;
IF @CreditTotal > @InvoiceTotal
    THROW 50001, 'Invalid CreditTotal.', 1;
IF @PaymentTotal > @InvoiceTotal - @CreditTotal
    THROW 50001, 'Invalid PaymentTotal.', 1;
IF NOT EXISTS (SELECT * FROM Terms WHERE TermsID = @TermsID)
    IF @TermsID IS NULL
        SELECT @TermsID = DefaultTermsID
        FROM Vendors
        WHERE VendorID = @VendorID;
    ELSE  -- @TermsID IS NOT NULL
        THROW 50001, 'Invalid TermsID.', 1;
IF @InvoiceDueDate IS NULL
    SET @InvoiceDueDate = @InvoiceDate +
        (SELECT TermsDueDays FROM Terms WHERE TermsID = @TermsID);
ELSE  -- @InvoiceDueDate IS NOT NULL
    IF @InvoiceDueDate < @InvoiceDate OR
            DATEDIFF(dd, @InvoiceDueDate, @InvoiceDate) > 180
        THROW 50001, 'Invalid InvoiceDueDate.', 1;
IF @PaymentDate < @InvoiceDate OR
        DATEDIFF(dd, @PaymentDate, GETDATE()) > 14
    THROW 50001, 'Invalid PaymentDate.', 1;
```

## Description

- A series of IF statements is used to validate the data in each column of the new invoice row. If the value in a column is invalid, a THROW statement is used to return a custom error to the calling program. This terminates the stored procedure.

- Some of the conditions tested by this code could be accomplished using constraints. However, testing these conditions before the INSERT statement is executed prevents system errors from occurring and allows you to return a user-friendly custom error message. Other conditions tested by this code can't be enforced using constraints.

Figure 15-8      A stored procedure that manages insert operations (part 2 of 3)

If the input parameters pass all of the validation tests, the INSERT statement is executed. Since the data has already been validated, the INSERT statement should execute successfully most of the time and insert the row. Then, the following statement uses the @@IDENTITY function to get the new invoice ID value that's generated when the row is inserted, and it returns that value to the calling program.

However, even though the data for the insert has been validated, it's still possible for an unexpected system error to occur. In that case, SQL Server will raise a system error and end the stored procedure.

In most cases, a stored procedure like this would be called from an application program. Since the details of doing that are beyond the scope of this book, however, this figure presents a SQL script that calls the procedure. This script includes processing similar to what might be used in an application program. In short, this script uses a TRY…CATCH statement to catch any errors that have been raised and to handle them appropriately. In many cases, handling an error is as simple as displaying a message that describes the error and indicates what can be done to fix the problem. In this figure, for example, the CATCH block uses three PRINT statements to indicate that an error occurred, to display the error number, and to display the error message. In other cases, though, error handling can include additional processing such as saving data or exiting the program as gracefully as possible.

## A stored procedure that validates the data in a new invoice     Page 3

```
INSERT Invoices
VALUES (@VendorID, @InvoiceNumber, @InvoiceDate, @InvoiceTotal,
        @PaymentTotal, @CreditTotal, @TermsID, @InvoiceDueDate,
        @PaymentDate);
RETURN @@IDENTITY;
```

## A SQL script that calls the stored procedure

```
BEGIN TRY
    DECLARE @InvoiceID int;
    EXEC @InvoiceID = spInsertInvoice
        @VendorID = 799,
        @InvoiceNumber = 'RZ99381',
        @InvoiceDate = '2012-04-12',
        @InvoiceTotal = 1292.45;
    PRINT 'Row was inserted.';
    PRINT 'New InvoiceID: ' + CONVERT(varchar, @InvoiceID);
END TRY
BEGIN CATCH
    PRINT 'An error occurred. Row was not inserted.';
    PRINT 'Error number: ' + CONVERT(varchar, ERROR_NUMBER());
    PRINT 'Error message: ' + CONVERT(varchar, ERROR_MESSAGE());
END CATCH;
```

### The response from the system for a successful insert

```
Row was inserted.
New InvoiceID: 115
```

### The response from the system when a validation error occurs

```
An error occurred. Row was not inserted.
Error number: 50001
Error message: Invalid VendorID.
```

## Description

- If the data in all of the columns of the new row is valid, the procedure executes an INSERT statement to insert the row. If the insert succeeds, this procedure gets the new InvoiceID value and returns it to the calling program, which ends the procedure. Otherwise, the database engine raises a system error, returns a value of zero, and ends the procedure.

- If this procedure was called by an application program, the program would need to handle any errors that occur. This includes custom errors raised by this stored procedure and unexpected system errors raised by SQL Server if it can't execute the INSERT statement.

Figure 15-8     A stored procedure that manages insert operations (part 3 of 3)

## How to pass a table as a parameter

So far, all of the stored procedures shown in this chapter have accepted scalar values as parameters. However, there are times when you may want to pass an entire table to a stored procedure. For example, you may want to pass multiple invoices or line items to a stored procedure for processing.

Prior to SQL Server 2008, programmers were left to develop their own workarounds for passing a table as a parameter. Unfortunately, this often resulted in custom code that was difficult to develop and maintain. With SQL Server 2008 and later, you can pass a table as a parameter as shown in figure 15-9.

Before you can pass a table as a parameter, you must define a data type for the table. In other words, you must create a *user-defined table type*. To do that, you can code a CREATE TYPE statement like the one shown in this figure. This statement defines a data type named LineItems for a table that contains columns similar to the columns of the InvoiceLineItems table, including the same definition for the primary key. However, foreign keys aren't allowed for user-defined table types. As a result, the column definitions in this figure don't include foreign keys.

Once you define a table type, you can create a stored procedure that accepts this data type as a parameter. In this figure, for example, the CREATE PROC statement creates a procedure that accepts a single parameter named @LineItems of the LineItems type. As a result, the body of the stored procedure can treat this parameter just as if it was a table variable. In this figure, for example, an INSERT statement inserts all of the rows stored in the @LineItems parameter into the InvoiceLineItems table. This works because the LineItems type contains the same number and type of columns as the InvoiceLineItems table.

When you code a stored procedure that accepts a table as a parameter, you must use the READONLY keyword to identify this parameter as read-only. As a result, you can't modify the data that's stored in the parameter. However, outside of the stored procedure, you can modify the data that's stored in a variable of a user-defined table type. In this figure, for example, the code that passes the table to the stored procedure begins by declaring a variable of the LineItems type. Then, it uses three INSERT statements to insert three rows into the table. Finally, it uses an EXEC statement to pass this table to the procedure.

If you need to, you can also join a table that's passed as a parameter with another table. For example, suppose you want to update the InvoiceLineItems table with data from the @LineItems table. To do that, you could code a statement like this:

```
UPDATE InvoiceLineItems
SET InvoiceLineItemAmount = li.ItemAmount
FROM InvoiceLineItems i JOIN @LineItems li
    ON i.InvoiceID = li.InvoiceID
    AND i.InvoiceSequence = li.InvoiceSequence;
```

Here, the InvoiceLineItems table has a primary key that's defined by two columns. As a result, tables are joined based on both of these columns.

## The syntax for creating a user-defined table type

```
CREATE TYPE TableTypeName AS
TABLE
table_definition
```

## A statement that creates a user-defined table type

```
CREATE TYPE LineItems AS
TABLE
(InvoiceID          INT           NOT NULL,
InvoiceSequence     SMALLINT      NOT NULL,
AccountNo           INT           NOT NULL,
ItemAmount          MONEY         NOT NULL,
ItemDescription     VARCHAR(100)  NOT NULL,
PRIMARY KEY (InvoiceID, InvoiceSequence));
```

## A statement that creates a stored procedure that accepts a table as a parameter

```
CREATE PROC spInsertLineItems
    @LineItems LineItems READONLY
AS
    INSERT INTO InvoiceLineItems
    SELECT *
    FROM @LineItems;
```

## Statements that pass a table to a stored procedure

```
DECLARE @LineItems LineItems;

INSERT INTO @LineItems VALUES (114, 1, 553, 127.75, 'Freight');
INSERT INTO @LineItems VALUES (114, 2, 553, 29.25, 'Freight');
INSERT INTO @LineItems VALUES (114, 3, 553, 48.50, 'Freight');

EXEC spInsertLineItems @LineItems;
```

### The response from the system

```
(1 row(s) affected)
```

```
(1 row(s) affected)
```

```
(1 row(s) affected)
```

```
(3 row(s) affected)
```

## Description

- If you want to pass a table as a parameter to a stored procedure or a user-defined function, you must create a *user-defined table type* for the table.
- A user-defined table type can only be used as an input parameter, not as an output parameter.
- Creating a user-defined table type is similar to creating a regular table. However, you can't define foreign keys for the table.

Figure 15-9    How to pass a table as a parameter

# How to delete or change a stored procedure

Figure 15-10 presents the syntax of the DROP PROC statement. You use this statement to delete one or more stored procedures from the database. As with the other statements you've learned that delete objects from the database, the deletion is permanent.

This figure also presents the syntax of the ALTER PROC statement. You use this statement to redefine an existing stored procedure. As you can see, the syntax is the same as the syntax of the CREATE PROC statement.

Like the ALTER VIEW statement, ALTER PROC completely replaces the previous definition for the stored procedure. Because of that, you'll usually change the definition of a stored procedure by deleting the procedure and then recreating it. If you've assigned security permissions to restrict the users who can call the procedure, however, those permissions are lost when you delete the procedure. If you want to retain the permissions, then, you should use the ALTER PROC statement instead.

The examples in this figure show how you might use the ALTER PROC and DROP PROC statements. The first example creates a stored procedure named spVendorState that selects vendors from the state specified by the @State parameter. Because the SELECT statement will fail if a state isn't specified, this parameter is required. In the second example, however, an ALTER PROC statement is used to modify this procedure so the state is optional. The last example deletes this procedure.

If you delete a table or view used by a stored procedure, you should be sure to delete the stored procedure as well. If you don't, the stored procedure can still be called by any user or program that has access to it. Then, an error will occur because the table or view has been deleted.

## The syntax of the DROP PROC statement

```
DROP {PROC|PROCEDURE} procedure_name [, ...]
```

## The syntax of the ALTER PROC statement

```
ALTER {PROC|PROCEDURE} procedure_name
[parameter declarations]
[WITH [RECOMPILE] [, ENCRYPTION] [, EXECUTE_AS_clause]]
AS sql_statements
```

## A statement that creates a procedure

```
CREATE PROC spVendorState
        @State varchar(20)
AS
SELECT VendorName
FROM Vendors
WHERE VendorState = @State;
```

## A statement that changes the parameter defined by the procedure

```
ALTER PROC spVendorState
        @State varchar(20) = NULL
AS
IF @State IS NULL
    SELECT VendorName
    FROM Vendors;
ELSE
    SELECT VendorName
    FROM Vendors
    WHERE VendorState = @State;
```

## A statement that deletes the procedure

```
DROP PROC spVendorState;
```

## Description

- To delete a stored procedure from the database, use the DROP PROC statement.
- To modify the definition of a procedure, you can delete the procedure and then create it again, or you can use the ALTER PROC statement to specify the new definition.
- When you delete a procedure, any security permissions that are assigned to the procedure are also deleted. If that's not what you want, you can use the ALTER PROC statement to modify the procedure and preserve the permissions.

Figure 15-10    How to delete or change a stored procedure

# How to work with system stored procedures

SQL Server comes with hundreds of *system stored procedures* that you can use to manage and maintain your databases. These procedures are stored in the Master database, but you can call them from any database. Figure 15-11 presents a table of commonly used system stored procedures.

This figure also presents a script that calls the sp_HelpText system stored procedure. This procedure returns the SQL code that was specified by the CREATE statement for a view, stored procedure, user-defined function, or trigger. If the object was created with the WITH ENCRYPTION option, however, the SQL code can't be returned. Because the code for system stored procedures is never encrypted, you can examine the code in these procedures too.

You can use the system stored procedures to simplify your administrative tasks. However, you may want to avoid using these procedures in production programs. That's because each time a new version of SQL Server is released, some of these stored procedures may change. Then, you may have to rewrite the programs that use them.

In addition to the system stored procedures provided by SQL Server, you can also create your own system stored procedures. To do that, you create the procedure in the Master database, and you give the procedure a name that starts with *sp_*.
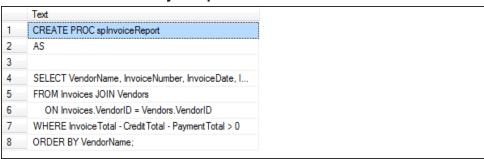
## Commonly used system stored procedures

| Procedure | Description |
|---|---|
| sp_Help [name] | Returns information about the specified database object or data type. Without a parameter, returns a summary of all objects in the current database. |
| sp_HelpText name | Returns the text of an unencrypted stored procedure, user-defined function, trigger, or view. |
| sp_HelpDb [database_name] | Returns information about the specified database or, if no parameter is specified, all databases. |
| sp_Who [login_ID] | Returns information about who is currently logged in and what processes are running. If no parameter is specified, information on all active users is returned. |
| sp_Columns name | Returns information about the columns defined in the specified table or view. |

## How to use the sp_HelpText system stored procedure

```
USE AP;
EXEC sp_HelpText spInvoiceReport;
```

### The results returned by the procedure

| | Text |
|---|---|
| 1 | CREATE PROC spInvoiceReport |
| 2 | AS |
| 3 | |
| 4 | SELECT VendorName, InvoiceNumber, InvoiceDate, I... |
| 5 | FROM Invoices JOIN Vendors |
| 6 | ON Invoices.VendorID = Vendors.VendorID |
| 7 | WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0 |
| 8 | ORDER BY VendorName; |

### The results if WITH ENCRYPTION is included in the procedure definition

```
The text for object 'spInvoiceReport' is encrypted.
```

## Description

- Microsoft SQL Server includes many *system stored procedures* that you can use to perform useful tasks on a database. These procedures are identified by the prefix *sp_*. You can use these procedures in the scripts and procedures you write.

- System stored procedures are stored in the Master database, but you can execute them on any database. These procedures operate within the current database context that you've set with the USE statement.

- SQL Server has hundreds of system stored procedures. To view the complete list, look up "system stored procedures" in Books Online.

- You can also create your own system stored procedures. To do that, give the procedure a name that begins with *sp_* and create it in the Master database.

---

Figure 15-11    How to work with system stored procedures

# How to code user-defined functions

In addition to the SQL Server functions you've learned about throughout this book, you can also create your own functions, called user-defined functions. To do that, you use code that's similar to the code you use to create a stored procedure. There are some distinct differences between stored procedures and user-defined functions, however. You'll learn about those differences in the topics that follow.

## An introduction to user-defined functions

Figure 15-12 summarizes the three types of user-defined functions, also called *UDFs*, or just *functions*, that you can create using Transact-SQL. *Scalar-valued functions* are like the functions you learned about in chapter 9 that return a single value. In addition to scalar-valued functions, however, you can also create *table-valued functions*. As its name implies, a table-valued function returns an entire table. A table-valued function that's based on a single SELECT statement is called a *simple table-valued function*. In contrast, a table-valued function that's based on multiple SQL statements is called a *multi-statement table-valued function*.

Like a stored procedure, a UDF can accept one or more input parameters. The function shown in this figure, for example, accepts a parameter named @VendorName. However, a UDF can't be defined with output parameters. Instead, the RETURN statement must be used to pass a value back to the calling program. The value that's returned must be compatible with the data type that's specified in the RETURNS clause. In this example, an integer that contains a VendorID value selected from the Vendors table is returned.

To call, or *invoke*, a scalar-valued function, you include it in an expression. Then, the value returned by the function is substituted for the function. The first SELECT statement in this figure, for example, uses the value returned by the fnVendorID function in its WHERE clause. Note that when you refer to a user-defined function, you must include the name of the schema. In this case, the schema is dbo.

To invoke a table-valued function, you refer to it anywhere you would normally code a table or view name. The second SELECT statement in this figure, for example, uses a function named fnTopVendorsDue in the FROM clause. You'll see the definition of this function later in this chapter.

Unlike a stored procedure, a UDF can't make permanent changes to the objects in a database. For example, it can't issue INSERT, UPDATE, and DELETE statements against tables or views in the database. However, within the code for a function, you can create a table, a temporary table, or a table variable. Then, the function can perform insert, update, and delete operations on that table.

## The three types of user-defined functions

| Function type | Description |
|---|---|
| Scalar-valued function | Returns a single value of any T-SQL data type. |
| Simple table-valued function | Returns a table that's based on a single SELECT statement. |
| Multi-statement table-valued function | Returns a table that's based on multiple statements. |

## A statement that creates a scalar-valued function

```
CREATE FUNCTION fnVendorID
    (@VendorName varchar(50))
    RETURNS int
BEGIN
    RETURN (SELECT VendorID FROM Vendors WHERE VendorName = @VendorName);
END;
```

## A statement that invokes the scalar-valued function

```
SELECT InvoiceDate, InvoiceTotal
FROM Invoices
WHERE VendorID = dbo.fnVendorID('IBM');
```

## A statement that invokes a table-valued function

```
SELECT * FROM dbo.fnTopVendorsDue(5000);
```

## Description

- A user-defined function, also called a *UDF* or just a *function*, is an executable database object that contains SQL statements.

- The name of a function can be up to 128 characters and is typically prefixed with the letters *fn*.

- Functions always return a value. A *scalar-valued function* returns a single value of any T-SQL data type. A *table-valued function* returns an entire table.

- A table-valued function can be based on a single SELECT statement, in which case it's called a *simple table-valued function*, or it can be based on two or more statements, in which case it's called a *multi-statement table-valued function*.

- A function can't have a permanent effect on the database. In other words, it can't run an action query against the database.

- You can call, or *invoke*, a scalar-valued function from within any expression. You can invoke a table-valued function anywhere you'd refer to a table or a view.

- Unlike other database objects, you must specify the name of the schema when invoking a UDF.

Figure 15-12     An introduction to user-defined functions

# How to create a scalar-valued function

Figure 15-13 presents the syntax of the CREATE FUNCTION statement you use to create a scalar-valued function. The CREATE FUNCTION clause names the function and declares the input parameters. If you don't specify the schema name as part of the name, the function is stored in the schema that's associated with the current user.

The syntax you use to declare parameters for a function is similar to the syntax you use to declare parameters for stored procedures. For a function, however, the declarations must be enclosed in parentheses. In addition, because a function can't have output parameters, the OUTPUT keyword isn't allowed.

To invoke a function that has parameters, you must pass the parameters by position. You can't pass them by name as you can when you call a stored procedure. For this reason, you should code required parameters first, followed by optional parameters. Furthermore, you can't simply omit optional parameters when invoking a function as you can with a stored procedure. Instead, you must use the DEFAULT keyword as a placeholder for the optional parameter. You'll see an example of that in figure 15-14.

The RETURNS clause specifies the data type of the value that's returned by the function. Because the value must be scalar, you can't specify the table data type. In addition, you can't specify text, ntext, image, or timestamp.

You code the statements for the function within a BEGIN...END block. Within that block, the RETURN statement specifies the value that's passed back to the invoking program. Since this statement causes the function to terminate, it's usually coded at the end of the function. Notice that unlike a RETURN statement you code within a stored procedure, a RETURN statement you code within a function can return a value with any data type. Within a specific function, however, it must return a value with a data type that's compatible with the data type specified by the RETURNS clause.

The scalar-valued function that's shown in this figure doesn't accept any input parameters and returns a value with the money data type. In this case, the code for the function consists of a single SELECT statement coded within the RETURN statement. However, a function can include as many statements as are necessary to calculate the return value.

If you find yourself repeatedly coding the same expression, you may want to create a scalar-valued function for the expression. Then, you can use that function in place of the expression, which can save you coding time and make your code easier to maintain. Most SQL programmers create a set of useful UDFs each time they work on a new database.

## The syntax for creating a scalar-valued function

```
CREATE FUNCTION [schema_name.]function_name
    ([@parameter_name data_type [= default]] [, ...])
    RETURNS data_type
    [WITH [ENCRYPTION] [, SCHEMABINDING] [, EXECUTE_AS_clause]]
    [AS]
BEGIN
    [sql_statements]
    RETURN scalar_expression
END
```

## A statement that creates a scalar-valued function that returns the total invoice amount due

```
CREATE FUNCTION fnBalanceDue()
    RETURNS money
BEGIN
    RETURN (SELECT SUM(InvoiceTotal - PaymentTotal - CreditTotal)
            FROM Invoices
            WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0);
END;
```

## A script that invokes the function

```
PRINT 'Balance due: $' + CONVERT(varchar, dbo.fnBalanceDue(), 1);
```

### The response from the system

```
Balance due: $32,020.42
```

## Description

- Functions can be defined with from zero to 1024 input parameters. You specify these parameters in parentheses after the name of the function in the CREATE FUNCTION statement. Each parameter can be assigned an optional default value.

- A function can't contain output parameters. Instead, you specify the data type of the data to be returned by the function in the RETURNS clause.

- You code the statements that define the function within a BEGIN…END block. This block includes a RETURN statement that specifies the value to be returned.

- When you invoke a function, you list the parameters within parentheses after the name of the function. To use the default value of a parameter, code the DEFAULT keyword in place of the parameter value. You can't pass function parameters by name.

- When you create a function, it's stored in the schema associated with the current user if you don't specify a schema name. When you invoke the function, however, you must specify the schema name.

- The SCHEMABINDING option binds the function to the database schema. This prevents you from dropping or altering tables or views that are used by the function. This option is more commonly used for table-valued functions.

- The ENCRYPTION option prevents users from viewing the code in the function.

- The EXECUTE_AS_clause option specifies the security context under which the function is executed.

Figure 15-13    How to create and use a scalar-valued function

# How to create a simple table-valued function

Figure 15-14 presents the syntax for creating a simple table-valued function, also called an *inline table-valued function*. You use this syntax if the result set can be returned from a single SELECT statement. Otherwise, you'll need to use the syntax that's presented in the next figure.

To declare the function as table-valued, you code the table data type in the RETURNS clause. Then, you code the SELECT statement that defines the table in parentheses in the RETURN statement. Note that because a table can't have any unnamed columns, you must assign a name to every calculated column in the result set.

The function shown in this figure returns a table that contains the vendor name and total balance due for each vendor with a balance due. The one input parameter, @CutOff, is an optional parameter because it's assigned a default value of 0. This parameter is used in the HAVING clause to return only those vendors with total invoices that are greater than or equal to the specified amount. The first SELECT statement shown in this figure, for example, returns vendors with total invoices greater than or equal to $5,000.

The second SELECT statement shows how you can join the result of a table-valued function with another table. Notice that to avoid having to code the function in both the FROM and ON clauses, the function is assigned a correlation name. Also notice in this example that a value isn't specified for the optional parameter. Instead, the DEFAULT keyword is specified so the default value of the parameter will be used.

A table-valued function like the one shown here acts like a dynamic view. Because a function can accept parameters, the result set it creates can be modified. This is a powerful extension to standard SQL functionality.

## The syntax for creating a simple table-valued function

```
CREATE FUNCTION [schema_name.]function_name
    ([@parameter_name data_type [= default]] [, ...])
    RETURNS TABLE
    [WITH [ENCRYPTION] [, SCHEMABINDING]]
    [AS]
RETURN [(] select_statement [)]
```

## A statement that creates a simple table-valued function

```
CREATE FUNCTION fnTopVendorsDue
    (@CutOff money = 0)
    RETURNS table
RETURN
    (SELECT VendorName, SUM(InvoiceTotal) AS TotalDue
    FROM Vendors JOIN Invoices ON Vendors.VendorID = Invoices.VendorID
    WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0
    GROUP BY VendorName
    HAVING SUM(InvoiceTotal) >= @CutOff);
```

## A SELECT statement that invokes the function

```
SELECT * FROM dbo.fnTopVendorsDue(5000);
```

### The result set

| | VendorName | TotalDue |
|---|---|---|
| 1 | Malloy Lithographing Inc | 31527.24 |

## A SELECT statement that uses the function in a join operation

```
SELECT Vendors.VendorName, VendorCity, TotalDue
FROM Vendors JOIN dbo.fnTopVendorsDue(DEFAULT) AS TopVendors
    ON Vendors.VendorName = TopVendors.VendorName;
```

### The result set

| | VendorName | VendorCity | TotalDue |
|---|---|---|---|
| 1 | Blue Cross | Oxnard | 224.00 |
| 2 | Cardinal Business Media, Inc. | Philadelphia | 90.36 |
| 3 | Data Reproductions Corp | Auburn Hills | 85.31 |
| 4 | Federal Express Corporation | Memphis | 210.89 |
| 5 | Ford Motor Credit Company | Los Angeles | 503.20 |
| 6 | Ingram | Dallas | 579.42 |
| 7 | Malloy Lithographing Inc | Ann Arbor | 31527.24 |

## Description

- You create a simple table-valued function, also called an *inline table-valued function*, by coding the table data type in the RETURNS clause of the CREATE FUNCTION statement. Then, you code a SELECT statement that defines the table in the RETURN statement.

- To use a simple table-valued function, code the function name in place of a table name or a view name. If you use a table-valued function in a join operation, you'll want to assign a correlation name to it as shown above.

Figure 15-14    How to create and use a simple table-valued function

# How to create a multi-statement table-valued function

Figure 15-15 presents the syntax for creating a multi-statement table-valued function. Although you should know about this syntax, you'll probably never need to use it. That's because a single SELECT statement with joins and subqueries can fulfill almost every query need.

Since a multi-statement table-valued function creates a new table, you must define the structure of that table. To do that, you declare a table variable in the RETURNS clause and then define the columns for the new table. The syntax you use to define the columns is similar to the syntax you use to define the columns of a table variable.

You code the SQL statements that create the table within a BEGIN…END block. This blocks ends with a RETURN keyword with no argument. This terminates the function and returns the table variable to the invoking program.

The function shown in this figure returns a table with one row for each invoice with a balance due. This function calculates the credit adjustment that would be necessary to reduce the total balance due to the threshold amount that's passed to the function. This function is similar to the script you saw in figure 14-9 in chapter 14 that uses a temporary table.

This function starts by using an INSERT statement to copy all the rows in the Invoices table with a balance due to the @OutTable table variable. Then, a WHILE statement is used to increment the CreditTotal column of each row in this table by one cent until the total amount due for all invoices falls below the threshold. The SELECT statement that uses this function summarizes the CreditTotal column by vendor.

## The syntax for creating a multi-statement table-valued function

```
CREATE FUNCTION [schema_name.]function_name
    ([@parameter_name data_type [= default]] [, ...])
    RETURNS @return_variable TABLE
    (column_name_1 data_type [column_attributes]
    [, column_name_2 data_type [column_attributes]]...)
    [WITH [ENCRYPTION] [, SCHEMABINDING] [, EXECUTE_AS_clause]]
    [AS]
BEGIN
    sql_statements
    RETURN
END
```

## A statement that creates a multi-statement table-valued function

```
CREATE FUNCTION fnCreditAdj (@HowMuch money)
    RETURNS @OutTable table
            (InvoiceID int, VendorID int, InvoiceNumber varchar(50),
             InvoiceDate smalldatetime, InvoiceTotal money,
             PaymentTotal money, CreditTotal money)
BEGIN
    INSERT @OutTable
        SELECT InvoiceID, VendorID, InvoiceNumber, InvoiceDate,
               InvoiceTotal, PaymentTotal, CreditTotal
        FROM Invoices
        WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0;
    WHILE (SELECT SUM(InvoiceTotal - CreditTotal  - PaymentTotal)
           FROM @OutTable) >= @HowMuch
        UPDATE @OutTable
        SET CreditTotal = CreditTotal + .01
        WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0;
    RETURN;
END;
```

## A SELECT statement that uses the function

```
SELECT VendorName, SUM(CreditTotal) AS CreditRequest
FROM Vendors JOIN dbo.fnCreditAdj(25000) AS CreditTable
    ON Vendors.VendorID = CreditTable.VendorID
GROUP BY VendorName;
```

### The response from the system

| | VendorName | CreditRequest |
|---|---|---|
| 1 | Blue Cross | 224.00 |
| 2 | Cardinal Business Media, Inc. | 90.36 |
| 3 | Data Reproductions Corp | 85.31 |
| 4 | Federal Express Corporation | 210.89 |
| 5 | Ford Motor Credit Company | 503.20 |
| 6 | Ingram | 579.42 |
| 7 | Malloy Lithographing Inc | 6527.26 |

## Warning

- Because this code must loop through the Invoices table thousands of times, this function can take a long time to execute.

Figure 15-15    How to create and use a multi-statement table-valued function

# How to delete or change a function

Figure 15-16 presents the syntax of the DROP FUNCTION and ALTER FUNCTION statements. The DROP FUNCTION statement permanently deletes one or more user-defined functions from the database. In addition, it drops any security permissions defined for the function along with any dependencies between the function and the tables and views it uses.

The ALTER FUNCTION statement modifies the definition of a user-defined function. You should use this statement if you need to preserve permissions and dependencies that would be lost if you dropped the function and then recreated it. Just like the CREATE FUNCTION statement, the ALTER FUNCTION statement has three syntax variations for the three types of functions you can create.

## The syntax of the DROP FUNCTION statement

```
DROP FUNCTION [schema_name.]function_name [, ...]
```

## The syntax of the ALTER FUNCTION statement
## for a scalar-valued function

```
ALTER FUNCTION [schema_name.]function_name
    ([@parameter_name data_type [= default]] [, ...])
    RETURNS data_type
    [WITH [ENCRYPTION] [, SCHEMABINDING] [, EXECUTE_AS_clause]]
BEGIN
    [sql_statements]
    RETURN scalar_expression
END
```

## The syntax for altering a simple table-valued function

```
ALTER FUNCTION [schema_name.]function_name
    ([@parameter_name data_type [= default]] [, ...])
    RETURNS TABLE
    [WITH [ENCRYPTION] [, SCHEMABINDING]]
RETURN [() select_statement ()]
```

## The syntax for altering a multi-statement table-valued function

```
ALTER FUNCTION [schema_name.]function_name
    ([@parameter_name data_type [= default]] [, ...])
    RETURNS @return_variable TABLE
    (column_name_1 data_type [column_attributes]
    [, column_name_2 data_type [column_attributes]]...)
    [WITH [ENCRYPTION] [, SCHEMABINDING] [, EXECUTE_AS_clause]]
BEGIN
    sql_statements
    RETURN
END
```

## Description

- To delete a user-defined function from the database, use the DROP FUNCTION statement.

- To modify the definition of a function, you can delete the function and then create it again, or you can use the ALTER FUNCTION statement to specify the new definition.

- When you delete a function, any security permissions that are assigned to the function and any dependencies between the function and the tables and views it uses are also deleted. If that's not what you want, you can use the ALTER FUNC-TION statement to modify the function and preserve the permissions and dependencies.

Figure 15-16    How to delete or change a function

# How to code triggers

Triggers are a special type of procedure that are invoked, or *fired*, automatically when an action query is executed on a table or view. Triggers provide a powerful way to control how action queries modify the data in your database. Since you can program virtually any logic within the code for a trigger, you can enforce design rules, implement business logic, and prevent data inconsistency with a flexibility that can't be duplicated any other way.

## How to create a trigger

Figure 15-17 presents the syntax of the CREATE TRIGGER statement you use to create a trigger. Notice in this syntax that a trigger can't use parameters. In addition, a trigger can't return a value.

The CREATE TRIGGER statement provides for two types of triggers: AFTER triggers and INSTEAD OF triggers. Both types of triggers can be defined to fire for an insert, update, or delete operation or any combination of these operations. If an action query has an AFTER trigger, the trigger fires after the action query. If an action query has an INSTEAD OF trigger, the trigger is fired instead of the action query. In other words, the action query is never executed.

In addition to AFTER and INSTEAD OF, you can code the FOR keyword in the CREATE TRIGGER statement. A FOR trigger is identical to an AFTER trigger. FOR is an ANSI-standard keyword, and it was the only keyword allowed prior to SQL Server 2000. For these reasons, FOR is more commonly used than AFTER. I recommend that you use AFTER, however, since it more clearly describes when the trigger fires.

Each trigger is associated with the table or view named in the ON clause. Although each trigger is associated with a single table or view, a single table can have any number of AFTER triggers. Since two or more triggers for the same table can be confusing to manage and debug, however, I recommend you have no more than one trigger for each action. Each table or view can also have one INSTEAD OF trigger for each action. A view can't have AFTER triggers.

The CREATE TRIGGER statement in this figure defines an AFTER trigger for the Vendors table. Notice that the name of this trigger reflects the table it's associated with and the operations that will cause it to fire. This is a common naming convention. In this case, the trigger fires after an insert or update operation is performed on the table. As you can see, the trigger updates the VendorState column so state codes are in uppercase letters.

Notice that the WHERE clause in the trigger uses a subquery that's based on a table named Inserted. This is a special table that's created by SQL Server during an insert operation. It contains the rows that are being inserted into the table. Since this table only exists while the trigger is executing, you can only refer to it in the trigger code.

## The syntax of the CREATE TRIGGER statement

```
CREATE TRIGGER trigger_name
    ON {table_name|view_name}
    [WITH [ENCRYPTION] [,] [EXECUTE_AS_clause]]
    {FOR|AFTER|INSTEAD OF} [INSERT] [,] [UPDATE] [,] [DELETE]
AS sql_statements
```

## A CREATE TRIGGER statement that corrects mixed-case state names
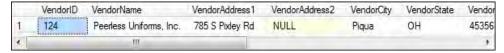
```
CREATE TRIGGER Vendors_INSERT_UPDATE
    ON Vendors
    AFTER INSERT,UPDATE
AS
    UPDATE Vendors
    SET VendorState = UPPER(VendorState)
    WHERE VendorID IN (SELECT VendorID FROM Inserted);
```

## An INSERT statement that fires the trigger

```
INSERT Vendors
VALUES ('Peerless Uniforms, Inc.', '785 S Pixley Rd', NULL,
        'Piqua', 'Oh', '45356', '(937) 555-8845', NULL, NULL, 4, 550);
```

### The new row that's inserted into the Vendors table

| VendorID | VendorName | VendorAddress1 | VendorAddress2 | VendorCity | VendorState | Vendor |
|---|---|---|---|---|---|---|
| 1 | 124 | Peerless Uniforms, Inc. | 785 S Pixley Rd | NULL | Piqua | OH | 45356 |

## Description

- A trigger is a special kind of procedure that executes, or *fires*, in response to an action query. Unlike a stored procedure, you can't invoke a trigger directly, you can't pass parameters to a trigger, and a trigger can't pass back a return value.

- A trigger is associated with a single table or view, which you identify in the ON clause. The trigger can be set to fire on INSERT, UPDATE, or DELETE statements or on a combination of these statements.

- A trigger can be set to fire after the action query (AFTER) or instead of the action query (INSTEAD OF). A FOR trigger is the same as an AFTER trigger.

- A table can have multiple AFTER triggers, even for the same action. A view can't have an AFTER trigger. A table or view can have only one INSTEAD OF trigger for each action.

- To hide the code for the trigger from the user, include the ENCRYPTION option.

- To execute a trigger under a specific security context, include the EXECUTE_AS_clause option.

- It's a common programming practice to name triggers based on the table or view and the actions that will cause the trigger to fire.

- Within a trigger, you can refer to two tables that are created by the system: Inserted and Deleted. The Inserted table contains the new rows for insert and update operations. The Deleted table contains the original rows for update and delete operations.

Figure 15-17    How to create a trigger

Similarly, a table named Deleted is created by SQL Server during a delete operation that contains the rows that are being deleted. For an update operation, SQL Server creates both tables. In that case, the Inserted table contains the rows with the updated data, and the Deleted table contains the original data from the rows that are being updated.

# How to use AFTER triggers

Figure 15-18 presents a script that creates two AFTER triggers. These triggers are used to maintain the referential integrity between two tables.

The script starts by deleting the VendorCopy and InvoiceCopy tables that the triggers will be associated with. Then, it recreates these tables using SELECT INTO statements that copy the data from the Vendors and Invoices tables. Because the foreign key constraint in the Invoices table isn't copied, however, referential integrity won't be enforced between the two tables. Although you could add a foreign key constraint to the InvoiceCopy table using an ALTER TABLE statement, you don't need to do that with the triggers shown here.

The first trigger prevents the deletion of a vendor if that vendor is associated with one or more invoices. When a row is deleted, it's copied to the Deleted table. Then, the trigger tests to see if any rows in the InvoiceCopy table have that same VendorID value as those rows. If so, the trigger raises an error and reverses the delete operation. Note that a trigger isn't needed for an update operation because the VendorID column is defined as an identity column, and the value of an identity column can't be changed.

To reverse an operation, the trigger uses a ROLLBACK TRAN statement. This statement reverses, or rolls back, the SQL statement that caused the trigger to fire. You'll learn more about using this statement in chapter 17.

The second trigger prevents the insertion of an invoice that doesn't have a valid VendorID. It also prevents the update of a VendorID value if that value doesn't exist in the VendorCopy table. When an INSERT or UPDATE statement is executed, a copy of the new or updated row is added to the Inserted table. Then, the trigger tests to see whether the VendorID exists in the VendorCopy table. If not, the trigger raises an error and rolls back the operation.

Because an AFTER trigger fires after the action query is executed, the trigger doesn't fire if the action query causes an error. For this reason, you wouldn't use these triggers if the referential integrity between two tables was enforced by a foreign key constraint. Instead, you could use an INSTEAD OF trigger to check for referential integrity before an error occurs.

## A script that creates AFTER triggers to maintain referential integrity

```
USE AP;
IF OBJECT_ID('VendorCopy') IS NOT NULL
    DROP TABLE VendorCopy;
IF OBJECT_ID('InvoiceCopy') IS NOT NULL
    DROP TABLE InvoiceCopy;
SELECT * INTO VendorCopy FROM Vendors;
SELECT * INTO InvoiceCopy FROM Invoices;
GO


CREATE TRIGGER VendorCopy_UPDATE_DELETE_RI
    ON VendorCopy
    AFTER DELETE
AS
    IF EXISTS (SELECT * FROM Deleted JOIN InvoiceCopy
                        ON Deleted.VendorID = InvoiceCopy.VendorID)
        BEGIN
            ;
            THROW 50002, 'VendorID in use.', 1;
            ROLLBACK TRAN;
        END;
GO


CREATE TRIGGER InvoiceCopy_INSERT_UPDATE_RI
    ON InvoiceCopy
    AFTER INSERT,UPDATE
AS
    IF NOT EXISTS (SELECT * FROM VendorCopy
                    WHERE VendorID IN (SELECT VendorID FROM Inserted))
        BEGIN
            ;
            THROW 50001, 'Invalid VendorID.', 1;
            ROLLBACK TRAN;
        END;
```

### A DELETE statement that would violate referential integrity

```
DELETE VendorCopy
WHERE VendorID = 34;
```

### The response from the system

```
Msg 50002, Level 16, State 1, Procedure VendorCopy_UPDATE_DELETE_RI, Line 10
VendorID in use.
```

## Description

- An AFTER trigger fires after the action query is executed. If the action query causes an error, the AFTER trigger never fires.

- AFTER triggers can be used to enforce referential integrity. Then, a trigger can test if related rows exist in the foreign key table when updating or deleting a row from the primary key table. And another trigger can test if a related row exists in the primary key table when inserting or updating a row in the foreign key table.

- The ROLLBACK TRAN statement causes the current *transaction* to be reversed. For an AFTER trigger, the transaction is the action query that caused the trigger to fire. See chapter 17 for more information on transactions.

Figure 15-18    How to use AFTER triggers

## How to use INSTEAD OF triggers

An INSTEAD OF trigger can be associated with either a table or a view. However, INSTEAD OF triggers are used most often to provide better control of updatable views. Unlike AFTER triggers, each action can have only one INSTEAD OF trigger.

Figure 15-19 presents an INSTEAD OF trigger that's used to control an insert operation through a view named IBM_Invoices. You first saw this view in figure 13-8. It selects invoices from the Invoices table for the vendor named "IBM." If you look back to that figure, you'll see that the insert operation failed because some of the required columns weren't included in the view.

This trigger accommodates the missing columns by calculating their values based on three logical assumptions. First, the VendorID can be assumed because this view is explicitly for invoices for vendor "IBM." Second, the terms for the invoice can be assumed to be the default terms for the vendor. Third, the due date for the invoice can be calculated based on the invoice date and the terms.

After it declares the variables it uses, the trigger queries the Inserted table to get a count of the number of rows that are being inserted. Since this trigger will work only if a single row is being inserted, an error is raised if the row count is greater than one. Otherwise, the trigger queries the Inserted table to get the values of the three columns that were specified in an INSERT statement like the one shown in this figure. The SELECT statement assigns these values to three of the variables. Then, if all three variables contain values other than null, the trigger calculates the values of the missing columns.

Since an INSTEAD OF trigger is executed instead of the action query that caused it to fire, the action will never occur unless you code it as part of the trigger. For this reason, the last statement in this trigger is an INSERT statement that inserts the new row into the Invoices table. Without this statement, the row would never be inserted.

## An INSTEAD OF INSERT trigger for a view

```
CREATE TRIGGER IBM_Invoices_INSERT
    ON IBM_Invoices
    INSTEAD OF INSERT
AS
DECLARE @InvoiceDate smalldatetime, @InvoiceNumber varchar(50),
        @InvoiceTotal money, @VendorID int,
        @InvoiceDueDate smalldatetime, @TermsID int,
        @DefaultTerms smallint, @TestRowCount int;
SELECT @TestRowCount = COUNT(*) FROM Inserted;
IF @TestRowCount = 1
    BEGIN
        SELECT @InvoiceNumber = InvoiceNumber, @InvoiceDate = InvoiceDate,
            @InvoiceTotal = InvoiceTotal
        FROM Inserted;
        IF (@InvoiceDate IS NOT NULL AND @InvoiceNumber IS NOT NULL AND
            @InvoiceTotal IS NOT NULL)
            BEGIN
                SELECT @VendorID = VendorID, @TermsID = DefaultTermsID
                FROM Vendors
                WHERE VendorName = 'IBM';

                SELECT @DefaultTerms = TermsDueDays
                FROM Terms
                WHERE TermsID = @TermsID;

                SET @InvoiceDueDate = @InvoiceDate + @DefaultTerms;

                INSERT Invoices
                    (VendorID, InvoiceNumber, InvoiceDate, InvoiceTotal,
                     TermsID, InvoiceDueDate, PaymentDate)
                VALUES (@VendorID, @InvoiceNumber, @InvoiceDate,
                    @InvoiceTotal, @TermsID, @InvoiceDueDate, NULL);
            END;
    END;
ELSE
    THROW 50027, 'Limit INSERT to a single row.', 1;
```

## An INSERT statement that succeeds due to the trigger

```
INSERT IBM_Invoices
VALUES ('RA23988', '2012-05-09', 417.34);
```

## Description

- An INSTEAD OF trigger is executed instead of the action query that causes it to fire. Because the action query is never executed, the trigger typically contains code that performs the operation.

- INSTEAD OF triggers are typically used to provide for updatable views. They can also be used to prevent errors, such as constraint violations, before they occur.

- Each table or view can have only one INSTEAD OF trigger for each type of action. However, if a table is defined with a foreign key constraint that specifies the CASCADE UPDATE or CASCADE DELETE option, INSTEAD OF UPDATE and INSTEAD OF DELETE triggers can't be defined for the table.

Figure 15-19    How to use INSTEAD OF triggers

# How to use triggers to enforce data consistency

Triggers can also be used to enforce data consistency. For example, the sum of line item amounts in the InvoiceLineItems table should always be equal to the invoice total for the invoice in the Invoices table. Unfortunately, you can't enforce this rule using a constraint on either the Invoices table or the InvoiceLineItems table. However, you can use a trigger like the one in figure 15-20 to enforce this rule when a payment amount is updated.

The trigger shown here fires after an update operation on the Invoices table. Since you can assume that posting a payment is likely to be the last action taken on an invoice, firing a trigger on this action is a good way to verify that all of the data is valid. If an update operation changes the PaymentTotal column, the rest of the trigger verifies that the sum of the line items is equal to the invoice total. If the data isn't valid, the trigger raises an error and rolls back the update.

Notice the two IF statements shown in this figure. They use the EXISTS keyword to test for the existence of the data specified by the subqueries that follow. In chapter 6, you saw how to use the EXISTS keyword in the WHERE clause. Because this keyword returns a Boolean value, however, you can use it in an IF statement as well.

You can use triggers like the one shown here to enforce business rules or verify data consistency. Since you can program a trigger to accommodate virtually any situation, triggers are more flexible than constraints. In addition, prior to version 6.5, SQL Server didn't support foreign key constraints, so triggers were the only way to enforce referential integrity. For this reason, some programmers still prefer to use triggers rather than constraints to enforce referential integrity, data consistency, and even check constraints and defaults.

## A trigger that validates line item amounts when posting a payment

```
CREATE TRIGGER Invoices_UPDATE
    ON Invoices
    AFTER UPDATE
AS
IF EXISTS            --Test whether PaymentTotal was changed
  (SELECT *
   FROM Deleted JOIN Invoices
     ON Deleted.InvoiceID = Invoices.InvoiceID
   WHERE Deleted.PaymentTotal <> Invoices.PaymentTotal)
  BEGIN
    IF EXISTS         --Test whether line items total and InvoiceTotal match
      (SELECT *
       FROM Invoices JOIN
            (SELECT InvoiceID, SUM(InvoiceLineItemAmount) AS SumOfInvoices
             FROM InvoiceLineItems
             GROUP BY InvoiceID) AS LineItems
         ON Invoices.InvoiceID = LineItems.InvoiceID
       WHERE (Invoices.InvoiceTotal <> LineItems.SumOfInvoices) AND
             (LineItems.InvoiceID IN (SELECT InvoiceID FROM Deleted)))
      BEGIN
        ;
        THROW 50113, 'Correct line item amounts before posting payment.', 1;
        ROLLBACK TRAN;
      END;
  END;
```

## An UPDATE statement that fires the trigger

```
UPDATE Invoices
SET PaymentTotal = 662, PaymentDate = '2012-05-09'
WHERE InvoiceID = 98;
```

### The response from the system

```
Msg 50113, Level 16, State 1, Procedure Invoices_UPDATE, Line 23
Correct line item amounts before posting payment.
```

## Description

- Triggers can be used to enforce database rules for data consistency that can't be enforced by constraints.

- Triggers can also be used to enforce the same rules as constraints, but with more flexibility.

Figure 15-20    How to use triggers to enforce data consistency

# How to use triggers to work with DDL statements

So far, this chapter has only shown you how to create triggers for DML statements such as the INSERT, UPDATE, and DELETE statements since that's typically how triggers are used. However, starting with SQL Server 2005, you can also create triggers for DDL statements such as the CREATE TABLE statement. For example, figure 15-21 shows a trigger that is executed when any CREATE TABLE or DROP TABLE statement is executed on the current database.

Although the syntax for this trigger is similar to a trigger for a DML statement, the ON clause works a little differently. To start, you can code the DATABASE keyword after the ON keyword to fire the trigger when the specified DDL actions are executed on the current database. Or, you can code the ALL SERVER keywords after the ON keyword to fire the trigger when the specified DDL actions are executed on any database on the current server. However, only a handful of DDL actions apply to a server-level trigger. As a result, a server-level trigger can only be fired by certain actions that apply to the server such as the CREATE DATABASE statement.

To specify the DDL actions for the trigger, you can begin by coding the AFTER or INSTEAD OF keywords just as you do for any type of trigger. Then, you can specify the DDL statement by coding the name of the DDL statement, replacing any spaces with underscores. In this figure, for example, the statement uses CREATE_TABLE to specify that the trigger fires when a CREATE TABLE statement is executed.

When you create a trigger for a DDL statement, you can use the EVENTDATA function that's available from T-SQL to retrieve an XML document that contains information about the event that caused the trigger to fire. For now, don't worry if you don't understand the code presented in this figure that works with this XML document. It should make more sense after you've read chapter 19.

In this figure, the @EventData variable is declared with an xml data type, and the EVENTDATA function is used to store an XML document in this variable. Then, the @EventType variable is declared with a varchar data type, and the value method that's available from the xml data type is used to parse the character data that's stored in the EventType element into the @EventType variable. After that, an IF statement uses the @EventType variable to print a message that indicates what type of DDL statement was executed. Finally, this code converts the @EventData variable from the xml data type to the varchar data type and prints it.

In this figure, a PRINT statement prints the data stored in the XML document so it can be viewed by the caller. However, if you want, you can insert some or all of this data into a log table. For example, you can insert the entire XML document into a single column of the xml data type. Or, you can parse the XML document and store the data that you want in multiple columns of various data types.

## A trigger that works with DDL statements

```
CREATE TRIGGER Database_CreateTable_DropTable
    ON DATABASE
    AFTER CREATE_TABLE, DROP_TABLE
AS
    DECLARE @EventData xml;
    SELECT @EventData = EVENTDATA();

    DECLARE @EventType varchar(100);
    SET @EventType =
        @EventData.value('(/EVENT_INSTANCE/EventType)[1]', 'varchar(100)');

    IF @EventType = 'CREATE_TABLE'
        PRINT 'A new table has been created.';
    ELSE
        PRINT 'A table has been dropped.';

    PRINT CONVERT(varchar(max), @EventData);
```

## A CREATE TABLE statement that fires the trigger

```
CREATE TABLE VendorsTest (VendorID int, VendorName varchar(50));
```

### The response from the system

```
A new table has been created.
<EVENT_INSTANCE>
    <EventType>CREATE_TABLE</EventType>
    <PostTime>2012-05-09T17:01:15.390</PostTime>
    <SPID>51</SPID>
    <ServerName>ANNE-PC\SQLEXPRESS</ServerName>
    <LoginName>murach\Anne</LoginName>
    <UserName>dbo</UserName>
    <DatabaseName>AP</DatabaseName>
    <SchemaName>dbo</SchemaName>
    <ObjectName>VendorsTest</ObjectName>
    <ObjectType>TABLE</ObjectType>
    <TSQLCommand>
        <SetOptions ANSI_NULLS="ON" ANSI_NULL_DEFAULT="ON" ANSI_PADDING="ON"
            QUOTED_IDENTIFIER="ON" ENCRYPTED="FALSE"/>
        <CommandText>
            CREATE TABLE VendorsTest (VendorID int, VendorName varchar(50));
        </CommandText>
    </TSQLCommand>
</EVENT_INSTANCE>
```

## Description

- In the ON clause, you can specify the DATABASE keyword to fire the trigger when DDL actions are executed on the current database. Or, you can specify the ALL SERVER keywords to fire the trigger when DDL actions are executed on any database on the current server.

- To specify a DDL statement for a trigger, you can code the name of the DDL statement, replacing any spaces with underscores.

- The EVENTDATA function returns an XML document of the xml data type. If necessary, you can use the skills presented in chapter 19 to parse this document.

Figure 15-21    A trigger that works with DDL statements

# How to delete or change a trigger

Figure 15-22 presents the syntax of the DROP TRIGGER and ALTER TRIGGER statements. DROP TRIGGER permanently deletes one or more triggers along with any security permissions associated with the trigger.

If you want to change the definition of a trigger without affecting permissions, you can use the ALTER TRIGGER statement. The statement shown in this figure, for example, modifies the trigger you saw in figure 15-17. This trigger now removes spaces from the beginning and end of the address columns in addition to converting the state code to upper case.

## The syntax of the DROP TRIGGER statement

```
DROP TRIGGER trigger_name [, ...]
```

## The syntax of the ALTER TRIGGER statement

```
ALTER TRIGGER trigger_name
ON {table_name|view_name}
[WITH [ENCRYPTION] [,] [EXECUTE_AS_clause]]
{FOR|AFTER|INSTEAD OF} [INSERT] [,] [UPDATE] [,] [DELETE]
AS sql_statements
```

## A statement that modifies the trigger in figure 15-17

```
ALTER TRIGGER Vendors_INSERT_UPDATE
    ON Vendors
    AFTER INSERT,UPDATE
AS
    UPDATE Vendors
    SET VendorState = UPPER(VendorState),
        VendorAddress1 = LTRIM(RTRIM(VendorAddress1)),
        VendorAddress2 = LTRIM(RTRIM(VendorAddress2))
    WHERE VendorID IN (SELECT VendorID FROM Inserted);
```

## A statement that deletes the trigger

```
DROP TRIGGER Vendors_INSERT_UPDATE;
```

## Description

- To delete a trigger from the database, use the DROP TRIGGER statement.
- To modify the definition of a trigger, you can delete the trigger and then create it again, or you can use the ALTER TRIGGER statement to specify the new definition.
- When you delete a trigger, any security permissions that are assigned to the trigger are also deleted. If that's not what you want, you can use the ALTER TRIGGER statement to modify the trigger and preserve the permissions.
- Unless a trigger and its corresponding table or view belong to the default schema, you must include the schema name on the DROP TRIGGER and ALTER TRIGGER statements.

Figure 15-22    How to delete or change a trigger

# Perspective

In this chapter, you've learned how to create the three types of executable database objects supported by SQL Server using SQL statements. Stored procedures are the most flexible of the three because you can use them in so many different ways. You can code procedures to simultaneously simplify and restrict a user's access to the database, to verify data integrity, and to ease your own administrative tasks.

Although they're generally less flexible than stored procedures, functions and triggers are powerful objects. You can use them to solve problems that otherwise would be difficult or impossible to solve. In particular, table-valued functions are one of the most useful extensions provided by Transact-SQL because they behave like views but can accept parameters that can change the result set.

In addition to using SQL statements to work with stored procedures, functions, and triggers, you can use the Management Studio. You'll find all three of these object types in folders within the Programmability folder for a database. Then, you can add, modify, and delete objects using the menus that appear when you right-click on a folder or object. You can use this same technique to work with the user-defined table types you use with stored procedures.

# Terms

| | |
|---|---|
| stored procedure | optional parameter |
| user-defined function (UDF) | passing parameters by position |
| trigger | passing parameters by name |
| sproc | return value |
| call a procedure | data validation |
| precompiled | user-defined table type |
| execution plan | system stored procedure |
| recursive call | scalar-valued function |
| recursion | table-valued function |
| temporary stored procedure | simple table-valued function |
| local procedure | multi-statement table-valued function |
| global procedure | invoke a function |
| parameter | inline table-valued function |
| input parameter | fire a trigger |
| output parameter | transaction |
| required parameter | |

## Exercises

1.  Create a stored procedure named spBalanceRange that accepts three optional parameters. The procedure should return a result set consisting of VendorName, InvoiceNumber, and Balance for each invoice with a balance due, sorted with largest balance due first. The parameter @VendorVar is a mask that's used with a LIKE operator to filter by vendor name, as shown in figure 15-5. @BalanceMin and @BalanceMax are parameters used to specify the requested range of balances due. If called with no parameters or with a maximum value of 0, the procedure should return all invoices with a balance due.

2.  Code three calls to the procedure created in exercise 1:
    (a)  passed by position with @VendorVar='M%' and no balance range
    (b)  passed by name with @VendorVar omitted and a balance range from $200 to $1000
    (c)  passed by position with a balance due that's less than $200 filtering for vendors whose names begin with C or F

3.  Create a stored procedure named spDateRange that accepts two parameters, @DateMin and @DateMax, with data type varchar and default value null. If called with no parameters or with null values, raise an error that describes the problem. If called with non-null values, validate the parameters. Test that the literal strings are valid dates and test that @DateMin is earlier than @DateMax. If the parameters are valid, return a result set that includes the InvoiceNumber, InvoiceDate, InvoiceTotal, and Balance for each invoice for which the InvoiceDate is within the date range, sorted with earliest invoice first.

4.  Code a call to the stored procedure created in exercise 3 that returns invoices with an InvoiceDate between December 10 and December 20, 2011. This call should also catch any errors that are raised by the procedure and print the error number and description.

5.  Create a scalar-valued function named fnUnpaidInvoiceID that returns the InvoiceID of the earliest invoice with an unpaid balance. Test the function in the following SELECT statement:

```
SELECT VendorName, InvoiceNumber, InvoiceDueDate,
       InvoiceTotal - CreditTotal - PaymentTotal AS Balance
FROM Vendors JOIN Invoices
  ON Vendors.VendorID = Invoices.VendorID
WHERE InvoiceID = dbo.fnUnpaidInvoiceID();
```

6.  Create a table-valued function named fnDateRange, similar to the stored procedure of exercise 3. The function requires two parameters of data type smalldatetime. Don't validate the parameters. Return a result set that includes the InvoiceNumber, InvoiceDate, InvoiceTotal, and Balance for each invoice for which the InvoiceDate is within the date range. Invoke the function from within a SELECT statement to return those invoices with InvoiceDate between December 10 and December 20, 2011.

7. Use the function you created in exercise 6 in a SELECT statement that returns five columns: VendorName and the four columns returned by the function.

8. Create a trigger for the Invoices table that automatically inserts the vendor name and address for a paid invoice into a table named ShippingLabels. The trigger should fire any time the PaymentTotal column of the Invoices table is updated. The structure of the ShippingLabels table is as follows:

```
CREATE TABLE ShippingLabels
(VendorName       varchar(50),
 VendorAddress1   varchar(50),
 VendorAddress2   varchar(50),
 VendorCity       varchar(50),
 VendorState      char(2),
 VendorZipCode    varchar(20));
```

Use this UPDATE statement to test the trigger:

```
UPDATE Invoices
SET PaymentTotal = 67.92, PaymentDate = '2012-04-23'
WHERE InvoiceID = 100;
```

9. Write a trigger that prohibits duplicate values *except for nulls* in the NoDupName column of the following table:

```
CREATE TABLE TestUniqueNulls
(RowID       int  IDENTITY  NOT NULL,
 NoDupName  varchar(20)     NULL);
```

(Note that you can't do this by using a unique constraint because the constraint wouldn't allow duplicate null values.) If an INSERT or UPDATE statement creates a duplicate value, roll back the statement and return an error message.

Write a series of INSERT statements that tests that duplicate null values are allowed but duplicates of other values are not.

# 16

# How to work with cursors

By default, all of the SQL statements work with an entire result set rather than individual rows. However, there are times when you need to be able to work with the data in a result set one row at a time. In this chapter, you'll learn how to do that using cursors.

# How to use cursors in SQL Server

A *cursor* lets you retrieve data from a result set one row at a time. In the topics that follow, you'll learn about the different types of cursors that you can use with a SQL Server database. You'll also learn about the Transact-SQL statements for working with cursors.

## An introduction to cursors

A cursor is a database object that points to a result set. You use a cursor to identify the row you want to retrieve from the result set. This concept is presented in the illustration at the top of figure 16-1.

Cursors are most often used by application programs that work with the data in a database. In most cases, these programs use a standard database *API* (*application programming interface*) that provides access to SQL Server databases through cursors. Then, application programmers can work with the programming language and API they're most familiar with.

Since an *API cursor* is managed by the API on the client machine, you don't need to write any SQL code to support this type of cursor on the server. If you need to, however, you can create and use your own *Transact-SQL cursors* on the server. You'll use this type of cursor in one of two ways. First, you can use them within your own scripts or procedures. Second, you can sometimes use them to provide database access to an application program that doesn't use one of the standard database APIs.
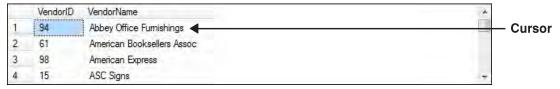
This figure also describes two situations when you might code Transact-SQL cursors for your own use. The more likely use is for administrative scripts or procedures that you use to manage your own database. In particular, this is useful for scripts that make use of system stored procedures or system tables to generate dynamic SQL. You'll see an example of this kind of script later in this chapter. Transact-SQL cursors can also be useful if you need to perform different operations on different rows in a table and the selection criteria are more complex than you can code in a WHERE clause.

Before you use a Transact-SQL cursor to solve a particular problem, you should consider other solutions first. That's because standard, set-based database access is faster and uses fewer server resources than cursor-based access. In some cases, however, you'll find that a problem can be solved only with the use of a cursor.

Although you'll probably use Transact-SQL cursors much less often than API cursors, I can't show you how to use API cursors because each API implements them in a different way. However, I can show you how to code Transact-SQL cursors. Since both API cursors and Transact-SQL cursors work similarly, learning how to code Transact-SQL cursors should prepare you for learning how to use API cursors.

## How a cursor works

### A result set with a cursor that points to the first row



### The first row of the result set retrieved through the cursor



## The two implementations of SQL Server cursors

- Cursors implemented through standard database APIs
- Cursors implemented through Transact-SQL

## Two common uses for Transact-SQL cursors

- For your own use in scripts and procedures
- For use in procedures that provide database access to application programs that can't use standard database APIs

## When to code Transact-SQL cursors for your own use

- For administrative scripts and procedures that manipulate database objects using dynamic SQL or system stored procedures
- For scripts or procedures that need to do something different to each row in a result set based on criteria that are more complex than can be stated in a WHERE clause

## Description

- By default, SQL statements work with all the rows in a result set. If you need to work with data one row at a time, you can use a *cursor*.
- The common database *APIs (application programming interfaces)*, such as ADO.NET and ODBC, use cursors to provide application programs access to SQL Server data. Since the API manages the cursor on the client, you don't need to write any Transact-SQL code on the server.
- Although some programming problems require the use of Transact-SQL cursors, you won't use them often. Since cursors are slower and take up more system resources than other database access techniques, you should avoid using them whenever possible.
- Transact-SQL cursors are similar to API cursors. If you understand how Transact-SQL cursors work, then, you'll have a general understanding of how you might work with API cursors.

Figure 16-1    An introduction to cursors

# The seven types of SQL Server cursors

SQL Server supports seven different types of cursors. Of these seven types, four correspond to standard API cursors and the other three are only available as Transact-SQL cursors. Figure 16-2 presents the key characteristics of each type of cursor.

One of the characteristics of a cursor is its *scrollability*. A *forward-only cursor*, for example, can only retrieve the next row in the cursor result set. In contrast, a *scrollable* cursor can retrieve a row that's either before or after the current row.

The other key characteristic of a cursor is its *sensitivity*, which indicates whether the cursor is sensitive to changes in the database. If a cursor is sensitive to changes, that means it's aware of changes that occur after the cursor is created. Then, you'll see those changes when you retrieve the changed row.

For example, suppose another user changes row 5 while your cursor is positioned at row 4. When you retrieve the next row, a sensitive cursor will return the changed row, but an insensitive cursor will return the original, unchanged row.

Notice that there are three different levels of cursor sensitivity. A *static* cursor is insensitive to all changes. When you open a static cursor, the system makes a copy of the result set in the tempdb database. Then, when you fetch a row, it's returned from this copy, not from the base tables. Since changes to the base tables don't affect this static copy, the cursor isn't aware of them.

In contrast, a *dynamic* cursor is sensitive to all changes. To accomplish that, a dynamic cursor essentially reruns the SELECT query for the cursor each time you fetch a row. For this reason, any data that has changed since the previous row was fetched is included in the result set.

Finally, a *keyset-driven* cursor is sensitive to some, but not all, database changes. Specifically, a keyset-driven cursor will reflect updates and deletes to any row included in the result set, but it won't reflect new rows that have been inserted into the database. When you open a keyset-driven cursor, the system stores a copy of the unique key values (the *keyset*) for the result set in the tempdb database. Then, when you fetch a row, the cursor uses this keyset to query the base tables. Since the fetch goes back to the base table for the non-key columns, the cursor is sensitive to updates or deletions. Since membership in the keyset is set once the cursor is opened, however, the cursor can't detect insertions.

Notice that the names of the API cursor types are similar to the keywords you use to create them in SQL. Unfortunately, these naming schemes don't correspond exactly. Specifically, a forward-only cursor is a unique type of API cursor, but Transact-SQL has four different types of forward-only cursors. This should be clearer once you learn the syntax for declaring a cursor.

For now, you should try to understand the relative performance of the various cursor types. As you might expect, dynamic cursors require the most system resources and perform the slowest, static cursors are the fastest, and keyset-driven cursors are in between the other two. Furthermore, a scrollable cursor uses more resources and performs slower than a forward-only cursor. Taking both of these characteristics into account, the table in figure 16-2 lists the cursor types by order of relative performance, from slowest to fastest.

## The seven types of SQL Server cursors

| Standard API cursor type | Transact-SQL cursor keyword | Scrollable | Sensitive to database changes |
|---|---|---|---|
| Dynamic | DYNAMIC | Yes | Yes |
| Keyset-driven | KEYSET | Yes | Yes, for updates and deletes, but not for insertions |
| Static | STATIC | Yes | No |
| Forward-only | FORWARD_ONLY | No | Yes |
| (none) | FORWARD_ONLY KEYSET | No | Yes, for updates and deletes, but not for insertions |
| (none) | FORWARD_ONLY STATIC | No | No |
| (none) | FAST_FORWARD | No | No |

## Description

- Most database APIs support four basic types of cursors. SQL Server provides for these four types and three additional types. These types differ based on their *scrollability* and their *sensitivity*.

- If a cursor is *scrollable*, you can use it to retrieve a row before or after the current row. If a cursor isn't scrollable, you can use it only to retrieve the row after the current row. This type of cursor is called a *forward-only cursor*.

- If a cursor is *sensitive* to database changes, then it's aware of changes to the database that occur after the cursor is created.

- A *dynamic cursor* is sensitive to all changes to the source data. Since dynamic cursors basically rerun the cursor query every time you fetch a new row, they require the most system resources and result in the slowest system performance. By default, dynamic cursors are scrollable.

- A *keyset-driven cursor* is sensitive to updates and deletes to the source data, but it's insensitive to insertions. By default, keyset-driven cursors are scrollable.

- A *static cursor* is insensitive to any changes to the source data. By default, static cursors are scrollable.

- By default, a forward-only cursor is sensitive to database changes. Since forward-only cursors take up fewer system resources than scrollable cursors, they are faster.

- Transact-SQL supports three additional forward-only cursor types: KEYSET, STATIC, and FAST_FORWARD. A FAST_FORWARD cursor is optimized for performance, so it's the fastest of the Transact-SQL cursor types.

Figure 16-2    The seven types of SQL Server cursors

# SQL statements for cursor processing

Figure 16-3 presents the five SQL statements for working with Transact-SQL cursors. The first is the DECLARE CURSOR statement, which you use to create a cursor and define its type. You'll learn how to code this statement in the next figure.

Once you've declared the cursor, you use the OPEN statement to populate it based on the SELECT query in the DECLARE CURSOR statement. Then, you can use the FETCH statement to retrieve one row at a time from the result set through the cursor.

When you're finished using the cursor, you use the CLOSE statement to close the cursor. This releases the system resources that the cursor requires for storing and navigating through the result set. Note, however, that the definition of the cursor still exists after you close it. Because of that, you could open it and populate the result set again. However, it's unlikely that you'll ever need to do that. Instead, you'll use the DEALLOCATE statement to delete the cursor definition and release the remaining system resources associated with the cursor.

The script in this figure illustrates how these five statements are used together to work with a cursor. The first statement declares a static cursor named Vendors_Cursor. Notice that the SELECT statement in the FOR clause of the DECLARE CURSOR statement defines the result set that will be used by the cursor. Then, the OPEN statement creates the cursor.

The FETCH NEXT statement that follows retrieves the next row from the cursor. Since this is the first fetch from a newly opened cursor, the first row is fetched. Then, within the WHILE loop, the script continues to fetch the next row until the last row in the result set is reached. The system function @@FETCH_STATUS used in the WHILE loop tests whether the latest FETCH statement succeeded. You'll learn more about using this function later in this chapter. Finally, the CLOSE and DEALLOCATE statements close the cursor and release all of the system resources used by the cursor.

## The SQL statements for cursor processing

| Statement | Description |
|---|---|
| DECLARE CURSOR | Defines a new cursor. |
| OPEN | Opens and populates the cursor by executing the SELECT statement defined by the cursor. |
| FETCH | Retrieves a row from the cursor. |
| CLOSE | Closes the cursor. |
| DEALLOCATE | Deletes the cursor definition and releases all system resources associated with the cursor. |

## A SQL script that declares and uses a cursor

```
DECLARE Vendors_Cursor CURSOR
STATIC
FOR
    SELECT VendorID, VendorName
    FROM Vendors
    ORDER BY VendorName;

OPEN Vendors_Cursor;
FETCH NEXT FROM Vendors_Cursor;
WHILE @@FETCH_STATUS = 0
    FETCH NEXT FROM Vendors_Cursor;
CLOSE Vendors_Cursor;
DEALLOCATE Vendors_Cursor;
```

## The response from the system

| | VendorID | VendorName |
|---|---|---|
| 1 | 94 | Abbey Office Furnishings |

| | VendorID | VendorName |
|---|---|---|
| 1 | 61 | American Booksellers Assoc |

| | VendorID | VendorName |
|---|---|---|
| 1 | 98 | American Express |

## Description

- You typically code the cursor statements in the order shown above. The only argument you code in the OPEN, CLOSE, and DEALLOCATE statements is the name of the cursor.

- The DECLARE CURSOR statement specifies the cursor options and defines the SELECT statement that will be used to populate the cursor. See figure 16-4 for the complete syntax of this statement.

- The FETCH statement provides a number of variations for retrieving a row from a cursor. See figure 16-5 for details.

- The @@FETCH_STATUS system function tests whether the last FETCH statement was successful. See figure 16-6 for details.

Figure 16-3    SQL statements for cursor processing

# How to use cursors to retrieve data

Now that you've learned what cursors are and why you might use them, you're ready to begin working with them yourself. In the topics that follow, you'll learn the syntax of the DECLARE CURSOR and FETCH statements. Then, you'll learn how to use two system functions that help you manage cursors in your scripts and procedures.

## How to declare a cursor

Figure 16-4 presents the syntax of the DECLARE CURSOR statement. As you can see, you code the name of the new cursor between the DECLARE and CURSOR keywords. After that, you code one or more optional keywords that determine the cursor type. The second and third set of optional keywords define the scrollability and sensitivity of the cursor. I'll explain more about the other optional keywords shortly.

In the FOR clause, you code the SELECT statement that defines the result set for the cursor. You can code any SELECT query here, including one with joins, subqueries, or summary queries. However, you can't code a SELECT statement with the INTO clause.

You should be aware that if the type of cursor you request is incompatible with the type of SELECT statement you code, SQL Server will implicitly change the cursor type to match the SELECT statement. If you code a GROUP BY clause in the SELECT statement, for example, the cursor can't be dynamic so it's implicitly changed to a static cursor. For more information, refer to the "implicit cursor conversions" topic in Books Online.

The first set of optional keywords, LOCAL and GLOBAL, identifies the *scope* of the cursor. If you declare a local cursor, you can only use it within the current batch or procedure. If you declare a global cursor, you can use it from any script or procedure on the current connection. However, it can't be used from two or more connections. The default value is GLOBAL for most systems, but this default can be changed. Because of that, you should always include this keyword if you're unsure about your system's default setting.

The fourth set of optional keywords, READ_ONLY, SCROLL_LOCKS, and OPTIMISTIC, identifies the concurrency option for the cursor. As you'll learn later in this chapter, this setting identifies the kind of lock that's placed on a row when data is updated through the cursor.

The last clause for this statement, FOR UPDATE OF, is also used when updating data through a cursor. This clause is used to restrict the columns that can be updated. If you omit this clause or code just the FOR UPDATE keywords, any column in the result set can be updated. If you include this clause along with a list of columns, only the columns in the list can be updated. Since views provide a more flexible technique for identifying specific columns, you'll typically base the cursor on a view that retrieves just the columns that can be updated instead of using the FOR UPDATE OF clause.

## The syntax of the DECLARE CURSOR statement

```
DECLARE cursor_name CURSOR
    [LOCAL|GLOBAL]
    [FORWARD_ONLY|SCROLL]
    [FAST_FORWARD|STATIC|KEYSET|DYNAMIC]
    [READ_ONLY|SCROLL_LOCKS|OPTIMISTIC]
FOR select_statement
    [FOR UPDATE [OF column_name [, ...]]]
```

## A DECLARE CURSOR statement that uses the default options

```
DECLARE Invoices_Cursor CURSOR
FOR
    SELECT * FROM Invoices;
```

## A DECLARE CURSOR statement that declares a dynamic local cursor

```
DECLARE VendorInvoice_Cursor CURSOR
LOCAL DYNAMIC
FOR
    SELECT VendorName, InvoiceDate, InvoiceTotal
    FROM Vendors JOIN Invoices ON Vendors.VendorID = Invoices.VendorID;
```

## A DECLARE CURSOR statement that declares a cursor
## for updating specific columns of the Vendors table

```
DECLARE VendorUpdate_Cursor CURSOR
GLOBAL SCROLL DYNAMIC SCROLL_LOCKS
FOR
    SELECT * FROM Vendors
    FOR UPDATE OF VendorName, VendorAddress1, VendorAddress2, VendorCity,
                  VendorState, VendorZipCode, VendorPhone;
```

## Description

- You code LOCAL or GLOBAL to identify the *scope* of the cursor. A local cursor can only be used within the batch or procedure in which it's declared, but a global cursor can be used by any script or procedure on the current connection.

- If you omit the scope, the default depends on the setting of the CURSOR_DEFAULT option for the database. The default for this setting is GLOBAL. See Books Online for more information on this option.

- You code FAST_FORWARD, STATIC, KEYSET, or DYNAMIC to identify the basic type of cursor. In addition, you can code FORWARD_ONLY and SCROLL to determine whether or not the cursor is scrollable.

- READ_ONLY, SCROLL_LOCKS, and OPTIMISTIC are concurrency options that determine how the cursor handles locking for update and delete operations. If you want to update or delete data through the cursor, you must use either OPTIMISTIC or SCROLL_LOCKS. See figure 16-8 for details.

- If you only want to allow updates to specific columns, you can code the FOR UPDATE OF clause with a list of the updatable column names.

Figure 16-4    How to declare a cursor

Figure 16-4 also presents three examples of the DECLARE CURSOR statement. The first example creates a cursor named Invoices_Cursor. Since none of the optional keywords are included, this cursor is created using the default settings: GLOBAL, FORWARD_ONLY, DYNAMIC, and OPTIMISTIC. Note, however, that the default settings change when one or more optional keywords are included. For example, the second DECLARE CURSOR statement creates a dynamic cursor with local scope. Since the other two options aren't specified, they default to SCROLL and OPTIMISTIC.

The third example in this figure shows how you code the FOR UPDATE OF clause. In this case, all of the columns in the Vendors table are included in the result set, but only seven of those columns can be updated through the cursor.

## How to retrieve a row using a cursor

Figure 16-5 presents the syntax of the FETCH statement, which retrieves a row from a Transact-SQL cursor. The keyword that follows specifies the direction of the fetch. If you don't specify a direction, FETCH NEXT is the default.

In the FROM clause, you code the name of the open cursor through which the row will be retrieved. Note that if both a global and a local cursor exist with the same name, a row will be fetched from the local cursor by default. In that case, you need to include the GLOBAL keyword to retrieve a row from the global cursor.

Generally, you'll want to assign the values retrieved by the FETCH statement to local variables. To do that, you code the INTO clause with a list of variable names. Note that you must list the variables in the proper order; the data type of each variable must match the data type of the corresponding column or SQL Server must be able to implicitly convert it to the type of the column; and the number of variables you list must equal the number of columns in the result set. If you omit the INTO clause, the row is returned directly to the client. In the Management Studio, for example, the row is displayed in the Results tab.

FETCH RELATIVE fetches the row *n* rows from the current row. If n is positive, it moves forward n rows. If n is negative, it moves backward n rows. And if n is zero, it fetches the same row again.

FETCH ABSOLUTE fetches the row *n* rows from the beginning of the result set. For this reason, FETCH FIRST and FETCH ABSOLUTE 1 are equivalent operations.

If the cursor is a forward-only cursor, FETCH NEXT is the only type of fetch that's allowed. For a scrollable cursor, you can fetch in any direction. You can't use FETCH ABSOLUTE with a dynamic cursor, however, because the number of rows in the result set can vary due to changes in the base table.

## The syntax of the FETCH statement

```
FETCH [NEXT|PRIOR|FIRST|LAST|ABSOLUTE n|RELATIVE n]
FROM [GLOBAL] cursor_name
[INTO @variable_name [, ...]]
```

## A DECLARE CURSOR statement

```
DECLARE Vendor_Cursor CURSOR
STATIC
FOR SELECT VendorID, VendorName FROM Vendors;
```

## FETCH statements for retrieving rows from the cursor

```
FETCH FROM Vendor_Cursor;              -- Retrieves the next row

FETCH NEXT FROM Vendor_Cursor;         -- Retrieves the next row

FETCH PRIOR FROM Vendor_Cursor;        -- Retrieves the previous row

FETCH FIRST FROM Vendor_Cursor;        -- Retrieves the first row

FETCH LAST FROM Vendor_Cursor;         -- Retrieves the last row

FETCH ABSOLUTE 3 FROM Vendor_Cursor;   -- Retrieves the third row

FETCH RELATIVE 10 FROM Vendor_Cursor;  -- Retrieves the tenth row after
                                       -- the current row

FETCH RELATIVE -2 FROM Vendor_Cursor;  -- Retrieves the row two rows before
                                       -- the current row

FETCH RELATIVE 0 FROM Vendor_Cursor;   -- Retrieves the current row again

FETCH FROM Vendor_Cursor               -- Retrieves the next row and assigns
INTO @VendorIDVar,@VendorNameVar;      -- the values to two local variables
```

## Description

- The FETCH statement retrieves the specified row from the cursor. If you don't specify a direction for the fetch operation, NEXT is the default. If the cursor is forward-only, NEXT is the only valid direction.

- FETCH ABSOLUTE fetches the row *n* rows from the beginning of the result set, where the rows are numbered from 1 through the total number of rows. You can't use ABSOLUTE for dynamic cursors since the number of rows can change.

- FETCH RELATIVE fetches the row *n* rows after the last row fetched. If n is negative, the statement fetches backwards. If n is zero, it retrieves the same row again.

- The FROM clause names the cursor that contains the row to be fetched. If both a local and a global cursor exist with the same name, the local cursor is used by default. If that's not what you want, you can code the GLOBAL keyword.

- The INTO clause lets you assign the values in the retrieved row to local variables. If you omit this clause, the row is returned directly to the client. If you're using the Management Studio, that means that the row is displayed in the Results tab.

Figure 16-5    How to retrieve a row using a cursor

# How to use the @@FETCH_STATUS system function

You can use the @@FETCH_STATUS system function to determine the status of the most recently executed FETCH statement. As you can see in figure 16-6, if the function returns a zero value, the fetch was successful. If the fetch reached the end of the result set, @@FETCH_STATUS is -1. These are the only two possible values for dynamic or static cursors.

If you're fetching through a keyset-driven cursor, @@FETCH_STATUS can also return a value of -2. This means that you attempted to fetch a row that has been deleted. In other words, another user or process deleted a row that was a member of the keyset. Since a static cursor is insensitive to deletions, this error can't occur when you use this type of cursor. This error can't occur when you use a dynamic cursor either. That's because each time you fetch a row with this type of cursor, the result set is updated so it only includes the rows that are currently in the base table.

You typically test the value of the @@FETCH_STATUS system function in the conditional expression of a WHILE loop that repeatedly fetches rows from a cursor. For example, this WHILE statement

```
WHILE @@FETCH_STATUS <> -1
```

will work for any type of cursor. If you use this statement with a keyset-driven cursor, you'll also want to check the @@FETCH_STATUS function for a value of -2 within the loop. This is illustrated by the third example in this figure.

If the cursor isn't a keyset-driven cursor, you could also code the WHILE statement like this

```
WHILE @@FETCH_STATUS = 0
```

since you don't have to worry about it returning a value of -2. This is illustrated by the first two examples in this figure. Since keyset-driven cursors are used less frequently than dynamic or static cursors, you'll probably use this test more often.

The @@FETCH_STATUS system function reflects the status of the most recently executed FETCH statement on the current connection. Since you could have more than one cursor open on the same connection, you could accidentally check the status of the wrong cursor. To avoid this mistake, be sure to test the status immediately after the FETCH statement.

## The values returned by the @@FETCH_STATUS system function

| Value | Description |
|-------|-------------|
| 0 | The FETCH was successful. |
| -1 | The FETCH was unsuccessful because it reached the end of the result set. |
| -2 | The FETCH was unsuccessful because the row was deleted. |

## A WHILE loop that steps forward through a result set

```
FETCH FIRST FROM Vendor_Cursor;
WHILE @@FETCH_STATUS = 0
    BEGIN
        ...
        FETCH NEXT FROM Vendor_Cursor;
    END;
```

## A WHILE loop that steps backward through a result set

```
FETCH LAST FROM Vendor_Cursor;
WHILE @@FETCH_STATUS = 0
    BEGIN
        ...
        FETCH PRIOR FROM Vendor_Cursor;
    END;
```

## A WHILE loop that fetches data through a keyset-driven cursor

```
FETCH FIRST FROM Vendor_Keyset_Cursor;
WHILE @@FETCH_STATUS <> -1
    BEGIN
        IF @@FETCH_STATUS = -2
            PRINT 'Missing row. Value was deleted.';
        ...
        FETCH NEXT FROM Vendor_Keyset_Cursor;
    END;
```

## Description

- The @@FETCH_STATUS system function returns the status of the most recent FETCH statement. You'll typically use this function in a WHILE loop after the first fetch to fetch the remaining rows in the result set.

- Unless you're using a keyset-driven cursor, @@FETCH_STATUS returns either 0 or 1. In that case, the WHILE loop can either test that the value of this function is equal to zero or not equal to 1.

- For a keyset-driven cursor, @@FETCH_STATUS can also return 2, which means that the row you're trying to fetch has been deleted. In that case, the WHILE loop should test that the value of this function is not equal to 1. In addition, you should test that this function isn't equal to -2 within the loop.

## Warning

- @@FETCH_STATUS is global to all of the cursors open on the current connection. So if you're using multiple cursors, don't code other statements between the fetch and the test of @@FETCH_STATUS.

Figure 16-6    How to use the @@FETCH_STATUS system function

# How to use the @@CURSOR_ROWS system function

You can use the value returned by the @@CURSOR_ROWS system function to get the number of rows in the result set of the most recently opened cursor. The values this function can return are listed in figure 16-7. If the value isn't negative, it represents the number of rows in the result set. If it's zero, it indicates that the result set is empty. And if it's -1, it indicates that the most recently opened cursor is a dynamic cursor. In that case, since the number of rows in a dynamic cursor can change with deletions and insertions by other users, the total number of rows is unknown.

The script in this figure shows how you might use the @@CURSOR_ROWS function. Here, after the cursor is opened, the @@CURSOR_ROWS function is used to check that there is at least one row in the result set. If so, the first row is retrieved. Then, the WHILE loop prints that row and retrieves the row 20 rows after that row using the FETCH RELATIVE statement. The seven rows that are printed by this script are shown in this figure.

## The values returned by the @@CURSOR_ROWS system function

| Value | Description |
|-------|-------------|
| n | The number of eligible rows for the most recently opened cursor. |
| 0 | No cursor is open or no rows qualified for the cursor. |
| -1 | The number of rows can change because this is a dynamic cursor. |

## A script that prints every 20th row in a result set

```
USE AP;

DECLARE Vendor_Cursor CURSOR
STATIC
FOR
    SELECT VendorID, VendorName FROM Vendors ORDER BY VendorName;

DECLARE  @VendorIDVar int, @VendorNameVar varchar(50);

OPEN Vendor_Cursor;
IF @@CURSOR_ROWS > 0
    BEGIN
        FETCH NEXT FROM Vendor_Cursor INTO @VendorIDVar, @VendorNameVar;
        WHILE @@FETCH_STATUS = 0
            BEGIN
                PRINT CONVERT(varchar,@VendorIDVar) + ', ' +
                    @VendorNameVar;
                FETCH RELATIVE 20 FROM Vendor_Cursor
                INTO @VendorIDVar, @VendorNameVar;
            END;
    END;
CLOSE Vendor_Cursor;
DEALLOCATE Vendor_Cursor;
```

### The response from the system

```
94, Abbey Office Furnishings
25, California Data Marketing
105, Dristas Groom & McCormick
16, Internal Revenue Service
54, Ph Photographic Services
64, Texaco
92, Zip Print & Copy Center
```

## Description

- The @@CURSOR_ROWS system function returns the number of rows in the result set. If the number is zero, no rows qualified for the result set.

- The number of rows in a static or keyset-driven cursor is fixed when you open the cursor.

- Since the number of rows in a dynamic cursor can change, this function can't return a row count. Instead, it returns a value of -1.

Figure 16-7    How to use the @@CURSOR_ROWS system function

# How to modify data through a cursor

Application programs commonly need to modify data through an API, and the various APIs provide the ability to do this using API cursors. As you've already learned, however, you don't need to write any Transact-SQL code for this to happen.

On the other hand, you'll seldom need to modify data through a Transact-SQL cursor. Instead, you'll use these cursors only to retrieve data. For completeness, though, I'm now going to show you how to use Transact-SQL cursors to modify data. But first, you need to understand how concurrency works.

## How to use the cursor concurrency options

Database errors can occur when two or more users or processes try to modify the same data simultaneously. This problem is known as *concurrency*, and it will be presented in detail in the next chapter. At this point, you just need to understand that the likelihood of concurrency increases as the number of simultaneous users goes up. So, for small systems, concurrency may never be an issue.

One way that SQL Server can manage concurrency is to place a *lock* on a row while a user is working with it. Then, other users can't work with that row until the first user is done with it. You can use two different types of locks when you work with data through a cursor: optimistic and pessimistic. These two types of locks are summarized in figure 16-8.

If you use *pessimistic locking*, the system places a lock on a row when you fetch it. The lock remains until you fetch another row or close the cursor. This guarantees that no other user or process can modify or delete that row while you have it locked.

If you use *optimistic locking*, the system doesn't place a lock on the row. Then, if you subsequently update or delete the row through the cursor, the system checks if the row still exists and, if so, if the current version of the row is the same as the version of the row you fetched. If another user or process modified or deleted the row between the time you fetched it and updated it, you'll get an error. Then, you can include code to handle the error. If the row was modified, for example, you could fetch it again and resubmit the update. If the row was deleted, however, you'd get an error when you tried to fetch it and you wouldn't be able to resubmit the original operation.

To use pessimistic locking, you specify the SCROLL_LOCKS keyword in the DECLARE CURSOR statement. To use optimistic locking, you specify the OPTIMISTIC keyword. The third keyword, READ_ONLY, prevents updates through the cursor, so no locking is necessary. If you omit all three keywords, the default concurrency option is OPTIMISTIC for dynamic and keyset-driven cursors and READ_ONLY for static cursors.

For most systems, optimistic concurrency is fine. However, if your system has many users and you need to routinely update through a cursor, you may want to use pessimistic locking. In particular, if the updates affect critical data

## The three Transact-SQL cursor concurrency options

| Option | Description |
|---|---|
| OPTIMISTIC | No lock is placed on the row. This means that a row can be modified by another process in the time between when you fetch and update the data. |
| SCROLL_LOCKS | Each row is locked when it's fetched. This means that no other process can modify the row until you release the lock by fetching a different row or closing the cursor. |
| READ_ONLY | You can't update data through the cursor, so rows are never locked. |

## Description

- In a multi-user database, two or more users can work with the same data at the same time. This is known as *concurrency*.

- The concurrency option you include in the DECLARE CURSOR statement specifies how the cursor handles concurrency.

- One way for a database to handle concurrency is to place a *lock* on a row when it's fetched. When the row is locked, the system prevents any other user or process from modifying or deleting that row.

- The OPTIMISTIC option implements *optimistic locking* for the cursor. This type of locking assumes that no other process is likely to modify the same row at the same time. For systems with a limited number of users, this assumption is usually true.

- If you use optimistic locking, the system tests to see if the row has been modified since you fetched it. If you try to update the row but it was changed or deleted by another user, the update raises error number 16934. Then, you can test for this error in your code and handle it accordingly.

- The SCROLL_LOCKS option implements *pessimistic locking*. This type of locking assumes that two or more processes will try to modify the same row at the same time. If you use this option, the cursor locks the row at the current position in the source table.

- A row that you fetch using pessimistic locking can't be modified or deleted by any other process. However, the other process doesn't get an error message stating that the row is locked. Instead, the update or delete is suspended until your lock is released. Since the lock remains in place until you fetch another row or until the cursor is closed, you shouldn't keep the cursor at the same position for long.

- By default, static cursors, including FAST_FORWARD cursors, are set to READ_ONLY and keyset-driven and dynamic cursors are set to OPTIMISTIC. These settings are acceptable for most small to medium-sized systems.

Figure 16-8    How to use the cursor concurrency options

that can't be corrected by simply resubmitting the update, then pessimistic locking may be called for. Since pessimistic locking uses more system resources and delays access to your data, however, you should only use it when absolutely necessary.

# How to update or delete data through a cursor

Figure 16-9 presents the syntax of the WHERE CURRENT OF clause. You can use this clause in an UPDATE or DELETE statement to update or delete the last row fetched through a cursor. The examples in this figure illustrate how this works.

The script shown here declares a dynamic cursor that retrieves data from the Vendors table. Then, it opens the cursor and fetches the first row. Because the SCROLL_LOCKS option was specified in the DECLARE CURSOR statement, this row is locked so it can't be modified except through the cursor.

The UPDATE statement shown in this figure could be used to modify the row that's retrieved by the script. To do that, it uses the CURRENT OF phrase in the WHERE clause. This phrase names the cursor that contains the row to be updated. In contrast, the UPDATE clause names the base table that contains the row to be updated. That makes sense because the data to be updated resides in the base table, not in the result set defined by the cursor. In this case, you're using the cursor to identify the row you want to update.

The third example in this figure shows how you can use the WHERE CURRENT OF clause in a DELETE statement. Note that in this case, the statement would result in an error. That's because the Invoices table contains an invoice with the current VendorID, so deleting this vendor would violate referential integrity.

## The syntax of the WHERE CURRENT OF clause

```
WHERE CURRENT OF cursor_name
```

## A script that declares a cursor and fetches the first row

```
DECLARE Dynamic_Vendor_Cursor CURSOR
DYNAMIC SCROLL_LOCKS
FOR
    SELECT * FROM Vendors ORDER BY VendorName;

OPEN Dynamic_Vendor_Cursor;
FETCH Dynamic_Vendor_Cursor;
```

### The response from the system

| | VendorID | VendorName | VendorAddress1 | VendorAddress2 | VendorCity | VendorState | Ver |
|---|---|---|---|---|---|---|---|
| 1 | 94 | Abbey Office Furnishings | 4150 W Shaw Ave | NULL | Fresno | CA | 937 |

## An UPDATE statement that modifies the row at the current cursor position

```
UPDATE Vendors
    SET VendorName = 'Peerless Networking'
    WHERE CURRENT OF Dynamic_Vendor_Cursor;
```

## A DELETE statement that deletes the row at the current cursor position

```
DELETE Vendors
    WHERE CURRENT OF Dynamic_Vendor_Cursor;
```

## Description

- You can update or delete the last row fetched through a cursor by using the WHERE CURRENT OF clause instead of a search condition in an UPDATE or DELETE statement. This clause names the cursor that was used to fetch the row.

- To use a cursor in a WHERE CURRENT OF clause, the cursor must be set for OPTIMISTIC or SCROLL_LOCKS concurrency.

Figure 16-9    How to update or delete data through a cursor

# Additional cursor processing techniques

At the beginning of this chapter, I listed the two common uses for Transact-SQL cursors. In the two topics that follow, I'll present scripts that demonstrate these uses. If you understand how these examples work, you'll be able to code Transact-SQL cursors of your own.

## How to use cursors with dynamic SQL

Figure 16-10 presents a script that uses a cursor to fetch data that's used to generate a dynamic SQL statement. This script creates a temporary table that summarizes the column names, data types, and row count for each table in a database. This is the same table that was created by the script in figure 14-15. Now, you can compare this cursor-based solution with the previous one.

This script starts by creating the temporary table named #TableSummary that's based on the tables, columns, and types catalog views. Then, it declares two variables. The first one, @TableNameVar, will be used to hold the name of each table that's processed, and the second one, @ExecVar, will be used to hold the SQL string to be executed.

The next statement declares the cursor that will be used to navigate through the temporary table. Since this cursor is used only to step through the distinct values of the TableName column in the temporary table in sequence, it's declared as a forward-only cursor. Specifically, it's defined with the FAST_FORWARD keyword since the result set that's retrieved by this cursor won't change. Note that by declaring a cursor over the temporary table, this script doesn't need to create the second temporary table used by the solution in chapter 14.

If you compare the WHILE loop in this example with the one in figure 14-15, you'll see that they both build a SQL string that consists of three SQL statements. The first one declares a variable named @CountVar that will be used to store the row count for each table. The second one is a SELECT statement that retrieves the row count for the current table. And the third one is an INSERT statement that inserts the row count into the temporary table.

You'll notice two key differences between the code in this loop and the one in figure 14-15. First, since the FETCH statement retrieves a single row into @TableNameVar, I didn't need to code a SELECT statement to do that. Second, the execution of the WHILE loop is controlled by the @@FETCH_STATUS system function rather than a variable that loops through all the tables.

As I mentioned earlier in this chapter, a set-based query will typically outperform an equivalent cursor-based query. In this case, however, the cursor-based query is faster despite the overhead required to support the cursor. That's because the set-based solution executes more operations within each iteration of the WHILE loop.

## A script that creates a summary of the tables in a database

```
USE AP;
IF OBJECT_ID('tempdb..#TableSummary') IS NOT NULL
    DROP TABLE #TableSummary;
SELECT sys.tables.name AS TableName, sys.columns.name AS ColumnName,
    sys.types.name AS Type
INTO #TableSummary
FROM sys.tables
    JOIN sys.columns ON sys.tables.object_id = sys.columns.object_id
    JOIN sys.types ON sys.columns.system_type_id = sys.types.system_type_id
WHERE sys.tables.name IN
    (SELECT name
    FROM sys.tables
    WHERE name NOT IN ('dtproperties', 'TableSummary'));

DECLARE @TableNameVar varchar(128), @ExecVar varchar(1000);
DECLARE TableSummary_Cursor CURSOR
FAST_FORWARD
FOR
    SELECT DISTINCT TableName
    FROM #TableSummary;
OPEN TableSummary_Cursor;
FETCH NEXT FROM TableSummary_Cursor INTO @TableNameVar;
WHILE @@FETCH_STATUS = 0
BEGIN
    SET @ExecVar = 'DECLARE @CountVar int; ' + 'SELECT @CountVar = COUNT(*) ';
    SET @ExecVar = @ExecVar + 'FROM ' + @TableNameVar + '; ';
    SET @ExecVar = @ExecVar + 'INSERT #TableSummary ';
    SET @ExecVar = @ExecVar + 'VALUES (''' + @TableNameVar + ''',';
    SET @ExecVar = @ExecVar + '''*Row Count*'',' + ' @CountVar);';
    EXEC (@ExecVar);
    FETCH NEXT FROM TableSummary_Cursor INTO @TableNameVar;
END;
CLOSE TableSummary_Cursor;
DEALLOCATE TableSummary_Cursor;

SELECT * FROM #TableSummary
ORDER BY TableName, ColumnName;
```

## The result set returned by the script

| | TableName | ColumnName | Type |
|---|---|---|---|
| 19 | InvoiceLineItems | *Row Count* | 118 |
| 20 | InvoiceLineItems | AccountNo | int |
| 21 | InvoiceLineItems | InvoiceID | int |
| 22 | InvoiceLineItems | InvoiceLineItemAmount | money |
| 23 | InvoiceLineItems | InvoiceLineItemDescription | varchar |

## Description

- This script creates a temporary table named #TableSummary that has one row for each column in the database, including the table name and the data type. In addition, it adds a row that shows the row count for each table.

- This script runs faster than the one shown in figure 14-15 because it doesn't have to rerun the query each time through the loop. For this reason, it's more efficient despite the overhead required by the cursor.

Figure 16-10    How to use cursors with dynamic SQL

# How to code Transact-SQL cursors for use by an application program

Figure 16-11 illustrates how you might use Transact-SQL cursors to provide an application program access to a database. Since the database APIs provide built-in support for this kind of access, application programmers should use them whenever possible. However, there are rare situations where a programmer can't use an API or prefers not to. In those situations, you may be able to provide access as shown here.

The code in the first part of this figure creates three stored procedures. The first procedure, spOpenInvoices, declares and opens a cursor over selected rows in the Invoices table. The second procedure, spGetInvoice, fetches a row from the cursor result set and returns it through a set of output parameters. Notice that the return value of this procedure is the value of @@FETCH_STATUS. The third procedure, spCloseInvoices, closes and deallocates the cursor.

The second part of this figure presents pseudocode that illustrates how an application program might use these stored procedures to read data from the Invoices table. I've used pseudocode here to reflect the fact that this code doesn't have to be written in a particular language. Instead, this code represents functionality that should be available in any language.

The pseudocode starts by declaring two variables. The first one is an integer that will be used to store the return code from the spGetInvoice procedure. The second is a record that will be used to hold the invoice row returned by the spGetInvoice procedure.

The next statement opens the connection to SQL Server. Since this program isn't using an API, the programmer would have to code this function himself or get it from a library of procedures or methods other than a standard database API. Since this code is quite complicated, omitting it from this example makes this technique appear simpler than it really is. Luckily, you don't need to understand how to manage a database connection to understand this example. You should keep in mind, however, that the complexity of this kind of code is one of the reasons programmers prefer to use standard APIs.

Once the connection is established, the program can issue SQL commands. In this pseudocode, the Execute statement is used to execute a stored procedure on the server. So the first Execute statement declares and opens the cursor, and the second Execute statement fetches the first row. Notice that when a row is fetched, the parameters defined by the stored procedure are stored in invoice_record. In addition, the return value from the stored procedure is stored in return_code. This value is used to control the WHILE loop that follows.

Within the WHILE loop, the program can work with the values returned by spGetInvoice. In this example, the program simply displays invoice_record. Then, it fetches the next row.

The last two statements execute the spCloseInvoices stored procedure and disconnect from the database. That's important because if the cursor remains open on the server, it will take up server resources and affect performance. An open database connection will similarly affect the server.

## Three stored procedures that manage a global cursor

```
CREATE PROC spOpenInvoices
AS
    DECLARE Invoices_Cursor CURSOR
    GLOBAL SCROLL DYNAMIC
    FOR
        SELECT *
        FROM Invoices WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0;
    OPEN Invoices_Cursor;
GO

CREATE PROC spGetInvoice
  @InvoiceID       int             OUTPUT, @VendorID      int            OUTPUT,
  @InvoiceNumber   varchar(50)     OUTPUT, @InvoiceDate   smalldatetime OUTPUT,
  @InvoiceTotal    money           OUTPUT, @PaymentTotal money           OUTPUT,
  @CreditTotal     money           OUTPUT, @TermsID       int            OUTPUT,
  @InvoiceDueDate smalldatetime OUTPUT, @PaymentDate   smalldatetime OUTPUT
AS
    FETCH NEXT FROM Invoices_Cursor INTO
      @InvoiceID, @VendorID, @InvoiceNumber, @InvoiceDate, @InvoiceTotal,
      @PaymentTotal, @CreditTotal, @TermsID, @InvoiceDueDate, @PaymentDate;
    RETURN @@FETCH_STATUS;
GO

CREATE PROC spCloseInvoices
AS
    CLOSE Invoices_Cursor;
    DEALLOCATE Invoices_Cursor;
```

## Pseudocode that displays rows using the stored procedures

```
Declare return_code, invoice_record

Open database_connection
Execute('spOpenInvoices')

return_code = Execute('spGetInvoice' stored into invoice_record)

While return_code = 0
    Display(invoice_record)
    return_code = Execute('spGetInvoice' stored into invoice_record)
Loop

Execute('spCloseInvoices')
Close database_connection
```

## Description

- If an application doesn't use one of the database APIs, you can sometimes provide database access through Transact-SQL cursors.

## Warning

- Until they're closed and deallocated, global cursors continue to use server resources until the connection is closed. Since this can slow server performance, you should always verify that the application closes and deallocates any open cursors.

---

Figure 16-11    How to code Transact-SQL cursors for use by an application program

# Perspective

In this chapter, you learned about the two ways that cursors are implemented in SQL Server. Despite the fact that you'll probably use cursors infrequently, knowing how to use them gives you an additional problem-solving tool. In addition, knowing how Transact-SQL cursors work can help you better understand how the standard database API cursors work.

In the next chapter, you'll learn more about the concepts of concurrency and locking that were introduced in this chapter. But first, you'll learn how to work with transactions, which give you a powerful tool for effectively managing database changes.

# Terms

cursor
API (application programming interface)
API cursor
Transact-SQL cursor
cursor scrollability
cursor sensitivity
forward-only cursor
dynamic cursor
keyset-driven cursor
keyset
static cursor
scope
concurrency
lock
optimistic locking
pessimistic locking

# Exercises

1.  Write a script to declare and use a cursor for the following SELECT statement. Use a WHILE loop to fetch each row in the result set. Omit the INTO clause to fetch directly to the Results tab.

    ```
    SELECT VendorName, AVG(InvoiceTotal) AS InvoiceAvg
    FROM Vendors JOIN Invoices
        ON Vendors.VendorID = Invoices.VendorID
    GROUP BY VendorName;
    ```

2.  Modify the solution to exercise 1 to fetch each row into a set of local variables. Use the PRINT statement to return each row in the format "Name, $0.00" to the Messages tab.

3.  Write a script that uses a cursor and dynamic SQL to output one row from each base user table in the AP database. Specifically exclude the tables named "dtproperties" and "sysdiagrams" from the result set.

# How to manage transactions and locking

If you've been working with a stand-alone copy of SQL Server, you've been the only user of your database. In the real world, though, a database is typically used by many users working simultaneously. Then, what happens when two users try to update the same data at the same time?

In this chapter, you'll learn how SQL Server manages concurrent changes. But first, you'll learn how to combine related SQL statements into a single unit, called a transaction. By learning these skills, you'll be able to write code that anticipates these conflicts.

# How to work with transactions

A *transaction* is a group of database operations that you combine into a single logical unit. By combining operations in this way, you can prevent certain kinds of database errors. In the topics that follow, you'll learn the SQL statements for managing transactions.

## How transactions maintain data integrity

Figure 17-1 presents an example of three INSERT statements that are good candidates for a transaction. As you can see, the first INSERT statement adds a new invoice to the Invoices table. Next, a SET statement assigns the identity value for the newly inserted invoice to the @InvoiceID variable. Then, the last two INSERT statements insert rows into the InvoiceLineItems table that represent the two line items associated with the invoice.

What would happen if one or more of these INSERT statements failed? If the first statement failed, @InvoiceID wouldn't be assigned a valid value, so the last two insertions would also fail. However, if the first statement succeeded and one or both of the other INSERT statements failed, the Invoices and InvoiceLineItems tables wouldn't match. Specifically, the total of the InvoiceLineItemAmount columns in the InvoiceLineItems table wouldn't equal the InvoiceTotal column in the Invoices table, so the data would be invalid.

Now, suppose that these three INSERT statements were executed as part of the same transaction as illustrated in the second example in this figure. Here, you can see that a BEGIN TRAN statement is executed before the first INSERT statement. Then, after all three INSERT statements are executed, a COMMIT TRAN statement *commits* the changes to the database making them permanent. Because these statements are coded within a TRY block, however, the COMMIT TRAN statement is never executed if any of the INSERT statements fail. Instead, execution jumps into the CATCH block. This block executes a ROLLBACK TRAN statement to undo, or *rollback*, all of the changes made since the beginning of the transaction.

By grouping these SQL statements together in a single transaction, you can control whether and how changes are made to the database. Since all three INSERT statements must succeed for the transaction to be committed, a failure of any of the statements will cause the entire transaction to be rolled back. Note, however, that once you commit the transaction, you can't roll it back. Likewise, once you roll a transaction back, you can't commit it.

In this particular example, an error in one of the INSERT statements wouldn't be catastrophic. If a statement failed because you coded it incorrectly, you could easily correct the error by resubmitting the failed INSERT statement. If the failure was due to a system error such as a server crash, however, you wouldn't discover the error unless you looked for it after the server was restored.

## Three INSERT statements that work with related data

```
DECLARE @InvoiceID int;
INSERT Invoices
    VALUES (34,'ZXA-080','2012-04-30',14092.59,0,0,3,'2012-05-30',NULL);
SET @InvoiceID = @@IDENTITY;
INSERT InvoiceLineItems VALUES (@InvoiceID,1,160,4447.23,'HW upgrade');
INSERT InvoiceLineItems VALUES (@InvoiceID,2,167,9645.36,'OS upgrade');
```

## The same statements coded as a transaction

```
DECLARE @InvoiceID int;
BEGIN TRY
    BEGIN TRAN;
    INSERT Invoices
      VALUES (34,'ZXA-080','2012-04-30',14092.59,0,0,3,'2012-05-30',NULL);
    SET @InvoiceID = @@IDENTITY;
    INSERT InvoiceLineItems VALUES (@InvoiceID,1,160,4447.23,'HW upgrade');
    INSERT InvoiceLineItems VALUES (@InvoiceID,2,167,9645.36,'OS upgrade');
    COMMIT TRAN;
END TRY
BEGIN CATCH
    ROLLBACK TRAN;
END CATCH;
```

## When to use explicit transactions

- When you code two or more action queries that affect related data

- When you update foreign key references

- When you move rows from one table to another table

- When you code a SELECT query followed by an action query and the values inserted in the action query are based on the results of the SELECT query

- When a failure of any set of SQL statements would violate data integrity

## Description

- A *transaction* is a group of database operations that are combined into a logical unit. By default, each SQL statement is treated as a separate transaction. However, you can combine any number of SQL statements into a single transaction as shown above.

- When you *commit* a transaction, the operations performed by the SQL statements become a permanent part of the database. Until it's committed, you can undo all of the changes made to the database since the beginning of the transaction by *rolling back* the transaction.

- A transaction is either committed or rolled back in its entirety. Once you commit a transaction, it can't be rolled back.

Figure 17-1     How transactions maintain data integrity

For some systems, however, a violation of data integrity such as this one is critical. For instance, consider the classic example of a transfer between two accounts in a banking system. In that case, one update reduces the balance in the first account and another update increases the balance in the second account. If one of these updates fails, either the bank or the customer gets an unexpected windfall. Because an error like this could cause problems even during the short period of time it may take to fix it, these two updates should be coded as a transaction.

## SQL statements for handling transactions

Figure 17-2 summarizes the SQL statements used to process transactions. As you can see, you can code either the TRAN or the TRANSACTION keyword in each of these statements, although TRAN is used more commonly. You can also omit this keyword entirely from the COMMIT and ROLLBACK statements. However, it's customary to include this keyword since it makes your code easier to read.

The BEGIN TRAN statement explicitly marks the starting point of a transaction. If you don't code this statement, SQL Server implicitly starts a new transaction for each SQL statement you code. If the statement succeeds, the implicit transaction is committed automatically. For this reason, this mode is called *autocommit* mode. Note that you can't use the COMMIT TRAN statement to commit an implicit transaction.

However, you can code a ROLLBACK TRAN statement to roll back an implicit transaction. You saw examples of that in some of the triggers presented in chapter 15. The triggers in figure 15-18 rolled back an action query if it violated referential integrity between the Vendors and Invoices tables. And the trigger in figure 15-20 rolled back an UPDATE statement if it caused the data in the Invoices and InvoiceLineItems tables to be inconsistent.

You can also use the SAVE TRAN statement to declare one or more *save points* within a transaction. Then, you can roll back part of a transaction by coding the save point name in the ROLLBACK TRAN statement. You'll learn more about how that works in a moment.

This figure presents another script that uses a transaction. This script deletes the invoices for a particular vendor and then deletes the vendor. Notice that the script tests the value of the @@ROWCOUNT system function after rows are deleted from the Invoices table to see if more than one invoice was deleted. If so, the transaction is rolled back, so the deletion from the Invoices table is undone. If only one invoice was deleted, however, the transaction is committed, so the deletion is made permanent.

Before I go on, you should realize that you can also name a transaction in the BEGIN TRAN statement, and you can refer to that name in the COMMIT TRAN and ROLLBACK TRAN statements. Since there's usually no reason to do that, however, I've omitted that option from the syntax shown in this figure and from the examples shown in this chapter.

## Summary of the SQL statements for processing transactions

| Statement | Description |
|---|---|
| `BEGIN {TRAN|TRANSACTION}` | Marks the starting point of a transaction. |
| `SAVE {TRAN|TRANSACTION} save_point` | Sets a new save point within a transaction. |
| `COMMIT [TRAN|TRANSACTION]` | Marks the end of a transaction and makes the changes within the transaction a permanent part of the database. |
| `ROLLBACK [[TRAN|TRANSACTION]`<br>`    [save_point]]` | Rolls back a transaction to the starting point or to the specified save point. |

## A script that performs a test before committing the transaction

```
BEGIN TRAN;

DELETE Invoices
WHERE VendorID = 34;

IF @@ROWCOUNT > 1
    BEGIN
        ROLLBACK TRAN;
        PRINT 'More invoices than expected. Deletions rolled back.';
    END;
ELSE
    BEGIN
        COMMIT TRAN;
        PRINT 'Deletions committed to the database.';
    END;
```

### The response from the system

```
(3 row(s) affected)
More invoices than expected. Deletions rolled back.
```

## Description

- Although you can omit the TRAN keyword from the COMMIT and ROLLBACK statements, it's generally included for readability.
- By default, SQL Server is in *autocommit mode*. Then, unless you explicitly start a transaction using the BEGIN TRAN statement, each statement is automatically treated as a separate transaction. If the statement causes an error, it's automatically rolled back. Otherwise, it's automatically committed.
- Even if you don't explicitly start a transaction, you can roll it back using the ROLLBACK TRAN statement. However, you can't explicitly commit an implicit transaction.
- When you use *save points*, you can roll a transaction back to the beginning or to a particular save point. See figure 17-4 for details on using save points.
- Although you can name a transaction in the BEGIN TRAN statement and you can refer to that name in the COMMIT TRAN and ROLLBACK TRAN statements, you're not likely to do that.

Figure 17-2    SQL statements for handling transactions

# How to work with nested transactions

A *nested transaction* is a transaction that's coded within another transaction. In other words, a BEGIN TRAN statement is coded after another BEGIN TRAN statement but before the COMMIT TRAN or ROLLBACK TRAN statement that ends the first transaction. Since there are few problems that can only be solved using nested transactions, it's unlikely that you'll ever need to code them. However, you should understand how the COMMIT TRAN statement behaves when you code it within a nested transaction. Figure 17-3 presents a script that illustrates how this works.

This example uses the @@TRANCOUNT system function, which returns the number of explicit transactions that are active on the current connection. If you haven't coded a BEGIN TRAN statement, @@TRANCOUNT returns zero. Then, each BEGIN TRAN statement increments @@TRANCOUNT by one, so its value indicates how deeply you've nested the transactions.

If the current value of @@TRANCOUNT is one, the COMMIT TRAN statement closes the current transaction and commits the changes to the database as you've seen in the last two figures. But if @@TRANCOUNT is greater than one, COMMIT TRAN simply decrements @@TRANCOUNT by 1. In other words, within a nested transaction, the COMMIT TRAN statement doesn't commit a transaction.

This counterintuitive behavior is illustrated by the script in this figure. Here, the COMMIT TRAN statement that follows the DELETE statement that deletes all the rows in the Vendors table decrements @@TRANCOUNT, but doesn't commit the deletion. That's because this COMMIT TRAN statement is coded within a nested transaction.

On the other hand, the ROLLBACK TRAN statement always rolls back all of the uncommitted statements, whether or not they're coded within a nested transaction. In this script, for example, the ROLLBACK TRAN statement rolls back both DELETE statements. As you can see from the results of the last five statements in this script, neither DELETE statement was committed.

## A script with nested transactions

```
BEGIN TRAN;
PRINT 'First Tran  @@TRANCOUNT: ' + CONVERT(varchar,@@TRANCOUNT);
DELETE Invoices;
  BEGIN TRAN;
    PRINT 'Second Tran @@TRANCOUNT: ' + CONVERT(varchar,@@TRANCOUNT);
    DELETE Vendors;
  COMMIT TRAN;             -- This COMMIT decrements @@TRANCOUNT.
                          -- It doesn't commit 'DELETE Vendors'.
   PRINT 'COMMIT      @@TRANCOUNT: ' + CONVERT(varchar,@@TRANCOUNT);
ROLLBACK TRAN;
PRINT 'ROLLBACK    @@TRANCOUNT: ' + CONVERT(varchar,@@TRANCOUNT);

PRINT ' ';
DECLARE @VendorsCount int, @InvoicesCount int;
SELECT @VendorsCount = COUNT (*) FROM Vendors;
SELECT @InvoicesCount = COUNT (*) FROM Invoices;
PRINT 'Vendors Count:  ' + CONVERT (varchar , @VendorsCount);
PRINT 'Invoices Count: ' + CONVERT (varchar , @InvoicesCount);
```

## The response from the system

```
First Tran  @@TRANCOUNT: 1

(114 row(s) affected)
Second Tran @@TRANCOUNT: 2

(122 row(s) affected)
COMMIT      @@TRANCOUNT: 1
ROLLBACK    @@TRANCOUNT: 0

Vendors count:  122
Invoices count: 114
```

## Description

- You can *nest* transactions by coding nested BEGIN TRAN statements. Each time this statement is executed, it increments the @@TRANCOUNT system function by 1. Then, you can query this function to determine how many levels deep the transactions are nested.

- If you execute a COMMIT TRAN statement when @@TRANCOUNT is equal to 1, all of the changes made to the database during the transaction are committed and @@TRANCOUNT is set to zero. If @@TRANCOUNT is greater than 1, however, the changes aren't committed. Instead, @@TRANCOUNT is simply decremented by 1.

- The ROLLBACK TRAN statement rolls back all active transactions regardless of the nesting level where it's coded. It also sets the value of @@TRANCOUNT back to 0.

- Since there are few programming problems that you can only solve using nested transactions, you probably won't use them often.

Figure 17-3    How to work with nested transactions

# How to work with save points

You can create save points within a transaction by coding the SAVE TRAN statement. In that case, you can roll back the transaction to that particular point by coding the save point name in the ROLLBACK TRAN statement. Figure 17-4 presents a script that shows how this works.

First, this script creates a temporary table named #VendorCopy that contains a copy of the VendorIDs and names and for the first four vendors in the Vendors table. After beginning a transaction, the script deletes a row and then sets a save point named Vendor1. Then the script deletes a second row, sets another save point named Vendor2, and deletes a third row. The result of the first SELECT statement that follows illustrates that only one row is left in the #VendorCopy table.

Next, a ROLLBACK TRAN statement rolls back the transaction to the Vendor2 save point. This rolls back the third delete, as illustrated by the second SELECT statement in this figure. The next ROLLBACK TRAN statement rolls the transaction back to the Vendor1 save point, which rolls back the second delete. At that point, the #VendorCopy table contains three rows, as illustrated by the third SELECT statement. Finally, a COMMIT TRAN statement commits the transaction. Since the only statement that hasn't already been rolled back is the statement that deleted the first row, this row is deleted permanently. The last SELECT statement illustrates the final result of this code.

You should note that if you don't code a save point name in the ROLL-BACK TRAN statement, it ignores any save points and rolls back the entire transaction. In addition, you should notice that you can't code a save point name in a COMMIT TRAN statement. This means that you can't partially commit a transaction. Instead, a COMMIT TRAN statement ignores save points completely and commits the entire transaction.

As with nested transactions, you'll probably never need to use save points since there are few problems that can be solved by using them. Unlike the way that nested transactions work, however, save points work in an intuitive way, so coding them is less confusing.

## A transaction with two save points

```
IF OBJECT_ID('tempdb..#VendorCopy') IS NOT NULL
    DROP TABLE tempdb.. #VendorCopy;
SELECT VendorID, VendorName
INTO #VendorCopy
FROM Vendors
WHERE VendorID < 5;
BEGIN TRAN;
  DELETE #VendorCopy WHERE VendorID = 1;
  SAVE TRAN Vendor1;
    DELETE #VendorCopy WHERE VendorID = 2;
    SAVE TRAN Vendor2;
      DELETE #VendorCopy WHERE VendorID = 3;
      SELECT * FROM #VendorCopy;
    ROLLBACK TRAN Vendor2;
    SELECT * FROM #VendorCopy;
  ROLLBACK TRAN Vendor1;
  SELECT * FROM #VendorCopy;
COMMIT TRAN;
SELECT * FROM #VendorCopy;
```

## The response from the system

| | VendorID | VendorName |
|---|---|---|
| 1 | 4 | Jobtrak |

| | VendorID | VendorName |
|---|---|---|
| 1 | 3 | Register of Copyrights |
| 2 | 4 | Jobtrak |

| | VendorID | VendorName |
|---|---|---|
| 1 | 2 | National Information Data Ctr |
| 2 | 3 | Register of Copyrights |
| 3 | 4 | Jobtrak |

| | VendorID | VendorName |
|---|---|---|
| 1 | 2 | National Information Data Ctr |
| 2 | 3 | Register of Copyrights |
| 3 | 4 | Jobtrak |

## Description

- You can partially roll back a transaction if you use save points. If you code a save point name in the ROLLBACK TRAN statement, the system rolls back all of the statements to that save point.

- If you don't code a save point name, the ROLLBACK TRAN statement rolls back the entire transaction.

- Since you can't code a save point name in a COMMIT TRAN statement, the system always commits the entire transaction.

- As with nested transactions, there are few practical programming problems that you can solve using save points.

Figure 17-4    How to work with save points

# An introduction to concurrency and locking

When two or more users have access to the same database, it's possible for them to be working with the same data at the same time. This is called *concurrency*. Concurrency isn't a problem when two users retrieve the same data at the same time. If they then try to update that data, however, that can be a problem. In the topics that follow, you'll learn more about concurrency and how SQL Server uses locking to prevent concurrency problems. You'll also learn how you can control the types of problems that are allowed.

## How concurrency and locking are related

Figure 17-5 presents two transactions that select and then update data from the same row in the same table. If these two transactions are submitted at the same time, the one that executes first will be overwritten by the one that executes second. Since this means that one of the two updates is lost, this is known as a *lost update*.

This figure shows the result if the update operation in transaction A is executed first, in which case its update is lost when the update in transaction B is executed. Because transaction A is unaware that its update has been lost, however, this can leave the data in an unpredictable state that affects the integrity of the data. For the AP database, it's unlikely that a lost update will adversely affect the system. For some database systems, however, this sort of unpredictability can be disastrous.

If your database has a relatively small number of users, the likelihood of concurrency problems is low. However, the larger the system, the greater the number of users and transactions. For a large system, then, you should expect concurrency, and therefore concurrency problems, to occur more frequently.

One way to avoid concurrency problems is to use *locking*. By holding a lock on the data, the transaction prevents others from using that data. Then, after the transaction releases the lock, the next transaction can work with that data.

Since SQL Server automatically enables and manages locking, it may prevent most of the concurrency problems on your system. If the number of users of your system grows, however, you may find that the default locking mechanism is insufficient. In that case, you may need to override the default locking behavior. You'll learn how to do that in a moment. But first, you need to understand the four concurrency problems that locks can prevent.

## Two transactions that retrieve and then modify the data in the same row

### Transaction A

```
BEGIN TRAN;
DECLARE @InvoiceTotal money, @PaymentTotal money, @CreditTotal money;
SELECT @InvoiceTotal = InvoiceTotal, @CreditTotal = CreditTotal,
       @PaymentTotal = PaymentTotal FROM Invoices WHERE InvoiceID = 112;
UPDATE Invoices
  SET InvoiceTotal = @InvoiceTotal, CreditTotal = @CreditTotal + 317.40,
      PaymentTotal = @PaymentTotal WHERE InvoiceID = 112;
COMMIT TRAN;
```

### Transaction B

```
BEGIN TRAN;
DECLARE @InvoiceTotal money, @PaymentTotal money, @CreditTotal money;
SELECT @InvoiceTotal = InvoiceTotal, @CreditTotal = CreditTotal,
       @PaymentTotal = PaymentTotal FROM Invoices WHERE InvoiceID = 112;
UPDATE Invoices
  SET InvoiceTotal = @InvoiceTotal, CreditTotal = @CreditTotal,
      PaymentTotal = @InvoiceTotal - @CreditTotal,
      PaymentDate = GetDate() WHERE InvoiceID = 112;
COMMIT TRAN;
```

### The initial values for the row

| | InvoiceTotal | CreditTotal | PaymentTotal | PaymentDate |
|---|---|---|---|---|
| 1 | 10976.06 | 0.00 | 0.00 | NULL |

### The values after transaction A executes

| | InvoiceTotal | CreditTotal | PaymentTotal | PaymentDate |
|---|---|---|---|---|
| 1 | 10976.06 | 317.40 | 0.00 | NULL |

### The values after transaction B executes, losing transaction A's updates

| | InvoiceTotal | CreditTotal | PaymentTotal | PaymentDate |
|---|---|---|---|---|
| 1 | 10976.06 | 0.00 | 10976.06 | 2012-05-15 10:54:00 |

## Description

- *Concurrency* is the ability of a system to support two or more transactions working with the same data at the same time.

- Because small systems have few users, concurrency isn't generally a problem on these systems. On large systems with many users and many transactions, however, you may need to account for concurrency in your SQL code.

- Concurrency is a problem only when the data is being modified. When two or more transactions simply read the same data, the transactions don't affect each other.

- You can avoid some database concurrency problems by using *locks*, which delay the execution of a transaction if it conflicts with a transaction that's already running. Then, the second transaction can't use the data until the first transaction releases the lock.

- Although SQL Server automatically enforces locking, you can write more efficient code by understanding and customizing locking in your programs.

Figure 17-5     An introduction to concurrency and locking

# The four concurrency problems
# that locks can prevent

Figure 17-6 describes the four types of concurrency problems. You've already learned about the first problem: lost updates. In a moment, you'll see how locking can be used to prevent all four of these problems.

Like lost updates, the other three problems may not adversely affect a database. That depends on the nature of the data. In fact, for many systems, these problems happen infrequently. Then, when they do occur, they can be corrected by simply resubmitting the query that caused the problem. On some database systems, however, these problems can affect data integrity in a serious way.

Although locks can prevent the problems listed in this figure, SQL Server's default locking behavior won't. If your transaction could adversely affect data integrity on your system, then, you should consider changing the default locking behavior by setting the transaction isolation level.

## The four types of concurrency problems

| Problem | Description |
|---|---|
| Lost updates | Occur when two transactions select the same row and then update the row based on the values originally selected. Since each transaction is unaware of the other, the later update overwrites the earlier update. |
| Dirty reads (uncommitted dependencies) | Occur when a transaction selects data that isn't committed by another transaction. For example, transaction A changes a row. Transaction B then selects the changed row before transaction A commits the change. If transaction A then rolls back the change, transaction B has selected a row that doesn't exist in the database. |
| Nonrepeatable reads (inconsistent analysis) | Occur when two SELECT statements of the same data result in different values because another transaction has updated the data in the time between the two statements. For example, transaction A selects a row. Transaction B then updates the row. When transaction A selects the same row again, the data is different. |
| Phantom reads | Occur when you perform an update or delete on a set of rows when another transaction is performing an insert or delete that affects one or more rows in that same set of rows. For example, transaction A updates the payment total for each invoice that has a balance due. Transaction B inserts a new, unpaid invoice while transaction A is still running. After transaction A finishes, there is still an invoice with a balance due. |

## Description

- In a large system with many users, you should expect for these kinds of problems to occur. In general, you don't need to take any action except to anticipate the problem. In many cases, if the query is resubmitted, the problem goes away.

- On some systems, if two transactions overwrite each other, the validity of the database is compromised and resubmitting one of the transactions will not eliminate the problem. If you're working on such a system, you must anticipate these concurrency problems and account for them in your code.

- You should consider these locking problems as you write your code. If one of these problems would affect data integrity, you can change the default locking behavior by setting the transaction isolation level as shown in the next figure.

Figure 17-6    The four concurrency problems that locks can prevent

# How to set the transaction isolation level

The simplest way to prevent concurrency problems is to reduce concurrency. To do that, you need to change SQL Server's default locking behavior. Figure 17-7 shows you how.

To change the default locking behavior, you use the SET TRANSACTION ISOLATION LEVEL statement to set the *transaction isolation level* for the current session. As you can see, this statement accepts one of five options. The table in this figure lists which of the four concurrency problems each option will prevent or allow. For example, if you code the SERIALIZABLE option, all four concurrency problems will be prevented.

When you set the isolation level to SERIALIZABLE, each transaction is completely isolated from every other transaction and concurrency is severely restricted. The server does this by locking each resource, preventing other transactions from accessing it. Since each transaction must wait for the previous transaction to commit, the transactions are executed serially, one after another.

Since the SERIALIZABLE isolation level eliminates all possible concurrency problems, you may think that this is the best option. However, this option requires more server overhead to manage all of the locks. In addition, access time for each transaction is increased, since only one transaction can work with the data at a time. For most systems, this will actually eliminate few concurrency problems but will cause severe performance problems.

The lowest isolation level is READ UNCOMMITTED, which allows all four of the concurrency problems to occur. It does this by performing SELECT queries without setting any locks and without honoring any existing locks. Since this means that your SELECT statements will always execute immediately, this setting provides the best performance. Since other transactions can retrieve and modify the same data, however, this setting can't prevent concurrency problems.

The default isolation level, READ COMMITTED, is acceptable for most applications. However, the only concurrency problem it prevents is dirty reads. Although it can prevent some lost updates, it doesn't prevent them all.

The REPEATABLE READ level allows more concurrency than the SERIALIZABLE level but less than the READ COMMITTED level. As you might expect, then, it results in faster performance than SERIALIZABLE and permits fewer concurrency problems than READ COMMITTED.

SQL Server 2005 introduced another isolation level, SNAPSHOT, that uses a feature called *row versioning*. With row versioning, any data that's retrieved by a transaction that uses SNAPSHOT isolation is consistent with the data that existed at the start of the transaction. To accomplish that, SQL Server stores the original version of a row in the tempdb database each time it's modified.

You can also use row versioning with the READ COMMITTED isolation level. Then, each statement within a transaction works with a snapshot of the data as it existed at the start of the statement.

When you use row versioning, locks are not required for read operations, which improves concurrency. However, the need to maintain row versions requires additional resources and can degrade performance. In most cases, then, you'll use row versioning only when data consistency is imperative.

## The syntax of the SET TRANSACTION ISOLATION LEVEL statement

```
SET TRANSACTION ISOLATION LEVEL
    {READ UNCOMMITTED|READ COMMITTED|REPEATABLE READ|SNAPSHOT|SERIALIZABLE}
```

## The concurrency problems prevented by each transaction isolation level

| Isolation level | Dirty reads | Lost updates | Nonrepeatable reads | Phantom reads |
|---|---|---|---|---|
| READ UNCOMMITTED | Allows | Allows | Allows | Allows |
| READ COMMITTED | Prevents | Allows | Allows | Allows |
| REPEATABLE READ | Prevents | Prevents | Prevents | Allows |
| SNAPSHOT | Prevents | Prevents | Prevents | Prevents |
| SERIALIZABLE | Prevents | Prevents | Prevents | Prevents |

## Description

- Since SQL Server manages locking automatically, you can't control every aspect of locking for your transactions. However, you can set the isolation level in your code.

- The *transaction isolation level* controls the degree to which transactions are isolated from one another. The server isolates transactions by using more restrictive locking behavior. If you isolate your transactions from other transactions, concurrency problems are reduced or eliminated.

- You specify the transaction isolation level by changing the ISOLATION LEVEL session setting. The default transaction isolation level is READ COMMITTED. At this level, some lost updates can occur, but this is acceptable for most transactions.

- The READ UNCOMMITTED isolation level doesn't set any locks and ignores locks that are already held. Setting this level results in the highest possible performance for your query, but at the risk of every kind of concurrency problem. For this reason, you should only use this level for data that is rarely updated.

- The REPEATABLE READ level places locks on all data that's used in a transaction, preventing other users from updating that data. However, this isolation level still allows inserts, so phantom reads can occur.

- The SNAPSHOT level was introduced with SQL Server 2005. It uses *row versioning* rather than locks to provide read consistency. To use this level, you use the ALTER DATABASE statement to set the ALLOW_SNAPSHOT_ISOLATION option on.

- The SERIALIZABLE level places a lock on all data that's used in a transaction. Since each transaction must wait for the previous transaction to commit, the transactions are handled in sequence. This is the most restrictive of the five isolation levels.

- You can also use row versioning with the READ COMMITTED isolation level. To do that, you must set the READ_COMMITTED_SNAPSHOT database option on.

- With row versioning, each time a transaction modifies a row, SQL Server stores an image of the row as it existed before the modification. That way, read operations that use row versioning retrieve the row as it existed at the start of the transaction (SNAPSHOT) or statement (READ COMMITTED).

Figure 17-7    How to set the transaction isolation level

# How SQL Server manages locking

SQL Server automatically manages locking by setting a lock on the data used by each transaction. By understanding how this process works, you'll be able to write better SQL code.

## Lockable resources and lock escalation

A transaction like the one shown in figure 17-5 affects only one row in one table. In contrast, a transaction that uses DDL statements to change the design of a database can affect every object in the database. To accommodate these differences, SQL Server can lock data resources at ten different levels. These levels are presented in figure 17-8.

A resource's *granularity* refers to the relative amount of data it includes. For example, a row is a *fine-grain resource* and has higher granularity than a database, which is a *coarse-grain resource*. As you can see, the resources listed in this figure are listed in order of increasing granularity.

The SQL Server *lock manager* automatically assigns locks for each transaction. Since a coarse-grain lock will lock out more transactions than a fine-grain lock, the lock manager always tries to lock resources at the highest possible granularity. However, it takes greater server resources to maintain several fine-grain locks compared to one coarse-grain lock. For this reason, the lock manager detects when several fine-grain locks apply to a single coarse-grain resource. Then it converts, or *escalates*, the fine-grain locks to a single coarse-grain lock.

## The ten levels of lockable resources

| Granularity | Resource | Description |
|---|---|---|
| Coarse ↑ | Database | Locks an entire database. |
| | Allocation unit | Locks a collection of pages that contains a particular type of data. |
| | Metadata | Locks the data in the system catalog. |
| | File | Locks an entire database file. |
| | Table | Locks an entire table, including indexes. |
| | Heap or B-tree | Locks the index pages (B-tree) for a table with a clustered index or the data pages (heap) for a table with no clustered index. |
| | Extent | Locks a contiguous group of eight pages. |
| | Page | Locks one page (8 KB) of data. |
| | Key | Locks a key or range of keys in an index. |
| Fine | Row | Locks a single row within a table. |

## Description

- SQL Server can lock data at various levels, known as *lockable resources*. The ten levels form a hierarchy based on *granularity*, which refers to the amount of data the resource encompasses. A resource that encompasses more data than another resource is said to be less granular, or *coarser*, than the other resource.

- A *coarse-grain lock* affects more data than a *fine-grain lock*. For this reason, more transactions are locked out when the lock is less granular. Since this slows database performance, the server assigns locks of the finest possible granularity.

- Locking is automatically enabled and controlled by a SQL Server application called the *lock manager*. This program generates locking events and handles the setting and releasing of locks.

- Maintaining several fine-grain locks requires greater server resources than maintaining one coarse-grain lock. For this reason, the lock manager will automatically convert multiple fine-grain locks on the same resource into a single coarse-grain lock. This is known as *lock escalation*.

Figure 17-8    Lockable resources and lock escalation

# Lock modes and lock promotion

In addition to assigning a resource level, the lock manager also assigns a *lock mode* to your transaction. Figure 17-9 presents the most common lock modes used by SQL Server. Although nine different lock modes are listed, each mode can be categorized as either a shared lock or an exclusive lock.

A *shared lock* doesn't prevent other shared locks from being granted on the same resource. For example, if you submit the query

```
SELECT * FROM Invoices;
```

the lock manager grants your transaction a Shared (S) lock on the Invoices table. If, while your query is executing, another user submits a query on the same table, your lock doesn't prevent the lock manager from granting a second S lock on the same table.

An *exclusive lock* on a resource, however, is granted exclusively to a single transaction. If another transaction requests a lock on the same resource, it must wait until the transaction that holds the exclusive lock has finished and its lock is released. If you submit an INSERT statement against the Invoices table, for example, the lock manager requests an Exclusive (X) lock on the Invoices table. If no other transaction has an exclusive lock on that table, the lock is granted. While that transaction holds that lock, no other transaction can be granted a lock.

A single transaction can include various SQL statements that each require a different lock mode. In that case, a shared lock may need to be *promoted* to an exclusive lock. If, while the transaction is still executing, an exclusive lock can't be acquired, the transaction must wait until the lock is available. If the lock never becomes available, the transaction can never commit.

To prevent this problem, an Update (U) lock is assigned for some transactions. For example, consider the locks needed for an UPDATE query. First, the query must determine which row or rows are being updated based on the WHERE clause. For this part of the query, only a shared lock is needed. Then, when the actual update takes place, the lock must be promoted to an exclusive lock. Since this kind of lock promotion occurs with virtually every action query, the lock manager first assigns a U lock, which prevents another transaction from gaining a shared lock.

The Schema lock modes place a lock on a table's design. For this reason, they can't be placed at resource levels other than the table level. Interestingly, these lock modes represent both the least restrictive and the most restrictive mode. A Schema Stability (Sch-S) lock is placed when a query is compiling to prevent changes to the table's design. A Schema Modification (Sch-M) lock is placed when a query includes DDL statements that modify a table's design.

If another transaction requests a lock on the same resource but at a lower granularity, your finer-grain lock must still be honored. In other words, if your transaction holds an X lock on a page of data, you wouldn't want the lock manager to grant another transaction an X lock on the entire table. To manage this, the three intent lock modes are used as placeholders for locks on finer-grained resources.

## Common SQL Server lock modes

| Category | Lock mode | What the lock owner can do |
|---|---|---|
| Shared | Schema Stability (Sch-S) | Compile a query |
| | Intent Shared (IS) | Read but not change data |
| | Shared (S) | Read but not change data |
| | Update (U) | Read but not change data until promoted to an Exclusive (X) lock |
| Exclusive | Shared with Intent Exclusive (SIX) | Read and change data |
| | Intent Exclusive (IX) | Read and change data |
| | Exclusive (X) | Read and change data |
| | Bulk Update (BU) | Bulk-copy data into a table |
| | Schema Modification (Sch-M) | Modify the database schema |

## Description

- SQL Server automatically determines the appropriate *lock mode* for your transaction. In general, retrieval operations acquire *shared locks*, and update operations acquire *exclusive locks*. As a single transaction is being processed, its lock may have to be converted, or *promoted*, from one lock mode to a more exclusive lock mode.

- An Update (U) lock is acquired during the first part of an update, when the data is being read. Later, if the data is changed, the Update lock is promoted to an Exclusive (X) lock. This can prevent a common locking problem called a deadlock.

- An *intent lock* indicates that SQL Server intends to acquire a shared lock or an exclusive lock on a finer-grain resource. For example, an Intent Shared (IS) lock acquired at the table level means that the transaction intends to acquire shared locks on pages or rows within that table. This prevents another transaction from acquiring an exclusive lock on the table containing that page or row.

- *Schema locks* are placed on a table's design. Schema Modification (Sch-M) locks are acquired when the design is being changed with a DDL statement. Schema Stability (Sch-S) locks are acquired when compiling a query to prevent a schema change while the query is compiling.

- The Bulk Update (BU) lock mode is acquired for the BULK INSERT statement and by the bulk copy program (bcp). Since these operations are typically done by DBAs, neither is presented in this book.

Figure 17-9    Lock modes and lock promotion

The three *intent locks* differ based on the portion of the resource and the type of lock that the transaction intends to acquire. An Intent Shared (IS) lock indicates that the transaction intends to acquire a shared lock on some, but not all, of the finer-grained resource. Likewise, an Intent Exclusive (IX) lock indicates an intent to acquire an exclusive lock on some, but not all, of the resource. Finally, a Shared with Intent Exclusive (SIX) lock indicates an intent to acquire both an exclusive lock on some of the resource and a shared lock on the entire resource.

The Bulk Update (BU) lock mode is used exclusively for copying large amounts of data in bulk into a database using either the BULK INSERT statement or the bulk copy program. Since bulk copies are usually done by DBAs to create databases based on other sources, they're not presented in this book.

## Lock mode compatibility

Figure 17-10 presents a table that shows the compatibility between the different lock modes. When a transaction tries to acquire a lock on a resource, the lock manager must first determine whether another transaction already holds a lock on that resource. If a lock is already in place, the lock manager will grant the new lock only if it's compatible with the current lock. Otherwise, the transaction will have to wait.

For example, if a transaction currently holds a U lock on a table and another transaction requests a U lock on the same table, the lock manager doesn't grant the second transaction's request. Instead, the second transaction must wait until the first transaction commits and releases its lock.

As you can see, Sch-S lock mode is compatible with every other lock mode except Sch-M. For this reason, the only lock that can delay the compilation of a query is the lock placed by a DDL statement that's changing the table's design.

Notice that the IS and S locks are compatible. This means that any number of SELECT queries can execute concurrently. All of the other locks, however, are incompatible to some extent. That's because each of these other modes indicates that data is already being modified by a current transaction.

Although the intent locks are similar to the standard shared and exclusive locks, they result in improved performance. That's because when the lock manager grants an intent lock, it locks a resource at a higher level than it would if it granted shared or exclusive locks. In other words, it grants a more coarse-grained lock. Then, to determine if a resource is already locked, the lock manager needs to look only at the coarse-grained resource rather than every fine-grained resource it contains.

## Compatibility between lock modes

| Current lock mode | | Requested lock mode | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | **Sch-S** | **IS** | **S** | **U** | **SIX** | **IX** | **X** | **BU** | **Sch-M** |
| Schema Stability | **Sch-S** | √ | √ | √ | √ | √ | √ | √ | √ | |
| Intent Shared | **IS** | √ | √ | √ | √ | √ | √ | | | |
| Shared | **S** | √ | √ | √ | √ | | | | | |
| Update | **U** | √ | √ | √ | | | | | | |
| Shared w/Intent Exclusive | **SIX** | √ | √ | | | | | | | |
| Intent Exclusive | **IX** | √ | √ | | | | √ | | | |
| Exclusive | **X** | √ | | | | | | | | |
| Bulk Update | **BU** | √ | | | | | | | | |
| Schema Modification | **Sch-M** | | | | | | | | | |

## Description

- If a resource is already locked by a transaction, a request by another transaction to acquire a lock on the same resource will be granted or denied depending on the compatibility of the two lock modes.

- For example, if a transaction has a Shared (S) lock on a table and another transaction requests an Exclusive (X) lock on the same table, the lock isn't granted. The second transaction must wait until the first transaction releases its lock.

- Intent locks can help improve performance since the server only needs to examine the high-level locks rather than examining every low-level lock.

Figure 17-10    Lock mode compatibility

# How to prevent deadlocks

A *deadlock* occurs when two transactions are simultaneously holding and requesting a lock on each other's resource. Since deadlocks can occur more frequently at higher isolation levels, you need to understand how they come about and how you can prevent them.

## Two transactions that deadlock

Figure 17-11 presents two transactions that are executed simultaneously. As you can see, transaction A queries the InvoiceLineItems table to determine the sum of all line item amounts for a specific invoice. Then, the WAITFOR DELAY statement causes the transaction to wait five seconds before continuing. (This statement is included only so you can actually cause the deadlock to occur.) Next, an UPDATE statement updates the InvoiceTotal column in the Invoices table with the value retrieved by the SELECT statement.

When this transaction executes, it requests several different locks. In particular, when the SELECT statement is executed, it requests an S lock on one page of the InvoiceLineItems table. And when the UPDATE statement is executed, it requests an X lock on a page of the Invoices table.

Now take a look at transaction B, which queries the Invoices table and then updates the InvoiceLineItems table for the same invoice as transaction A. In this case, the transaction requests an S lock on the Invoices table when the SELECT statement is executed, and it requests an X lock on the InvoiceLineItems table when the UPDATE statement is executed. Because transaction A has an S lock on the InvoiceLineItems table, however, the X lock isn't granted. Similarly, the X lock on the Invoices table isn't granted to transaction A because transaction B has an S lock on it. Because neither UPDATE can execute, neither transaction can commit and neither can release the resource needed by the other. In other words, the two transactions are deadlocked.

SQL Server automatically detects deadlocks and keeps them from tying up the system. It does this by selecting one of the transactions as the *deadlock victim*, which is rolled back and receives an error message. The other transaction runs to completion and commits. In the example in this figure, transaction B is the deadlock victim, as you can see by the system response.

Note that these two transactions will deadlock only if you set the transaction isolation level to REPEATABLE READ or SERIALIZABLE. Otherwise, the S lock acquired by each transaction is released after the SELECT statement completes. Since this doesn't prevent the other transaction from acquiring an X lock, each transaction can commit but causes the other to suffer from a dirty read.

## Two transactions that deadlock

A
```
SET TRANSACTION ISOLATION LEVEL
    REPEATABLE READ;

DECLARE @InvoiceTotal money;

BEGIN TRAN;
    SELECT @InvoiceTotal =
      SUM(InvoiceLineItemAmount)
    FROM InvoiceLineItems
    WHERE InvoiceID = 101;

    WAITFOR DELAY '00:00:05';

    UPDATE Invoices
    SET InvoiceTotal =
        @InvoiceTotal
    WHERE InvoiceID = 101;

COMMIT TRAN;
```

B
```
SET TRANSACTION ISOLATION LEVEL
    REPEATABLE READ;

DECLARE @InvoiceTotal money;

BEGIN TRAN;
    SELECT @InvoiceTotal =
        InvoiceTotal
    FROM Invoices
    WHERE InvoiceID = 101;

    UPDATE InvoiceLineItems
    SET InvoiceLineItemAmount =
        @InvoiceTotal
    WHERE InvoiceID = 101 AND
        InvoiceSequence = 1;

COMMIT TRAN;
```

## The response from the system

```
(1 row(s) affected)
```

```
Msg 1205, Level 13, State 51,
Line 11

Transaction (Process ID 53) was
deadlocked on lock resources
with another process and has
been chosen as the deadlock
victim. Rerun the transaction.
```

## How the deadlock occurs

1. Transaction A requests and acquires a shared lock on the InvoiceLineItems table.
2. Transaction B requests and acquires a shared lock on the Invoices table.
3. Transaction A tries to acquire an exclusive lock on the Invoices table to perform the update. Since transaction B already holds a shared lock on this table, transaction A must wait for the exclusive lock.
4. Transaction B tries to acquire an exclusive lock on the InvoiceLineItems table, but must wait because transaction A holds a shared lock on that table.

## Description

- A *deadlock* occurs when neither of two transactions can be committed because they each have a lock on a resource needed by the other.
- SQL Server automatically detects deadlocks and allows one of the transactions to commit. The other transaction is rolled back and raises error number 1205. This transaction is known as the *deadlock victim*.

## Note

- To test this example, you must execute transaction A first and then execute transaction B within five seconds.

Figure 17-11   Two transactions that deadlock

## Coding techniques that prevent deadlocks

Deadlocks slow system performance and cause transactions to become deadlock victims. For these reasons, you should try to avoid deadlocks as much as possible. Figure 17-12 presents a summary of the techniques you can use to do that.

First, you shouldn't leave transactions open any longer than is necessary. That's because the longer a transaction remains open and uncommitted, the more likely it is that another transaction will need to work with that same resource. Second, you shouldn't use a higher isolation level than you need. That's because the higher you set the isolation level, the more likely it is that two transactions will be unable to work concurrently on the same resource. Third, you should schedule transactions that modify a large number of rows to run when no other transactions, or only a small number of other transactions, will be running. That way, it's less likely that the transactions will try to change the same rows at the same time.

Finally, you should consider how a program you code could cause a deadlock. To illustrate, consider the UPDATE statements shown in this figure that transfer money between two accounts. The first example transfers money from a savings to a checking account. Notice that the savings account is updated first. The second example transfers money from a checking to a savings account. In this example, the checking account is updated first, which could cause a deadlock if the first transaction already has an X lock on the data. To prevent this situation, you should always update the same account first, regardless of which is being debited and which is being credited. This is illustrated by the third example in this figure.

## Don't allow transactions to remain open for very long

- Keep transactions short.
- Keep SELECT statements outside of the transaction except when absolutely necessary.
- Never code requests for user input during an open transaction.

## Use the lowest possible transaction isolation level

- The default level of READ COMMITTED is almost always sufficient.
- Reserve the use of higher levels for short transactions that make changes to data where integrity is vital.

## Make large changes when you can be assured of nearly exclusive access

- If you need to change millions of rows in an active table, don't do so during hours of peak usage.
- If possible, give yourself exclusive access to the database before making large changes.

## Consider locking when coding your transactions

- If you need to code two or more transactions that update the same resources, code the updates in the same order in each transaction.

## UPDATE statements that transfer money between two accounts

### From savings to checking

```
UPDATE Savings SET Balance = Balance - @TransferAmt;
UPDATE Checking SET Balance = Balance + @TransferAmt;
```

### From checking to savings

```
UPDATE Checking SET Balance = Balance - @TransferAmt;
UPDATE Savings SET Balance = Balance + @TransferAmt;
```

### From checking to savings in reverse order to prevent deadlocks

```
UPDATE Savings SET Balance = Balance + @TransferAmt;
UPDATE Checking SET Balance = Balance - @TransferAmt;
```

Figure 17-12    Coding techniques that prevent deadlocks

# Perspective

In this chapter, you've learned the ways that SQL Server protects your data from the problems that can occur on a real-world system. Since the failure of one or more related SQL statements can violate data integrity, you learned how to prevent these problems by grouping the statements into transactions. Since multiple transactions can simultaneously modify the same data, you learned how to prevent concurrency problems by setting the transaction isolation level to change the default locking behavior. And since changing the isolation level can increase the chances of deadlocks, you learned defensive programming techniques to prevent deadlocks.

# Terms

| | |
|---|---|
| transaction | row versioning |
| commit a transaction | granularity |
| roll back a transaction | fine-grain lock |
| autocommit mode | coarse-grain lock |
| nested transactions | lock manager |
| save point | lock escalation |
| concurrency | lock mode |
| locking | shared lock |
| lost update | exclusive lock |
| dirty read | intent lock |
| nonrepeatable read | schema lock |
| phantom read | lock promotion |
| transaction isolation level | deadlock |
| lockable resource | deadlock victim |

## Exercises

1. Write a set of action queries coded as a transaction to reflect the following change: United Parcel Service has been purchased by Federal Express Corporation and the new company is named FedUP. Rename one of the vendors and delete the other after updating the VendorID column in the Invoices table.

2. Write a set of action queries coded as a transaction to move rows from the Invoices table to the InvoiceArchive table. Insert all paid invoices from Invoices into InvoiceArchive, but only if the invoice doesn't already exist in the InvoiceArchive table. Then, delete all paid invoices from the Invoices table, but only if the invoice exists in the InvoiceArchive table.

# How to manage database security

If you've been using a stand-alone copy of SQL Server installed on your own computer, the security of the system hasn't been of concern. When you install SQL Server for use in a production environment, however, you must configure security to prevent misuse of your data. In this chapter, you'll learn how to do that using either the Management Studio or Transact-SQL.

# How to work with SQL Server login IDs

Before a user can work with the data in a database, he must have a valid *login ID* so that he can log on to SQL Server. Then, he must have access to the database itself. In the topics that follow, you'll learn how to work with login IDs and how to give a user access to a database. But first, I'll present an overview of how SQL Server manages database security.

## An introduction to SQL Server security

Figure 18-1 illustrates how a user gains access to a SQL Server database. First, the user must connect and log on to the server using either an application program or the Management Studio. As you can see, the login ID can be authenticated in one of two ways, which I'll discuss in a moment.
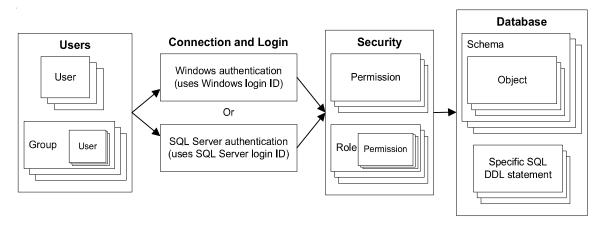
Once the user is logged on to SQL Server, the data he has access to and the operations he can perform depend on the *permissions* that have been granted to him. You can grant *object permissions* so the user can perform specific actions on a specific database object, you can grant *schema permissions* so the user can perform actions on every object in the schema, you can grant *database permissions* so the user can perform specific database operations, and you can grant *server permissions* so the user can perform specific actions at the server level. In addition, you can define a collection of permissions called a *role*. Then, you can assign users to that role to grant them all of the permissions associated with that role. This reduces the number of permissions you must grant each user and makes it easier to manage security. For this reason, roles are used on most systems.

This figure also summarizes the two ways you can manage SQL Server security. First, you can do that by executing SQL statements and system stored procedures from the Query Editor or using the SQLCMD utility. Second, you can use the graphical interface of the Management Studio. You'll learn how to use both of these techniques in this chapter. The technique you use is mostly a matter of preference. However, even if you intend to use the Management Studio, you should still read the topics on using the SQL statements and stored procedures. These topics will help you understand the underlying structure of SQL Server security, which will help you use the Management Studio better.

Although the Management Studio's graphical interface makes it easier to work with security, it can also slow you down. For example, if you need to set up a new database with hundreds of users, you'll have to create those users one at a time using the Management Studio. On the other hand, if you've read chapter 14 and know how to code dynamic SQL, you can code a script that will manage the entire process. For this reason, many experienced system administrators prefer to manage security using Transact-SQL.

Before I go on, you should know about two terms that are used frequently when talking about security. The first term, *principal*, refers to a user, group, login, or role that has access to a database. The second term, *securable*, refers to a SQL Server entity that can be secured. That includes tables, schemas, databases, and the server itself. You'll learn more about principals and securables as you progress through this chapter.

# How users gain access to a SQL Server database



# Two ways to configure SQL Server security

| Method | Description |
|---|---|
| Transact-SQL | Use Transact-SQL statements to manage login IDs, database users, permissions, and roles. |
| Management Studio | Use the Management Studio to configure all aspects of system security. |

# Description

- Typically, a network user must log on to the network at a PC using a *login ID* and password. If the client PC uses Windows, SQL Server can use the Windows login ID defined for the user. Otherwise, you can create a separate SQL Server login ID.

- Once a user is logged on to SQL Server, the security configuration determines which database objects the user can work with and which SQL statements the user can execute.

- *Permissions* determine the actions a user can take on a database object, such as a table, view, or stored procedure, on the objects in a schema, on a database, and on a server.

- A *role* is a collection of permissions that you can assign to a user by assigning the user to that role.

- You can create a collection of users in Windows called a *group*. Then you can assign permissions and roles either to individual users or to a group of users.

- The users, groups, logins, and roles that have access to a server are called *principals*. The entities that can be secured on a server, including the server itself, are called *securables*.

- If you need to set up a new system with many users, it's often easier to code SQL scripts using the SQL security statements. The Management Studio is better for making changes to an existing system or for setting up a small system.

- Even if you use the Management Studio to manage security, you should know how to manage security with Transact-SQL statements. That will help you understand the underlying structure of SQL Server security.

Figure 18-1 An introduction to SQL Server security

# How to change the authentication mode

As you learned in chapter 2, you can log on to SQL Server using one of two types of login authentication: *Windows authentication* or *SQL Server authentication*. To accommodate these two types of authentication, a server can be configured to run in one of two *authentication modes*: Windows Authentication mode or Mixed mode. Figure 18-2 summarizes these authentication modes and shows you how you can change from one to the other.

When you install SQL Server 2012, Windows Authentication mode is the default. Then, when a user logs on to SQL Server, authentication is handled by the security that's integrated into Windows. In other words, the login ID and password that the user enters to log on to Windows are also used to log on to SQL Server.
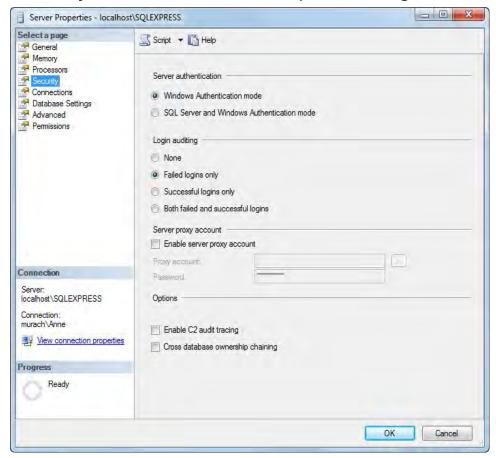
If you use Mixed mode authentication, users can log on using either Windows authentication or SQL Server authentication. When SQL Server authentication is used, the user must enter a SQL Server user ID and password to log on to SQL Server. This user ID and password are separate from the Windows user ID and password, which means that the user must enter two IDs and passwords to access SQL Server. Since non-Windows clients can't use Windows authentication, it's likely that the only time you'll use SQL Server authentication is to support access by non-Windows clients.

To change the authentication mode, you use the Security page of the Server Properties dialog box shown in this figure. The two available options are listed under the Server Authentication heading. The SQL Server and Windows Authentication Mode option corresponds to Mixed mode.

If you change the authentication mode, the Management Studio warns you that the change won't take effect until you stop and restart SQL Server. Before you stop SQL Server, though, you'll want to be sure that there aren't any transactions currently executing. If there are and you stop SQL Server, those transactions won't be committed. If you're working on a desktop server or on a new server with no users, this shouldn't be a problem. If you're working on an active server, however, you shouldn't restart the server until you're sure that no users are connected.

If you install SQL Server with Windows Authentication mode, you should know that the default system administrator login ID, sa, is disabled. That's because this login can only connect to SQL Server 2012 using SQL Server authentication. If you later change to Mixed mode, however, the sa login ID isn't automatically enabled. So you'll have to enable it manually. You'll learn how to enable and disable login IDs later in this chapter.

## The Security tab of the SQL Server Properties dialog box



## The two SQL Server authentication modes

| Mode | Description |
|------|-------------|
| Windows Authentication mode | Only Windows authentication is allowed. This is the default. |
| Mixed mode | Both Windows authentication and SQL Server authentication are allowed. To use this mode, select the SQL Server and Windows Authentication Mode option. If your database needs to be accessed by non-Windows clients, you must use Mixed mode. |

## Description

- To change the SQL Server *authentication mode*, right-click on the server in the Object Explorer of the Management Studio, select the Properties command to display the Server Properties dialog box, then display the Security page.

- When you use *Windows authentication*, access to SQL Server is controlled via the security integrated into Windows. This simplifies login because Windows users only have to log on once.

- When you use *SQL Server authentication*, access to SQL Server is controlled via the separate security built into SQL Server. The user has a login ID and password that are distinct from their Windows login ID and password, so they have to log on twice.

Figure 18-2    How to change the authentication mode

## How to create login IDs

When you install SQL Server, it's configured with some built-in IDs. Then, to add additional login IDs, you can use the CREATE LOGIN statement shown in figure 18-3. As you can see, the syntax you use depends on whether you're creating a login for Windows authentication or SQL Server authentication.

To create a new Windows login ID, you can simply specify the login name and the FROM WINDOWS keywords. Then, a login ID with the same name as the Windows login ID is created. Note that the name you specify must include the Windows domain name along with the user or group name, and the name must be enclosed in square brackets. The first CREATE LOGIN statement in this figure, for example, creates a login ID for a Windows user named SusanRoberts in the Windows domain named Accounting.

If you're working with SQL Server Express on your own system, the domain name is just the name of your computer. In that case, though, you probably won't need to set up additional login IDs. The exception is if you have more than one user account defined on your system, in which case you can set up a separate login for each account.

In addition to the login name, you can specify the default database and language. If you set the default database, the user won't have to execute a USE statement to work with that database. If you don't specify a default database, the system database named master is the default.

To create a new SQL Server login ID, you must specify a login name and password. The second statement in this figure, for example, creates a login ID for user JohnDoe with the password "pt8806FG$B". It also sets the default database to AP.

The last two options determine how password policies are enforced. If the CHECK_EXPIRATION option is on, users are reminded to change passwords, and SQL Server disables IDs that have expired passwords. If the CHECK_POLICY option is on, password policies are enforced, and the CHECK_EXPIRATION option is also on unless it's explicitly turned off.

In most cases, you'll leave these options at their defaults so the password policies specified for the server are enforced. Among other things, the default password policies for SQL Server 2012 require that SQL Server logins use *strong passwords*, which are difficult for someone to guess. This figure lists the guidelines for coding strong passwords, and the example in this figure that creates a SQL Server login ID illustrates a strong password.

Another option you can use with the CREATE LOGIN statement is MUST_CHANGE. If you include this option, the user will be prompted for a new password the first time the new login is used. That way, users can set their own passwords. If you specify the MUST_CHANGE option, the CHECK_EXPIRATION and CHECK_POLICY options must also be on.

By the way, you should know that the CREATE LOGIN statement, as well as many of the other statements presented in this chapter, were introduced with SQL Server 2005. These statements replace stored procedures that were used in previous versions of SQL Server. For example, you used the sp_AddLogin stored procedure to add a new SQL Server login ID. Although these stored

## The syntax of the CREATE LOGIN statement

### For Windows authentication

```
CREATE LOGIN login_name FROM WINDOWS
        [WITH [DEFAULT_DATABASE = database]
            [, DEFAULT_LANGUAGE = language]]
```

### For SQL Server authentication

```
CREATE LOGIN login_name WITH PASSWORD = 'password' [MUST_CHANGE]
            [, DEFAULT_DATABASE = database]
            [, DEFAULT_LANGUAGE = language]
            [, CHECK_EXPIRATION = {ON|OFF}]
            [, CHECK_POLICY = {ON|OFF}]
```

## A statement that creates a new login ID from a Windows account

```
CREATE LOGIN [Accounting\SusanRoberts] FROM WINDOWS;
```

## A statement that creates a new SQL Server login ID

```
CREATE LOGIN JohnDoe WITH PASSWORD = 'pt8806FG$B',
    DEFAULT_DATABASE = AP;
```

## Guidelines for strong passwords

- Cannot be blank or null or the values "Password", "Admin", "Administrator", "sa", or "sysadmin"
- Cannot be the name of the current user or the machine name
- Must contain more than 8 characters
- Must contain at least three of the following: uppercase letters, lowercase letters, numbers, and non-alphanumeric characters (#, %, &, etc.)

## Description

- You use the CREATE LOGIN statement to create a new SQL Server login ID or to create a new login ID from a Windows account. This statement was introduced with SQL Server 2005.
- If you don't specify a default database when you create a login, the default is set to master. If you don't specify a default language, the default is set to the default language of the server. Unless it's been changed, the server language default is English.
- The password you specify for a SQL Server login ID should be a *strong password*. A strong password is not easy to guess and cannot easily be hacked. A password can have up to 128 characters.
- If you include the MUST_CHANGE option, SQL Server will prompt the user for a new password the first time the login ID is used.
- The CHECK_EXPIRATION option determines whether SQL Server enforces password expiration policy. The CHECK_POLICY option determines if password policies, such as strong passwords, are enforced. These options are enforced only if SQL Server is running on Windows Server 2003 or later.

Figure 18-3    How to create login IDs

procedures are still supported by SQL Server 2012, they will be dropped in a future release of SQL Server. So you should use the statements presented in this chapter instead of the equivalent stored procedures.

## How to delete or change login IDs or passwords

To change an existing login ID, you can use the ALTER LOGIN statement shown in figure 18-4. A common task you can perform with this statement is to change the password for a SQL Server Login ID. This is illustrated in the first example in this figure. Notice in this example that you can change the password without specifying the old password. You can also force the user to enter a new password the next time the login is used by coding the MUST_CHANGE option.

Another common task that you can perform with the ALTER LOGIN statement is to disable or enable a login ID as illustrated in the second example. Here, the login ID for a Windows account is being disabled. That means that the user will no longer have access to SQL Server.

The third example in this figure shows how you can use the ALTER LOGIN statement to change a login name. This is useful if a user's name actually changes. It's also useful if one employee replaces another. Then, you can give the new employee the same permissions as the old employee simply by changing the login name.

To delete a login ID, you use the DROP LOGIN statement. This is illustrated in the fourth example in this figure.

## The syntax of the DROP LOGIN statement

```
DROP LOGIN login_name
```

## The syntax of the ALTER LOGIN statement

### For Windows authentication

```
ALTER LOGIN login_name {{ENABLE|DISABLE}|WITH
          [NAME = login_name]
        [, DEFAULT_DATABASE = database]
        [, DEFAULT_LANGUAGE = language]}
```

### For SQL Server authentication

```
ALTER LOGIN login_name {{ENABLE|DISABLE}|WITH
          [PASSWORD = 'password' [OLD_PASSWORD = 'oldpassword']
          [MUST_CHANGE]]
        [, NAME = login_name]
        [, DEFAULT_DATABASE = database]
        [, DEFAULT_LANGUAGE = language]
        [, CHECK_EXPIRATION = {ON|OFF}]
        [, CHECK_POLICY = {ON|OFF}]}
```

## Statements that use the ALTER LOGIN and DROP LOGIN statements

### A statement that changes the password for a SQL Server login ID

```
ALTER LOGIN JohnDoe WITH PASSWORD = 'lg22A%G45x';
```

### A statement that disables a Windows login ID

```
ALTER LOGIN [Accounting\SusanRoberts] DISABLE;
```

### A statement that changes a login name

```
ALTER LOGIN JohnDoe WITH NAME = JackWilliams;
```

### A statement that deletes a SQL Server login ID

```
DROP LOGIN JackWilliams;
```

## Description

- You use the ALTER LOGIN statement to enable or disable a login ID, change the name for a login ID, or change the default database or language. For a SQL Server login ID, you can also change the password and the password options.

- You use the DROP LOGIN statement to drop a login ID.

- The ALTER LOGIN and DROP LOGIN statements were introduced with SQL Server 2005.

Figure 18-4     How to delete or change login IDs or passwords

# How to work with database users

Each database maintains a list of the users that are authorized to access that database. This list is distinct from the list of login IDs that's maintained by the server. Figure 18-5 presents the SQL statements you use to maintain the list of users for a database.

You use the CREATE USER statement to create a database user. On this statement, you code the name of the user, which is usually the same as the login name. In that case, you don't need to specify the login name. This is illustrated in the first example in this figure. If you want to use a user name that's different from the login name, however, you can include the FOR LOGIN clause to specify the login name that the user name is mapped to.

In most cases, it's not a good idea to use two different names for the same user. For this reason, the FOR LOGIN clause is generally omitted. However, since login IDs generated from Windows user names include the domain name, those login IDs can be quite long. If all of your users are on the same Windows domain, then, you may want to use just the user names for the database users. This is illustrated in the second example in this figure, which creates a database user named SusanRoberts for the login ID Accounting\SusanRoberts.

You can also specify a default schema for a database user as illustrated in the third example in this figure. Then, when SQL Server searches for an object for that user, it will look for the object in the user's default schema before it looks in the dbo schema.

After you create a database user, the user can set the database as the current database using the USE statement but can't perform any operations on the database or the objects it contains. To do that, the user must be granted object and database permissions. You'll learn how to grant these permissions in a moment.

If you need to change a database user, you can use the ALTER USER statement. This statement lets you change the user name or the default schema for the user. The fourth statement in this figure, for example, changes the name of a database user from SusanRoberts to SusanStanley, and the fifth statement changes the default schema for a user to Marketing.

Finally, if you need to delete a database user, you use the DROP USER statement as illustrated in the last example. The only information you specify on this statement is the user name.

Note that all three of these statements work with the current database. For this reason, you must be sure to change the database context to the database you want to work with before you execute any of these statements. If you don't, you may inadvertently create, change, or delete a user in the wrong database.

## The syntax of the CREATE USER statement

```
CREATE USER user_name
    [{FOR|FROM} LOGIN login_name]
    [WITH DEFAULT_SCHEMA = schema_name]
```

## The syntax of the ALTER USER statement

```
ALTER USER user_name WITH
        [NAME = new_user_name]
      [, DEFAULT_SCHEMA = schema_name]
```

## The syntax of the DROP USER statement

```
DROP USER user_name
```

## Statements that work with database users

### A statement that creates a database user with the same name as a login ID

```
CREATE USER JohnDoe;
```

### A statement that creates a database user for a Windows user account

```
CREATE USER SusanRoberts FOR LOGIN [Accounting\SusanRoberts];
```

### A statement that creates a database user and assigns a default schema

```
CREATE USER SusanRoberts FOR LOGIN [Accounting\SusanRoberts]
    WITH DEFAULT_SCHEMA = Accounting;
```

### A statement that changes a user name

```
ALTER USER SusanRoberts WITH NAME = SusanStanley;
```

### A statement that assigns a default schema to a user

```
ALTER USER JohnDoe WITH DEFAULT_SCHEMA = Marketing;
```

### A statement that deletes a database user

```
DROP USER JohnDoe;
```

## Description

- You use the CREATE USER statement to create a user for a login ID for the current database. If the login name is the same as the user name, you can omit the FOR LOGIN clause.
- When you create a database user, you can specify a default schema. Then, SQL Server will look in this schema when it searches for objects for the database user before it looks in the default schema (dbo).
- The ALTER USER statement lets you change the name of an existing database user or change the default schema for a user.
- You use the DROP USER statement to delete a user from the current database.
- Since all three of these statements work on the current database, you must change the database context using the USE statement before executing any of these statements.
- The CREATE USER, ALTER USER, and DROP USER statements were introduced with SQL Server 2005.

---

Figure 18-5    How to work with database users

# How to work with schemas

As you know, the tables, views, functions, and procedures of a database are stored in schemas. If you don't specify a schema when you create these objects, they're stored in the default schema.

One advantage of using schemas is that you can grant permissions to all the objects in a schema by granting permissions to the schema. Another advantage is that users don't own database objects, they own schemas. Because of that, if you need to delete a user, you can just transfer the schemas that user owns to another user rather than having to transfer the ownership of each individual object.

Figure 18-6 presents the SQL statements for working with schemas. To create a schema, you use the CREATE SCHEMA statement. The only information you must include on this statement is the schema name. This is illustrated in the first CREATE SCHEMA statement in this figure, which creates a schema named Accounting.

When you create a schema, you can also create tables and views within that schema, and you can grant, revoke, and deny permissions to those tables and views. For example, the second CREATE SCHEMA statement shown here creates a schema named Marketing. In addition, it creates a table named Contacts within the Marketing schema. Notice that because the CREATE TABLE statement is coded within the CREATE SCHEMA statement, it isn't necessary to specify the schema on the CREATE TABLE statement. For this to work, the CREATE SCHEMA statement must be coded as a separate batch.

By default, a schema is owned by the owner of the database. In most cases, that's what you want. If you want to assign a different owner to a schema, however, you can include the AUTHORIZATION clause with the name of the user or role you want to own the schema on the CREATE SCHEMA statement.

The ALTER SCHEMA statement lets you transfer a securable from one schema to another. For example, the ALTER SCHEMA statement in this figure transfers the Contacts table in the Marketing schema that was created by the second statement to the Accounting schema that was created by the first statement. Note that you can't transfer an object to a different schema if any views or functions are schema-bound to the object. Because of that, you'll want to make sure an object is in the correct schema before you create any views or functions that are bound to it.

To delete a schema from a database, you use the DROP SCHEMA statement. The last statement in this figure, for example, deletes the Marketing schema. Keep in mind that before you delete a schema, you must delete any objects it contains or transfer them to another schema.

## The syntax of the CREATE SCHEMA statement

```
CREATE SCHEMA schema_name [AUTHORIZATION owner_name]
    [table_definition]...
    [view_definition]...
    [grant_statement]...
    [revoke_statement]...
    [deny_statement]...
```

## The syntax of the ALTER SCHEMA statement

```
ALTER SCHEMA schema_name TRANSFER securable_name
```

## The syntax of the DROP SCHEMA statement

```
DROP SCHEMA schema_name
```

## Statements that work with schemas

### A statement that creates a schema

```
CREATE SCHEMA Accounting;
```

### A statement that creates a schema and a table within that schema

```
CREATE SCHEMA Marketing
    CREATE TABLE Contacts
    (ContactID    INT         NOT NULL IDENTITY PRIMARY KEY,
    ContactName  VARCHAR(50) NOT NULL,
    ContactPhone VARCHAR(50) NULL,
    ContactEmail VARCHAR(50) NULL);
```

### A statement that transfers a table from one schema to another

```
ALTER SCHEMA Accounting TRANSFER Marketing.Contacts;
```

### A statement that deletes a schema

```
DROP SCHEMA Marketing;
```

## Description

- You use the CREATE SCHEMA statement to create a schema in the current database. You can also create tables and views within the new schema, and you can grant, revoke, or deny permissions for those tables and views.

- After you create a schema, you can create any object within that schema by qualifying the object name with the schema name.

- You use the ALTER SCHEMA statement to transfer an object from one schema to another.

- You can't transfer an object from one schema to another if any views or functions are bound to it.

- When you transfer an object from one schema to another, all the permissions that were associated with that object are dropped.

- You use the DROP SCHEMA statement to delete a schema. The schema you delete must not contain any objects.

- The CREATE SCHEMA, ALTER SCHEMA, and DROP SCHEMA statements were introduced with SQL Server 2005.

Figure 18-6    How to work with schemas

# How to work with permissions

Now that you understand how to create login IDs and database users, you need to learn how to grant users permission to work with a database and the objects it contains. That's what you'll learn in the topics that follow. In addition, you'll learn how to grant login IDs permission to work with the server.

## How to grant or revoke object permissions

Figure 18-7 presents the GRANT and REVOKE statements you use to grant or revoke permissions to use an object in the current database. In the GRANT clause, you list the permissions you want to grant. You'll see a list of the standard permissions in the next figure.

You code the name of the object for which this permission is granted in the ON clause. This object can be a table, a view, a stored procedure, or a user-defined function. If the object is contained in a schema other than the default schema, you must specify the schema name along with the object name. Note that you can only grant permissions for a single object with the GRANT statement.

In the TO clause, you code one or more database principal names to which you're granting the permission. Typically, this is the database user name. The statement in this figure, for example, grants permission for the user SusanRoberts to select data from the Invoices table. You can also use this statement to assign permissions to a database role. You'll learn more about database roles later in this chapter.

If you code the GRANT statement with the optional WITH GRANT OPTION clause, you delegate to this user the permission to GRANT this same permission to others. Since it's simpler to have a single person or group managing the security for a database, I don't recommend that you use this option. If you do, however, you should keep good records so you can later revoke this permission if you begin to have security problems.

The syntax of the REVOKE statement is similar to the syntax of the GRANT statement. You code a list of the permissions you're revoking in the REVOKE clause, the object name in the ON clause, and one or more database principal names in the FROM clause. The statement in this figure, for example, revokes the SELECT permission for the Invoices table that was granted to user SusanRoberts by the GRANT statement.

You can code two optional clauses in the REVOKE statement. These clauses are related to the WITH GRANT OPTION clause you can code in the GRANT statement. The GRANT OPTION FOR clause revokes the user's permission to grant this permission to others. The CASCADE clause revokes this permission from all of the users to whom this user has granted permission. If you avoid using the WITH GRANT OPTION clause, you won't have to use these clauses.

## How to grant object permissions

### The syntax of the GRANT statement for object permissions

```
GRANT permission [, ...]
ON [schema_name.]object_name [(column [, ...])]
TO database_principal [, ...]
[WITH GRANT OPTION]
```

### A GRANT statement that grants SELECT permission for the Invoices table

```
GRANT SELECT
ON Invoices
TO SusanRoberts;
```

## How to revoke object permissions

### The syntax of the REVOKE statement for object permissions

```
REVOKE [GRANT OPTION FOR] permission [, ...]
ON [schema_name.]object_name [(column [, ...])]
FROM database_principal [, ...]
[CASCADE]
```

### A REVOKE statement that revokes SELECT permission

```
REVOKE SELECT
ON Invoices
FROM SusanRoberts;
```

## Description

- You use this GRANT statement format to give a user permission to work with a database object. This format of the REVOKE statement takes object permissions away. See figure 18-8 for a list of the standard permissions that can be granted for objects.

- The object_name argument specifies the object for which the permission is being granted or revoked and can specify a table, a view, a stored procedure, or a user-defined function. If you specify a table, a view, or a table-valued function, you can also list the columns for which SELECT, UPDATE, or REFERENCES permissions are granted or revoked.

- The database_principal argument in the TO and FROM clauses can be the name of a database user or a user-defined role.

- The WITH GRANT OPTION clause gives a user permission to grant this permission to other users.

- The REVOKE statement includes two clauses that undo WITH GRANT OPTION. GRANT OPTION FOR revokes the user's permission to grant the permission to others. CASCADE revokes the permission from any other users who were given the permission by this user.

- Since both the GRANT and REVOKE statements work on the current database, you must first change the database context using the USE statement.

Figure 18-7     How to grant or revoke object permissions

## The SQL Server object permissions

Figure 18-8 lists the specific object permissions that you can code in either the GRANT or REVOKE statement. The first four permissions let the user execute the corresponding SQL statement: SELECT, UPDATE, INSERT, or DELETE. The fifth permission, EXECUTE, lets the user run an executable database object.

Each permission can be granted only for certain types of objects. For example, you can grant SELECT permission only to an object from which you can select data, such as a table or view. Likewise, you can grant EXECUTE permission only to an object that you can execute, such as a stored procedure or scalar function.

The REFERENCES permission lets a user refer to an object, even if the user doesn't have permission to use that object directly. For example, to create a FOREIGN KEY constraint that refers to another table, the user would need to have REFERENCES permission on that other table. Of course, he'd also need permission to create a table. You'll see how to grant permissions like this in a moment.

You also need to assign the REFERENCES permission to objects that are referenced by a function or view that's created with the WITH SCHEMABINDING clause. Since this permission is only needed for users who'll be creating database objects, you'll probably never assign it individually. Instead, you'll include it with other permissions in a database role as you'll learn later in this chapter.

The last permission, ALTER, lets the user change the definition of an object. This permission is typically given to users who are responsible for designing a database. That includes database administrators and, in many cases, programmers.

In addition to the permissions shown here, SQL Server 2012 continues to support the deprecated ALL permission. Because this permission may not work in future versions of SQL Server, you should avoid using it. If you do use it, though, you should know that despite its name, this permission doesn't always grant all permissions that are applicable to the object. For example, when working with database permissions as shown in figure 18-10, the ALL permission doesn't include the CREATE SCHEMA permission.

## The standard permissions for SQL Server objects

| Permission | Description | Applies to |
|---|---|---|
| SELECT | Lets the user select the data. | Tables, views, and table-valued functions |
| UPDATE | Lets the user update existing data. | Tables, views, and table-valued functions |
| INSERT | Lets the user insert new data. | Tables, views, and table-valued functions |
| DELETE | Lets the user delete existing data. | Tables, views, and table-valued functions |
| EXECUTE | Lets the user execute a procedure or function. | Stored procedures and scalar and aggregate functions |
| REFERENCES | Lets the user create objects that refer to the object. | Tables, views, and functions |
| ALTER | Lets the user modify an object. | Tables, procedures, functions, and sequences |

## A GRANT statement that grants permission to run action queries

```
GRANT INSERT, UPDATE, DELETE
ON Invoices
TO SusanRoberts;
```

## A REVOKE statement that revokes the DELETE permission

```
REVOKE DELETE
ON Invoices
FROM SusanRoberts;
```

## A GRANT statement that grants permission to execute a stored procedure

```
GRANT EXECUTE
ON spInvoiceReport
TO [Payroll\MarkThomas], JohnDoe, TomAaron;
```

## A GRANT statement that grants SELECT permission to specific columns

```
GRANT SELECT
ON Vendors (VendorName,VendorAddress1,VendorCity,VendorState,VendorZipCode)
TO TomAaron, [Payroll\MarkThomas];
```

## A GRANT statement that grants REFERENCES permission
## to the Contacts table in the Accounting schema

```
GRANT REFERENCES
ON Accounting.Contacts
To JohnDoe;
```

## A GRANT statement that grants permission to alter a table

```
GRANT ALTER
ON Vendors
To JoelMurach;
```

## Description

- You can only grant permissions that are appropriate for the object or schema.
- You can grant or revoke SELECT, UPDATE, or REFERENCES permission to specific columns in a table, view, or table-valued function. However, a view is typically a better way to limit access to specific columns.

Figure 18-8    The SQL Server object permissions

# How to grant or revoke schema permissions

In addition to granting or revoking permissions to individual objects in a database, you can grant or revoke permissions to all the objects in a schema. To do that, you use the formats of the GRANT and REVOKE statements shown in figure 18-9.

The main difference between the formats shown here and the formats shown in figure 18-7 is that the ON clause uses a class name and a *scope qualifier* (::). In this case, the class name is SCHEMA. You can also use a class name and scope qualifier when you grant or revoke object permissions. For example, I could have coded the GRANT statement in figure 18-7 like this:

```
GRANT SELECT
ON OBJECT :: Invoices
TO SusanRoberts;
```

However, because the class name and scope qualifier aren't required when you grant or revoke object permissions, I've omitted them from the syntax of the GRANT and REVOKE statements shown in figure 18-7.

Before you go on, you should realize that to delete an object from a schema, a user must have ALTER permission to the schema. A user must also have ALTER permission to a schema to create an object in the schema. In addition, the user must have permission to create the object in the database. The exception is that you can create a sequence simply by granting the CREATE SEQUENCE permission to the schema. To alter an object in the schema, the user only needs to have ALTER permission on the object.

## How to grant schema permissions

### The syntax of the GRANT statement for schema permissions

```
GRANT permission [, ...]
ON SCHEMA :: schema_name
TO database_principal [, ...]
[WITH GRANT OPTION]
```

### A GRANT statement that grants UPDATE permission for the Accounting schema

```
GRANT UPDATE
ON SCHEMA :: Accounting
TO JohnDoe;
```

### A GRANT statement that grants ALTER permission to a schema

```
GRANT ALTER
ON SCHEMA :: Marketing
TO JudyTaylor;
```

## How to revoke schema permissions

### The syntax of the REVOKE statement for schema permissions

```
REVOKE [GRANT OPTION FOR] permission [, ...]
ON SCHEMA :: schema_name
FROM database_principal [, ...]
[CASCADE]
```

### A REVOKE statement that revokes UPDATE permission

```
REVOKE UPDATE
ON SCHEMA :: Accounting
FROM JohnDoe;
```

## Description

- You use this format of the GRANT statement to give a user permission to work with all the objects in a database schema. This format of the REVOKE statement takes schema permissions away. These statements work just as they do for object permissions.

- To create an object in a schema or to delete an object from a schema, the user must have ALTER permission to the schema. To create an object, the user must also have CREATE permission to the database that contains the object. See figure 18-10 for information on database permissions.

- You can also grant or revoke the CREATE SEQUENCE permission on a schema.

- The ON clause in the GRANT and REVOKE statements includes a class name (SCHEMA) and a *scope qualifier* (::). Although class names and scope qualifiers can be used with other Transact-SQL statements, they're not usually required.

Figure 18-9     How to grant or revoke schema permissions

## How to grant or revoke database permissions

Figure 18-10 presents the syntax of the GRANT and REVOKE statements you use to work with database permissions. In the GRANT clause, you list the statements you want to grant a user permission to execute. Some of the statements you can include in this list are shown in this figure. Then, in the TO clause, you list the users you want to have these permissions. The GRANT statement in this figure, for example, grants two users permission to create views. Remember that to create a view, though, the user must also have permission to alter the schema that contains it.

The syntax of the REVOKE statement is identical except that you code the user names in the FROM clause. The REVOKE statement shown in this figure, for example, revokes permission for the specified user to create databases or tables.

## How to grant database permissions

### The syntax of the GRANT statement for database permissions

```
GRANT permission [, ...]
TO database_principal [, ...]
[WITH GRANT OPTION]
```

### A GRANT statement that gives permission to create views

```
GRANT CREATE VIEW
TO JohnDoe, SusanRoberts;
```

## How to revoke database permissions

### The syntax of the REVOKE statement for database permissions

```
REVOKE permission [, ...]
FROM database_principal [, ...]
[CASCADE]
```

### A REVOKE statement that revokes permission to create databases and tables

```
REVOKE CREATE DATABASE, CREATE TABLE
FROM SylviaJones;
```

## Some of the permissions that can be explicitly permitted

```
CREATE DATABASE
CREATE TABLE
CREATE VIEW
CREATE PROCEDURE
CREATE FUNCTION
CREATE SCHEMA
```

## Description

- In addition to granting or revoking permissions for objects and schemas, you can grant or revoke permissions for databases.
- The list of SQL statements shown above only includes those discussed in this book. For a complete list, refer to the "GRANT Database Permissions (Transact-SQL)" topic in Books Online.

Figure 18-10    How to grant or revoke database permissions

# How to grant or revoke server permissions

The highest level at which you can grant or revoke permissions is the server level. Server permissions are typically reserved for system and database administrators. Figure 18-11 lists some of the permissions that are available and shows how to grant and revoke them.

Because the GRANT and REVOKE statements for server permissions are similar to the GRANT and REVOKE statements for other types of permissions, I'll just point out the main difference here. That is, because the permission is for the server and not the current database, the TO and FROM clauses must name a server principal instead of a database principal. A server principal can be either a login ID or a user-defined server role. In this figure, for example, both statements refer to a login ID. You'll learn more about server roles in just a minute.

## How to grant server permissions

### The syntax of the GRANT statement for server permissions

```
GRANT permission [, ...]
TO server_principal [, ...]
[WITH GRANT OPTION]
```

### A GRANT statement that gives permission to create, alter, and drop databases

```
GRANT ALTER ANY DATABASE
TO JoelMurach;
```

## How to revoke server permissions

### The syntax of the REVOKE statement for server permissions

```
REVOKE permission [, ...]
FROM server_principal [, ...]
[CASCADE]
```

### A REVOKE statement that revokes permission to create server roles

```
REVOKE CREATE SERVER ROLE
FROM [Administration\SylviaJones];
```

## Some of the permissions that can be explicitly permitted

| Permission | Description |
|---|---|
| CONTROL SERVER | Can perform any activity on the server. |
| CREATE ANY DATABASE | Can create databases. |
| CREATE SERVER ROLE | Can create server roles. |
| ALTER ANY DATABASE | Can create, alter, and drop databases. |
| ALTER ANY LOGIN | Can create, alter, and drop logins. |
| ALTER ANY SERVER ROLE | Can create, alter, and drop server roles. |
| VIEW ANY DATABASE | Can view database properties. |

## Description

- You can also use the GRANT and REVOKE statements to grant and revoke permissions for the entire server. When you do that, you specify a login or server role on the TO or FROM clause.

- For a complete list of server permissions, refer to the "GRANT Server Permissions (Transact-SQL)" topic in Books Online.

Figure 18-11    How to grant or revoke server permissions

# How to work with roles

Now that you've learned how to grant object and database permissions to a user, you can set up security on your database. If a system has many users, however, granting and revoking all of these permissions one by one would require a lot of coding. To help reduce the amount of coding and to help you keep your database security organized, you can use roles.

As you know, a role is a collection of permissions. When you assign a user to a particular role, you grant them all of the permissions associated with that role. SQL Server supports two different types of roles: fixed roles and user-defined roles. You'll learn how to work with both of these types of roles in the topics that follow.

## How to work with the fixed server roles

*Fixed roles* are roles that are built into SQL Server. These roles can't be deleted and the permissions associated with them can't be modified. SQL Server provides two types of fixed roles: *fixed server roles* and *fixed database roles*. Figure 18-12 shows you how to work with the fixed server roles. You'll learn how to work with the fixed database roles later in this chapter.

The fixed server roles typically include users who manage the server. For example, the sysadmin role is intended for system administrators. For this reason, it grants permission to perform any task on the server. If you're a member of the Windows BUILTIN\Administrators group, you're a member of this role by default.

The securityadmin role is intended for those users who need to be able to manage security. The members of this role are allowed to work with login IDS and passwords. The dbcreator role is intended for those users who need to be able to work with database objects. The members of this role can create, alter, and drop databases. Although SQL Server provides other server roles, these are the ones you'll use most often.

To assign a user to a server role or to remove a user from a server role, you use the ALTER SERVER ROLE statement. On this statement, you specify the name of the server role. Then, to assign a user to the role, you specify the login ID of the user on the ADD MEMBER clause. To remove a user from the role, you specify the login ID on the DROP MEMBER clause. The first statement in this figure, for example, adds user JohnDoe to the sysadmin server role. The second statement drops this user from that role.

You can also use the ALTER SERVER ROLE statement to rename a server role. However, you can't rename fixed server roles, only user-defined server roles. You'll see an example of that in the next figure.

One additional fixed server role you should know about is the public server role. Each login that's created on the server is automatically assigned to this role and can't be removed. Then, the default permission for this role, VIEW ANY DATABASE, lets any login view the properties of any database on the server. If that's not what you want, you can revoke this permission from the role. To do that, you code the role name on the TO clause of the REVOKE statement. In most cases, though, it's not necessary to change the permissions for this role.

## The syntax of the ALTER SERVER ROLE statement

```
ALTER SERVER ROLE role_name
{
ADD MEMBER server_principal |
DROP MEMBER server_principal |
WITH NAME = new_role_name
}
```

## A statement that assigns a user to a server role

```
ALTER SERVER ROLE sysadmin ADD MEMBER JohnDoe;
```

## A statement that removes a user from a server role

```
ALTER SERVER ROLE sysadmin DROP MEMBER JohnDoe;
```

## Some of the SQL Server fixed server roles

| Role | Description |
|------|-------------|
| sysadmin | Can perform any activity on the server. By default, all members of the Windows BUILTIN\Administrators group are members of this role. |
| securityadmin | Can manage login IDs and passwords for the server and can grant, deny, and revoke database permissions. |
| dbcreator | Can create, alter, drop, and restore databases. |

## Description

- A role is a collection of permissions you can assign to a user or group of users. By assigning a user to a role, you grant that user all of the permissions of the role. You can use roles to simplify user and security administration.

- SQL Server has built-in, or *fixed*, roles defined at the server level and at the database level. In addition, you can create user-defined roles for your server or database.

- Each role is assigned a set of permissions. For example, the dbcreator role can execute CREATE DATABASE, ALTER DATABASE, DROP DATABASE, and RESTORE DATABASE statements. This role can also add new members to the role.

- You use the ALTER SERVER ROLE statement to add a user to or remove a user from a server role. You can also use this statement to rename a user-defined server role.

- The fixed server roles are intended for users who are involved in the administration of the server. For a complete list of the fixed server roles, see the "Server-level Roles" topic in Books Online.

- The ALTER SERVER ROLE statement was introduced with SQL Server 2012. In previous versions of SQL Server, you used the sp_AddSrvRoleMember system stored procedure to add a user to a server role, and you used the sp_DropSrvRoleMember procedure to remove a user from a server role.

Figure 18-12    How to work with the fixed server roles

# How to work with user-defined server roles

In addition to fixed server roles, SQL Server 2012 lets you create *user-defined server roles*. Like the fixed server roles, a user-defined server role consists of a set of permissions that you can grant to a user by giving them membership in that role. Unlike the fixed server roles, you can create your own user-defined server roles, you can modify the permissions associated with those roles, and you can delete the roles when necessary. Figure 18-13 presents the two SQL statements you use to create and delete user-defined server roles.

The CREATE SERVER ROLE statement creates a new role on the server. The role name you specify on this statement must be unique: It can't be the same as another user-defined server role, fixed server role, or login name. The first statement in this figure, for example, creates a new server role named Consultant.

By default, a user-defined server role is owned by the login that creates it. If that's not what you want, you can include the AUTHORIZATION clause on the CREATE SERVER ROLE statement. This clause names the login or fixed server role that will own the new role.

Once a role is defined, you can use the GRANT statement to grant permissions to that role. To do that, you simply code the role name in the TO clause instead of a user name. The GRANT statement in this figure, for example, grants the Consultant role ALTER ANY LOGIN permission. Note that because these permissions can only be assigned at the server level, the current database must be set to master when they're executed.

The next statement in this figure assigns a user to the new role. That means that this user now has the ALTER ANY LOGIN permission that was assigned to the role by the previous GRANT statement. In addition, the next statement assigns the new role as a member of the dbcreator role. If you look back to figure 18-12, you'll see that this role grants any member of the role permission to create, alter, drop, and restore databases. Since the member itself is a role, any member of that role now has permission to create, alter, drop, and restore databases.

The next statement shows how to change the name of a user-defined server role. To do that, you use the WITH NAME clause of the ALTER SERVER ROLE statement. The statement shown here, for example, renames the Consultant role to DBConsultant.

To drop a user-defined server role, you use the DROP SERVER ROLE statement. Before you do that, however, you must delete all of the members of the role. The last two statements in this figure, for example, drop the member of the DBConsultant role and then drop the role.

Because the fixed server roles that SQL Server provides are adequate for most systems, you won't usually need to create user-defined server roles. That's because a limited number of users are typically given permissions at the server level. However, many users can be given permissions at the database level. Because of that, you're more likely to create user-defined database roles. You'll learn how to do that in a minute. But first, you should know how to display information about server roles and how to work with fixed database roles.

## The syntax of the CREATE SERVER ROLE statement

```
CREATE SERVER ROLE role_name [AUTHORIZATION server_principal]
```

## The syntax of the DROP SERVER ROLE statement

```
DROP SERVER ROLE role_name
```

## Statements that work with a user-defined server role

### A statement that creates a new server role
```
CREATE SERVER ROLE Consultant;
```

### A statement that grants permissions to the new role
```
GRANT ALTER ANY LOGIN
TO Consultant;
```

### A statement that assigns a user to the new role
```
ALTER SERVER ROLE Consultant ADD MEMBER LarryThomas;
```

### A statement that assigns the new role to a fixed server role
```
ALTER SERVER ROLE dbcreator ADD MEMBER Consultant;
```

### A statement that changes the name of the new role
```
ALTER SERVER ROLE Consultant WITH NAME = DBConsultant;
```

### Statements that delete the new role
```
ALTER SERVER ROLE DBConsultant DROP MEMBER LarryThomas;
DROP SERVER ROLE DBConsultant;
```

## Description

- You use the CREATE SERVER ROLE statement to create a *user-defined server role*. Role names can be up to 128 characters in length and can include letters, symbols, and numbers, but not the backslash (\) character.

- The AUTHORIZATION clause lets you specify a login or fixed server role that owns the user-defined role. If you omit this clause, the role will be owned by the login that executes the statement.

- Once you create a server role, you can grant permissions to or revoke permissions from the role. To do that, the current database must be master.

- To add members to a user-defined server role or delete members from the role, you use the ALTER SERVER ROLE statement shown in figure 18-12.

- You use the DROP SERVER ROLE statement to delete a user-defined server role. You can't delete a fixed server role or the public server role.

- Before you can delete a server role, you must delete all of its members. To find out how to list the members of a role, see figure 18-14.

- The CREATE SERVER ROLE and DROP SERVER ROLE statements were introduced with SQL Server 2012.

Figure 18-13    How to work with user-defined server roles

## How to display information about server roles and role members

After you set the role membership for the fixed and user-defined server roles, you may want to review the role and membership information. One way to do that is to use the Management Studio. However, SQL Server also provides two catalog views that contain information about server roles and members. Figure 18-14 illustrates how you can use these catalog views.

To start, you can use the sys.server_principals catalog view to get a list of the server roles. In the first example in this figure, for instance, I used a SELECT statement to retrieve the name, principal_id, and is_fixed_role columns from this table. I also restricted the rows that were retrieved to roles (type = 'R'), since logins and Windows groups are also server principals. In the result set, you can see that all of the roles except for the first one and the last one are fixed roles. The first role is the public server role, and the last role is the user-defined Consultant role that I created in figure 18-13.

To list the members of a role, you have to join the sys.server_role_members catalog view with the sys.server_principals catalog view. The sys.server_role_members view contains every combination of server role principal ID and member principal ID. Then, you can join the member_principal_id column in that view with the principal_id column in the sys.server_principals view to get results like those shown in the second example in this figure.

If you only need to get information about fixed server roles and members, you can use two system stored procedures instead of the catalog views. The third example in this figure shows how to use the sp_HelpSrvRole procedure to get information about the server roles. That information includes the name and description for each server role. Although you can code a role name as a parameter so information for a single role is displayed, you're more likely to omit this parameter.

To get information about the members of a fixed server role, you can use the sp_HelpSrvRoleMember stored procedure. In this figure, for example, the stored procedure will return information about the members of the sysadmin role. If you omit the role name, this procedure will return information about the members in all of the fixed server roles that have at least one member.

## How to display information for any server role

```
SELECT name, principal_id, is_fixed_role
FROM sys.server_principals
WHERE type = 'R';
```

| | name | principal_id | is_fixed_role |
|----|------|------|------|
| 1 | public | 2 | 0 |
| 2 | sysadmin | 3 | 1 |
| 3 | securityadmin | 4 | 1 |
| 4 | serveradmin | 5 | 1 |
| 5 | setupadmin | 6 | 1 |
| 6 | processadmin | 7 | 1 |
| 7 | diskadmin | 8 | 1 |
| 8 | dbcreator | 9 | 1 |
| 9 | bulkadmin | 10 | 1 |
| 10 | Consultant | 267 | 0 |

## How to display member information for any server role

```
SELECT member_principal_id, name
FROM sys.server_role_members AS srm
JOIN sys.server_principals AS sp
  ON srm.member_principal_id = sp.principal_id
WHERE srm.role_principal_id = 267;
```

| | member_principal_id | name |
|----|------|------|
| 1 | 265 | LarryThomas |

## How to display information for fixed server roles

### The syntax for sp_HelpSrvRole

```
sp_HelpSrvRole [[@srvrolename = ] 'server_role_name']
```

### A statement that lists the fixed server roles

```
EXEC sp_HelpSrvRole;
```

## How to display member information for fixed server roles

### The syntax for sp_HelpSrvRoleMember

```
sp_HelpSrvRoleMember [[@srvrolename = ] 'server_role_name']
```

### A statement that lists the members of the sysadmin role

```
EXEC sp_HelpSrvRoleMember sysadmin;
```

## Description

- The sys.server_principals catalog view contains information about each principal defined on the server. To display information about just the server roles, use a SELECT statement with a WHERE clause that checks for a type of 'R'.

- The sys.server_role_members catalog view contains a list of each role principal/ member principal combination. To display information about the members of a server role, join this view with the sys.server_role_member view and restrict the results to the principal ID of the role whose members you want to display.

- To display information about just the fixed server roles and members, you can use the sp_HelpSrvRole and sp_HelpSrvRoleMember system stored procedures.

Figure 18-14    How to display information about server roles and role members

# How to work with the fixed database roles

Figure 18-15 lists the fixed database roles and shows you how to work with them. These roles are added automatically to each new database you create. In addition, when you create a database, you're automatically added to the db_owner database role.

To add a member to a database role or to delete a member from a database role, you use the ALTER ROLE statement. This statement is similar to the ALTER SERVER ROLE statement you saw earlier in this chapter. The main difference is that you specify a database principal on the ADD MEMBER and DROP MEMBER clauses. A database principal can be a database user, a Windows user or group name, or a user-defined database role. You'll see how to create user-defined database roles in a moment. For now, just realize that the ability to assign user-defined database roles as members of fixed database roles makes assigning permissions flexible and convenient.

Like the ALTER SERVER ROLE statement, you can also use the ALTER ROLE statement to rename a user-defined database role. You'll see an example of that in the next figure.

In addition to the fixed database roles listed in this figure, SQL Server includes a special fixed database role named public. This role is included in every database, and every database user is automatically a member of this role. This role has no permissions by default, however, so you don't need to be concerned about security violations due to the existence of this role. You can't add or drop members from this role, nor can you delete the role from a database. If you want all users to have some basic permissions on a database, however, you can assign those permissions to this role.

## The syntax of the ALTER ROLE statement

```
ALTER ROLE role_name
{
ADD MEMBER database_principal |
DROP MEMBER database_principal |
WITH NAME = new_name
}
```

## A statement that assigns a user to a database role

```
ALTER ROLE db_owner ADD MEMBER JohnDoe;
```

## A statement that removes a user from a database role

```
ALTER ROLE db_owner DROP MEMBER JohnDoe;
```

## The SQL Server fixed database roles

| Role | Description |
|------|-------------|
| db_owner | Has all permissions for the database. |
| db_accessadmin | Can add or remove login IDs for the database. |
| db_securityadmin | Can manage object permissions, database permissions, roles, and role memberships. |
| db_ddladmin | Can issue all DDL statements except GRANT, REVOKE, and DENY. |
| db_datawriter | Can insert, delete, or update data from any user table in the database. |
| db_datareader | Can select data from any user table in the database. |
| db_denydatawriter | Can't insert, delete, or update data from any user table in the database. |
| db_denydatareader | Can't select data from any user table in the database. |
| db_backupoperator | Can back up the database and run consistency checks on the database. |

## Description

- The *fixed database roles* are added to each database you create. You can add and delete members from these roles, but you can't delete the roles.

- You use the ALTER ROLE statement to assign a user to or remove a user from a database role in the current database. You can also use this statement to change the name of a user-defined database role.

- The database_principal parameter can be the name of a database user, a user-defined database role, or a Windows login or group. If you specify a Windows login or group that doesn't have a corresponding database user, a database user is created.

- The users you specify are assigned to or removed from the role you name in the current database. Because of that, you should be sure to change the database context before executing one of these stored procedures.

- SQL Server also provides a public database role. Any user that's not given specific permissions on a securable is given the permissions assigned to the public role.

- Prior to SQL Server 2012, you could use the ALTER ROLE statement only to change the name of a user-defined database role. To add or drop a role, you used the sp_AddRoleMember or sp_DropRoleMember system stored procedure.

Figure 18-15    How to work with the fixed database roles

## How to work with user-defined database roles

Figure 18-16 presents the two SQL statements you use to create and delete user-defined database roles. If you compare these statements with the statements for creating and deleting user-defined server roles, you'll see that they're almost identical. The only difference is that you can specify a user name or role on the AUTHORIZATION clause of the CREATE ROLE statement to indicate the owner of the role. If you omit this clause, the role is owned by the user who creates it.

The CREATE ROLE statement creates a new role in the current database. The role name you specify on this statement must be unique: It can't be the same as another user-defined database role, fixed database role, or database user name. The first statement in this figure, for example, creates a new role named InvoiceEntry.

To grant permissions to a user-defined database role, you use the GRANT statement. The two GRANT statements in this figure, for example, grant the InvoiceEntry role INSERT and UPDATE permissions to the Invoices and InvoiceLineItems tables in the AP database. (You can assume that AP is the current database for these examples.)

The next two statements in this figure assign two users to the new role. That means that these two users now have the INSERT and UPDATE permissions that were assigned to the role. Then, the next statement assigns the new role as a member of the db_datareader role. This role grants any member of the role permission to select data from any user table in the database. Since the member itself is a role, any member of that role now has permission to select data from the database.

The next statement in this figure uses the ALTER ROLE statement to rename the InvoiceEntry role to InvEntry. Then, the last group of statements deletes the two members from this role and then deletes the role.

As you can see, using roles can significantly simplify security management. If you assign roles as members of other roles, however, managing the various roles and permissions can quickly get out of hand. For example, suppose you added a new table to the AP database. Then, the two users that are members of the InvoiceEntry role would automatically be able to select data from that table because the InvoiceEntry role is a member of the db_datareader role. If that's not what you want, you'd need to remove InvoiceEntry from the db_datareader role and then grant SELECT permission to that role for each of the tables in the database that you want the users to have access to. If you plan to assign roles to other roles, then, you'll want to plan it out carefully to avoid having to redesign the security in the future.

## The syntax of the CREATE ROLE statement

```
CREATE ROLE role_name [AUTHORIZATION owner_name]
```

## The syntax of the DROP ROLE statement

```
DROP ROLE role_name
```

## Statements that work with user-defined database roles

### A statement that creates a new user-defined database role

```
CREATE ROLE InvoiceEntry;
```

### Statements that grant permissions to the new role

```
GRANT INSERT, UPDATE
ON Invoices
TO InvoiceEntry;

GRANT INSERT, UPDATE
ON InvoiceLineItems
TO InvoiceEntry;
```

### Statements that assign users to the new role

```
ALTER ROLE InvoiceEntry ADD MEMBER JohnDoe;
ALTER ROLE InvoiceEntry ADD MEMBER SusanRoberts;
```

### A statement that assigns the new role to a fixed database role

```
ALTER ROLE db_datareader ADD MEMBER InvoiceEntry;
```

### A statement that changes the name of the new role

```
ALTER ROLE InvoiceEntry WITH NAME = InvEntry;
```

### Statements that delete the new role

```
ALTER ROLE InvEntry DROP MEMBER JohnDoe;
ALTER ROLE InvEntry DROP MEMBER SusanRoberts;
DROP ROLE InvEntry;
```

## Description

- You use the CREATE ROLE statement to create a *user-defined database role*. Role names can be up to 128 characters in length and can include letters, symbols, and numbers, but not the backslash (\) character.

- Once you create a database role, you can grant permissions to or revoke permissions from the role. Then, you grant or revoke permissions for every member of the role.

- To add members to a user-defined database role, delete members from the role, or rename the role, you use the ALTER ROLE statement shown in figure 18-15.

- You use the DROP ROLE statement to delete user-defined database roles. You can't delete a fixed database role or the public database role.

- Before you can delete a database role, you must delete all of its members. To find out how to list the members of a role, see figure 18-17.

- The CREATE ROLE and DROP ROLE statements were introduced with SQL Server 2005.

Figure 18-16   How to work with user-defined database roles

# How to display information about database roles and role members

As you might expect, most systems have many database users and many database roles. Some users belong to several roles, and some roles belong to other roles. For this reason, keeping track of security permissions can be a complex task. Since the Management Studio provides an easy way to examine current role settings, most security managers use this tool rather than using Transact-SQL. However, SQL Server provides some system stored procedures that can be helpful for managing database roles. Figure 18-17 presents two of these procedures.

The sp_HelpRole procedure returns information about the database roles defined for the current database. If you code a valid role name as a parameter, this procedure returns information about that one role. Otherwise it returns information about all the roles in the database. That includes both user-defined database roles and fixed database roles. In most cases, you'll use this function just to list the roles in a database, so you'll omit the role name.

The information that's returned by this procedure includes the role name, the role ID, and an indication of whether or not the role is an application role. The role ID is the internal object identification number that's assigned to the role. An application role is a special kind of role that's typically used to provide secure access to an application program rather than a user. You'll learn more about application roles later in this chapter.

The sp_HelpRoleMember stored procedure returns information about the current members of a database role. If you include a role name as a parameter, it returns information about the members of that role. Otherwise, it returns information about the members in all the roles in the current database that have at least one member.

In most cases, the information provided by the sp_HelpRole and sp_HelpRoleMember stored procedures is all you need. If you need additional information, though, you can find it in the sys.database_principals and sys.database_role_members catalog views. These catalog views are similar to the catalog views that you use to get information about server roles and role members as shown in figure 18-14. To learn more about these catalog views, see Books Online.

## How to display database role information

### The syntax for sp_HelpRole

```
sp_HelpRole [[@rolename = ] 'database_role_name']
```

### A statement that lists the roles for the current database

```
EXEC sp_HelpRole;
```

### The response from the system

| | RoleName | RoleId | IsAppRole |
|----|-----------------|--------|-----------|
| 1 | public | 0 | 0 |
| 2 | AppInvoiceQuery | 8 | 1 |
| 3 | InvoiceEntry | 9 | 0 |
| 4 | db_owner | 16384 | 0 |
| 5 | db_accessadmin | 16385 | 0 |
| 6 | db_securityadmin | 16386 | 0 |
| 7 | db_ddladmin | 16387 | 0 |
| 8 | db_backupoperator | 16389 | 0 |
| 9 | db_datareader | 16390 | 0 |
| 10 | db_datawriter | 16391 | 0 |
| 11 | db_denydatareader | 16392 | 0 |
| 12 | db_denydatawriter | 16393 | 0 |

## How to display database role member information

### The syntax for sp_HelpRoleMember

```
sp_HelpRoleMember [[@rolename = ] database_role_name']
```

### A statement that lists the members of the InvoiceEntry role

```
EXEC sp_HelpRoleMember InvoiceEntry;
```

### The response from the system

| | DbRole | MemberName | MemberSID |
|---|--------------|----------------|------------------------------------------------------|
| 1 | InvoiceEntry | ANNE-PC\Anne | 0x0105000000000000515000000131CBDF46E8929BFC27CE0... |
| 2 | InvoiceEntry | JohnDoe | 0xC3EC30A1ADA85B4D86B5359404F5895F |
| 3 | InvoiceEntry | MartinRey | 0xF44B519C9436354BBC351A6EA0BB81AA |

## Description

- To display information about the roles defined in the current database, use the sp_HelpRole system stored procedure. If you don't specify a role name on this procedure, information about all of the roles in the database is returned.

- To display information about the members of a database role, use the sp_HelpRoleMember system stored procedure. If you don't specify the role name on this procedure, information about the members of all of the roles in the database that have at least one member is returned.

- You can display additional information about database roles using the sys.database_principals and sys.database_role_members catalog views. These views are similar to the sys.server_principals and sys.server_role_members views described in figure 18-14.

*Figure 18-17 How to display information about database roles and role members*

# How to deny permissions granted by role membership

A user's permissions include those that are granted explicitly to that user plus permissions that are granted by that user's membership in one or more roles. That means that if you revoke a permission from the user but the same permission is granted by a role to which the user belongs, the user still has that permission. Since this might not be what you want, SQL Server provides a DENY statement that you can use to deny a user permission that's granted by the user's membership in a role. This statement is presented in figure 18-18.

The syntax of the DENY statement is similar to the syntax of the REVOKE statement. To deny object permissions, you specify the permissions you want to deny, the object to which you want to deny permissions, and the users and roles whose permissions you want to deny. To deny permissions to all of the objects in the schema, you specify the permissions you want to deny, the schema that contains the objects to which you want to deny permissions, and the users and roles whose permissions you want to deny. To deny database permissions, you specify the permissions you want to deny and the users and roles whose permissions you want to deny. And to deny server permissions, you specify the permissions you want to deny and the login IDs and roles whose permissions you want to deny.

The two examples in this figure illustrate how this works. The script in the first example adds the user named MartinRey to the InvoiceEntry role. Since InvoiceEntry is a member of the db_datareaders fixed database role, MartinRey can retrieve data from any table in the database. This is illustrated by the successful completion of the SELECT statement that follows, which retrieves data from the GLAccounts table.

The script in the second example uses a DENY statement to deny the user named MartinRey SELECT permission on the GLAccounts table. As a result, when this user executes a SELECT statement against the GLAccounts table, the system responds with an error. That's because the DENY statement specifically denied this user permission to retrieve data from this table even though that permission is granted by the db_datareaders role.

## The syntax of the DENY statement for object permissions

```
DENY permission [, ...]
ON [schema_name.]object_name [(column [, ...])]
TO database_principal [, ...]
[CASCADE]
```

## The syntax of the DENY statement for schema permissions

```
DENY permission [, ...]
ON SCHEMA :: schema_name
TO database_principal [, ...]
[CASCADE]
```

## The syntax of the DENY statement for database permissions

```
DENY permission [, ...]
TO database_principal [, ...]
[CASCADE]
```

## The syntax of the DENY statement for server permissions

```
DENY permission [, ...]
TO server_principal [, ...]
[CASCADE]
```

## A script that assigns membership to the InvoiceEntry role

```
ALTER ROLE InvoiceEntry ADD MEMBER MartinRey;
```

### A SELECT statement entered by the user

```
SELECT * FROM GLAccounts;
```

### The response from the system

| | AccountNo | AccountDescription |
|---|---|---|
| 1 | 100 | Cash |
| 2 | 110 | Accounts Receivable |
| 3 | 120 | Book Inventory |

## A script that denies SELECT permission to GLAccounts

```
DENY SELECT
ON GLAccounts
TO MartinRey;
```

### A SELECT statement entered by the user

```
SELECT * FROM GLAccounts;
```

### The response from the system

```
Server: Msg 229, Level 14, State 5, Line 1
SELECT permission was denied on object 'GLAccounts', database 'AP', schema 'dbo'.
```

## Description

- The permissions granted to individual users are granted by two sources: permissions granted to their user names or login IDs and permissions granted through any roles to which they are members.

- The DENY statement differs from the REVOKE statement in that DENY prevents the permission from being granted by role membership. A denied permission can't be granted by role membership, but a revoked permission can.

Figure 18-18    How to deny permissions granted by role membership

# How to work with application roles

An *application role* is a special kind of user-defined database role. Unlike other roles, you can't assign members to an application role. Instead, you activate the role for a connection. Then, the normal security for the login ID that was used to open the connection is replaced by the security that's specified by the application role.

Figure 18-19 presents SQL statements and system stored procedures for working with application roles. To create a new application role, you use the CREATE APPLICATION ROLE statement. This statement requires a role name and a password. The first statement in this figure, for example, creates an application role named AppInvoiceQuery that has a password of "appqrypw". You can also specify a default schema for an application role.

After you create an application role, you can use it in GRANT, REVOKE, or DENY statements just as you would any other role. The second statement in this figure, for example, grants the application role permission to retrieve data from the Invoices table.

To activate an application role, you execute the sp_SetAppRole procedure. Once activated, the connection is granted the permissions associated with the application role instead of the permissions associated with the login ID. Since the connection takes on an entirely new set of permissions, it's almost as if the user logged off and then logged back on under a different login ID.

You can see how this works in the script in this figure. First, assume that the login ID that was used to log on to the server doesn't have SELECT permission for the Invoices table. For this reason, the first SELECT statement in this script fails and returns an error message. Next, the script activates the AppInvoiceQuery application role. Because this role has permission to select data from the Invoices table, the SELECT statement that follows now succeeds.

Notice that you can also create a cookie when you activate an application role. This cookie is stored in an OUTPUT parameter that must be defined as VARBINARY(8000). If you create a cookie, you can use the sp_UnsetAppRole stored procedure to deactivate the application role. Otherwise, the role remains in effect until the connection is closed.

Application roles are intended for use by application programs that manage their own security. Typically, an application like this will open a limited number of connections to a database and then share those connections among many application users. Then, the application role controls the application's access to the database, and the application controls the users that are allowed to use the connections it establishes.

You can also use application roles to provide for more flexible security. For example, suppose a user needs to access a database both through the Management Studio and through an application. Also suppose that the user needs broader permissions to use the application than you want to give him through the Management Studio. To do that, you could assign the user standard permissions through his login ID and role memberships, and you could give the application enhanced permissions through an application role.

## SQL statements for working with application roles

### The syntax of the CREATE APPLICATION ROLE statement

```
CREATE APPLICATION ROLE role_name WITH PASSWORD = 'password'
    [, DEFAULT_SCHEMA = schema_name]
```

### The syntax of the DROP APPLICATION ROLE statement

```
DROP APPLICATION ROLE role_name
```

## System stored procedures for working with application roles

### The syntax for sp_SetAppRole

```
sp_SetAppRole [@rolename = ] 'role_name',
              [@password = ] 'password'
          [, [@fCreateCookie = ] {True|False}]
          [, [@cookie = ] @cookie OUTPUT]
```

### The syntax for sp_UnsetAppRole

```
sp_UnsetAppRole @cookie
```

## Statements that create an application role and give it permissions

```
CREATE APPLICATION ROLE AppInvoiceQuery WITH PASSWORD = 'appqrypw';

GRANT SELECT
ON Invoices
TO AppInvoiceQuery;
```
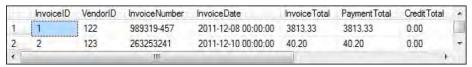
## A script that tests the application role

```
SELECT * FROM Invoices;
EXEC sp_SetAppRole AppInvoiceQuery, appqrypw;
SELECT * FROM Invoices;
```

### The response from the system

```
Server: Msg 229, Level 14, State 5, Line 1
SELECT permission was denied on object 'Invoices', database 'AP', schema 'dbo'.
```

| | InvoiceID | VendorID | InvoiceNumber | InvoiceDate | InvoiceTotal | PaymentTotal | CreditTotal | |
|---|---|---|---|---|---|---|---|---|
| 1 | 1 | 122 | 989319-457 | 2011-12-08 00:00:00 | 3813.33 | 3813.33 | 0.00 | |
| 2 | 2 | 123 | 263253241 | 2011-12-10 00:00:00 | 40.20 | 40.20 | 0.00 | |

## Description

- An *application role* is a special type of user-defined database role. It can't contain any members, but it's activated when a connection executes the sp_SetAppRole system stored procedure.

- Once the connection activates an application role, the normal security for the login ID set by the permissions for the ID and its roles is ignored. Instead, the connection assumes a new security profile as defined by the permissions for the application role.

- Once a connection activates an application role, the application role remains in effect until the connection is closed or until the sp_UnsetAppRole procedure is executed. To use sp_UnsetAppRole, you must create a cookie when you execute sp_SetAppRole.

- Application roles are typically used by application programs that manage their own security. Then, those programs can control the users that can log on to the server.

Figure 18-19   How to work with application roles

# How to manage security using the Management Studio

Now that you understand how SQL Server security works, you're ready to learn how to manage security using the Management Studio. The topics that follow present the basic skills for doing that. If you want to learn additional skills, you shouldn't have any trouble doing that on your own.

## How to work with login IDs
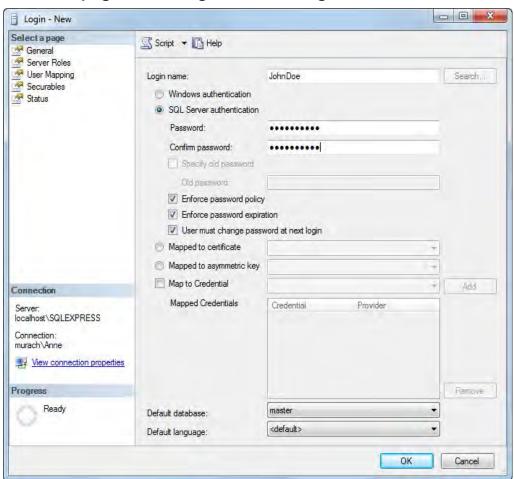
Figure 18-20 presents the General page of the Login - New dialog box. You use this page to specify the basic settings for a new login. To start, you select which type of authentication you want to use. If you select Windows authentication, you can click the Search button to the right of the Login Name box to select a domain and a user or group. Alternatively, you can enter a domain name and a user or group name in the Login Name box.

If you select SQL Server authentication, you must enter the new login name in the Login Name box. In addition, you must enter a password for the user in both the Password and Confirm Password boxes. You can also set the three password options shown here. These options are equivalent to the CHECK_POLICY, CHECK_EXPIRATION, and MUST_CHANGE options that you can include on the CREATE LOGIN statement.

In addition to setting the authentication mode and password options, you can use this page to set the default database and the default language for the user. If you don't select another database, the master database is used. And if you don't select a specific language, the default language for the server is used.

After you create a login ID, you can modify it using the Login Properties dialog box. The General page of this dialog box is almost identical to the General page of the Login - New dialog box shown in this figure. The main difference is that you can't change the type of authentication that's used from this page. To do that, you have to delete the login ID and create a new one with the authentication you want.

The Status page of the Login Properties dialog box lets you grant or deny a login ID permission to connect to SQL Server. It also lets you enable or disable a login ID. Although these options are similar, they differ in how they're implemented.

## The General page of the Login - New dialog box



## Description
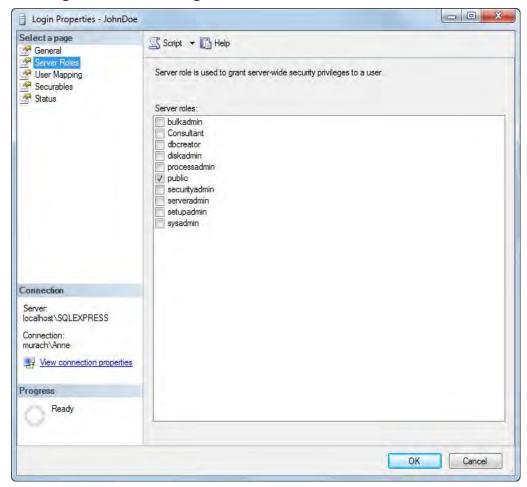
- To create a new login ID, expand the Security folder for the server in the Object Explorer. Then, right-click the Logins folder and select New Login to display the Login - New dialog box.

- Select the type of authentication you want to use and then specify the appropriate settings. The settings that are available depend on whether you select Windows or SQL Server authentication.

- To modify a login ID, right-click the ID in the Object Explorer and select Properties to display the Login Properties dialog box. The General page of this dialog box lets you change all the settings for the login ID except for the login name and the authentication mode.

- You can use the options on the Status page of the Login Properties dialog box to deny or grant a login ID permission to connect to SQL Server. You can also disable or enable a login ID.

- To delete a login ID, right-click the ID in the Object Explorer and select Delete to display the Delete Object dialog box. Click the OK button to delete the login ID.

Figure 18-20    How to work with login IDs

# How to work with the server roles for a login ID

Figure 18-21 shows how to work with the server roles for a specific login ID. To do that, you use the Server Roles page of the Login Properties dialog box. This page lists all of the server roles and lets you select the ones you want the login ID assigned to. Note that this page lists user-defined server roles as well as fixed server roles. In this figure, for example, you can see the user-defined role named Consultant.

## The dialog box for working with server roles



## Description

- To work with the server roles for a login ID, right-click the ID in the Object Explorer and select Properties to display the Login Properties dialog box. Then, display the Server Roles page. The roles that the login ID is currently assigned to are checked in the list that's displayed.
- To add or remove a login ID from a server role, select or deselect the role.

Figure 18-21 How to work with the server roles for a login ID
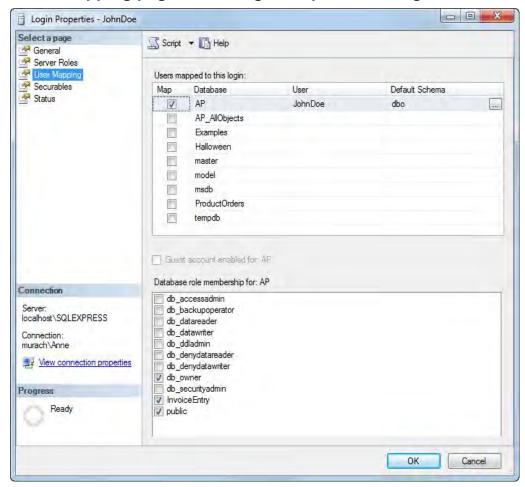
# How to assign database access and roles by login ID

Figure 18-22 presents the User Mapping page of the Login Properties dialog box. This page lists all of the databases on the server and all of the database roles defined for the highlighted database. You can use this dialog box to grant database access to a login ID and to assign a login ID membership in one or more database roles.

To grant or revoke database access, simply select or deselect the check box to the left of the database name. If you grant a login ID access to a database, the name of the associated database user is displayed in the User column, and the default schema for the user is displayed in the Default Schema column. If no user is associated with the login ID, the user name is set to the login name by default and no default schema is specified. If that's not what you want, you can enter a different name for the user and select a schema. Then, when you click the OK button, a new user is created with the name and default schema you specified. This is a quick and easy way to create a user at the same time that you grant database access.

If you want to create a user before granting access to one or more data-bases, you can do that using the Database User - New dialog box. To display this dialog box, expand the database in the Object Explorer, right-click on the Users folder, and select New User.

After you grant a user access to a database, you can add that user as a member of any of the database roles defined for the database. That includes both the fixed database roles and any user-defined roles. To do that, just high-light the database and then select the check boxes to the left of the database roles to add the user to those roles.

## The User Mapping page of the Login Properties dialog box



## How to grant or revoke database access for a user

- To grant or revoke access to a database, display the User Mapping page of the Login Properties dialog box. Then, select or deselect the Map check box for that database.

- If you grant access to a login ID that's not associated with a database user, a user is created automatically when you complete the dialog box. By default, the user name is set to the login ID, but you can change this name in the User column.

- By default, the default schema for a new user is set to dbo. If you want to specify a different schema, click the button with the ellipsis on it in the Default Schema column and select the schema from the dialog box that's displayed.

## How to add or remove a user from a database role

- If the user has access to a database, you can add or remove the user from the database roles for that database. To do that, highlight the database to display the database roles in the lower portion of the dialog box. Then, select or deselect the roles.

Figure 18-22    How to assign database access and roles by login ID

# How to assign user permissions to database objects
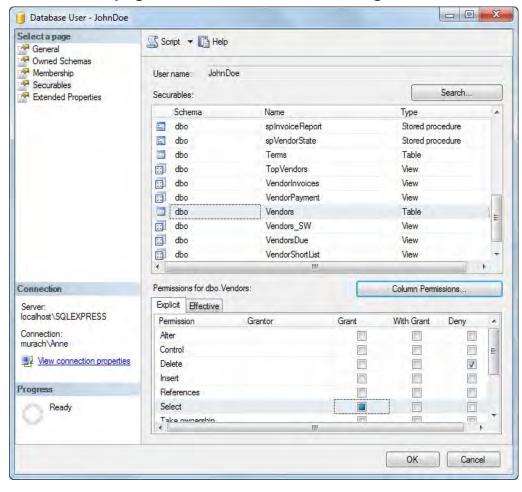
To set the permissions for a user, you use the Securables page of the Database User dialog box shown in figure 18-23. As you can see, the top of this page lists the securables for which you can set permissions. When you first display this page, this list is empty. Then, you can use the Search button to add the securables you want to work with. The dialog box that's displayed when you click this button lets you add specific database objects, all the objects of one or more types, or all the objects in a selected schema. In this figure, all of the objects in the dbo schema are included in the list.

Once you add objects to the list of securables, the permissions for the highlighted securable are displayed in the list at the bottom of the page. Then, to grant the user a permission, you can select the Grant option for that permission. When you do that, you can also select the With Grant option to give the user permission to grant the same permission to other users.

If the user already has permission to an object, you can revoke that permission by deselecting the Grant option. You can also deny the user a permission that's granted by membership in a role by selecting the Deny option for the permission.

If you highlight a table, view, or table-valued function and then highlight the Select, References, or Update permission, you'll notice that the Column Permissions button becomes available. If you click on this button, a dialog box is displayed that lists the columns in that table, view, or function. You can use this dialog box to set the permissions for individual columns.

After you set the explicit permissions for a user from this dialog box, you might want to know what the user permissions are when the explicit permissions are combined with any permissions granted by role membership. To do that, you can highlight a securable and then display the Effective tab. This tab lists the permissions the user has for the securable, including column permissions for a table, view, or table-valued function.

## The Securables page of the Database User dialog box



## Description
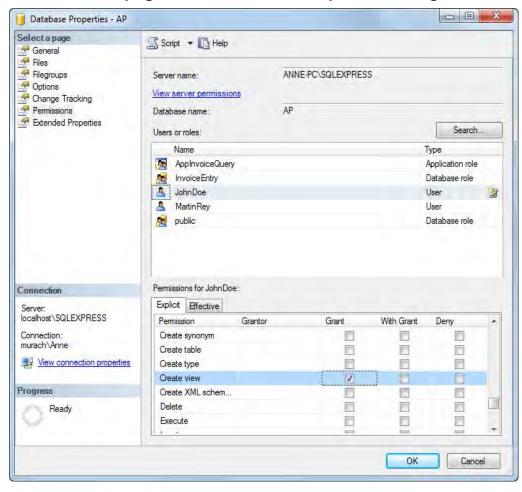
- To display the Database User dialog box, expand the database in the Object Explorer, expand the Security and Users folders, right-click the user name, and select Properties.

- To work with the permissions for a user, display the Securables page. Then, click the Search button and select the securables you want to work with.

- To grant the user permission to a securable, select the securable and then select the Grant option for the permission. You can also select the With Grant option.

- To revoke the user permission to a securable, deselect the Grant option.

- To deny the user permission to a securable, select the Deny option.

- To set the permissions for the columns in a table, view, or table-valued function, highlight the securable and permission, click the Column Permissions button, and set the permissions in the dialog box that's displayed.

- The permissions that are available change depending on the type of securable that's selected.

- To display the combination of the permissions granted with this dialog box and the permissions granted through roles to a securable, display the Effective tab.

Figure 18-23    How to assign user permissions to database objects

## How to work with database permissions

To work with the database permissions for the users and roles in a database, you use the Permissions page of the Database Properties dialog box shown in figure 18-24. As you can see, this page is similar to the Securables page of the Database User dialog box you saw in figure 18-23. Instead of listing securables, however, it lists users and roles. And instead of listing object permissions, it lists database permissions. Then, you can select a user or role and grant, revoke, or deny permissions to perform specific database operations.

## The Permissions page of the Database Properties dialog box



## Description

- To display the permissions for a database, right-click the database in the Object Explorer, select Properties to display the Database Properties dialog box, and display the Permissions page.

- By default, all database users except for guest are included in the Users or roles list. To add the guest user or any system or user-defined roles, click the Search button and select the users and roles from the dialog box that's displayed.

- To grant a user or role permission to perform a database operation, select the user or role and then select the Grant option for the permission. You can also select the With Grant option to allow the user or role to grant the permission to other users and roles.

- To revoke a user or role permission to perform a database operation, deselect the Grant option.

- To deny the user permission to a database operation, select the Deny option.

Figure 18-24    How to work with database permissions

# Perspective

Although managing security on a server can be complex, SQL Server provides useful tools to simplify the job. In this chapter, you've learned how to manage security for your server and database using both Transact-SQL and the Management Studio. Once you're familiar with both of these techniques, you can use the one that's easiest for the security task at hand.

Unfortunately, the techniques presented in this chapter don't secure your data if a thief is able to steal a hard drive or backup tape that contains the data files for the database. In that case, the thief can attach the data files to a different server and gain access to the data. To close this security hole, you can encrypt the data files and store the encryption key in a different location. That way, even if the data files are stolen, the thief won't be able to open them without the key. In the past, implementing encryption was a complicated process that involved modifying the structure of the database. Starting with SQL Server 2008, however, *transparent data encryption* (*TDE*) makes it easy to encrypt the entire database without affecting existing applications. For more information about TDE, you can refer to Books Online.

# Terms

login ID
permissions
object permissions
schema permissions
database permissions
server permissions
role
group
principal
securable
authentication mode
Windows authentication
SQL Server authentication
strong password
scope qualifier
fixed role
fixed server role
user-defined server role
fixed database role
user-defined database role
application role

## Exercises

1. Write a script that creates a user-defined database role named PaymentEntry in the AP database. Give UPDATE permission to the new role for the Invoices table, UPDATE and INSERT permission for the InvoiceLineItems table, and SELECT permission for all user tables.

2. Write a script that (1) creates a login ID named "AAaron" with the password "aaar9999"; (2) sets the default database for the login to the AP database; (3) creates a user named "Aaron" for the login; and (4) assigns the user to the PaymentEntry role you created in exercise 1.

3. Write a script that creates four login IDs based on the contents of a new table called NewLogins:

```
CREATE TABLE NewLogins
(LoginName varchar(128));
INSERT NewLogins
VALUES ('BBrown'), ('CChaplin'), ('DDyer'), ('EEbbers');
```

   Use dynamic SQL and a cursor to perform four actions for each row in this table: (1) create a login with a temporary password based on the first four letters of the login name followed by "9999"; (2) set the default database to the AP database; (3) create a user for the login with the same name as the login; and (4) assign the user to the PaymentEntry role you created in exercise 1.

4. Using the Management Studio, create a login ID named "FFalk" with the password "ffal9999," and set the default database to the AP database. Then, grant the login ID access to the AP database, create a user for the login ID named "FFalk", and assign the user to the PaymentEntry role you created in exercise 1.

   Note: If you get an error that says "The MUST_CHANGE option is not supported", you can deselect the "Enforce password policy" option for the login ID.

5. Write a script that removes the user-defined database role named PaymentEntry. (Hint: This script should begin by removing all users from this role.)

6. Write a script that (1) creates a schema named Admin, (2) transfers the table named ContactUpdates from the dbo schema to the Admin schema, (3) assigns the Admin schema as the default schema for the user named Aaron that you created in exercise 2, and (4) grants all standard privileges except for REFERENCES and ALTER to AAaron for the Admin schema.

# How to work with XML

In this chapter, you'll learn how to use SQL Server to work with XML data.
That includes using the xml data type, using an XML schema to validate the
data that's stored in an xml type, and converting relational data to XML and
XML to relational data. Many of the features presented in this chapter were
introduced with SQL Server 2005, and they make it easier to work with XML.

# An introduction to XML

Before you learn how to use SQL Server to work with XML, you need to understand some basic XML concepts. In particular, you need to understand how XML can be used to structure data, and you need to understand how an XML schema can be used to validate XML data.

## An XML document

XML (*Extensible Markup Language*) can be used to create an *XML document* that contains data that has been structured with *XML tags*. For example, figure 19-1 shows an XML document that contains data about an event that caused a DDL trigger to fire. You learned how to return an XML document like this one in chapter 15 using the EVENTDATA function within a DDL trigger.

Within an XML document, an *element* begins with a *start tag* and ends with an *end tag*. In this figure, for example, the <EventType> tag marks the start of the EventType element, and the </EventType> tag marks the end of this element.

Within a start tag, one or more *attributes* can be coded. In this figure, for example, the start tag for the SetOptions element contains five attributes: ANSI_NULLS, ANSI_NULL_DEFAULT, ANSI_PADDING, QUOTED_IDENTIFIER, and ENCRYPTED. Here, each attribute consists of an attribute name, an equal sign, and a string value in quotes.

Although values can be assigned to attributes, a value can also be coded between the start and end tags for an element. That's the case with most of the elements in this figure. The EventType element, for example, contains the string value "CREATE_TABLE."

Elements can also contain other elements. An element that's contained within another element is known as a *child element*. Conversely, the element that contains a child element is known as the child's *parent element*. In this figure, for example, the SetOptions element is a child element of the TSQLCommand element, and the TSQLCommand element is the parent element of the SetOptions element. Although it's not shown here, a child element can also repeat within a parent element.

The highest-level element in an XML document is known as the *root element*. In this figure, the EVENT_INSTANCE element is the root element. A well-formed XML document can have only one root element.

## An XML document

```
<EVENT_INSTANCE>
  <EventType>CREATE_TABLE</EventType>
  <PostTime>2012-05-18T09:46:40.773</PostTime>
  <SPID>55</SPID>
  <ServerName>JOEL3\SQLEXPRESS</ServerName>
  <LoginName>JOEL3\Joel Murach</LoginName>
  <UserName>dbo</UserName>
  <DatabaseName>AP</DatabaseName>
  <SchemaName>dbo</SchemaName>
  <ObjectName>VendorsTest</ObjectName>
  <ObjectType>TABLE</ObjectType>
  <TSQLCommand>
    <SetOptions ANSI_NULLS="ON" ANSI_NULL_DEFAULT="ON" ANSI_PADDING="ON"
        QUOTED_IDENTIFIER="ON" ENCRYPTED="FALSE" />
    <CommandText>
        CREATE TABLE VendorsTest
        (VendorID int, VendorName varchar(50))
    </CommandText>
  </TSQLCommand>
</EVENT_INSTANCE>
```

## Description

- *XML* (*Extensible Markup Language)* is used to structure data using *XML tags*. An XML tag begins with < and ends with >.

- An *XML document* contains data that has been structured with XML tags.

- An *element* begins with a *start tag* and ends with an *end tag*. The start tag provides the name of the element and can contain one or more *attributes*. An attribute consists of an attribute name, an equal sign, and a string value in quotes. The end tag repeats the name, prefixed with a slash (/).

- Text can also be coded between an element's start and end tags. This text is referred to as the element's *content*.

- Elements can contain other elements. An element that's contained within another element is known as a *child element*. The element that contains a child element is known as the child's *parent element*. Child elements can also repeat within a parent element.

- The highest-level element in an XML document is known as the *root element*. An XML document can have only one root element.

- The EVENTDATA function described in chapter 15 returns an xml data type that contains an XML document like the one shown in this figure.

Figure 19-1    An XML document

## An XML schema

The *XML Schema Definition (XSD)* language can be used to define an *XML schema*, which is a set of rules that an XML document must follow to be valid. Although there are several languages for defining XML schemas, the XSD language is the only one that you can use with SQL Server. Figure 19-2 shows an XML schema that can be used to validate the XML document shown in figure 19-1. In addition, you may notice that the schema itself is an XML document.

To start, this XML schema uses the schema element to specify some attributes that apply to the entire schema. That includes the xmlns attribute that defines the prefix for the XML namespace that's used throughout the rest of the schema to qualify the name of each element. Then, this schema defines each of the elements and attributes that are used by the XML document shown in figure 19-1. This definition specifies whether an element can contain other elements, the sequence of the elements, and the name and data type of each element.

Unfortunately, the details of the XSD language are beyond the scope of this book. As a result, the examples in this chapter only use the XML schema presented in this figure. Fortunately, if you're working with an industry standard XML document, an XSD has probably already been created for it. As a result, you may be able to get the XSD from a colleague, or you may be able to find the XSD by searching the Internet.

If you need to create an XML schema for an XML document, you can use the Management Studio's XML Editor to generate one as described in figure 19-4. Then, if necessary, you can edit the generated XML schema so it's appropriate for your XML document. To do that, however, you may need to learn more about the XSD language by searching the Internet or by getting a book about working with XML.

## An XML Schema Definition (XSD)

```xml
<?xml version="1.0" encoding="utf-8"?>
<xs:schema attributeFormDefault="unqualified"
elementFormDefault="qualified"
xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="EVENT_INSTANCE">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="EventType" type="xs:string" />
        <xs:element name="PostTime" type="xs:dateTime" />
        <xs:element name="SPID" type="xs:unsignedByte" />
        <xs:element name="ServerName" type="xs:string" />
        <xs:element name="LoginName" type="xs:string" />
        <xs:element name="UserName" type="xs:string" />
        <xs:element name="DatabaseName" type="xs:string" />
        <xs:element name="SchemaName" type="xs:string" />
        <xs:element name="ObjectName" type="xs:string" />
        <xs:element name="ObjectType" type="xs:string" />
        <xs:element name="TSQLCommand">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="SetOptions">
                <xs:complexType>
                  <xs:attribute name="ANSI_NULLS"
                      type="xs:string" use="required" />
                  <xs:attribute name="ANSI_NULL_DEFAULT"
                      type="xs:string" use="required" />
                  <xs:attribute name="ANSI_PADDING"
                      type="xs:string" use="required" />
                  <xs:attribute name="QUOTED_IDENTIFIER"
                      type="xs:string" use="required" />
                  <xs:attribute name="ENCRYPTED"
                      type="xs:string" use="required" />
                </xs:complexType>
              </xs:element>
              <xs:element name="CommandText" type="xs:string" />
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

## Description

- The *XML Schema Definition (XSD)* language can be used to define an *XML schema*, which is a set of rules that an XML document must follow to be valid.

- You may be able to find an XSD for some types of XML documents by searching the Internet. If not, you can use the Management Studio's XML Editor to generate an XSD for an XML document as described in figure 19-4. The details for working with the XSD language are beyond the scope of this book.

- SQL Server can use the XML schema shown in this figure to validate the XML document shown in figure 19-1.

---

Figure 19-2    An XML schema

# How to work with the xml data type

Now that you understand how XML works, you're ready to learn how to work with the xml data type. To get started quickly, the following topics show how to use the xml type without an XML schema. Since that means that the data that's stored in the xml type isn't validated, this data is known as *untyped XML*.

## How to store data in the xml data type

Figure 19-3 shows how to store XML data in the xml type. For the most part, these examples show that you can use the xml data type just as you use most other SQL Server data types. In particular, you can use it as the type for a column in a table or a variable in a script, procedure, function, or trigger.

The first example shows how you can use the xml type to specify the data type for a column in a table. Here, the CREATE TABLE statement is used to create a table named DDLActivityLog that has two columns. The first column, named EventID, is an identity column that stores an int value. The second column, named EventData, stores XML data.

The second example shows a DDL trigger that inserts XML data into the second column of the DDLActivityLog table. Since a trigger like this one was described in figure 15-21, you should understand that this trigger fires any time a CREATE TABLE or DROP TABLE statement is executed on the current database. After the AS keyword, the first statement declares a variable named @EventData with the xml type. Then, the second statement uses the EVENTDATA function to store an XML document like the one shown in figure 19-1 in the @EventData variable. Finally, the third statement uses an INSERT statement to insert a row with the data in the @EventData variable into the DDLActivityLog table.

The third example shows a CREATE TABLE statement that fires the trigger in the second example. This statement creates a table named VendorsTest that has two columns.

The fourth example shows a SELECT statement that retrieves all rows and columns from the DDLActivityLog table. The result set contains a single row that was inserted when the VendorsTest table was created. The first column is the identity value that's automatically generated, and the second column is the XML document that was returned by the EVENTDATA function.

The fifth and sixth examples show two INSERT statements that can be used to insert a row into the DDLActivityLog table. These statements show that SQL Server can implicitly cast a string to the xml type. As a result, when you're working with untyped XML, it's possible to insert any string into the xml type, regardless of whether the string contains well-formed XML. If you want SQL Server to enforce a specified schema for an xml type, you can use the techniques that are described later in this chapter.

## A log table with a column of the xml data type

```
CREATE TABLE DDLActivityLog
(EventID int NOT NULL IDENTITY PRIMARY KEY,
EventData xml NOT NULL);
```

## A trigger that inserts an XML document into the xml column

```
CREATE TRIGGER Database_CreateTable_DropTable
    ON DATABASE
    AFTER CREATE_TABLE, DROP_TABLE
AS
    DECLARE @EventData xml;
    SELECT @EventData = EVENTDATA();
    INSERT INTO DDLActivityLog VALUES (@EventData);
```

## A CREATE TABLE statement that fires the trigger

```
CREATE TABLE VendorsTest
(VendorID int, VendorName varchar(50));
```

## A SELECT statement that retrieves data from the table

```
SELECT * FROM DDLActivityLog;
```

### The result set

| | EventID | EventData |
|---|---|---|
| 1 | 1 | <EVENT_INSTANCE><EventType>CREATE_TABLE</EventType><PostTime>2012-05-21T12:06:35.... |

## An INSERT statement that inserts a row into the table

```
INSERT INTO DDLActivityLog VALUES ('<root><element1>test</element1></root>');
```

## Another INSERT statement that inserts a row into the table

```
INSERT INTO DDLActivityLog VALUES ('this is not xml');
```

## Description

- You can use the xml data type just as you use most other SQL Server data types. In particular, you can use it as the type for a column in a table or a variable in a script, procedure, function, or trigger.

- Within a DDL trigger, the EVENTDATA function returns an XML document like the one shown in figure 19-1.

- The xml type is implicitly cast to a string when necessary and a string is implicitly cast to the xml type.

Figure 19-3    How to store data in the xml data type

# How to work with the XML Editor

When you use the Query Editor to run a query that returns an xml type, the Management Studio displays the result set as it normally does. However, the XML data is displayed in blue with underlining to indicate that it is a link. If you want to view the complete XML data, you can click on this link. Then, the XML data will be displayed in the Management Studio's *XML Editor* as shown in figure 19-4.

Although the XML Editor works much like the Query Editor, its IntelliSense and color coding are designed to work with XML, and it has an XML menu instead of a Query menu. In addition, you can use the tree that's displayed to the left of the XML to collapse or expand parent elements. As a result, it's easy to edit the XML whenever that's necessary.
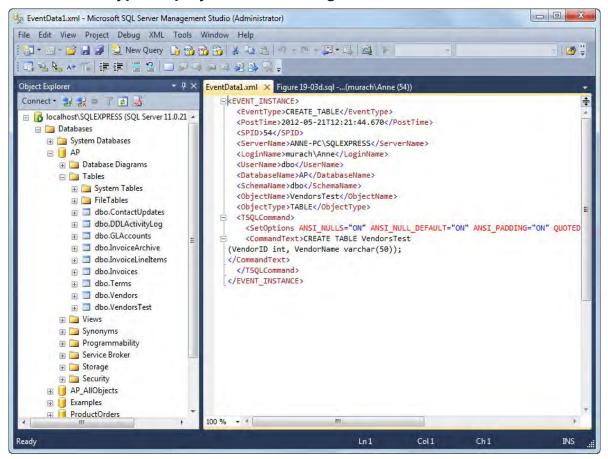
Once you display an XML document in the XML Editor, you can generate an XML schema for the document by selecting the Create Schema command from the XML menu. When you do, the XML Editor will generate the XSD for the current XML document and display it in a new window. Then, you can add this schema to the database so you can use it to validate other instances of the current XML document. You'll learn how to add an XML schema to a database later in this chapter.

You can also use the XML Editor to work with existing XML files and related files such as XSD files. To do that, just use the Management Studio to open these files. When you do, the Management Studio will automatically display the file in the XML Editor.

## A result set that returns XML data

| | EventID | EventData |
|---|---|---|
| 1 | 1 | &lt;EVENT_INSTANCE&gt;&lt;EventType&gt;CREATE_TABLE&lt;/EventType&gt;&lt;PostTime&gt;2012-05-21T12:06:35.... |

## An xml data type displayed in the Management Studio's XML Editor



## Description

- To view XML in the *XML Editor*, run a query that returns the XML that you want to view and then click on the cell that contains the XML. When you do, the XML will be displayed in the XML Editor, and the XML menu will replace the Query menu.

- To create an XML Schema Definition for an XML document, display the XML document in the XML Editor and then select the Create Schema command from the XML menu.

Figure 19-4 How to work with the XML Editor

# How to use the methods of the xml data type

After you store data in the xml type, you can use the five *methods* shown in figure 19-5 to work with that data. In case you're not familiar with methods, you can use them to perform operations on objects. In this case, you can use them to perform operations on an xml data type. To call a method for an xml type, you code the column or variable name that holds the type, followed by a period, followed by the name of the method, followed by a set of parentheses. Within the parentheses, you code the arguments that are required for the method.

Of the five methods shown here, four take a string argument that specifies an *XQuery*. XQuery is a language that's designed to query an XML document. The only method that doesn't take an XQuery string as an argument is the modify method. This method takes a string argument that specifies an *XML Data Manipulation Language* (*XML DML*) statement. XML DML is a language that's designed to insert, update, or delete nodes from an XML document.

The first example shows how to use the query method to return an xml type that contains the SetOptions element. To accomplish this, the XQuery argument specifies the root element of the XML document, followed by the TSQLCommand element, followed by the SetOptions element. Note that since the TSQLCommand element contains a single SetOptions element, it's not necessary to code an attribute number. Because of that, you don't need to enclose the XQuery path in parentheses. However, if two or more SetOptions elements were included within the TSQLCommand element and you wanted to retrieve only the first element, you could do that by enclosing the XQuery path in parentheses and using square brackets to specify the element number like this:

```
'(/EVENT_INSTANCE/TSQLCommand/SetOptions)[1]'
```

You can use the same coding technique with attributes, although a well-formed XML document shouldn't have more than one attribute with the same name.

The second example shows how to use the exist method to return an int value that indicates whether the element or attribute specified by the XQuery exists and contains data. To start, this example declares a variable of the xml type and uses a SELECT statement to store an XML document in this variable. Then, it uses an IF statement to check if the variable has an EventType element that contains data. To accomplish this, the IF statement checks if the int value returned by the exist method is equal to 1. If so, it prints a message that indicates that the EventType element exists and contains data.

If you want to check an attribute instead of an element, you can use the same skills. However, you must prefix the name of the attribute with an at sign (@) like this:

```
IF @EventData.exist(
    '/EVENT_INSTANCE/TSQLCommand/SetOptions/@ANSI_NULLS') = 1
```

## The methods of the xml type

| Method | Description |
|---|---|
| **query(**XQuery**)** | Performs an XQuery and returns an xml type that contains the XML fragment specified by the XQuery. |
| **exist(**XQuery**)** | Returns a value of 1 if the XQuery returns a result set. Otherwise, returns a value of 0. |
| **value(**XQuery, SqlType**)** | Performs an XQuery and returns a scalar value of the specified SQL data type. |
| **modify(**XML_DML**)** | Uses an XML DML statement to insert, update, or delete nodes from the current xml type. |
| **nodes(**XQuery**) AS** Table(Column) | Splits the nodes of the current xml data type into rows. You can often use the OPENXML statement described in figure 19-12 to achieve similar results. |

## The simplified XQuery syntax

```
(/rootElement/element1/element2/@attribute)[elementOrAttributeNumber]
```

## A SELECT statement that uses the query method

```
SELECT EventData.query('/EVENT_INSTANCE/TSQLCommand/SetOptions')
    AS SetOptions
FROM DDLActivityLog
WHERE EventID = 1;
```

### The XML data that's returned

```
<SetOptions ANSI_NULLS="ON" ANSI_NULL_DEFAULT="ON" ANSI_PADDING="ON"
    QUOTED_IDENTIFIER="ON" ENCRYPTED="FALSE" />
```

## A script that uses the exist method

```
DECLARE @EventData xml;
SELECT @EventData = EventData
FROM DDLActivityLog
WHERE EventID = 1;

IF @EventData.exist('/EVENT_INSTANCE/EventType') = 1
    PRINT 'The EventType element exists and contains data.';
```

### The response from the system

```
The EventType element exists and contains data.
```

## Rules for coding an XQuery

- Start each XQuery with a front slash (/).
- Use a front slash (/) to separate elements and attributes.
- Use an at symbol (@) to identify attributes.
- When necessary, use square brackets ([]) to specify an element or attribute instance.
- If you specify an element or attribute instance, you must code parentheses around the path specification for the element or attribute. Otherwise the parentheses are optional.

Figure 19-5     How to use the methods of the xml data type (part 1 of 2)

The third example shows how to use the value method to return the value of the specified element or attribute. To do that, you use the first argument of the value method to specify the XQuery string for the element or attribute. Then, you use the second argument to specify the SQL Server data type that you want to return. In this figure, for example, the varchar data type is used to store the data for the EventType element and for the ANSI_NULLS attribute of the SetOptions element. Since the value method returns a single value, this method requires its XQuery argument to specify the element or attribute number within square brackets, even if the xml type contains only one attribute or element with the specified name. As a result, the XQuery path must be enclosed in parentheses whenever you use the value method.

The fourth example shows how to use the modify method to update the data that's stored in an xml type. To start, this example declares a variable of the xml type and uses a SELECT statement to store an XML document in this variable. Then, it uses a SET statement to replace the value that's stored in the EventType element of the xml variable with a string value of "TEST". To do that, it uses the modify method with an XML DML "replace value of" statement. In addition, it uses the text() function to retrieve the string value that's stored in the EventType element. Finally, a SELECT statement is used to retrieve the modified value of the xml variable. Although you don't typically use a SELECT statement like this, you shouldn't have any trouble understanding how it works.

In addition to the "replace value of" statement, you can use the XML DML insert and delete statements to insert nodes into and delete nodes from an xml type. To learn more about these statements, you can begin by looking up "modify() Method (xml data type)" in Books Online. Then, you can follow the links to learn more about the XML DML statements.

Although you can use the modify method to modify the data that's stored in an xml data type, you should avoid extensive use of this method whenever possible. Instead, you should consider storing the data in one or more tables. Then, you can use the DML statements that are available from SQL to insert, update, and delete the data in those tables.

## A SELECT statement that uses the value method

```
SELECT
    EventData.value(
        '(/EVENT_INSTANCE/EventType)[1]',
        'varchar(40)') AS EventType,
    EventData.value(
        '(/EVENT_INSTANCE/TSQLCommand/SetOptions/@ANSI_NULLS)[1]',
        'varchar(40)') AS ANSI_NULLS_SETTING
FROM DDLActivityLog
WHERE EventID = 1;
```

### The result set

| | EventType | ANSI_NULLS_SETTING |
|---|---|---|
| 1 | CREATE_TABLE | ON |

## A SET statement that uses the modify method

```
DECLARE @EventData xml;
SELECT @EventData = EventData
FROM DDLActivityLog
WHERE EventID = 1;

SET @EventData.modify
('replace value of (/EVENT_INSTANCE/EventType/text())[1] with "TEST"');

SELECT @EventData AS ModifiedEventData;
```

### The result set

| | ModifiedEventData |
|---|---|
| 1 | <EVENT_INSTANCE><EventType>TEST</EventType><PostTime>2012-05-21... |

## Description

- *XQuery* is a language that's designed to query an XML document.
- *XML Data Manipulation Language* (*XML DML*) is a language that's designed to insert, update, or delete nodes from an XML document.

Figure 19-5    How to use the methods of the xml data type (part 2 of 2)

## An example that parses the xml data type

If you use an xml type to define a column, you may occasionally need to use the value method to parse the xml type into a relational result set as shown in figure 19-6. The code in this figure starts by using a SELECT statement to retrieve the EventID column of the DDLActivityLog table. Then, this code uses the value method to retrieve the values of four elements from the EventData column. Here, the value method specifies the smalldatetime type for the PostTime element, and it specifies the varchar type for the EventType, LoginName, and ObjectName elements.

In addition, the WHERE clause uses the value method to specify that the SELECT statement should only return rows where the value stored in the EventType element is equal to "DROP_TABLE". In this figure, for example, the result set shows three rows that meet that condition. However, the result set that's displayed by your system may be different, depending on how many tables you have dropped since creating the trigger described in figure 19-3.

## An example that parses an xml column into a relational result set

```
SELECT
    EventID,
    EventData.value('(/EVENT_INSTANCE/EventType)[1]', 'varchar(40)')
        AS EventType,
    EventData.value('(/EVENT_INSTANCE/PostTime)[1]', 'smalldatetime')
        AS PostTime,
    EventData.value('(/EVENT_INSTANCE/LoginName)[1]', 'varchar(40)')
        AS LoginName,
    EventData.value('(/EVENT_INSTANCE/ObjectName)[1]', 'varchar(40)')
        AS ObjectName
FROM DDLActivityLog
WHERE
    EventData.value('(/EVENT_INSTANCE/EventType)[1]', 'varchar(40)')
        = 'DROP_TABLE';
```

## The result set

| | EventID | EventType | PostTime | LoginName | ObjectName |
|---|---|---|---|---|---|
| 1 | 2 | DROP_TABLE | 2012-05-21 14:12:00 | murach\Anne | VendorsTest |
| 2 | 4 | DROP_TABLE | 2012-05-21 14:12:00 | murach\Anne | VendorsTest |
| 3 | 6 | DROP_TABLE | 2012-05-21 14:12:00 | murach\Anne | VendorsTest |

## Description

- You can use the value method to parse an xml data type that has been stored in a database into multiple columns.

Figure 19-6     An example that parses the xml data type

## Another example that parses the xml data type

If you find that you often need to write code like the code shown in figure 19-6, you might want to consider parsing the XML data before you store it in the database. Then, you can store the data in the database as relational data, and you can use SQL to query the database as shown in figure 19-7. Before you do that, though, you must determine the structure of the table or tables that will store the XML data, and you must create those tables.

The examples in this figure illustrate how this works. To start, the code in the first example creates a table named DDLActivityLog2 that contains five columns. Of these five columns, the last four get their data from the XML document that's returned by the EVENTDATA function.

Then, the code in the second example creates a trigger named Database_CreateTable_DropTable2. This trigger starts by using the EVENTDATA function to return an XML document that describes the event that caused the trigger to fire. Then, it uses the value method to insert the values for the EventType, PostTime, LoginName, and ObjectName elements into the DDLActivityLog2 table.

Finally, the last example uses a SELECT statement to return all rows where the EventType column contains a value of "DROP_TABLE." If you compare this SELECT statement with the one in figure 19-6, you'll see that it's shorter and easier to write. If necessary, it would also be efficient and easy to create a join between this table and another table on any of the columns in this table. In contrast, it would require more complex code to join the DDLActivityLog table shown in figure 19-3 to another table, and the join wouldn't run as efficiently. As a result, if you frequently need to query an xml type, and you need to join the xml type with other tables, you should probably parse the XML data before you store it in the database as shown in this figure.

## A log table that doesn't use the xml data type

```
CREATE TABLE DDLActivityLog2
(
    EventID int NOT NULL IDENTITY PRIMARY KEY,
    EventType varchar(40) NOT NULL,
    PostTime smalldatetime NOT NULL,
    LoginName varchar(40) NOT NULL,
    ObjectName varchar(40) NOT NULL
);
```

## A trigger that parses XML data and inserts it into the table

```
CREATE TRIGGER Database_CreateTable_DropTable2
    ON DATABASE
    AFTER CREATE_TABLE, DROP_TABLE
AS
    DECLARE @EventData xml;
    SELECT @EventData = EVENTDATA();
    INSERT INTO DDLActivityLog2 VALUES
    (
        @EventData.value('(/EVENT_INSTANCE/EventType)[1]', 'varchar(40)'),
        @EventData.value('(/EVENT_INSTANCE/PostTime)[1]', 'varchar(40)'),
        @EventData.value('(/EVENT_INSTANCE/LoginName)[1]', 'varchar(40)'),
        @EventData.value('(/EVENT_INSTANCE/ObjectName)[1]', 'varchar(40)')
    );
```

## A SELECT statement that retrieves data from the table

```
SELECT * FROM DDLActivityLog2
WHERE EventType = 'DROP_TABLE';
```

### The result set

| | EventID | EventType | PostTime | LoginName | ObjectName |
|---|---------|-----------|----------|-----------|------------|
| 1 | 1 | DROP_TABLE | 2012-05-21 14:17:00 | murach\Anne | VendorsTest |
| 2 | 3 | DROP_TABLE | 2012-05-21 14:18:00 | murach\Anne | VendorsTest |
| 3 | 5 | DROP_TABLE | 2012-05-21 14:18:00 | murach\Anne | VendorsTest |

## Description

- You can use the value method to parse an xml data type into multiple columns before you store it in the database.

Figure 19-7    Another example that parses the xml data type

# How to work with XML schemas

Now that you understand the basics for working with the xml data type, you're ready to learn how to use an XML schema with this data type. Since an XML schema validates the data that's stored in an xml type, this data is known as *typed XML.*

## How to add an XML schema to a database

Before you can use an XML schema with the xml data type, you must add the XML Schema Definition to the database. To do that, you can use the CREATE XML SCHEMA COLLECTION statement. In figure 19-8, for example, this statement is used to add the XML schema presented in figure 19-2 to the database. Although this looks like a lot of code at first glance, most of it is the string that defines the XML schema. As I've already mentioned, you may be able to get this information from a colleague or from the Internet. Or, if you have a document that the schema will be based on, you can use the XML Editor to generate the XML schema.

The first two lines of code contain the CREATE XML SCHEMA COLLECTION statement. The first line specifies EventDataSchema as the name of the schema. Since the optional database schema name isn't specified, the XML schema will be stored in the default database schema (dbo). Then, the second line contains the AS clause that indicates that the expression that follows will specify the XML schema. This expression can be coded as a string literal as shown in this figure or as a variable of the varchar, nvarchar, or xml types.

When you use the CREATE XML SCHEMA COLLECTION statement, you're actually adding the XML schema to a collection of XML schemas. In other words, you can add multiple XML schemas to the same collection. However, for this to work correctly, you must add a targetNamespace attribute to the XML schema that uniquely identifies each schema. Since this is more complicated than using one XML schema per collection, it's a common practice to only store one XML schema per collection as shown in this figure. In that case, you can think of the collection and the XML schema as being the same database object.

After you add a schema to a database, you can see it in the Object Explorer of the Management Studio. To do that, just expand the Programmability, Types, and XML Schema Collections folders. Then, you can use the menu that's displayed when you right-click on a schema to create scripts for creating and dropping the schema, to display the dependencies for the schema, and to delete the schema. This works just like it does for other database objects.

## The syntax for the CREATE XML SCHEMA COLLECTION statement

```
CREATE XML SCHEMA COLLECTION [database_schema_name.]xml_schema_name
AS xml_schema_expression
```

## An example that creates a schema

```
CREATE XML SCHEMA COLLECTION EventDataSchema
AS
'
<xs:schema attributeFormDefault="unqualified"
elementFormDefault="qualified"
xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="EVENT_INSTANCE">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="EventType" type="xs:string" />
        <xs:element name="PostTime" type="xs:dateTime" />
        <xs:element name="SPID" type="xs:unsignedByte" />
        <xs:element name="ServerName" type="xs:string" />
        <xs:element name="LoginName" type="xs:string" />
        <xs:element name="UserName" type="xs:string" />
        <xs:element name="DatabaseName" type="xs:string" />
        <xs:element name="SchemaName" type="xs:string" />
        <xs:element name="ObjectName" type="xs:string" />
        <xs:element name="ObjectType" type="xs:string" />
        <xs:element name="TSQLCommand">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="SetOptions">
                <xs:complexType>
                  <xs:attribute name="ANSI_NULLS"
                        type="xs:string" use="required" />
                  <xs:attribute name="ANSI_NULL_DEFAULT"
                        type="xs:string" use="required" />
                  <xs:attribute name="ANSI_PADDING"
                        type="xs:string" use="required" />
                  <xs:attribute name="QUOTED_IDENTIFIER"
                        type="xs:string" use="required" />
                  <xs:attribute name="ENCRYPTED"
                        type="xs:string" use="required" />
                </xs:complexType>
              </xs:element>
              <xs:element name="CommandText" type="xs:string" />
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
';
```

## Description

- Before you can use an XML Schema Definition with the xml data type, you must add the XSD to the database. To do that, you can use the CREATE XML SCHEMA COLLECTION statement.

Figure 19-8    How to add an XML schema to a database

# How to use an XML schema to validate the xml data type

Figure 19-9 shows how to use an XML schema to validate the xml type. To do that, you code the name of the XML schema in parentheses immediately after you specify the xml type for a column or a variable. In this figure, for example, the CREATE TABLE statement specifies the EventDataSchema XML schema that was added to the database in figure 19-8 as the schema for the EventData column. As a result, any time an INSERT statement attempts to insert data into this column, SQL Server will use this XML schema to validate the data. Since this provides a standard way to validate XML data, it is known as *XML validation.*

If the data doesn't conform to the XML schema, SQL Server will raise an appropriate error. This is illustrated by the three INSERT statements in this figure. For example, the first INSERT statement contains a string that doesn't use XML tags. As a result, SQL Server raises an error that indicates that this isn't allowed by the XML schema.

Although the second INSERT statement specifies a well-formed XML document, the tags for this document don't match the tags specified by the XML schema. As a result, SQL Server raises an error that indicates that no declaration was found for the MyRoot element, which is the first element of the XML document that raised an error.

The third INSERT statement also specifies a well-formed XML document. However, this document only contains the first two elements specified by the XML schema. As a result, SQL Server raises an error that indicates that it expected the third element in the XML document, the PostTime element.

Although all of the examples in this figure work with a column in a table, you can also use an XML schema to validate a variable. In the last example in this figure, for instance, you could declare the @CreateTableEvent variable like this:

```
DECLARE @CreateTableEvent xml (EventDataSchema)
```

Then, SQL Server would raise an error like the one shown here when the SET statement in this figure attempted to store the invalid XML data in the variable. In other words, the XML data would be validated before you even attempted to store it in the table.

## The syntax for declaring XML schema validation

```
column_or_variable_name XML ([database_schema_name.]xml_schema_name)
```

## A log table with a column that specifies an XML schema

```
CREATE TABLE DDLActivityLog3
(EventID int NOT NULL IDENTITY PRIMARY KEY,
EventData xml (EventDataSchema) NOT NULL);
```

## An INSERT statement that attempts to insert non-XML data

```
INSERT INTO DDLActivityLog3 VALUES ('this is not xml');
```

### The response from the system

```
Msg 6909, Level 16, State 1, Line 1
XML Validation: Text node is not allowed at this location, the type was
defined with element only content or with simple content.
Location: /
```

## An INSERT statement that attempts to insert XML data whose tags don't match the tags in the schema

```
INSERT INTO DDLActivityLog3
VALUES ('<MyRoot><MyElement>test</MyElement></MyRoot>');
```

### The response from the system

```
Msg 6913, Level 16, State 1, Line 1
XML Validation: Declaration not found for element 'MyRoot'.
Location: /*:MyRoot[1]
```

## An INSERT statement that attempts to insert XML data that doesn't contain all the elements specified by the schema

```
DECLARE @CreateTableEvent xml;
SET @CreateTableEvent = '
<EVENT_INSTANCE>
  <EventType>CREATE_TABLE</EventType>
</EVENT_INSTANCE>
';
INSERT INTO DDLActivityLog3
VALUES (@CreateTableEvent);
```

### The response from the system

```
Msg 6908, Level 16, State 1, Line 2
XML Validation: Invalid content. Expected element(s): PostTime.
Location: /*:EVENT_INSTANCE[1]
```

## Description

- To provide *XML validation*, you can specify an XSD for the xml data type when you use it to define a column or a variable. Then, when you attempt to store data in the xml data type, SQL Server will use the XSD to make sure the data is valid. If the data isn't valid, SQL Server will display an appropriate error message.

---

Figure 19-9    How to use an XML schema to validate the xml data type

## How to view an XML schema

Figure 19-10 shows how to view the XML schemas that have been added to the database. If you know the name of the XML schema, you can use the XML_SCHEMA_NAMESPACE function to return an xml type that contains the XML schema. In this figure, for instance, the first example uses a SELECT statement to return an xml type that contains the EventDataSchema that was added to the database in figure 19-8. Then, you can view this schema in the XML Editor by clicking on the link for the XML schema.

You can also use the sys.xml_schema_collections catalog view to get information about an XML schema. If you don't know the name of an XML schema, for example you can code a query like this:

```
SELECT name FROM sys.xml_schema_collections;
```

Then, you can find the name of the XML schema you want to view and then use the XML_SCHEMA_NAMESPACE function to view it.

## How to drop an XML schema

Figure 19-10 also shows how to drop an XML schema from the database. To do that, you can use the DROP XML SCHEMA COLLECTION statement. In this figure, for instance, the last example drops the EventDataSchema collection. But first, it queries the sys.xml_schema_collections catalog view to make sure that the EventDataSchema collection exists.

Note that you can't drop an XML schema if it's being used by another object. For example, you can't drop a schema if it's being used to validate a column in a table. To do that, you must first alter the table so the column doesn't name the schema.

## The syntax for the XML_SCHEMA_NAMESPACE function

```
XML_SCHEMA_NAMESPACE('database_schema_name', 'xml_schema_name')
```

## A statement that returns the XML schema

```
SELECT XML_SCHEMA_NAMESPACE('dbo', 'EventDataSchema');
```

### The result set



## The syntax for the DROP XML SCHEMA COLLECTION statement

```
DROP XML SCHEMA COLLECTION [database_schema_name.]xml_schema_name
```

## An example that drops a schema

```
IF  EXISTS
    (SELECT * FROM sys.xml_schema_collections
    WHERE name = 'EventDataSchema')
BEGIN
    DROP XML SCHEMA COLLECTION EventDataSchema;
END;
```

## Description

- To view an XML schema that has been added to the database, you can use the XML_SCHEMA_NAMESPACE function.

- To get information about XML schemas that have been added to the database, you can query the sys.xml_schema_collections catalog view.

- To drop an XML schema from the database, you can use the DROP XML SCHEMA COLLECTION statement. You can't drop an XML schema if it's being used by another object.

Figure 19-10    How to view or drop an XML schema

# Two more skills for working with XML

When you work with XML, you may occasionally need to convert it to relational data. Conversely, you may occasionally need to convert relational data to XML. To do that, you use the skills that follow.

## How to use the FOR XML clause of the SELECT statement

If you need to convert relational data that's stored in your database to XML, you can usually accomplish this by using the FOR XML clause of the SELECT statement as shown in figure 19-11. To use this clause, you use the SELECT statement to retrieve the data that you want to convert to XML. Then, you add a FOR XML clause after the SELECT statement to specify how the relational data should be converted to XML.

The first example shows how to use the FOR XML clause with the RAW and ROOT keywords. To start, the SELECT statement uses a join to select the VendorName column from the Vendors table and the InvoiceNumber and InvoiceTotal columns from the Invoices table. In addition, this SELECT statement uses the TOP 5 clause so it only returns 5 rows. Then, the FOR XML clause uses the RAW keyword, which returns one element named row for each row in the result set. In addition, the ROOT keyword is used to specify the name of the root element for the XML document. In this example, the root element is named VendorInvoices. Although the ROOT keyword isn't required, all valid XML documents must have a root element. As a result, if you want to return a complete XML document, you'll need to specify a root element.

The second example is similar, but it uses the AUTO keyword instead of the RAW keyword. The AUTO keyword causes the relationships between the Vendors and Invoices tables to be maintained in the XML document. As a result, one Vendor element is returned for each vendor, one Invoice element is returned for each invoice, and each Invoice element is stored within its related Vendor element. That means that the vendor name isn't repeated for each invoice as in the first example. Notice that to make this work more elegantly, the SELECT statement in this example uses correlation names for the Vendors and Invoices tables to make them singular instead of plural. That way, the data for a vendor is stored in an element named Vendor instead of an element named Vendors, and the data for an invoice is stored in an element named Invoice instead of an element named Invoices.

## The simplified syntax of the FOR XML clause

```
select_statement
FOR XML {RAW|AUTO} [, ROOT ('RootName')] [, ELEMENTS ]
```

## A SELECT statement that uses the RAW keyword

```
SELECT TOP 5 VendorName, InvoiceNumber, InvoiceTotal
FROM Vendors JOIN Invoices
    ON Vendors.VendorID = Invoices.VendorID
ORDER BY VendorName
FOR XML RAW, ROOT ('VendorInvoices');
```

### The XML document that's returned

```
<VendorInvoices>
  <row VendorName="Abbey Office Furnishings"
      InvoiceNumber="203339-13" InvoiceTotal="17.5000" />
  <row VendorName="Bertelsmann Industry Svcs. Inc"
      InvoiceNumber="509786" InvoiceTotal="6940.2500" />
  <row VendorName="Blue Cross"
      InvoiceNumber="547481328" InvoiceTotal="224.0000" />
  <row VendorName="Blue Cross"
      InvoiceNumber="547479217" InvoiceTotal="116.0000" />
  <row VendorName="Blue Cross"
      InvoiceNumber="547480102" InvoiceTotal="224.0000" />
</VendorInvoices>
```

## A SELECT statement that uses the AUTO keyword

```
SELECT TOP 5 VendorName, InvoiceNumber, InvoiceTotal
FROM Vendors AS Vendor JOIN Invoices AS Invoice
    ON Vendor.VendorID = Invoice.VendorID
ORDER BY VendorName
FOR XML AUTO, ROOT ('VendorInvoices');
```

### The XML document that's returned

```
<VendorInvoices>
  <Vendor VendorName="Abbey Office Furnishings">
    <Invoice InvoiceNumber="203339-13" InvoiceTotal="17.5000" />
  </Vendor>
  <Vendor VendorName="Bertelsmann Industry Svcs. Inc">
    <Invoice InvoiceNumber="509786" InvoiceTotal="6940.2500" />
  </Vendor>
  <Vendor VendorName="Blue Cross">
    <Invoice InvoiceNumber="547481328" InvoiceTotal="224.0000" />
    <Invoice InvoiceNumber="547479217" InvoiceTotal="116.0000" />
    <Invoice InvoiceNumber="547480102" InvoiceTotal="224.0000" />
  </Vendor>
</VendorInvoices>
```

## Description

- To specify the name of the root element for the XML document, use the ROOT keyword.
- To return one XML element for each row, use the RAW keyword.
- To automatically parse the rows of the result set so the elements reflect the structure of the tables in the database, use the AUTO keyword.

Figure 19-11     How to use the FOR XML clause of the SELECT statement (part 1 of 2)

As you saw in the first two examples in figure 19-11, the data for each column that's returned by a SELECT statement that includes the FOR XML clause is stored in attributes. However, if you want to store the data for each column in XML elements instead, you can add the ELEMENTS keyword to the FOR XML clause. The third example in this figure, for instance, is identical to the second example except that it includes the ELEMENTS keyword. Although the XML document that's returned by this example is longer than in the previous example, it's also easier to read because it uses elements instead of attributes. In some cases, you may prefer to use this type of XML document.

Most of the time, the skills presented in this figure are all you need to use the FOR XML clause to create XML documents from the relational data in a database. However, several other options are available from this clause that give you even more control over the format of the XML document that's returned by the SELECT statement. For more information, look up the SELECT statement in Books Online and then click on the "FOR Clause" link.

## A SELECT statement that uses the AUTO and ELEMENTS keywords

```
SELECT TOP 5 VendorName, InvoiceNumber, InvoiceTotal
FROM Vendors AS Vendor JOIN Invoices AS Invoice
    ON Vendor.VendorID = Invoice.VendorID
ORDER BY VendorName
FOR XML AUTO, ROOT ('VendorInvoices'), ELEMENTS;
```

## The XML document that's returned

```
<VendorInvoices>
  <Vendor>
    <VendorName>Abbey Office Furnishings</VendorName>
    <Invoice>
      <InvoiceNumber>203339-13</InvoiceNumber>
      <InvoiceTotal>17.5000</InvoiceTotal>
    </Invoice>
  </Vendor>
  <Vendor>
    <VendorName>Bertelsmann Industry Svcs. Inc</VendorName>
    <Invoice>
      <InvoiceNumber>509786</InvoiceNumber>
      <InvoiceTotal>6940.2500</InvoiceTotal>
    </Invoice>
  </Vendor>
  <Vendor>
    <VendorName>Blue Cross</VendorName>
    <Invoice>
      <InvoiceNumber>547481328</InvoiceNumber>
      <InvoiceTotal>224.0000</InvoiceTotal>
    </Invoice>
    <Invoice>
      <InvoiceNumber>547479217</InvoiceNumber>
      <InvoiceTotal>116.0000</InvoiceTotal>
    </Invoice>
    <Invoice>
      <InvoiceNumber>547480102</InvoiceNumber>
      <InvoiceTotal>224.0000</InvoiceTotal>
    </Invoice>
  </Vendor>
</VendorInvoices>
```

## Description

- By default, the data that's stored in a database column is stored in an XML attribute. To store data in XML elements instead, use the ELEMENTS keyword.

- The names that are used for elements and attributes correspond to the names of the tables and columns that are used in the query. However, you can specify correlation names for the tables and columns in your query to modify these names whenever that's necessary.

Figure 19-11    How to use the FOR XML clause of the SELECT statement (part 2 of 2)

# How to use the OPENXML statement

If you need to convert XML data into relational data, you can usually accomplish this by using the OPENXML statement to open the XML data as a result set as shown in figure 19-12. Before you can use the OPENXML statement, you must use the sp_Xml_PrepareDocument procedure to read the XML document into memory and to return a handle to this document. This handle is a unique integer value that SQL Server can use to refer to the XML document that's stored in memory.

Once you execute the sp_Xml_PrepareDocument procedure, you can use the OPENXML statement to open an xml data type as a result set. In this figure, for example, the OPENXML statement opens the XML document shown in part 2 of figure 19-11. Since this statement returns a result set, it's coded in the FROM clause of a SELECT statement.

The OPENXML statement begins by accepting an integer argument that specifies the handle to the XML document that was prepared by the sp_Xml_PrepareDocument procedure. Then, the second argument uses an *XPath* string to identify the XML elements to be processed. XPath is an XML query language similar to XQuery. As a result, you should be able to understand how it works. In this figure, for example, the XPath argument specifies that the table should have one row for each Invoice element in the XML document.

The WITH clause of the OPENXML statement allows you to specify a definition for the table. To start, you specify the name and data type for each column in the table just as you would with a CREATE TABLE statement. Then, you specify an XPath string that identifies the element or attribute in the XML document that contains the data for the column. If necessary, this XPath string can use two dots (..) to navigate back one level in the XML hierarchy. In this figure, for example, the XPath string for the VendorName column uses two dots to navigate from the Invoice element to the Vendor element.

After you use the OPENXML statement, you should use the sp_Xml_RemoveDocument procedure to remove the XML document from memory. This frees system resources and allows SQL Server to run more efficiently.

Although this figure should get you started quickly with the OPENXML statement, it's only intended to be an introduction to this feature. For more information, look up "OPENXML statement" in Books Online.

## The simplified syntax for the OPENXML statement

```
OPENXML (xml_document_handle_int, x_path)
WITH ( table_definition )
```

## The simplified syntax for the sp_Xml_PrepareDocument procedure

```
EXEC sp_Xml_PrepareDocument xml_document_handle_int OUTPUT, xml_document
```

## The simplified syntax for the sp_Xml_RemoveDocument procedure

```
EXEC sp_Xml_RemoveDocument xml_document_handle_int
```

## Code that uses the OPENXML statement to parse XML

```
-- Declare an int variable that's a handle for the internal XML document
DECLARE @VendorInvoicesHandle int;

-- Create an xml variable that stores the XML document
DECLARE @VendorInvoices xml;
SET @VendorInvoices = ' xml from part 2 of 19-11 goes here ';

-- Prepare the internal XML document
EXEC sp_Xml_PrepareDocument @VendorInvoicesHandle OUTPUT, @VendorInvoices;

-- SELECT the data from the table returned by the OPENXML statement
SELECT *
FROM OPENXML (@VendorInvoicesHandle, '/VendorInvoices/Vendor/Invoice')
WITH
(
    VendorName     varchar(50) '../VendorName',
    InvoiceNumber varchar(50) 'InvoiceNumber',
    InvoiceTotal  money       'InvoiceTotal'
);

-- Remove the internal XML document
EXEC sp_Xml_RemoveDocument @VendorInvoicesHandle;
```

### The result set

| | VendorName | InvoiceNumber | InvoiceTotal |
|---|---|---|---|
| 1 | Abbey Office Furnishings | 203339-13 | 17.50 |
| 2 | Bertelsmann Industry Svcs. Inc | 509786 | 6940.25 |
| 3 | Blue Cross | 547479217 | 116.00 |
| 4 | Blue Cross | 547480102 | 224.00 |
| 5 | Blue Cross | 547481328 | 224.00 |

## Description

- Before you can use the OPENXML statement, you must use the sp_Xml_PrepareDocument procedure to read the XML document into memory and return a handle to this document.

- You can use the OPENXML statement to open an xml data type as a result set. In the WITH clause, you can use two dots (..) to navigate back one level in the XML hierarchy.

- After you use the OPENXML statement, you should use the sp_Xml_RemoveDocument procedure to remove the XML document from memory.

Figure 19-12     How to use the OPENXML statement

# Perspective

XML is a useful technology for storing and transferring data, and the features that were introduced with SQL Server 2005 make it easy to work with XML. Of course, SQL Server is a relational database that is primarily designed to work with relational data. As a result, you should think twice before using the xml data type to store XML data in a table.

Instead, whenever possible, you should parse the XML data and store it in one or more tables. That way, you can still use SQL to easily retrieve and update the data. However, if the data is structured in a way that makes it difficult to store in tables, or if you won't need to retrieve or update the data very often, you can use the skills presented in this chapter to store and work with that data.

# Terms

Extensible Markup Language (XML)
XML document
tag
element
start tag
end tag
attribute
content
child element
parent element
root element
XML Schema Definition (XSD)
XML schema
untyped XML
XML Editor
method
XQuery
XML Data Manipulation Language (XML DML)
typed XML
XML validation
XPath

## Exercises

1. Write a SELECT statement that returns an XML document that contains all of the invoices in the Invoices table that have more than one line item. This document should include one element for each of these columns: InvoiceNumber, InvoiceDate, InvoiceTotal, InvoiceLineItemDescription, and InvoiceLineItemAmount. Then, save the XML document that's returned in a file named MultipleLineItems.xml. Finally, generate an XML schema for the file and save it in a file named MultipleLineItems.xsd.

2. Write a script that uses the XML document shown below to update the contact information in the Vendors table.

   ```
   <ContactUpdates>
     <Contact VendorID="4">
       <LastName>McCrystle</LastName>
       <FirstName>Timothy</FirstName>
     </Contact>
     <Contact VendorID="10">
       <LastName>Flynn</LastName>
       <FirstName>Erin</FirstName>
     </Contact>
   </ContactUpdates>
   ```

   To accomplish this, begin by storing this XML document in a variable of the XML type. Then, you can use two UPDATE statements to update the Vendors table.

3. Write a script that returns a result set that contains all of the data stored in the XML document in exercise 2.

4. Write a script that (1) creates a table named Instructions, (2) inserts the XML document shown below into the table, and (3) selects all records from this table. The Instructions table should have two columns. The first column should be an identity column named InstructionsID, and the second column should be an xml column named Instructions.

   ```
   <Instructions>
     <Step>
       <Description>This is the first step.</Description>
       <SubStep>This is the first substep.<SubStep>
       <SubStep>This is the second substep.<SubStep>
     </Step>
     <Step>
       <Description>This is the second step.</Description>
     </Step>
     <Step>
       <Description>This is the third step.</Description>
     </Step>
   </Instructions>
   ```

# How to work with BLOBs

In chapter 8, you were introduced to the data types for working with large
values, including the varbinary(max) data type that's used to work with large
binary values such as images, sound, and video. In this chapter, you'll learn
how to use SQL and a .NET application to work with large binary values,
which are often referred to as binary large objects (BLOBs). Then, you'll learn
how to use a feature known as FILESTREAM storage that was introduced with
SQL Server 2008. This feature provides some enhancements that you can use
to efficiently work with BLOBs that are larger than 1 megabyte.

# An introduction to BLOBs

Figure 20-1 describes the pros and cons of three approaches that you can use for working with large binary values, which are often referred to as *binary large objects* (*BLOBs*).

## Pros and cons of storing BLOBs in files

The first approach is the oldest approach and was commonly used prior to SQL Server 2005. This approach stores a string value in a database column that points to a binary file that's stored on the file system. For an image, for example, you can include a column that includes a string value such as "8601_cover.jpg" that points to a JPG image file. If necessary, you can also store a relative path or absolute path to the file within the database.

There are two advantages to this approach. First, there is no limit on the size of the BLOB unless the file system begins to run out of disk space. Second, the file system provides fast access to the BLOB.

There are also a couple of disadvantages to this approach. First, the BLOB is not backed up as part of the database backup. Second, access to the BLOB is controlled by network permissions, not by database permissions. This can create additional work for the network administrator, and it can lead to data consistency and security issues.

## Pros and cons of storing BLOBs in a column

The second approach was introduced with SQL Server 2005, and it solves the problems of storing a pointer to a file by storing the binary data in a varbinary(max) column within the database. As a result, the binary data is backed up when the database is backed up, and access to this data is controlled by database permissions.

However, this approach has two limitations. First, the binary data must be less than 2 gigabytes (GB). Second, database access is not as fast as file system access. As a result, you can't use this approach if you need to store a BLOB that's larger than 2GB, and you won't want to use it if fast access to your BLOBs is critical to your application.

## When to use FILESTREAM storage for BLOBs

The third approach, which is known as *FILESTREAM storage*, was introduced with SQL Server 2008. This approach overcomes all of the limitations of the first two approaches. However, it also requires more work to set up and to use. As a result, you'll only want to use this approach when most of the BLOBs that you need to store are larger than 1 megabyte (MB) and when fast read access is critical. Otherwise, the second approach usually provides adequate performance without requiring any additional work.

## Three approaches to storing binary data

- Use a varchar column in the database to store a string that points to a file in the file system that contains the binary data.
- Use a varbinary(max) column to store the binary data in the database.
- Use a varbinary(max) column with the FILESTREAM attribute to store the binary data.

## Pros and cons of using a pointer to a binary file

### Pros

- There is no limit on the size of the BLOB.
- The file system provides fast access to the BLOB.

### Cons

- The BLOB is not backed up with the database.
- Access to the BLOB is controlled by network security, not database security.

## Pros and cons of using the varbinary(max) data type

### Pros

- The BLOB is backed up with the database.
- Database security can be used to control access to the BLOB.

### Cons

- The BLOB must be smaller than 2GB.
- Database access is not as fast as a file system access.

## Pros and cons of using FILESTREAM storage (SQL Server 2008 and later)

### Pros

- The BLOB can be larger than 2GB.
- The BLOB access is as fast as file system access.
- The BLOB is backed up with the database.
- Database security can be used to control access to the BLOB.

## When to use FILESTREAM storage

- When most of the BLOBs in the column are larger than 1MB.
- When fast read access is critical to the application.

## Description

- With SQL Server 2008 and later, you can use a feature known as *FILESTREAM storage* to overcome several limitations for working with *binary large objects* (*BLOBs*) that existed in previous versions of SQL Server.
- To be able to use FILESTREAM storage, the drive that stores the files must be in NTFS format.

---

Figure 20-1    An introduction to working with BLOBs

# How to use SQL to work with a varbinary(max) column

Since using a varbinary(max) column is adequate for storing binary data in many situations, this topic shows how to use this approach. To start, figure 20-2 shows how to use SQL to work with a varbinary(max) column of a table.

## How to create a table with a varbinary(max) column

To create a table that has a varbinary(max) column, you can specify varbinary(max) as the data type for a column within a CREATE TABLE statement as shown in this figure. Here, the first column is the primary key for the table. This column stores an ID value for an image, and this value is automatically generated by the database. Then, the second column stores an ID value for a product. This value can be used as a foreign key to relate an image to a product. Finally, the third column uses the varbinary(max) data type to store the binary data for the image.

To keep this example simple, I only included three columns. However, a table like this might include additional columns such as a name for the image.

## How to insert, update, and delete binary data

To work with binary data, you can use INSERT, UPDATE, and DELETE statements just as you would for other types of data. In this figure, for example, the three INSERT statements insert three rows into the ProductImages table. Here, the first statement uses the NULL keyword to insert a NULL value into the ProductImage column. Then, the second statement inserts an integer value of zero. Finally, the third statement uses the CAST function to convert a hexadecimal string to a varbinary(max) value.

Although these statements show how the INSERT statement works, the data that's stored in the ProductImage column is not valid data for an image. To insert valid data, you can use a .NET application like the one shown in the next figure to upload data from the file system to the database.

## How to retrieve binary data

To retrieve binary data, you can use a SELECT statement just as you would for other types of data. In this figure, for example, the SELECT statement selects all columns and rows from the ProductImages table. This shows the data that was inserted by the three INSERT statements. Here, the first row in the ProductImage column stores a NULL value and the next two rows store binary data.

## How to create a table with a varbinary(max) column

```
CREATE TABLE ProductImages
(
    ImageID int PRIMARY KEY IDENTITY,
    ProductID int NOT NULL,
    ProductImage varbinary(max)
);
```

## Three INSERT statements that insert rows into the table

```
INSERT INTO ProductImages VALUES (1, NULL);

INSERT INTO ProductImages VALUES (2, 0);

INSERT INTO ProductImages
VALUES (3, CAST('0123456789ABCDEF' AS varbinary(max)));
```

## A statement that displays the values in the table

```
SELECT * FROM ProductImages;
```

## The result set

| | ImageID | ProductID | ProductImage |
|---|---|---|---|
| 1 | 1 | 1 | NULL |
| 2 | 2 | 2 | 0x00000000 |
| 3 | 3 | 3 | 0x30313233343536373839414243444546 |

## Description

- You can use the varbinary(max) data type for a column that stores binary data.

Figure 20-2     How to create a table and insert binary data

# A .NET application
# that uses a varbinary(max) column

Once you understand how to use SQL to work with binary data that's stored in a varbinary(max) column, you can use a .NET application to write binary data that's in a file to a column in a database. Then, you can use a .NET application to present binary data in a way that's meaningful to the user. In this chapter, for example, you'll learn how to read binary data for an image from a column in the database and display it in a picture box control on a Windows form.

This topic presents a simple application that uses C# to work with BLOBs. All of the principles in this application apply to Visual Basic and the other .NET languages. The differences mainly have to do with the syntax of each language. If you prefer to use Visual Basic, you can download the Visual Basic code for this application from our web site (see appendix B).

If you have some C# programming experience, you shouldn't have much trouble understanding this code. If you don't have C# experience, that's fine too. In that case, you can focus on how this code uses the .NET framework to execute SQL statements against a database. Then, if you want to learn more about writing C# applications, we recommend our current book on C#. And if you want to learn more about using C# to work with a database, we recommend our current book on ADO.NET with C#. For a current list of books, please see www.murach.com.

## The user interface for the application

Figure 20-3 shows the user interface for the Product Image Manager application that's presented in this topic. You can use this application to view the images that have been stored in the database. To do that, you select the ID for the image from the combo box that's displayed at the top of the form. Then, the application displays the image in a picture box control to the right of the combo box.

You can also use this application to upload images from the file system to the database. To do that, you enter a filename for the image, and an ID for the product. In this figure, for example, an image file named "pf02_cover.jpg" with a product ID of 4 has just been added to the database. Note that this only works if the image is stored in the directory that's listed at the bottom of the form.

## The user interface



## Description

- To view an image, select the ID for the image from the combo box.
- To upload an image, enter a filename and a product ID for the image, and click on the Upload button.

Figure 20-3    The user interface for the Product Image Manager application

## The event handlers for the form

Figure 20-4 presents the event handlers for the form. To start, the event handler for the Load event of the form calls the LoadImageIDComboBox method. This method reads all image IDs from the database and adds them to the Image ID combo box. To accomplish this task, this method calls the GetImageIDList method of the ProductDB class that's presented in the next figure. After loading the combo box, the event handler for the Load event calls the event handler for the SelectedIndexChanged event of the combo box. This causes the first image in the database to be displayed on the form when the form is loaded.

The event handler for the SelectedIndexChanged event of the combo box begins by getting the image ID that's selected in the combo box and converting this ID from a string type to an int type. Then, this event handler uses the ReadImage method of the ProductDB class to get an array of bytes for the image. Next, it converts the array of bytes to a MemoryStream object. Finally, it sets the Image property of the picture box on the form to the image that's returned by the static FromStream method of the System.Drawing.Image class. If this event handler encounters an error, it uses a dialog box to display an error message.

The event handler for the Click event of the Upload button begins by getting the product ID that's entered into the Product ID text box on the form and converting this ID from a string type to an int type. Then, it gets the filename from the Filename text box on the form. After that, it uses the WriteImage method of the ProductDB class to write the image from the specified file to the database, and it displays a dialog box to confirm that the image has been successfully uploaded. Finally, this event handler clears all image ID values from the Image ID combo box and calls the private LoadImageIDComboBox method to load this combo box with fresh values that include the ID for the new image that was uploaded. Like the previous event handler, this event handler displays a dialog box that displays an error message if it encounters an error.

Although you can't see it here, you should know that the program.cs file for this application contains code that writes three rows to the ProductImages table if the table doesn't contain any rows. This code is executed before the Load event for the form. That way, the combo box on the form will contain at least three rows when it's first displayed.

## The event handlers for the form

```csharp
private void ImageManagerForm_Load(object sender, EventArgs e)
{
    this.LoadImageIDComboBox();
    imageIDComboBox_SelectedIndexChanged(sender, e);
}

private void LoadImageIDComboBox()
{
    // load the combo box
    List<int> imageIDList = ProductDB.GetImageIDList();
    foreach (int i in imageIDList)
        imageIDComboBox.Items.Add(i);
}

private void imageIDComboBox_SelectedIndexChanged(
    object sender, EventArgs e)
{
    try
    {
        int imageID = Convert.ToInt32(imageIDComboBox.Text);

        // read image bytes from the database and display in picture box
        Byte[] imageByteArray = ProductDB.ReadImage(imageID);
        MemoryStream ms = new MemoryStream(imageByteArray);
        imagePictureBox.Image = System.Drawing.Image.FromStream(ms);
        ms.Close();
    }
    catch (Exception ex)
    {
        MessageBox.Show(this, ex.Message, "Error");
    }
}

private void uploadButton_Click(object sender, EventArgs e)
{
    try
    {
        int productID = Convert.ToInt32(productIDTextBox.Text);
        string filename = filenameTextBox.Text;
        ProductDB.WriteImage(productID, filename);
        MessageBox.Show(this, "Image upload was successful!",
            "Upload Confirmation");

        // refresh combo box
        imageIDComboBox.Items.Clear();
        this.LoadImageIDComboBox();

    }
    catch (Exception ex)
    {
        MessageBox.Show(this, ex.Message, "Error");
    }
}
```

Figure 20-4     The event handlers for the Product Image Manager form

## A data access class
## that reads and writes binary data

Figure 20-5 shows the ProductDB class that's used to read and write binary data from the ProductImage column of the ProductImages table. To start, this class defines a string that points to the directory for the image files that are going to be uploaded into the database. As a result, this class only allows you to upload image files that are stored in this directory. Of course, this class could be enhanced to allow the user to specify the directory for the file that he or she wants to upload.

The static WriteImage method writes the specified image file to the database. To start, this method accepts two parameters. The first parameter is an int value for the product ID that's stored in the same row as the image. The second parameter is a string value that specifies the name of the file.

The body of the WriteImage method begins by reading the specified file into an array of bytes. To start, this code creates a variable named filepath that contains an absolute path that points to the file for the image. Then, the static Exists method of the File class is used to check whether the specified file exists. If it doesn't exist, this code throws an exception and skips directly to the catch block. If the file does exist, this code continues by creating a FileStream object named sourceStream that's used to read the file from the file system into an array of bytes. To do that, this code uses the Read method of the sourceStream object to read an array of bytes from the specified file into a variable named productImage.

After reading the image from the file into an array of bytes, the WriteImage method continues by writing the product ID and image to the database. To do that, this method calls the GetConnection method to get a connection to the database. You'll see the code for this method in just a minute. Next, this method creates a SqlCommand object that contains an INSERT statement like the one shown in figure 20-2. However, instead of hard-coding values, this INSERT statement accepts two parameters: @ProductID and @ProductImage. These parameters are then added to the Parameters collection of the SqlCommand object. The @ProductID parameter is given the value of the productID parameter that was passed to the method, and the @ProductImage parameter is given the value of the image that was retrieved from the file. Finally, this method calls the Open method to open the connection, and it calls the ExecuteNonQuery method to execute the INSERT statement that's stored in the SqlCommand object.

If the method causes an exception to be thrown, the catch block throws the exception again. In most cases, this causes the exception to be caught by one of the catch blocks in the event handlers for the form.

Whether or not this method executes cleanly or throws an exception, the finally block attempts to close the Connection object that was opened. To do that, this code first checks to make sure that the connection object is not null. If it isn't, it closes the open connection.

## The ProductDB class                                                    Part 1

```csharp
using System;
using System.Collections.Generic;
using System.Data.SqlClient;
using System.IO;

namespace MusicStoreImageManager
{
    class ProductDB
    {
        // The directory for the images
        static string imagesPath = "C:/Murach/SQL Server 2012/Images/";

        public static void WriteImage(int productID, string imageName)
        {
            SqlConnection connection = null;
            try
            {
                // 1. Read image from file
                string filepath = imagesPath + imageName;
                if (File.Exists(filepath) == false)
                    throw new Exception("File Not Found: " + filepath);
                FileStream sourceStream = new FileStream(
                    filepath,
                    FileMode.OpenOrCreate,
                    FileAccess.Read);

                int streamLength = (int) sourceStream.Length;
                Byte[] productImage = new Byte[streamLength];
                sourceStream.Read(productImage, 0, streamLength);
                sourceStream.Close();

                // 2. Write image to database
                connection = GetConnection();

                SqlCommand command = new SqlCommand();
                command.Connection = connection;
                command.CommandText =
                    "INSERT INTO ProductImages " +
                    "VALUES (@ProductID, @ProductImage)";
                command.Parameters.AddWithValue("@ProductID", productID);
                command.Parameters.AddWithValue("@ProductImage", productImage);

                connection.Open();
                command.ExecuteNonQuery();
            }
            catch (Exception e)
            {
                throw e;
            }
            finally
            {
                if (connection != null)
                    connection.Close();
            }
        }
```

Figure 20-5     The ProductDB class for varbinary(max) storage (part 1 of 3)

The ReadImage method of the ProductDB class returns an array of bytes for the specified image. To start, this method accepts a single parameter named imageID that's used to specify the image to be read. Then, the body of this method begins by getting a connection to the database. Next, it creates a SqlCommand object that contains a SELECT statement that retrieves the ProductImage column for the specified imageID value. This SELECT statement accepts a single parameter named @ImageID. After creating the SqlCommand object, this method adds a parameter to that object with the value that was passed to the method. Finally, this method opens the connection and calls the ExecuteReader method to execute the SELECT statement that's stored in the SqlCommand object and return a SqlDataReader object.

Once this method has returned the SqlDataReader object, it reads the image that's stored within this object. This is easy because the SqlDataReader object only contains a single row and a single column. In other words the SqlDataReader object only contains the binary data for the specified image. To start, the Read method of the SqlDataReader object is called to move the cursor onto the first and only row in the result set. If the Read method isn't able to move to this row, an exception is thrown and execution skips into the catch block. Otherwise, the SqlDataReader object is used to return the first column in the result set and to convert it to an array of bytes. Finally, this code closes the SqlDataReader object and returns the array of bytes for the image.

**The ProductDB class**                                                          **Part 2**

```csharp
public static Byte[] ReadImage(int imageID)
{
    SqlConnection connection = null;
    try
    {
        connection = GetConnection();

        SqlCommand command = new SqlCommand();
        command.Connection = connection;
        command.CommandText =
            "SELECT ProductImage " +
            "FROM ProductImages " +
            "WHERE ImageID = @ImageID";
        command.Parameters.AddWithValue("@ImageID", imageID);

        connection.Open();
        SqlDataReader reader = command.ExecuteReader();

        Byte[] imageByteArray = null;
        if (reader.Read() == false)
            throw new Exception("Unable to read image.");
        imageByteArray = (Byte[]) reader[0];
        reader.Close();

        return imageByteArray;
    }
    catch (Exception e)
    {
        throw e;
    }
    finally
    {
        if (connection != null)
            connection.Close();
    }
}
```

Figure 20-5    The ProductDB class for varbinary(max) storage (part 2 of 3)

The GetImageIDList method of the ProductDB class reads all image ID values from the database and returns them as a List<int> object. Although this method doesn't contain any code that's used to work with binary values, it is needed by the form for this application.

To start, the body of this method gets a connection. Then, it creates a SqlCommand object that contains a SELECT statement that returns a list of all image IDs that are stored in the database. Since this SELECT statement doesn't contain any parameters, this SqlCommand object is easy to create. Next, it opens the connection and uses the ExecuteReader method of the SqlCommand object to return a SqlDataReader object for the result set.

After the SqlDataReader object has been created, the code uses a while loop to read each image ID value that's stored in the reader object and store it in a List<int> object named imageIDList. Finally, this code closes the reader object and returns the List<int> object.

The static GetConnection method that's used by all three of the other methods in this class returns a SqlConnection object for a SQL Server instance named SqlExpress that's running on the same computer as the ProductDB class. In addition, the code within this method uses the Examples database that's running on the server, and it uses integrated security to connect to this database.

**The ProductDB class**                                                    **Part 3**

```csharp
public static List<int> GetImageIDList()
{
    SqlConnection connection = null;
    try
    {
        connection = GetConnection();

        SqlCommand command = new SqlCommand();
        command.Connection = connection;
        command.CommandText =
            "SELECT ImageID FROM ProductImages " +
            "ORDER BY ImageID";

        connection.Open();
        SqlDataReader reader = command.ExecuteReader();

        List<int> imageIDList = new List<int>();
        while (reader.Read())
        {
            int imageID = (int)reader[0];
            imageIDList.Add(imageID);
        }
        reader.Close();

        return imageIDList;
    }
    catch (Exception e)
    {
        throw e;
    }
    finally
    {
        if (connection != null)
            connection.Close();
    }
}

public static SqlConnection GetConnection()
{
    SqlConnection connection = new SqlConnection();
    connection.ConnectionString =
        "Data Source=localhost\\SqlExpress;" +
        "Initial Catalog=Examples;Integrated Security=True";
    return connection;
}
}
}
```

Figure 20-5    The ProductDB class for varbinary(max) storage (part 3 of 3)

# How to use FILESTREAM storage

Now that you know how to use a varbinary(max) column to store binary data, you're ready to learn how to add FILESTREAM support to a varbinary(max) column. Although adding FILESTREAM support adds complexity to the database and to the applications that work with the binary data in the database, remember that this provides two benefits. First, it lets you store BLOBs that are larger than 2GB. Second, it improves performance, especially for BLOBs that are larger than 1MB.
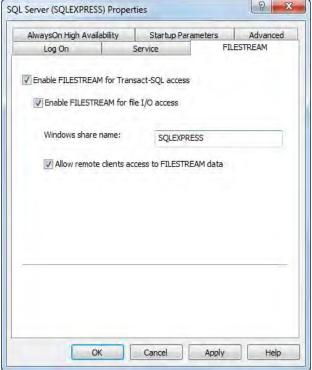
## How to enable FILESTREAM storage on the server

By default, FILESTREAM storage is disabled for the server. As a result, if you want to use FILESTREAM storage, you must enable it for the server as shown in figure 20-6. Here, I enabled all three levels of FILESTREAM access for the only instance of SQL Server that's running on my computer, which is named SQLEXPRESS.

If you want to allow a .NET application to work with the database, you need to allow at least the first two levels. In other words, you need to select the "Enable FILESTREAM for Transact-SQL access" check box, and you need to select the "Enable FILESTREAM for file I/O access" check box. For some applications, that's all you need to do. However, if you want to allow remote clients to access the FILESTREAM data, you'll also need to select the "Allow remote clients access to FILESTREAM data" check box.

After you set the server properties for enabling FILESTREAM storage, you have to set the filestream_access_level server configuration option before you can access FILESTREAM data. To do that, you execute the sp_configure stored procedure as shown in this figure. Here, the access level is set to 2 so FILESTREAM storage can be used from both Transact-SQL and Windows applications. Then, the RECONFIGURE statement applies the new setting to the server instance.

## The FILESTREAM tab of the SQL Server Properties dialog box



## How to enable FILESTREAM storage

1. Start the SQL Server Configuration Manager tool, and select the SQL Server Services node to display the services that are available to your computer.

2. Right-click on the instance of SQL Server that you want to use and select the Properties command to display the Properties dialog box.

3. Select the FILESTREAM tab.

4. Select the "Enable FILESTREAM for Transact-SQL access" check box.

   - If you want to read and write FILESTREAM data from Windows, select the "Enable FILESTREAM for file I/O access" check box and enter the name of the Windows share in the Windows Share Name box.

   - If you want to allow remote clients to access the FILESTREAM data, select the "Allow remote clients access to FILESTREAM data" check box.

5. Select OK.

6. Execute these statements in the Management Studio:

```
EXEC sp_configure filestream_access_level, 2;
RECONFIGURE;
```

7. Use the Configuration Manager to stop and then restart the SQL Service service.

8. Close and reopen the Management Studio.

## Description

- By default, FILESTREAM storage is disabled for the server.

Figure 20-6     How to enable FILESTREAM storage on the server

# How to create a database
# with FILESTREAM storage

Before you can use FILESTREAM storage, you must create a database that provides for it. To do that, you can use the CREATE DATABASE statement as shown in figure 20-7. This CREATE DATABASE statement works somewhat like the CREATE DATABASE statements described in chapter 11, but it also specifies a file group that provides for FILESTREAM storage.

To start, you specify a name for the database, and you specify the primary data file (an mdf file) for the database. In this figure, for example, the statement creates a database named MusicStore with a primary data file named MusicStore.mdf.

To provide for FILESTREAM storage, you must also specify a file group for the files that have FILESTREAM access. To do that, you type a comma after the closing parenthesis for the primary data file. Then, you code the FILEGROUP keyword, followed by a name for the file group. Next, you code the CONTAINS FILESTREAM DEFAULT keywords, followed by a set of parentheses. Within the parentheses, you code a name for the file group directory, and you code a path to the directory that will store the binary files. In this figure, for example, the binary files will be stored in the MusicStore_images directory.

If you prefer using the Management Studio to create a database as described in chapter 12, you can also use that tool to create a database that provides for FILESTREAM storage. In that case, you can use the New Database dialog box to add a FILESTREAM file group. Once you understand the code in this figure, you shouldn't have any trouble doing that.

## How to create a database with FILESTREAM storage

```
CREATE DATABASE MusicStore
ON PRIMARY
(
    NAME = MusicStore,
    FILENAME = 'C:\Murach\SQL Server 2012\Databases\MusicStore.mdf'
),
FILEGROUP FileStreamImages CONTAINS FILESTREAM DEFAULT
(
    NAME = MusicStoreImages,
    FILENAME = 'C:\Murach\SQL Server 2012\Databases\MusicStore_images'
);
```

## Description

- If you want to use the FILESTREAM feature, you must create a database that includes a file group that provides for FILESTREAM storage.

Figure 20-7      How to create a database with FILESTREAM storage

# How to create a table with a FILESTREAM column

Once you've created a database that provides for FILESTREAM storage, you must create a table that provides for FILESTREAM storage as shown in figure 20-8. If you compare this table with the table shown in figure 20-2, you'll see that they're similar. However, the ProductImage column includes the FILESTREAM attribute that enables FILESTREAM storage for this column.

In addition, this table includes a column named RowID. This column stores a *globally unique identifier* (*GUID*), which is a value that's unique within the current database and other networked versions of the database around the globe. This column is required for FILESTREAM storage, and SQL Server uses it to locate the file that stores the data for the ProductImage column. Note that this column uses the uniqueidentifier data type and the ROWGUIDCOL property. In addition, this column uses the NEWID function to return a globally unique value for the column. As a result, if you don't specify a value for this column, the NEWID function will automatically return a value.

# How to insert, update, and delete FILESTREAM data

Once you create a table that provides for FILESTREAM storage, you can use INSERT, UPDATE, and DELETE statements just as you would for other types of data. However, you may need to use the NEWID function to return a globally unique value for the GUID column. In this figure, for example, the three INSERT statements insert three rows into the ProductImages table. Here, the first statement doesn't specify a value for the GUID column. As a result, the table uses the NEWID function to generate this value. In contrast, the second and third statements use the NEWID function explicity.

# How to retrieve FILESTREAM data

To retrieve FILESTREAM data, you can use a SELECT statement just as you would for other types of data. In this figure, for example, the first SELECT statement selects all columns and rows from the ProductImages table. This shows the data that was inserted by the three INSERT statements. Here, the first two rows in the ProductImage column store a binary value of zero and the third row stores a longer binary value.

When you use FILESTREAM storage, you can use the PathName function to return the path to the binary file stream. Unlike most functions, this function is case sensitive. As a result, you must use the capitalization shown in the second SELECT statement. Here, the SELECT statement displays the ImageID value and the path to the binary file stream. In the next figure, you'll learn how to use this function in a .NET data access class to read and write binary data to the file stream that's returned by this method.

When you use an INSERT statement to insert a row that contains FILESTREAM data, it's important to insert a zero value instead of a NULL

## How to create a table with FILESTREAM storage

```
CREATE TABLE ProductImages
(
    ImageID int PRIMARY KEY IDENTITY,
    ProductID int NOT NULL,
    RowID uniqueidentifier ROWGUIDCOL NOT NULL UNIQUE DEFAULT NEWID(),
    ProductImage varbinary(max) FILESTREAM NOT NULL
);
```

## Three INSERT statements that insert rows into the table

```
INSERT INTO ProductImages (ProductID, ProductImage)
VALUES (1, 0);

INSERT INTO ProductImages
VALUES (2, NEWID(), 0);

INSERT INTO ProductImages
VALUES (3, NEWID(), CAST('0123456789ABC' AS varbinary(max)));
```

## A statement that displays the values in the table

```
SELECT * FROM ProductImages;
```

## The result set

| | ImageID | ProductID | RowID | ProductImage |
|---|---|---|---|---|
| 1 | 1 | 1 | EC02EBEA-9369-4527-B5BC-F2F1A8998F0F | 0x00000000 |
| 2 | 2 | 2 | 85867E43-4285-4A99-9C65-9D0EDA64AAC6 | 0x00000000 |
| 3 | 3 | 3 | 2EC568BF-2817-4638-8309-E2F7BECBC422 | 0x30313233343536373839414243 |

## A SELECT statement that displays the filepath

```
SELECT ImageID, ProductImage.PathName() AS FileStreamPath
FROM ProductImages;
```

## The result set

| | ImageID | FileStreamPath | |
|---|---|---|---|
| 1 | 1 | \\ANNE-PC\SQLEXPRESS\v02-A60EC2F8-2B24-11DF-9CC3-AF2E56D89593\MusicStore\dbo\Prodt | ≡ |
| 2 | 2 | \\ANNE-PC\SQLEXPRESS\v02-A60EC2F8-2B24-11DF-9CC3-AF2E56D89593\MusicStore\dbo\Prodt | |
| 3 | 3 | \\ANNE-PC\SQLEXPRESS\v02-A60EC2F8-2B24-11DF-9CC3-AF2E56D89593\MusicStore\dbo\Prodt | ▾ |

## Description

- To create a table that provides for FILESTREAM storage, you include a column definition that contains the FILESTREAM keyword. In addition, you create a column with a *globally unique identifier* (*GUID*) that's used to locate the file that stores the FILESTREAM data.

- A GUID is a value that's unique within the current database and other networked versions of the database around the globe. To define a column that contains a GUID, you specify the uniqueidentifier data type for the column, and you specify the ROWGUIDCOL property for the column.

- You can use the NEWID function to generate a globally unique value.

- You can use the PathName function to return the path to the binary file stream. This function is case sensitive, so you must use exact capitalization.

Figure 20-8    How to create a table and insert FILESTREAM data

value to initialize the FILESTREAM column. Otherwise, the FilePath function won't return a path to the file stream, and the application won't be able to write data to the file stream.

# A data access class
# that uses FILESTREAM storage

Figure 20-9 shows a data access class named ProductDB that uses FILESTREAM storage. If you compare this class with the ProductDB class presented in figure 20-5, you'll see that it contains the same methods and performs the same tasks. As a result, you can use the ProductDB class shown in this figure with an application like the Product Image Manager application that was presented earlier in this chapter.

Within the ProductDB class, the code begins by defining a string for the directory for the images. Then, the GetConnection method returns a SqlConnection object. Since this works like the GetConnection method presented earlier in this chapter, you shouldn't have much trouble understanding how it works. However, the method shown in this figure uses the MusicStore database that was created by the SQL statement presented in figure 20-7.

The WriteImage method works much like the WriteImage method presented earlier in this chapter. However, since this method uses FILESTREAM storage, it's more complex. For instance, you must use the NewGuid method of the Guid class to return a globally unique identifier for the row. This has the same effect as using the NEWID function in SQL, but it allows you to use the globally unique identifier again later in this method.

## The ProductDB class                                           Part 1

```
using System;
using System.Collections.Generic;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using System.IO;

namespace MusicStoreImageManager
{
    class ProductDB
    {
        // define the directory for the images
        static string imagesPath = "C:/Murach/SQL Server 2012/Images/";

        public static SqlConnection GetConnection() {
            SqlConnection connection = new SqlConnection();
            connection.ConnectionString =
                "Data Source=localhost\\SqlExpress;" +
                "Initial Catalog=MusicStore;Integrated Security=True";
            return connection;
        }

        public static void WriteImage(int productID, string imageName) {
            SqlConnection connection = null;
            SqlTransaction transaction = null;
            try {
                // 1. Set up the input stream from the image file
                string filepath = imagesPath + imageName;
                if (File.Exists(filepath) == false)
                    throw new Exception("File Not Found: " + filepath);

                FileStream sourceStream = new FileStream(
                    filepath,
                    FileMode.Open,
                    FileAccess.Read);

                // 2. Initialize the row in the table
                connection = GetConnection();

                SqlCommand command = new SqlCommand();
                command.Connection = connection;
                command.CommandText =
                    "INSERT INTO ProductImages " +
                    "VALUES (@ProductID, " +
                    "        CAST(@RowID AS uniqueidentifier), 0)";

                Guid rowID = Guid.NewGuid();
                command.Parameters.AddWithValue("@ProductID", productID);
                command.Parameters.AddWithValue("@RowID", rowID);

                connection.Open();
                command.ExecuteNonQuery();
```

Figure 20-9    The ProductDB class for FILESTREAM storage (part 1 of 3)

After executing the query that inserts the row into the database, you must get a reference to the file stream for the BLOB. To do that, you begin by defining a SELECT statement that returns two columns. The first column uses the PathName function to return the path to the file stream. The second column uses the GET_FILESTREAM_TRANSACTION_CONTEXT function to get the context for the transaction. Note that the GUID value that was created earlier in this method is used in the WHERE clause of this SELECT statement to specify the row that was inserted by the INSERT statement earlier in the same method.

After the SELECT statement is defined, this code executes this statement. Then, it uses the reader object that's returned to check whether the result set contains data. If so, the first column is stored in a variable named path, and the second column is stored in a variable named context. Finally, this code closes the reader object.

At this point, the WriteImage method has all the data it needs to set up an output stream to the database. To do that, it creates a FileStream object with write access.

Now that the WriteImage method has an input stream and an output stream, it's ready to read data from the input stream (the image file) and write data to the output stream (the BLOB in the database). To do that, this code defines a block size of half a megabyte (524,288 bytes), a size that's usually efficient for working with streams. Then, this code defines a buffer variable that stores this array of bytes. Finally, it uses a loop to read from the input stream and write to the output stream.

Note that this allows this class to read half of a megabyte into memory at a time. For example, let's assume that you have an image file that's 4 megabytes. In that case, it would take eight trips through the loop to write the file to the database. On the other hand, the WriteImage method presented earlier in this chapter reads the entire file into memory before it begins to write the file. As a result, it can use a lot of memory if you use it with images that are larger than 1 megabyte.

Note also that this method uses a transaction. If the method completes successfully, the last statement in the try block commits the transaction, and the image is written to the database. However, if the method encounters an error, the catch block rolls back the transaction, and the image is not written to the database.

**The ProductDB class**                                                    **Part 2**

```
        // 3. Get a reference to the BLOB
        transaction = connection.BeginTransaction();
        command.Transaction = transaction;
        command.CommandText =
            "SELECT ProductImage.PathName(), " +
            "       GET_FILESTREAM_TRANSACTION_CONTEXT() " +
            "FROM ProductImages " +
            "WHERE RowID = CAST(@RowID AS uniqueidentifier)";
        command.Parameters.Clear();
        command.Parameters.AddWithValue("@RowID", rowID);

        SqlDataReader reader = command.ExecuteReader();
        if (reader.Read() == false)
            throw new Exception(
                "Unable to get path and context for BLOB.");
        string path = (string)reader[0];
        byte[] context = (byte[])reader[1];
        reader.Close();

        // 4. Set up the output stream to the database
        SqlFileStream targetStream =
            new SqlFileStream(path, context, FileAccess.Write);

        // 5. Read from file and write to database
        int blockSize = 1024 * 512;
        byte[] buffer = new byte[blockSize];
        int bytesRead = sourceStream.Read(buffer, 0, buffer.Length);
        while (bytesRead > 0) {
            targetStream.Write(buffer, 0, bytesRead);
            bytesRead = sourceStream.Read(buffer, 0, buffer.Length);
        }

        targetStream.Close();
        sourceStream.Close();
        transaction.Commit();
    }
    catch (Exception e) {
        if (transaction != null)
            transaction.Rollback();
        throw e;
    }
    finally {
        if (connection != null)
            connection.Close();
    }
}
```

Figure 20-9     The ProductDB class for FILESTREAM storage (part 2 of 3)

The ReadImage method works much like the ReadImage method presented earlier in this chapter. However, since this method uses FILESTREAM storage, it's more complex. To start, this method executes a SELECT statement to get a path to the file stream and the transaction context. Then, it sets up a file stream for the BLOB by creating a FileStream object with read access. Since this works much like the SELECT statement of the WriteImage method that's presented in part 2 of this figure, you shouldn't have much trouble understanding how it works.

After setting up the input file stream, this code uses a loop to read the binary data from the database and stores this data in a List<byte> object named imageBytes. Then, it converts the List<byte> object to an array of byte values and returns it.

This loop works similarly to the loop in the WriteImage method that reads from one stream and writes to another. However, instead of writing to a stream, this code stores the entire stream in the List<byte> object. The advantage to this approach is that it allows you to separate the data access layer (the ProductDB class) from the presentation layer (the form). The disadvantage of this approach is that the entire image is stored in memory. If this isn't satisfactory for your application, you can add a PictureBox control as a second argument of the ReadImage method. Then, this method can stream the data from the BLOB in the database to the PictureBox control that displays the image.

For this ProductDB class to work with the Product Image Manager application presented earlier in this chapter, it must include a GetImageIDList method. However, the code for this method is the same as the code presented in part 3 of figure 20-5. To save space, it isn't presented here.

**The ProductDB class**                                                    **Part 3**

```
public static Byte[] ReadImage(int imageID) {
    SqlConnection connection = null;
    SqlTransaction transaction = null;
    try {
        connection = GetConnection();
        connection.Open();
        transaction = connection.BeginTransaction();

        SqlCommand command = new SqlCommand();
        command.Connection = connection;
        command.Transaction = transaction;
        command.CommandText =
            "SELECT ProductImage.PathName(), " +
            "       GET_FILESTREAM_TRANSACTION_CONTEXT() " +
            "FROM ProductImages " +
            "WHERE ImageID = @ImageID";
        command.Parameters.AddWithValue("@ImageID", imageID);

        SqlDataReader reader = command.ExecuteReader();
        if (reader.Read() == false)
            throw new Exception(
                "Unable to get path and context for BLOB.");
        string path = (string)reader[0];
        byte[] context = (byte[])reader[1];
        reader.Close();

        SqlFileStream sourceStream =
            new SqlFileStream(path, context, FileAccess.Read);

        int blockSize = 1024 * 512;
        byte[] buffer = new byte[blockSize];
        List<byte> imageBytes = new List<byte>();
        int bytesRead = sourceStream.Read(buffer, 0, buffer.Length);
        while (bytesRead > 0) {
            bytesRead = sourceStream.Read(buffer, 0, buffer.Length);
            foreach(byte b in buffer)
                imageBytes.Add(b);
        }
        sourceStream.Close();
        return imageBytes.ToArray();
    }
    catch (Exception e) {
        throw e;
    }
    finally {
        if (connection != null)
            connection.Close();
    }
}
public static List<int> GetImageIDList() {
    // same as part 3 of figure 20-5
}
}
}
```

Figure 20-9     The ProductDB class for FILESTREAM storage (part 3 of 3)

# Perspective

In this chapter, you learned how to write BLOBs to a database table and to read BLOBs from a database table. In addition, you learned how to use the FILESTREAM storage feature that was introduced with SQL Server 2008. At this point, you have the core concepts and skills for working with BLOBs.

Now, if you want to develop a more sophisticated application for working with BLOBs, you should be able to do that. For example, you may want to enhance the application presented in this chapter so it can be used to update or delete an existing image. Or, you may want to enhance this application so it works more efficiently.

## Terms

binary large object (BLOB)
FILESTREAM storage
globally unique identifier (GUID)

## Exercises

1.  Modify the first ProductDB class presented in this chapter so the ReadImage method accepts a PictureBox control as a second argument like this:

    ```
    public static void ReadImage(int imageID, PictureBox pictureBox)
    ```

    Then, modify the ReadImage method so it streams data from the database to the PictureBox control, and modify the code for the form so it works with this new method. To do this, you can begin by copying code from the form into the ProductDB class.

2.  Modify the second ProductDB class so it works as described in exercise 1.

# Section 5

# CLR integration

SQL Server 2005 introduced the ability to host the .NET Framework's Common Language Runtime (CLR). This allows developers to use C# or Visual Basic to create database objects such as stored procedures, functions, and triggers. In addition, it allows developers to create new types of database objects that aren't available from T-SQL.

CLR integration holds the promise of being able to harness the power of the .NET Framework and all of its class libraries from within the database itself. In addition, it allows SQL Server to act somewhat like an object database by returning complex data types that have properties and methods instead of only returning scalar values and result sets. Finally, CLR integration allows you to store code that uses ADO.NET within the database instead of in a class that resides outside the database. As a result, this code is backed up when the database is backed up, and it is moved with the database if the database is moved or copied.

However, if used inappropriately, CLR integration can bloat code and degrade system performance. In many cases, T-SQL yields more concise code and better performance than its CLR equivalent. So before you begin using CLR integration, you should make sure that there isn't a T-SQL equivalent you can use instead.

In chapter 21, you'll be introduced to CLR integration, and you'll learn the mechanics of using the Visual Studio IDE to write, compile, deploy, and debug a CLR object. In chapter 22, you'll learn the details of coding CLR objects that have SQL equivalents. And in chapter 23, you'll learn the details of coding CLR objects that don't have SQL equivalents.

Before you begin reading these three chapters, you should know that they assume that you have some experience working with the Visual Studio IDE and either the C#.NET or Visual Basic.NET programming language. In addition, chapters 22 and 23 assume that you have some experience using a .NET language with the ADO.NET class libraries to write code that works with a database. If you want to learn more about using Visual Studio and ADO.NET with C# or Visual Basic, we recommend our current books on these subjects. For a complete list of books, please visit www.murach.com.

# 21

# An introduction
# to CLR integration

In this chapter, you'll learn how CLR integration works and when you might want to use it. Then, you'll learn the mechanics of using Visual Studio to code, compile, deploy, and debug a CLR object. In particular, you'll learn how to work with a simple CLR stored procedure. Finally, you'll learn about the SQL DDL statements that you can use to deploy a CLR object.

As you read this chapter and the following two chapters, you'll notice that all of the examples are in C#. Fortunately, all the same principles apply to Visual Basic. Because the main difference is the syntax of the languages, you'll find that it's fairly straightforward to apply what you're learning regardless of the language you're using. But to make the transition easier if you prefer Visual Basic over C#, we've included the Visual Basic code for all the examples in this section in the download for this book that's available from our website (see appendix B for details).

# An introduction to CLR integration

This topic introduces you to some of the concepts that you should be aware of before you begin developing CLR objects. In addition, it shows how to enable CLR integration for a database server, which is necessary before you can deploy any CLR objects to a database running on that server.
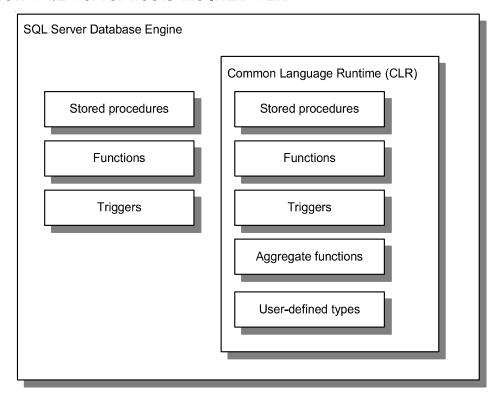
## How CLR integration works

If you have used a .NET programming language such as C# or Visual Basic to develop applications, you are probably already familiar with some of the concepts presented in figure 21-1. In particular, you should already know that the *Common Language Runtime* (*CLR*) for the .NET Framework executes compiled code that's written in any .NET language. In addition, you should already know that compiled .NET code is stored in files known as *assemblies*. Since the CLR automatically manages the memory usage and security operations for the code that it runs, compiled .NET code is known as *managed code*.

What you may not know is that SQL Server 2005 and later can be configured to host the CLR. This is known as *CLR integration*, and it allows you to run .NET assemblies within a SQL Server instance.

When you use CLR integration, you can use C# or Visual Basic to create database objects such as the stored procedures, functions, and triggers you learned about in chapter 15. You can also create aggregate functions and user-defined types, which can't be done using SQL. Then, you can store these objects within the database. This means that these objects are stored in a secure and central location where they can be reused by other developers.

## How SQL Server hosts the .NET CLR

```
┌─────────────────────────────────────────────────────────────┐
│ SQL Server Database Engine                                    │
│                                                               │
│                  ┌──────────────────────────────────────┐     │
│                  │ Common Language Runtime (CLR)          │     │
│                  │                                        │     │
│   ┌────────────┐ │  ┌────────────────┐                   │     │
│   │  Stored    │ │  │    Stored      │                   │     │
│   │ procedures │ │  │  procedures    │                   │     │
│   └────────────┘ │  └────────────────┘                   │     │
│                  │                                        │     │
│   ┌────────────┐ │  ┌────────────────┐                   │     │
│   │ Functions  │ │  │   Functions    │                   │     │
│   └────────────┘ │  └────────────────┘                   │     │
│                  │                                        │     │
│   ┌────────────┐ │  ┌────────────────┐                   │     │
│   │  Triggers  │ │  │    Triggers    │                   │     │
│   └────────────┘ │  └────────────────┘                   │     │
│                  │                                        │     │
│                  │  ┌────────────────┐                   │     │
│                  │  │   Aggregate    │                   │     │
│                  │  │   functions    │                   │     │
│                  │  └────────────────┘                   │     │
│                  │                                        │     │
│                  │  ┌────────────────┐                   │     │
│                  │  │  User-defined  │                   │     │
│                  │  │     types      │                   │     │
│                  │  └────────────────┘                   │     │
│                  └──────────────────────────────────────┘     │
│                                                               │
└─────────────────────────────────────────────────────────────┘
```

## Description

- The *Common Language Runtime* (*CLR*) for the .NET Framework executes compiled code that's written in the C#.NET or Visual Basic.NET programming language.

- Compiled .NET code is stored in files known as *assemblies*. Since the CLR automatically manages the memory usage and security for the code that it runs, compiled .NET code is known as *managed code*.

- SQL Server 2005 and later can be configured to host the CLR. This is known as *CLR integration*, and it lets you run .NET assemblies within a SQL Server instance.

- CLR integration lets you use C# or Visual Basic to create database objects such as stored procedures, functions, triggers, aggregate functions, and user-defined types.

Figure 21-1    How CLR integration works

## The five types of CLR objects

Figure 21-2 presents the five types of CLR objects: stored procedures, functions, triggers, user-defined aggregates (UDAs), and user-defined types (UDTs). Of these five CLR objects, the first three allow you to use C# or Visual Basic instead of T-SQL to code stored procedures, functions, and triggers.

However, the last two CLR objects listed in this figure provide for functionality that isn't available from T-SQL. To start, a *user-defined aggregate* (*UDA*) is an aggregate function that works similarly to the built-in aggregate functions such as the SUM function. In addition, a *user-defined type* (*UDT*) is a data type that can perform data validation and include properties and methods. For example, you could use a UDT to define a data type for a phone number. Then, this data type could include a property or method that returns the area code for the phone number.

## When to use CLR objects

Figure 21-2 also presents some guidelines for when you might want to use CLR objects. For most types of database objects, using T-SQL yields code that's shorter and performs better than the equivalent C# or Visual Basic code, which has more overhead than T-SQL. This is particularly true if the C# or Visual Basic code uses ADO.NET to retrieve or modify data in the database. As a result, whenever possible, you should avoid using CLR objects. So in what situations does it make sense to use a CLR object?

First, it makes sense to use a CLR object if you need to use elements of the .NET Framework to perform tasks that aren't available from T-SQL. For example, the .NET Framework provides for certain types of encryption and compression that aren't provided by T-SQL.

It also makes sense to use a CLR object if you need to perform complex procedural tasks that would be difficult to implement with T-SQL. That's because C# and Visual Basic are designed to use conditional statements and loops to perform procedural processing, while T-SQL's strength lies in its ability to process result sets.

If you need to create user-defined aggregates (UDAs) or user-defined types (UDTs), you have to use CLR objects since these types of objects aren't available from T-SQL. For more information about UDAs or UDTs, you can read chapter 23.

And finally, if you are an experienced .NET developer, you may know how to solve a problem using C# or Visual Basic, but you can't figure out how to do it using T-SQL. In that case, it may make sense to use a CLR object just to get the job done, even though a T-SQL solution might perform better.

## The five types of CLR objects

| CLR object | SQL equivalent? | Covered in... |
|---|---|---|
| Stored procedures | Yes | Chapter 22 |
| Functions | Yes | Chapter 22 |
| Triggers | Yes | Chapter 22 |
| Aggregate functions | No | Chapter 23 |
| User-defined types | No | Chapter 23 |

## Description

- CLR integration lets you use C# or Visual Basic to create stored procedures, functions, and triggers instead of using T-SQL to create these database objects as described in chapter 15.

- A *user-defined aggregate* (*UDA*) is an aggregate function that works similarly to built-in aggregate functions such as the SUM function described in chapter 5.

- A *user-defined type* (*UDT*) is a data type that can include data validation, properties, and methods.

- If necessary, CLR objects can use the ADO.NET class libraries to retrieve and modify the data that's in the database.

- Most database objects will perform better when they are written in T-SQL instead of C# or Visual Basic.

## When to use CLR objects

- When you need to use elements of the .NET Framework to perform tasks that aren't available from T-SQL.

- When you need to perform complex procedural tasks that would be difficult to implement with T-SQL.

- To create user-defined aggregates (UDAs) or user-defined types (UDTs), which aren't available from T-SQL.

- To solve a problem that you don't know how to solve using T-SQL.
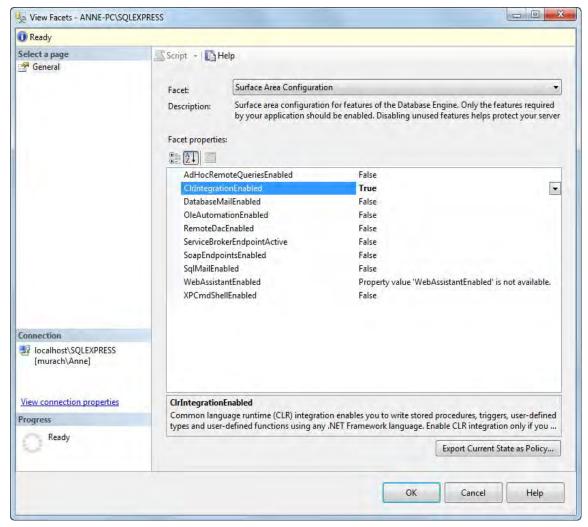
Figure 21-2    The five types of CLR objects and when to use them

## How to enable CLR integration

For security and performance reasons, CLR integration is disabled by default for newly installed instances of SQL Server. As a result, before you can use CLR integration on the server, you must enable it as shown in figure 21-3. To start, in the Management Studio's Object Explorer, you can right-click on the server node. Then, you can select the Facets command from the resulting menu. This displays the View Facets dialog box shown in this figure. From this dialog box, you can select the Surface Area Configuration option from the Facet combo box and set the ClrIntegrationEnabled property to True.

If it turns out that you don't need to use CLR integration, Microsoft recommends disabling CLR integration. This should improve performance and security.

## The View Facets dialog box for the database server



## Description

- To enable CLR integration, start the Management Studio, right-click on the server node, and select the Facets command to display the View Facets dialog box. Then, select the Surface Area Configuration option from the Facet drop-down list, and set the ClrIntegrationEnabled property to True.

Figure 21-3    How to enable CLR integration

# How to use Visual Studio to work with CLR objects

SQL Server 2012 comes with the CLR and command-line compilers that you can use to compile C# and Visual Basic code. As a result, you can develop CLR objects using a text editor and the tools that come with SQL Server 2012. However, it's much easier to use the IDE that's available from Visual Studio to develop CLR objects. That's why this chapter shows how to develop CLR objects with Visual Studio 2010.

Unfortunately, Visual Studio 2010 doesn't come with SQL Server 2012. Because of that, you'll have to install it separately if you don't already have it. Even if you do have it, you'll need to install a new feature of SQL Server 2012 called *SQL Server Data Tools* (*SSDT*) to develop CLR objects from Visual Studio 2010 that target SQL Server 2012 (see appendix A). If you're using a newer release of Visual Studio, however, you don't need to install SSDT because SSDT comes with it. Note that although SSDT lets you perform many of the functions that you can perform with the Management Studio from within a Visual Studio project, this chapter focuses only on how to use it to develop CLR objects.

You should also know that the Express Edition of Visual Studio, which is free, does not support CLR object development. As a result, if you want to use Visual Studio to develop CLR objects, you'll need to buy a copy of one of the full editions and install it on your system.
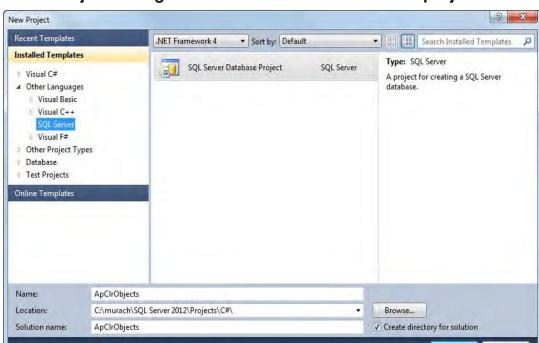
## How to start a SQL Server Database project

To start a project that can be used to define a CLR object, you start Visual Studio, display the New Project dialog box, select the SQL Server Database Project template, and enter a name and location for the project as described in figure 21-4. A *project template* determines the initial files, assembly references, code, and property settings that are added to the project. The SQL Server Database Project template gets you ready to work directly with database objects, including developing CLR objects.

When you start a SQL Server Database project, you'll typically give it a name that indicates its purpose. In this figure, for example, the project is named ApClrObjects. This indicates that it will contain code that's used to create CLR objects.

## The New Project dialog box for a SQL Server Database project



## How to create a SQL Server Database project

1. Start Visual Studio and use the File menu to display the New Project dialog box.

2. Expand the Other Languages category in the Installed Templates list, select the SQL Server category, and select the SQL Server Database Project template.

3. Enter a name and location for the project.

4. If you want to store the solution in a separate directory, select the Create Directory for Solution option. Then, Visual Studio creates one folder for the solution and a subfolder for the project.

5. Click the OK button.

## Description

- You can use Visual Studio 2010 or later to develop CLR objects for SQL Server 2012. However, Visual Studio doesn't come with SQL Server 2012. As a result, you must purchase and install it separately.

- Before you can develop CLR objects from Visual Studio 2010, you must install *SQL Server Data Tools* (*SSDT*). See appendix A for details. If you're using a newer release of Visual Studio, it will include SSDT.

- The *project template* that you select determines the initial files, assembly references, code, and property settings that are added to the project.

- Although chapters 21 through 23 use C# as the programming language, you can use the same basic techniques to develop CLR objects using Visual Basic. For more information, refer to the downloads for this book at www.murach.com.

Figure 21-4    How to start a SQL Server Database project

## How to specify the type of CLR object
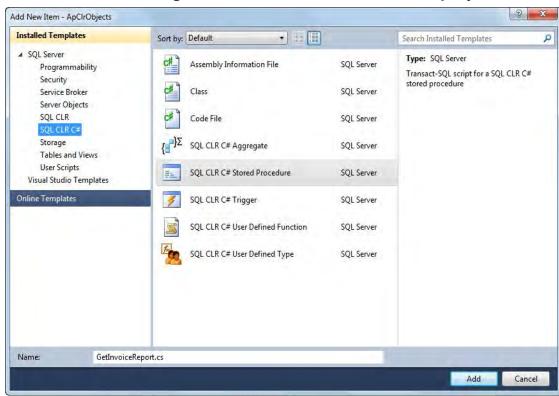
Once you create a project based on the SQL Server Database Project template, you can add CLR objects to the project. To do that, you display the Add New Item dialog box as shown in figure 21-5. Then, you select a template for the type of CLR object that you want to add and specify a name for the object. In this figure, for example, the SQL CLR C# Stored Procedure template is selected and a name of GetInvoiceReport is supplied for the stored procedure.

Note that the list of installed templates in the Add New Item dialog box shown here includes a group named SQL CLR C#, but not an SQL CLR group for Visual Basic. If you want to use Visual Basic instead of C#, you have to change the Language property for the project as described in this figure. Then, the SQL CLR C# group will be replaced by the SQL CLR VB group.

When you specify the name of a CLR object, you may want to use a naming convention that identifies the type of object that you're creating. For example, you may want to use a prefix of sp to identify stored procedures. Or you may want to use a prefix of clr_sp to clearly identify the stored procedure as a CLR stored procedure.

Throughout this book, prefixes are only used for the names of SQL objects, not CLR objects. In addition, this book begins the names of CLR stored procedures and functions with a verb such as *get* or *insert* to show what the procedure or function does. This follows the naming conventions that are commonly used when coding methods in C# and Visual Basic.

When you add a CLR object to a project, you should know that you can add it to a new folder that you create within the project. You may want to do that if the project will contain more than one type of object. Then, you can create a folder for each object type.

## The Add New Item dialog box for a SQL Server Database project



## How to add an item to a SQL Server Database project

1. Right-click on the project in the Solution Explorer window and select the Add→New Item command to display the Add New Item dialog box.

2. Select the SQL CLR C# or SQL CLR VB group in the list of installed templates, and then select the template for the type of object that you want to create.

3. Enter a name for the object. This name is used for the method that holds the code for the object.

4. Click the Add button.

## Description

- When you add an item to a SQL Server Database project, Visual Studio adds the starting code for the item. See figure 21-6 for additional information.

- To use Visual Basic instead of C# to code an object, select the *projectname* Properties command from the Project menu to display the Project Properties window. Then, select Visual Basic from the Language drop-down list on the SQL CLR tab. When you do, the SQL CLR VB group will replace the SQL CLR C# group in the list of installed templates.

- You can also add CLR objects to a folder within the project. To add a folder, right-click on the project, select the Add→New Folder command, and enter a name for the folder. Then, you can add objects to the folder by right-clicking on it and selecting the Add→New Item command.

Figure 21-5     How to specify the type of CLR object
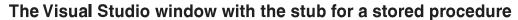
## How to enter and edit the code for CLR objects
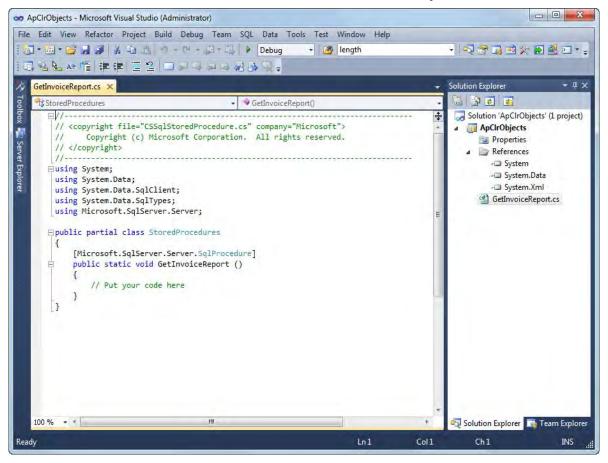
Figure 21-6 shows the Visual Studio IDE that you can use to enter and edit the code for CLR objects. If you've used Visual Studio before, you already know how to do this. However, if you're new to Visual Studio, you'll find that Visual Studio's Code Editor works the same as most code editors. As a result, you shouldn't have any problem entering and editing code.

When you add a CLR object to a project, Visual Studio adds a *class* or a *structure* to the project that contains some starting code for the object. By default, this class or structure is given a generic name that identifies the type of object. In this figure, for example, the GetInvoiceReport stored procedure is declared within a classed named StoredProcedures.

Within the StoredProcedures class is a *method* named GetInvoiceReport. This method is identified as a stored procedure by the *attribute* that's coded just above it. At this point, all you need to do is add the code that defines the stored procedure. You'll see an example of that in the next figure.

When you're developing CLR objects, you may need to view the database objects that are available to your project. The best way to do that is to use the SQL Server Object Explorer window. You'll learn more about using this window in figure 21-8.

## The Visual Studio window with the stub for a stored procedure



## Description

- By default, Visual Studio stores procedures, functions, and triggers in a *class* with a pre-defined name. Stored procedures are stored in a class named StoredProcedures, functions are stored in a class named UserDefinedFunctions, and triggers are stored in a class named Triggers.

- Within these classes, a *method* provides the code for a stored procedure, function, or trigger. For example, each static method in the StoredProcedures class can provide the code for a stored procedure.

- *Attributes* are used to identify CLR objects and to set properties for these objects.

- By default, Visual Studio stores aggregate functions and user-defined types in a *structure* with a name that you specify. For example, if you code a user-defined type for a phone number, you can store the code for that UDT in a structure named PhoneNumber.

- To enter or edit code, you can use Visual Studio's Code Editor.

Figure 21-6    How to work with CLR objects

# The code for a CLR object

Figure 21-7 shows the code for a simple CLR object. In this case, the object is a stored procedure that uses ADO.NET to access the database and return a simple result set. If you have experience using ADO.NET, you should understand most of this code.

However, since the stored procedure is run from within the SQL Server instance, the connection string is different than a connection string that's run from outside the server. You'll learn more about this connection string in the next chapter.

The code that returns the data is also different than standard ADO.NET code. In this figure, for example, the SqlPipe object is used to return a result set from the CLR object to the SQL Server instance. Then, the SQL Server instance returns the result set to the caller. However, this isn't the only way to return data. In the next two chapters, you'll learn several other ways to return data from a CLR object to the SQL Server instance.

For now, don't worry if you don't understand the code presented in this figure. Instead, focus on the mechanics of using Visual Studio to enter and edit this code. The details for coding CLR objects will be presented in chapters 22 and 23.

## A stored procedure that returns a table

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

public partial class StoredProcedures
{
    [Microsoft.SqlServer.Server.SqlProcedure]
    public static void GetInvoiceReport()
    {
        // open the Connection object for the context
        SqlConnection connection =
            new SqlConnection("Context connection=true");
        connection.Open();

        // create a string that defines the select statement
        string selectStatement =
            "SELECT VendorName, InvoiceNumber, InvoiceDate, InvoiceTotal " +
            "FROM Invoices JOIN Vendors " +
            "ON Invoices.VendorID = Vendors.VendorID " +
            "WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0";

        // create the Command object
        SqlCommand selectCommand =
            new SqlCommand(selectStatement, connection);

        // use the SqlPipe object to return the data
        SqlPipe pipe = SqlContext.Pipe;
        pipe.ExecuteAndSend(selectCommand);

        // close the Connection object
        connection.Close();
    }
};
```

## Description

- Within a CLR object, you can use ADO.NET code to access the database. If you have background using ADO.NET, you should understand most of this code.

- Since the stored procedure is run from within the SQL Server instance, the connection string to the database is different than it would be if you were connecting from outside the server. The connection string shown above works for all CLR objects.

- Since the stored procedure is run from within the SQL Server instance, the techniques for returning data are different than they would be if you were retrieving data from outside the server. For instance, the SqlPipe object provides the method shown above that can be used to return a result set from the CLR object to the SQL Server instance.

- In the next two chapters, you'll learn the details for coding CLR objects.

Figure 21-7    The code for a stored procedure
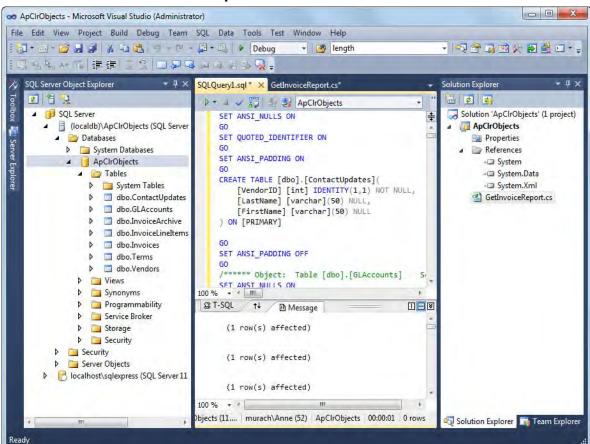
## How to create a database for testing

Before you deploy CLR objects to a production server, you may want to test them. You can do that from within Visual Studio by creating a *local database* with the same structure as the production database. Figure 21-8 shows how to create a local database that you can use for testing.

When you start a SQL Server Database project, Visual Studio creates a local database with the same name as the project. You can see this database in the SQL Server Object Explorer that's shown in this figure. Notice that this database is created on a server named (localdb). This server is an instance of SQL Server 2012 Express LocalDB, which is intended to be used by program developers. It consists of a minimal set of files, and it starts automatically when a connection is requested.

The easiest way to create the objects within the local database is to use the database creation script for the production database. Before you execute this script, though, you should remove any statements that create the database itself. In other words, the script should create only the objects within the database.

After you execute the script, the objects it creates will appear in the SQL Server Object Explorer window. In this figure, for example, you can see the tables that were created in the ApClrObjects database. If you don't see these tables, you can click on the Refresh button at the top of this window to display them. Then, you can view the data in any of these tables by right-clicking on the table and selecting the View Data command.

## Visual Studio after a development database has been created



## How to create a database for testing

1. Display the SQL Server Object Explorer window (View→SQL Server Object Explorer) and expand the SQL Server, (localdb), and Databases nodes.

2. Right-click on the database that has the same name as the project, select the New Query command, and paste a script that creates the database objects you need into the Query window that's displayed.

3. Click on the Execute button at the top of the Query window to execute the script.

4. If necessary, click the Refresh button at the top of the SQL Server Object Explorer window to display the new database objects in this window.

5. To view the data in any table that was created by the script, right-click on the table and selecting the View Data command.

## Description

- If you want to use Visual Studio's debugging tools to test and debug your CLR objects, you need to create a *local database*. The easiest way to do that is to modify the script that creates the production database so it doesn't create the database itself and then run that script against the localdb server.

- To create the database shown above, you can use the create_apclrobjects.sql script that's available from the downloadable scripts for this chapter.

Figure 21-8    How to create a database for testing

# How to compile and deploy CLR objects

Figure 21-9 shows how to use Visual Studio to compile and deploy the CLR objects in a project. To start, if you just want to compile the CLR objects without deploying them, you can press F6 or select the Build Solution command from the Build menu. On the other hand, if you want to compile and deploy the CLR objects in a single step, you can select the Publish *projectname* command from the Build menu. This compiles the project (if necessary) and then deploys it.

Before a project is deployed, the Publish Database dialog box is displayed so you can enter the deployment settings. In particular, this dialog box lets you generate a connection string to the server and identify the database where you want to deploy the CLR objects. To do that, you click the Edit button and then complete the Connection Properties dialog box that's displayed. You'll see this dialog box in part 2 of this figure. When you complete that dialog box, the target database connection and database name are set automatically, and you can click the Publish button to deploy the project.
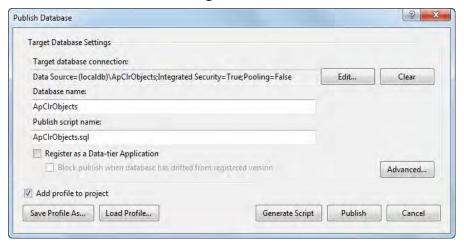
Because you'll need to deploy a project again if you add, modify, or delete any of the objects it contains, you'll usually want to save the deployment settings in a profile so you don't have to enter them again. The easiest way to do that is to select the Add Profile to Project option so the profile is saved with the other project files when the project is deployed. The profile is saved in a file with the xml extension, and it's given a name that consists of the project name, followed by a period and the word "publish". The profile for the ApClrObjects project, for example, will be named ApClrObjects.publish.xml. Then, the next time you deploy the project, you can click the Load Profile button to select this profile and retrieve the deployment settings it contains.

If you have several projects that use the same profile, you may want to save the profile outside the project. To do that, you click the Save Profile As button and then specify the name and location for the profile in the resulting dialog box. Then, you can click the Load Profile button to use the deployment settings with any project.

When you're developing a project, you'll typically want to be able to use Visual Studio's debugger. For the debugger to work, you must be sure to select the Debug option from the Solution Configurations combo box that's available from the Standard toolbar.

However, when you've finished developing a project, you'll want to remove the debugging symbols from your code before you deploy the project. To do that, you can select the Release option from the Solution Configurations combo box. Then, when you deploy the project, it will run more efficiently.

## The Publish Database dialog box



## Description
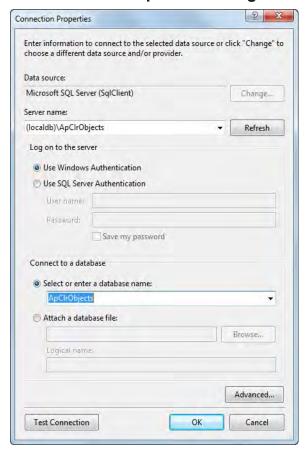
- To compile the CLR objects in a project, press F6 or select the Build Solution command from the Build menu.

- To compile and deploy the CLR objects in the project, select the Publish *projectname* command from the Build menu. This builds the project (if necessary) and then displays the Publish Database dialog box.

- To generate a connection string to the server and identify the database where you want to deploy the CLR objects, click the Edit button and complete the Connection Properties dialog box that's displayed (see part 2 of this figure).

- To save the settings in a profile and add the profile to the project, check the Add Profile to Project option. To save the profile outside the project, click the Save Profile As button and respond to the dialog box that's displayed. The next time you publish the project, you can click the Load Profile button to use the same settings.

- To allow debugging, make sure to select the Debug option from the Solution Configurations combo box in the Standard toolbar before you compile the project.

- To create a release build that does not contain debugging symbols, select the Release option from the Solution Configurations combo box in the Standard toolbar.

- If you want to deploy a CLR object to a version of SQL Server other than SQL Server 2012, select the *projectname* Properties command from the Project menu to display the Project Properties window. Then, select the server version from the Target Platform drop-down list on the Project Settings page.

- You can also use SQL to deploy CLR objects. For more information, see figure 21-11.

Figure 21-9    How to compile and deploy CLR objects (part 1 of 3)

Part 2 of figure 21-9 shows the Connection Properties dialog box that's displayed when you click the Edit button from the Publish Database dialog box shown in part 1 of this figure. You can use this dialog box to specify the connection information for the database. To do that, you use many of the same concepts that you learned about in chapter 2 for connecting to a database server from the Management Studio.

To start, you enter the name of the computer, followed by a backslash, followed by the name of the SQL Server database server in the Server Name combo box. For example, to connect to the SQL Server Express database engine when it's running on your PC, you can use the localhost keyword to specify the name of the computer, and you can use "SqlExpress" to specify the database server. If you want to test the CLR objects from Visual Studio, though, you'll need to connect to the localdb server. To do that, you use "(localdb)" to specify the name of the computer, and you use the project name to specify the name of the server. Then, if you use Windows authentication, SQL Server will use the user name and password that you use for your computer to verify that you are authorized to connect to the database server. Or, if you select SQL Server authentication, you'll need to enter an appropriate user name and password.

After you enter the connection information, you select the name of the database. Then, you can click on the Test Connection button to make sure the connection works. Finally, you can click OK to generate the connection string and return to the Publish Database dialog box.

## The Connection Properties dialog box



## Description

- To identify the server where you want to deploy CLR objects, enter the name of the computer, followed by a backslash, followed by the name of the server in the Server Name combo box.

- If you're deploying to the localdb server, you can enter (localdb)\\*ProjectName* for the server name, up to a maximum of 30 characters.

- If you're deploying to SQL Server 2012 Express on your own PC, you can enter localhost\sqlexpress for the server name.

- If you want to use SQL Server Authentication, select that option and then enter the user name and password that will be used to verify that you are authorized to connect to the server. Otherwise, your Windows user name and password will be used.

- After you enter the connection information, you can select the database where you want to deploy the CLR objects from the Select or Enter a Database Name combo box.

- To test the connection, click the Test Connection button. To save the connection information and return to the Publish Database dialog box, click the OK button.
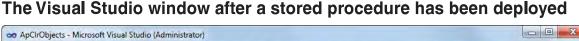
Figure 21-9     How to compile and deploy CLR objects (part 2 of 3)
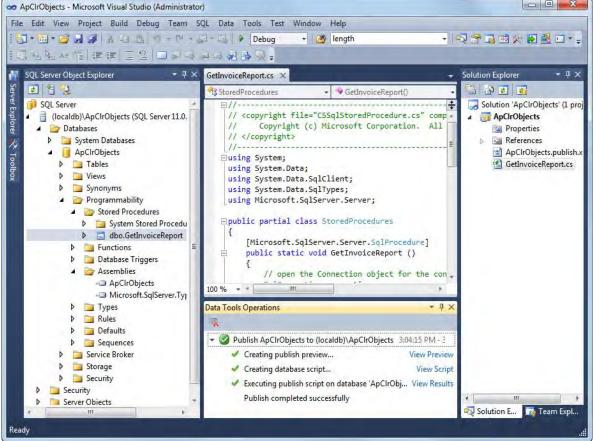
Part 3 of figure 21-9 shows the Visual Studio window after the project has been deployed. As Visual Studio deploys the project, it displays its progress in the Data Tools Operations window. Here, you can see that it goes through three steps to deploy the project. If you want to learn more about any of these steps, you can click on the links at the right side of this window.

When you use Visual Studio to deploy a project, the dll file for the assembly is stored within the database. In addition, all of the CLR objects within the assembly are created within the database. In this figure, for example, you can see the ApClrObjects assembly and the GetInvoiceReport stored procedure it contains in the SQL Server Object Explorer window. If you can't see these objects, you can click the Refresh button at the top of this window to display them.

After you deploy a CLR object, you'll want to test it. If you deployed the object to a server other than the localdb server, you can use the SQL Server Management Studio to create a script to test it. Otherwise, you can use Visual Studio to test and debug the CLR object as described in figure 21-10. The advantage of using Visual Studio is that you can use Visual Studio's debugger to debug the code for the CLR object.

Although you can use Visual Studio to deploy CLR objects, you may sometimes want to write your own SQL statements to deploy these objects instead. To do that, you can use the SQL statements that are introduced in figure 21-11. After you review these statements, you'll have a better understanding of what's going on under the hood when you use Visual Studio to deploy CLR objects.

## The Visual Studio window after a stored procedure has been deployed



## Description

- As the CLR objects in a project are deployed, Visual Studio displays its progress in the Data Tools Operations window.

- The links that are available from the Data Tools Operations window provide additional information about the deployment. If you're interested in this information, you can click on any link to display its contents in a Visual Studio window.

- If necessary, you can click the Refresh button at the top of the SQL Server Object Explorer window to see the assembly and objects that were deployed.

- If you deploy a CLR object to a server other than localdb, you can use the SQL Server Management Studio to create a script to test it. If you deploy a CLR object to the localdb server, you can use Visual Studio to test and debug it as described in figure 21-10.

Figure 21-9    How to compile and deploy CLR objects (part 3 of 3)

# How to test and debug a CLR object
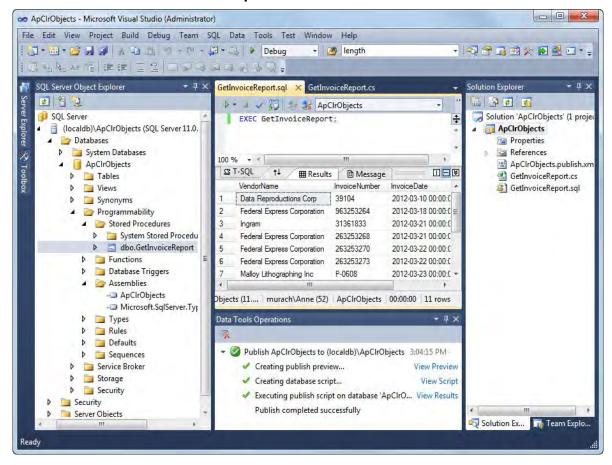
Figure 21-10 shows how to test and debug a CLR object. To start, you deploy it to the local database as described in figure 21-9. Then, you add a script to the project and you enter T-SQL code that executes the object into the Query window that's displayed. In this figure, for example, you can see the code for a script named GetInvoiceReport.sql that executes the GetInvoiceReport stored procedure.

Finally, you click the Execute button at the top of the Query window to run the script. This displays the results of the script in a Results tab. In this figure, for example, you can see the result set that's returned by the GetInvoiceReport stored procedure.

If you need to debug the code for a CLR object, you can set a breakpoint within the code. Then, you can use the Execute With Debugger command to execute the test script, and Visual Studio will enter break mode when it reaches the breakpoint. At that point, you can use the Locals and Watch windows to monitor variables, and you can use the Step commands in the Debug menu to step through the code. This can help you debug problems with your CLR objects.

If a project will contain several test scripts, you may want to store them in a separate folder within the project. This works the same as storing different types of objects in separate folders. You learned how to do that in figure 21-5.

## Visual Studio with a test script



## How to create and run a test script

1. Deploy the CLR objects to the local database as described in figure 21-9.

2. Add a test script to the project by right-clicking on the project or a folder within the project in the Solution Explorer window and selecting the Add→Script command. Then, select the Script (Not in build) item and enter a meaningful name for the script.

3. Enter the statements for the script in the Query window that's displayed.

4. Click the Execute button at the top of the Query window to run the script. The results will be displayed in the Results tab as shown above.

## Description

- You can test a CLR object by creating and running a script that executes the object.

- The first time you execute a script against the local database, you may need to click the Connect button at the top of the Query window to connect to the database.

- You can debug a CLR object by running the script using the Execute With Debugger command that's available from the drop-down list to the right of the Execute command. When you do, you can use the Visual Studio debugger to set breakpoints, monitor variables, and step through code.

Figure 21-10     How to test and debug a CLR object

# How to use SQL
# to work with CLR objects

If you use Visual Studio to develop CLR objects, it automatically generates and executes the DDL statements that are needed to deploy CLR objects. However, if you're curious about what's going on under the hood, or if you ever need to write these DDL statements yourself, you can refer to figure 21-11 to get started. Then, if necessary, you can consult Books Online for details about working with each of the types of CLR objects.

## How to deploy an assembly

Before you can deploy a CLR object, you must deploy the assembly that contains the compiled code for the object to the database. To do that, you use the CREATE ASSEMBLY statement. Note that when you deploy an assembly, the PERMISSION_SET property is set to SAFE by default, which is what Microsoft recommends in most cases. SAFE prevents code executed by an assembly from accessing external system resources such as files, the network, environment variables, or the registry.

The CREATE ASSEMBLY statement shown in this figure is used to deploy the assembly that's stored in the dll file named ApClrObjects.dll. To find the dll on your system, you can look in the subdirectories of your project. If you create a release build, the dll should be in the bin\Release subdirectory. However, if you're deploying a build that includes debugging symbols, the dll should be in the bin\Debug subdirectory.

## How to deploy a CLR object

After you deploy an assembly, you can deploy any CLR objects within the assembly by using the appropriate CREATE statement. In this figure, for example, the CREATE statement for a stored procedure is shown. All of the code up to the AS keyword works as described in chapter 15. However, after the AS keyword, you must use the EXTERNAL NAME clause to specify the assembly, class, and method name for the procedure.

In addition, you must make sure that the data types for all parameters match the data types that are used by the CLR object. To make this easier, you should use the data types in the SqlTypes namespace described in the next chapter when specifying parameter and return types for CLR objects.

## How to drop an assembly

If you want to drop an assembly from a database, you must first drop all CLR objects within the assembly that have been deployed. To do that, you can use DROP statements like the ones presented in chapter 15. For example, you can use the DROP PROC statement to drop any stored procedures that have been deployed. Then, you can use the DROP ASSEMBLY statement shown in this figure to drop the assembly. This deletes the dll file for the assembly from the database.

## How to deploy an assembly

### The syntax of the CREATE ASSEMBLY statement

```
CREATE ASSEMBLY assembly_name
    [AUTHORIZATION owner_name]
     FROM client_assembly_specifier
    [WITH PERMISSION_SET = {SAFE|EXTERNAL_ACCESS|UNSAFE}]
```

### Code that deploys an assembly

```
CREATE ASSEMBLY ApClrObjects
FROM 'C:\ApClrObjects\obj\Release\ApClrObjects.dll';
```

## How to create a CLR stored procedure

### The syntax of the CREATE PROCEDURE statement

```
CREATE {PROC|PROCEDURE} procedure_name
    [parameter_declarations]
    [WITH [RECOMPILE] [, ENCRYPTION] [, EXECUTE_AS_clause]]
AS
    EXTERNAL NAME AssemblyName.ClassName.MethodName
```

### Code that creates a CLR stored procedure

```
CREATE PROC GetInvoiceReport
AS
EXTERNAL NAME ApClrObjects.StoredProcedures.GetInvoiceReport;
```

### Code that creates a CLR stored procedure that has parameters

```
CREATE PROC GetInvoiceTotalSum
    @InvoiceTotalSum money OUTPUT,
    @StartDate smalldatetime = NULL,
    @VendorName nvarchar(40) = '%'
AS
EXTERNAL NAME ApClrObjects.StoredProcedures.GetInvoiceTotalSum;
```

## How to drop an assembly

### The syntax of the DROP ASSEMBLY statement

```
DROP ASSEMBLY assembly_name
```

### The syntax of the ASSEMBLYPROPERTY function

```
ASSEMBLYPROPERTY('AssemblyName', 'PropertyName')
```

### Code that drops an assembly

```
IF ASSEMBLYPROPERTY('ApClrObjects', 'SimpleName') IS NOT NULL
    DROP ASSEMBLY ApClrObjects;
```

## Description

- To deploy a CLR object, you start by deploying the assembly that contains the compiled code for the object using the CREATE ASSEMBLY statement.

- After you deploy the assembly, you can create the object in the database. To create a stored procedure, for example, you use the CREATE PROCEDURE statement.

- You can use the DROP ASSEMBLY statement to drop an assembly from the database. But first, you must drop all the CLR objects it contains. You can also use the ASSEMBLYPROPERTY function to check that an assembly exists before you drop it.

Figure 21-11    How to use SQL to deploy and drop CLR objects

But first, you might want to check if the assembly exists in the database. To do that, you can use the ASSEMBLYPROPERTY function to return the SimpleName property of the specified assembly. If this property returns a value, the assembly exists and will be dropped. Otherwise, the DROP AS-SEMBLY statement won't be executed.

# Perspective

This chapter introduces the concepts that you need to understand before you can begin developing CLR objects of your own. In addition, this chapter shows the mechanics of using the Visual Studio IDE to develop CLR objects.

On the other hand, this chapter doesn't present the details that you need to be able to code these types of objects. That's why the next chapter presents the details that you need for coding CLR stored procedures, functions, and triggers. As you'll see, these CLR objects are equivalent to the SQL stored procedures, functions, and triggers that you learned about in chapter 15. Then, chapter 23 presents the coding details for two types of CLR objects that don't have a T-SQL equivalent.

# Terms

Common Language Runtime (CLR)
assembly
managed code
CLR integration
user-defined aggregate (UDA)
user-defined type (UDT)
SQL Server Data Tools (SSDT)
project template
class
structure
method
attribute
local database

## Before you do the exercises for this chapter

To use Visual Studio 2010 to develop CLR objects, you must purchase one of the full editions of Visual Studio. Then, you can install Visual Studio as described in appendix A. In addition, you must download and install Sql Server Data Tools (SSDT) as described in appendix A. If you're using a more recent version of Visual Studio, however, you don't have to install SSDT separately because it comes with Visual Studio.

## Exercises

1. If you're using SQL Server Express on your own PC, use the Management Studio to enable CLR integration for your instance of SQL Server.

2. Start Visual Studio and select the New Project command from the File menu to display the New Project dialog box. Use this dialog box to create a new SQL Server Database project named ApClrObjectsEx.

3. If you want to use Visual Basic instead of C# to code the CLR objects in the project, select the ApClrObjectsEx Properties command from the Projects menu to display the Project Properties window. Use the SQL CLR tab in this window to change the language to Visual Basic.

4. Use the Add New Item command in the Project menu to add a stored procedure named GetTop10Vendors to the project you created in exercise 2. If you created a C# project, add the following code to the method that defines this procedure:

```
SqlConnection connection =
    new SqlConnection("Context connection=true");
connection.Open();

string selectStatement =
    "SELECT TOP 10 VendorName, SUM(InvoiceTotal) " +
    "AS InvoiceTotal " +
    "FROM Invoices JOIN Vendors " +
    "ON Invoices.VendorID = Vendors.VendorID " +
    "GROUP BY VendorName " +
    "ORDER BY SUM(InvoiceTotal) DESC";

SqlCommand selectCommand =
    new SqlCommand(selectStatement, connection);

SqlPipe pipe = SqlContext.Pipe;
pipe.ExecuteAndSend(selectCommand);

connection.Close();
```

If you created a Visual Basic project, add this code to the method that defines the procedure:

```
Dim connection As New SqlConnection("Context connection=true")
connection.Open()
```

```
Dim sql As String =
    "SELECT TOP 10 VendorName, SUM(InvoiceTotal) " &
    "AS InvoiceTotal " &
    "FROM Invoices JOIN Vendors " &
    "ON Invoices.VendorID = Vendors.VendorID " &
    "GROUP BY VendorName " &
    "ORDER BY SUM(InvoiceTotal) DESC"

Dim selectCommand As New SqlCommand(sql, connection)

Dim pipe As SqlPipe = SqlContext.Pipe
pipe.ExecuteAndSend(selectCommand)

connection.Close()
```

5.  Use the Build Solution command in the Build menu to compile the project. If any errors are detected, correct them and compile the project again.

6.  Open the script named create_apclrobjectsex.sql that's in the C:\murach\SQL Server 2012\Scripts\Chapter 21 directory, and execute this script to create a local database for testing the stored procedure. (If you're asked to connect to the server, connect to the localdb server.) Display the SQL Server Object Explorer to be sure that the appropriate tables have been added to the ApClrObjectsEx database on the localdb server.

7.  Use the Publish ApClrObjectsEx command in the Build menu to deploy the project to the localdb server. Save the deployment settings in a profile within the project.

8.  Right-click the project node in the Solution Explorer window, select the Add→New Folder command, and name the new folder Scripts.

9.  Right-click the Scripts folder, select the Add→Script command, and use the resulting dialog box to add a Script (Not in build) item named GetTop10Vendors that you can use to test the procedure you created in exercises 2 through 4. This script should consist of an EXEC statement that executes the stored procedure. Click the Execute button to run the script and review the output in the Results tab.

10. Use the Management Studio to write a script that deploys the ApClrObjectsEx assembly to the AP database on the localhost server.

    Hint: Unless you've changed the default option, a debug version of the project will be created. Because of that, the dll for the project's assembly will be stored in the obj\Debug subdirectory of the project directory.

11. Use the Management Studio to write a script that deploys the GetTop10Vendors stored procedure in the ApClrObjectsEx assembly.

12. Use the Management Studio to write a script that deletes the GetTop10Vendors procedure and the ApClrObjectsEx assembly. Check that the procedure and assembly exist before deleting them.

# How to code CLR stored procedures, functions, and triggers

In chapter 15, you learned how to code stored procedures, functions, and triggers with T-SQL. In this chapter, you'll learn how to code many of the same procedures, functions, and triggers with C#. That way, you can work with familiar database objects as you learn new skills, and you can compare the C# code for these database objects with the T-SQL code presented in chapter 15.

If you make this comparison, you'll see that the T-SQL code is typically much simpler. In addition, if you test it, you'll see that it runs faster. As a result, you'll want to use T-SQL to code stored procedures, functions, and triggers most of the time. However, if you encounter a situation where it makes sense to use a .NET language to code stored procedures, functions, and triggers, you can use the skills presented in this chapter to do that.

# How to work with CLR stored procedures

When you use a .NET language such as C# to code a stored procedure, the procedure can be referred to as a *CLR stored procedure*. Conversely, when you use T-SQL to code a stored procedure, the procedure can be referred to as a T-SQL stored procedure. As you'll see in this topic, a CLR stored procedure can provide the same functionality as a T-SQL stored procedure. Specifically, you can use a CLR stored procedure to execute SQL statements that access and modify the database. In addition, you can use a stored procedure to return result sets, scalar values, and errors.

## How to use the SqlTypes namespace to map data types

When you code stored procedures and functions, you need to map native SQL Server data types to the .NET data types that are used by the CLR. To do that, you can use the data types in the System.Data.SqlTypes namespace. These data types are shown in figure 22-1 along with their equivalent SQL Server and .NET data types.

Although you can use .NET data types when you code stored procedures and functions, using the data types in the SqlTypes namespace typically results in code that's faster and safer. These data types are faster than using the .NET data types because they map directly to the underlying SQL Server data types, which reduces the amount of data conversion that's done. In addition, these data types are safer than using the .NET data types because they help prevent loss of precision errors that sometimes occur when data is converted.

## How SQL Server data types map to .NET data types

| SQL Server types | SqlTypes | .NET types | C# keyword |
| --- | --- | --- | --- |
| char, nchar, varchar, nvarchar | SqlString | String | string |
| bit | SqlBoolean | Boolean | bool |
| tinyint | SqlByte | Byte | byte |
| smallint | SqlInt16 | Int16 | short |
| int | SqlInt32 | Int32 | int |
| bigint | SqlInt64 | Int64 | long |
| datetime, smalldatetime | SqlDateTime | DateTime | |
| money | SqlMoney | Decimal | decimal |
| decimal(p, s), numeric(p, s) | SqlDecimal(p, s) | Decimal | decimal |
| float | SqlDouble | Double | double |
| real | SqlReal | Single | float |
| uniqueidentifier | SqlGuid | Guid | |
| xml | SqlXml | Xml | |

## Description

- The System.Data.SqlTypes namespace provides structures that can map native SQL Server data types to the .NET Framework CLR data types. When coding CLR objects, using these structures results in code that's faster and safer than using the .NET data types.

Figure 22-1   How to use the SqlTypes namespace to map data types

# How to declare a stored procedure

Figure 22-2 shows the declarations for two stored procedures. Most of this code is automatically generated for you when you start a new stored procedure based on the SQL CLR C# Stored Procedure template that's provided by Visual Studio.

To start, this template includes using statements for five namespaces. Of these namespaces, you should already be familiar with the first three as they are necessary for coding client-side ADO.NET code. Then, the fourth statement imports the SqlTypes namespace, which contains the data types that you can use to map native SQL Server data types to the .NET data types. Finally, the fifth statement imports the Microsoft.SqlServer.Server namespace, which contains some classes that you can use to allow SQL Server to communicate with the hosted CLR. As you progress though this chapter, you'll learn how to use many of the classes stored in this namespace.

After the using statements, the template includes a declaration for a partial class named StoredProcedures. Since this declaration uses the partial keyword, it allows you to organize your stored procedures in separate files. In this figure, for example, the first stored procedure named GetInvoiceTotalSum is stored in one file, while the other stored procedure named GetInvoiceCount is stored in a second file. Then, when the project is compiled, both stored procedures are merged into the same class. However, if you prefer, you can store multiple stored procedures within a single file. To do that, you just code one stored procedure immediately after the other within the braces that mark the beginning and end of the class.

Within the class declaration, you can declare a stored procedure by coding a static method that's marked with the SqlProcedure attribute. When you do that, it's a good practice to use the data types in the SqlTypes namespace to declare any parameters that are used by the stored procedure. In this figure, for example, the GetInvoiceTotalSum method uses SqlMoney, SqlDateTime, and SqlString as the data types for its three parameters. This allows the stored procedure to run faster and it prevents errors caused by loss of precision due to data conversion.

Like a T-SQL stored procedure, a CLR stored procedure can only return an integer type. As a result, you'll typically want to specify SqlInt32 as the return type for a stored procedure as illustrated by the GetInvoiceCount method in this figure. However, if you want to use another integer type, you can specify one of the other integer types that are available from the SqlTypes namespace. Or, if you don't want the procedure to return a value, you can specify the void keyword. In this figure, for example, the GetInvoiceTotalSum method uses the void keyword to show that it doesn't return a value.

## The declaration for a stored procedure that specifies parameters

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

public partial class StoredProcedures
{
    [Microsoft.SqlServer.Server.SqlProcedure]
    public static void GetInvoiceTotalSum(
        out SqlMoney invoiceTotalSum,
        SqlDateTime startDate, SqlString vendorName)
    {
        // Put your code here
    }

    // If you like, you can code another stored procedure here
};
```

## The declaration for a stored procedure that specifies a return type

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

public partial class StoredProcedures
{
    [Microsoft.SqlServer.Server.SqlProcedure]
    public static SqlInt32 GetInvoiceCount()
    {
        // Put your code here
        return 0;
    }
};
```

## Description

- When you use Visual Studio to create a stored procedure, the template includes the namespaces for the .NET classes that you need to create a stored procedure.
- The System.Data and System.Data.SqlClient namespaces provide the ADO.NET classes that are used to write client-side ADO.NET code.
- The System.Data.SqlTypes namespace provides the structures shown in figure 22-1 that can be used to map native SQL Server data types to .NET data types.
- The Microsoft.SqlServer.Server namespace provides the attributes that are used to work with CLR database objects, as well as some classes that are used to return data from the server to the client.
- To declare a CLR stored procedure, you declare a static method that's marked with the SqlProcedure attribute. This declaration should include any parameters used by the stored procedure, along with an indication of the type of data the procedure returns.

Figure 22-2    How to declare a stored procedure

## How to create a connection

You can use the SqlConnection class to create a connection between a CLR stored procedure and a SQL Server database just as you would create a connection between a client application and a SQL Server database. However, since the CLR stored procedure runs from within the SQL Server instance, the connection string you use is different. As you can see in figure 22-3, you simply set the Context connection value to true. This indicates that you want to connect to the same server on which the CLR object is running.

## How to use the SqlPipe object to return data

Since a CLR object runs from within SQL Server, the techniques for returning data from a method are different than if you were writing client-side ADO.NET code. One way to return data is to use the SqlPipe object as shown in figure 22-3. To do that, you can begin by using the static Pipe method of the SqlContext class to create a SqlPipe object. Then, you can use either of the methods shown in this figure to return data to SQL Server, which in turn passes the data to the client application that called the stored procedure.

Of these two methods, the ExecuteAndSend method is more efficient for returning result sets. That's because this method passes a SqlCommand object that contains a connection and a SQL statement to SQL Server. Then, SQL Server can execute the statement and return the results to the caller.

In this figure, the ExecuteAndSend method is used to execute a SELECT statement that returns a result set. However, you can also use this method to execute other types of SQL statements. For example, you can use this method to execute a SqlCommand object that contains a RAISERROR statement. In that case, an error would be returned to the client instead of a result set.

Instead of using the ExecuteAndSend method to execute a command and return a result set, you can use ADO.NET to create a SqlDataReader object that contains the result set you want to return. Then, you can use the Send method to return the result set. However, since this requires creating the result set within the hosted CLR as well as within SQL Server, it isn't as efficient as using the ExecuteAndSend method.

You can also use the Send method to return a single row to the client. To do that, you can create the SqlDataRecord object you want to return. You can also use the SendResultsStart, SendResultsRow, and SendResultsEnd methods of the SqlPipe object with an SqlDataRecord object to return custom result sets. For more information, you can look up "SqlDataRecord class" in the Visual Studio documentation.

Although you can use the Send method to return a single row or an entire result set, you're more likely to use it to send a message to the client. This is the equivalent of using a PRINT statement within SQL code.

## The Pipe property of the SqlContext class

| Property | Description |
|----------|-------------|
| Pipe | A static property that gets the SqlPipe object that can be used to execute SQL statements and send data back to the client. |

## Two methods of the SqlPipe class

| Method | Description |
|--------|-------------|
| ExecuteAndSend(command) | Executes the SqlCommand object that's passed as a parameter and sends the results to the client. |
| Send(object) | Sends a result set (a SqlDataReader object), a single row (a SqlDataRecord object), or a string to the client. |

## Code that uses a SqlPipe object to return a result set

```
// open the Connection object for the context
SqlConnection connection = new SqlConnection("Context connection=true");
connection.Open();

// create a string that defines the select statement
string selectStatement =
    "SELECT VendorName, InvoiceNumber, InvoiceDate, InvoiceTotal " +
    "FROM Invoices JOIN Vendors " +
    "ON Invoices.VendorID = Vendors.VendorID " +
    "WHERE InvoiceTotal - CreditTotal - PaymentTotal > 0";

// create the Command object
SqlCommand selectCommand = new SqlCommand(selectStatement, connection);

// use the SqlPipe object to return the data
SqlPipe pipe = SqlContext.Pipe;
pipe.ExecuteAndSend(selectCommand);

// close the Connection object
connection.Close();
```

## Description

- To create a database connection for a CLR object, you can code "Context connection=true" for the connection string of the SqlConnection object. Then, this object will connect to the same instance of SQL Server in which the CLR object is executing.

- The SqlConnection, SqlCommand, and SqlDataReader classes are stored in the System.Data.SqlClient namespace.

- The SqlContext, SqlPipe, and SqlDataRecord classes are stored in the Microsoft.SqlServer.Server namespace. You can use these classes to return result sets or messages to the client.

Figure 22-3    How to use the SqlPipe object to return data

# How to use output parameters to return data

Like a T-SQL stored procedure, a CLR stored procedure can use output parameters to return data to the caller. To specify an output parameter, you can use the out keyword as shown in figure 22-4. Here, the out keyword identifies the first parameter as an output parameter. Then, the stored procedure uses standard ADO.NET code to get the value from the database and to assign the value to the output parameter.

When you declare the parameters in a method declaration, it's a good practice to use the data types in the SqlTypes namespace to specify the parameter types. Then, if the value of an output parameter is stored in a variable with a .NET data type, you can cast that type to the corresponding SQL Server type when you assign the variable to the output parameter. That way, you can avoid the loss of precision that sometimes occurs when data is converted implicitly by Visual Studio.

As you work with output parameters, you may realize that they work like parameters that are passed by reference. Because of that, you could use the ref keyword to identify output parameters instead of using the out keyword. I prefer the out keyword, however, because it clearly identifies the parameter as an output parameter.

The GetInvoiceTotalSum stored procedure that's shown in this figure accomplishes a task that's similar to the task performed by the spInvTotal3 procedure presented in figure 15-5. If you compare these two procedures, you'll see that the T-SQL procedure requires significantly less code. In addition, since the T-SQL procedure doesn't require the additional overhead of the CLR, it also runs faster. As a result, given the choice between these two procedures, you'll want to use the T-SQL procedure.

## A stored procedure that uses an output parameter

```
[Microsoft.SqlServer.Server.SqlProcedure]
public static void GetInvoiceTotalSum(
    out SqlMoney invoiceTotalSum,
    SqlDateTime startDate, SqlString vendorName)
{
    // open the Connection object for the context
    SqlConnection connection = new SqlConnection("Context connection=true");
    connection.Open();

    // create the Command object
    SqlCommand command = new SqlCommand();
    command.Connection = connection;

    // create a string that defines the SELECT statement
    string sql =
        "SELECT SUM(InvoiceTotal) " +
        "FROM Invoices JOIN Vendors " +
        "    ON Invoices.VendorID = Vendors.VendorID " +
        "WHERE ((InvoiceDate >= @StartDate) AND " +
        "        (VendorName LIKE @VendorName))";

    // add the query to the Command object
    command.CommandText = sql;

    // add new parameters to the Parameters collection
    SqlParameter param = new SqlParameter("@StartDate", SqlDbType.DateTime);
    param.Value = startDate;
    command.Parameters.Add(param);

    param = new SqlParameter("@VendorName", SqlDbType.VarChar);
    param.Value = vendorName;
    command.Parameters.Add(param);

    // assign a value to the output parameter
    try
    {
        decimal scalarValue = (decimal) command.ExecuteScalar();
        invoiceTotalSum = (SqlMoney) scalarValue;
    }
    catch    // if a null value causes the cast to throw an exception
    {
        invoiceTotalSum = 0;
    }

    // close the Connection object
    connection.Close();
}
```

## Description

- To specify an output parameter, you can use the out keyword. In addition, it's a good practice to use the data types in the SqlTypes namespace to specify the parameter's type.

- To set the value of the output parameter, you may need to cast a .NET type to the corresponding type in the SqlTypes namespace.

Figure 22-4    How to use output parameters to return data

## How to return an integer value

Figure 22-5 shows a stored procedure named InsertInvoiceTest that returns an integer value. To start, the method for the procedure specifies the SqlInt32 data type as the return type. Then, the return statement at the end of the method returns an int value. In this figure, the code explicitly casts the int type that's used by the CLR to the SqlInt32 type that's used by SQL Server. However, if this cast wasn't explicitly coded, the CLR would perform this cast implicitly since SqlInt32 is specified as the return type.

## How to raise an error

Figure 22-5 also uses the procedure named InsertInvoiceTest to show that you can raise a SQL Server error by using C# to throw an exception. In this figure, the method for the procedure begins by using the IsNull property of the invoiceNumber parameter to check if the parameter contains a null value. This works because the IsNull property is available from all of the data types in the SqlTypes namespace. Then, if the parameter contains a null value or an empty string, the method uses a throw statement to throw an exception that's created from the ApplicationException class.

The ApplicationException class indicates that the exception was thrown by an application, such as this stored procedure, not by the .NET Framework itself. As a result, this class works well for throwing exceptions from most CLR objects. However, you can use another exception class if you prefer. In this figure, for example, you might prefer using the ArgumentException class to indicate that the exception was thrown because an invalid argument was passed to the procedure.

The script that calls this stored procedure shows the number and message of the error that's raised when the exception is thrown. Notice that since the varchar type is only able to display 15 characters by default, this script specifies that the varchar type for the message can contain 500 characters. As a result, this script displays the entire message instead of a truncated version of the message.

When an exception is thrown from within a CLR stored procedure, the SQL Server error number will usually be 6522 to indicate that a .NET Framework error occurred. Similarly, the error message will begin by indicating that a .NET Framework error occurred. In addition, this message will display information about the .NET exception that was thrown, including the name of the method that threw the exception and the custom message that's stored in the exception. In this figure, for example, the custom message says, "Invalid InvoiceNumber. You must specify an InvoiceNumber." Although the SQL Server error message is a bit long and unwieldy, it contains all the information you need to handle the error.

## A stored procedure that throws an exception if the parameter is invalid

```
[Microsoft.SqlServer.Server.SqlProcedure]
public static SqlInt32 InsertInvoiceTest(
    SqlString invoiceNumber)
{
    // validate the invoiceNumber parameter
    if (invoiceNumber.IsNull || invoiceNumber == "")
    {
        throw new ApplicationException("Invalid InvoiceNumber. " +
            "You must specify an InvoiceNumber.");
    }

    // create and return a test invoice ID
    int newInvoiceID = 115;
    return (SqlInt32) newInvoiceID;
}
```

## A script that calls the stored procedure

```
BEGIN TRY
    DECLARE @InvoiceID int;
    EXEC @InvoiceID = InsertInvoiceTest
        @InvoiceNumber = '123Test';
    PRINT 'New InvoiceID: ' + CONVERT(varchar, @InvoiceID);
END TRY
BEGIN CATCH
    PRINT 'Error number: ' + CONVERT(varchar, ERROR_NUMBER());
    PRINT 'Error message: ' + CONVERT(varchar(500), ERROR_MESSAGE());
END CATCH;
```

## The message that's returned when no exception is thrown

```
New InvoiceID: 115
```

## The message that's returned when an exception is thrown

```
Error number: 6522
Error message: A .NET Framework error occurred during execution of user-defined
    routine or aggregate "InsertInvoiceTest":
System.ApplicationException: Invalid InvoiceNumber. You must specify an
InvoiceNumber.
System.ApplicationException:
    at StoredProcedures.InsertInvoiceTest(SqlString invoiceNumber).
```

## Description

- To return an integer value, you can specify the SqlInt32 data type as the return type and use a return statement to return a SqlInt32 value. To do this, you may need to cast from the .NET int type to the SqlInt32 type.

- To check if a parameter contains a null value, you can use the IsNull property that's available from all the data types in the SqlTypes namespace.

- To throw an exception from within a CLR stored procedure, you can use the ApplicationException class. This class is typically used to indicate that the exception was thrown by an application, not by the .NET Framework.

Figure 22-5    How to return integer values and throw exceptions

# A stored procedure
# that manages insert operations

Figure 22-6 shows a stored procedure that can be used to insert a new row into the Invoices table. In general, this CLR procedure accomplishes the same task as the T-SQL procedure shown in figure 15-8. However, if you compare these two procedures, you'll see that the T-SQL procedure actually provides for more robust data validation even while using significantly less code.

The CLR procedure presented in this figure returns an integer of the SqlInt32 type and accepts eight parameters that correspond to eight columns in the Invoices table. The types for all eight of these parameters are specified by data types in the SqlTypes namespace.

After the method declaration, a series of if statements is used to check whether each parameter is valid. For example, since a null value isn't valid for the invoiceNumber, invoiceDate, and invoiceTotal parameters, this code uses the IsNull property to check if any of them are null. If so, an exception that contains a custom error message is thrown. This causes the execution of the method to end and the error to be returned to the caller.

After the series of if statements, the method creates the SqlConnection and SqlCommand objects that are used to send SQL statements to the database. Then, these objects are used along with a SqlDataReader object to look up the specified vendor ID in the database to see whether it exists. If it does, the execution of the method continues. If it doesn't, an exception is thrown and the execution of the method ends.

After validating the vendor ID, the method continues by creating the INSERT statement and adding the method's parameters to it. To do that, this code uses the SqlDbType enumeration that's available from the System.Data namespace to specify the data type of each parameter. These data types correspond with the data types in the SqlTypes namespace.

After the parameters have been added to the INSERT statement, the command that contains this statement is executed. Then, another command is created that uses a SELECT statement to get the identity value that was generated for the new invoice when the row was inserted. This SELECT statement uses the IDENT_CURRENT function described in chapter 14 to get the identity value. Finally, the connection to the database is closed, and the new invoice ID is returned to the caller.

After the stored procedure named InsertInvoice, this class contains a second stored procedure named InsertInvoiceWithDefaultValues. This procedure allows the caller to pass just the four required parameters. Then, it declares variables for the other parameters and assigns default values to them. After that, it calls the InsertInvoice method, passing all the parameters it requires. This is necessary because, unlike T-SQL procedures, CLR procedures don't provide for optional parameters. Note that, in the interest of brevity, this CLR procedure doesn't look up the default terms for the vendor from the database and calculate the due date for the invoice like the equivalent T-SQL procedure presented in figure 15-8. Instead, it just sets the terms ID to 3 and calculates the invoice due date by adding 30 days to the invoice date.

## A stored procedure that validates the data in a new invoice      Page 1

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

public partial class StoredProcedures
{
    [Microsoft.SqlServer.Server.SqlProcedure]
    public static SqlInt32 InsertInvoice(
        SqlInt32 vendorID,
        SqlString invoiceNumber,
        SqlDateTime invoiceDate,
        SqlMoney invoiceTotal,
        SqlMoney paymentTotal,
        SqlMoney creditTotal,
        SqlInt32 termsID,
        SqlDateTime invoiceDueDate)
    {
        // validate any parameters that need to be validated
        if (invoiceNumber.IsNull || invoiceNumber == "")
            throw new ApplicationException("Invalid InvoiceNumber. " +
                "You must specify an InvoiceNumber.");
        if (invoiceDate.IsNull)
            throw new ApplicationException("Invalid InvoiceDate. " +
                "You must specify an InvoiceDate.");
        if (invoiceDate > DateTime.Today)
            throw new ApplicationException("Invalid InvoiceDate. " +
                "The InvoiceDate cannot be in the future.");
        if (invoiceTotal.IsNull)
            throw new ApplicationException("Invalid InvoiceTotal. " +
                "You must specify an InvoiceTotal.");
        if (invoiceTotal <= 0)
            throw new ApplicationException("Invalid InvoiceTotal. " +
                "InvoiceTotal must be greater than zero.");
        if (paymentTotal.IsNull)
            paymentTotal = 0;
        if (creditTotal.IsNull)
            creditTotal = 0;

        // if necessary, validate other parameters here

        // open the Connection object for the context
        SqlConnection connection =
            new SqlConnection("Context connection=true");
        connection.Open();

        // create the Command object
        SqlCommand command = new SqlCommand();
        command.Connection = connection;
```

Figure 22-6      A stored procedure that manages insert operations (part 1 of 3)

**A stored procedure that validates the data in a new invoice**          **Page 2**

```
// validate the VendorID
string sql = "SELECT * FROM Vendors " +
                "WHERE VendorID = @VendorID";
command.CommandText = sql;
SqlParameter param = new SqlParameter("@VendorID", SqlDbType.Int);
param.Value = vendorID;
command.Parameters.Add(param);
SqlDataReader reader = command.ExecuteReader();
if (reader.Read() == false)
{
    reader.Close();
    throw new ApplicationException("Invalid VendorID. " +
        "VendorID does not exist.");
}
else
{
    reader.Close();
}

// if necessary, perform any other validation
// that requires a database lookup here

// create the Insert query
sql = "INSERT Invoices " +
        "VALUES (" +
            "@VendorID, @InvoiceNumber, @InvoiceDate, " +
            "@InvoiceTotal, @PaymentTotal, @CreditTotal, " +
            "@TermsID, @InvoiceDueDate, NULL)";
command.CommandText = sql;

// clear the Parameters collection
command.Parameters.Clear();

// add all parameters to the Parameters collection
param = new SqlParameter("@VendorID", SqlDbType.Int);
param.Value = vendorID;
command.Parameters.Add(param);

param = new SqlParameter("@InvoiceNumber", SqlDbType.VarChar);
param.Value = invoiceNumber;
command.Parameters.Add(param);

param = new SqlParameter("@InvoiceDate", SqlDbType.DateTime);
param.Value = invoiceDate;
command.Parameters.Add(param);

param = new SqlParameter("@InvoiceTotal", SqlDbType.Money);
param.Value = invoiceTotal;
command.Parameters.Add(param);

param = new SqlParameter("@PaymentTotal", SqlDbType.Money);
param.Value = paymentTotal;
command.Parameters.Add(param);
```

Figure 22-6     A stored procedure that manages insert operations (part 2 of 3)

## A stored procedure that validates the data in a new invoice          Page 3

```csharp
            param = new SqlParameter("@CreditTotal", SqlDbType.Money);
            param.Value = creditTotal;
            command.Parameters.Add(param);

            param = new SqlParameter("@TermsID", SqlDbType.Int);
            param.Value = termsID;
            command.Parameters.Add(param);

            param = new SqlParameter("@InvoiceDueDate", SqlDbType.DateTime);
            param.Value = invoiceDueDate;
            command.Parameters.Add(param);

            // execute the query
            command.ExecuteNonQuery();

            // get the new invoice ID
            sql = "SELECT MAX(IDENT_CURRENT('Invoices')) AS InvoiceID " +
                  "FROM Invoices";
            command.CommandText = sql;
            command.Parameters.Clear();
            int newInvoiceID = Convert.ToInt32(command.ExecuteScalar());

            // close the Connection object
            connection.Close();

            // return the invoice ID
            return (SqlInt32) newInvoiceID;
        }

        // a method that provides for default values
        [Microsoft.SqlServer.Server.SqlProcedure]
        public static SqlInt32 InsertInvoiceWithDefaultValues(
            int vendorID,
            string invoiceNumber,
            DateTime invoiceDate,
            decimal invoiceTotal)
        {
            // assign default values
            decimal paymentTotal = 0;
            decimal creditTotal = 0;
            int termsID = 3;                   // or look up default termsID
                                               // for specified vendorID
            DateTime invoiceDueDate =
                invoiceDate.AddDays(30); // or add the number of days
                                               // specified by the termsID

            // send all values to the InsertInvoice method
            SqlInt32 invoiceID = InsertInvoice(vendorID, invoiceNumber,
                invoiceDate, invoiceTotal, paymentTotal,
                creditTotal, termsID, invoiceDueDate);

            return invoiceID;
        }
    };
```

Figure 22-6     A stored procedure that manages insert operations (part 3 of 3)

# A script that calls the stored procedure

Figure 22-7 shows a script that calls the first stored procedure presented in figure 22-6. This script begins by passing eight parameters to the procedure. If the procedure executes successfully, the procedure returns the new invoice ID that was automatically generated when the invoice was inserted into the database, and the script stores this value in the @InvoiceID variable. Then, it prints a message that indicates that the row was inserted, and it prints the new invoice ID.

However, since the script shown in this figure contains a vendor ID that doesn't exist, the validation for the procedure will throw a .NET exception, which will cause a SQL Server error to be raised. As a result, execution of the script will jump into the CATCH block. Then, the CATCH block will print a message that indicates that the row was not inserted, it will print the error number, and it will print a message that describes the error. To solve this error, you can modify the script so it specifies a VendorID that does exist.

## A SQL script that calls the stored procedure

```
BEGIN TRY
    DECLARE @InvoiceID int;
    EXEC @InvoiceID = InsertInvoice
        @VendorID = 799,
        @InvoiceNumber = 'RZ99381',
        @InvoiceDate = '2012-05-30',
        @InvoiceTotal = 1292.45,
        @PaymentTotal = 0,
        @CreditTotal = 0,
        @TermsID = 3,
        @InvoiceDueDate = '2012-06-29';
    PRINT 'Row was inserted.';
    PRINT 'New InvoiceID: ' + CONVERT(varchar, @InvoiceID);
END TRY
BEGIN CATCH
    PRINT 'An error occurred. Row was not inserted.';
    PRINT 'Error number: ' + CONVERT(varchar, ERROR_NUMBER());
    PRINT 'Error message: ' + CONVERT(varchar(500), ERROR_MESSAGE());
END CATCH;
```

## The response from the system for a successful insert

```
Row was inserted.
New InvoiceID: 115
```

## The response from the system when a validation error occurs

```
An error occurred. Row was not inserted.
Error number: 6522
Error message: A .NET Framework error occurred during execution of user-defined
    routine or aggregate "InsertInvoice":
System.ApplicationException: Invalid VendorID. VendorID does not exist.
System.Exception:
    at StoredProcedures.InsertInvoice(SqlInt32 vendorID, SqlString
    invoiceNumber, SqlDateTime invoiceDate, SqlMoney invoiceTotal, SqlMoney
    paymentTotal, SqlMoney creditTotal, SqlInt32 termsID, SqlDateTime
    invoiceDueDate).
```

Figure 22-7    A script that calls the stored procedure that manages insert operations

# How to work with CLR functions

When you use a .NET language to code a function, the function can be referred to as a *CLR function*. Many of the skills for working with CLR stored procedures also apply to CLR functions. In particular, when coding a CLR function, you need to map SQL Server data types to .NET types as described in figure 22-1. You may also need to connect to the database as described in figure 22-3.

A CLR function has the same limitations as a T-SQL function. Namely, a CLR function can't modify data stored in a database. To do that, you can use a stored procedure or a trigger.

## How to declare a function

Figure 22-8 shows how to declare a function. Most of this code is generated automatically when you start a new function based on the SQL CLR C# User Defined Function template. This code begins by providing using statements for the same namespaces as the template for a stored procedure. These namespaces hold the classes that you need to write ADO.NET code, to map SQL Server data types to .NET types, and to return data from the hosted CLR to SQL Server.

After the namespaces, this code declares a partial class named UserDefinedFunctions that can hold one or more functions. Within the partial class, the template provides the starting code for a function. This function is identified by the SqlFunction attribute that's coded immediately before the static method that contains the code for the function.

By default, a CLR function can't read data from the associated database. Since a T-SQL function typically works better than a CLR function that reads data from the database, this default setting makes sense. In the next figure, however, you'll learn how to set a property of the SqlFunction attribute to allow a function to read data from a database if you need to do that.

In this figure, the static method for the function is named GetHelloMessage. Here, the declaration for the method specifies a return type of SqlString, and a return statement is used to return a value of this type. However, you can easily modify this method so it returns any data type that exists in the SqlTypes namespace. In addition, the declaration for this method doesn't specify any parameters. However, you can add parameters to this method in the same way that you add parameters to a CLR stored procedure. When you do that, remember to use the data types in the SqlTypes namespace to specify the types for the parameters.

## The declaration for a function that returns a string

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

public partial class UserDefinedFunctions
{
    [Microsoft.SqlServer.Server.SqlFunction]
    public static SqlString GetHelloMessage()
    {
        // Put your code here
        return new SqlString("Hello");
    }
};
```

## Description

- The template for a user-defined function includes the same namespaces as the template for a stored procedure.

- Like a T-SQL function, a CLR function can't modify data stored in a database.

- By default, a CLR function can't read data from the database. However, you can modify the SqlFunction attribute to allow read-only access as described in figure 22-9.

- You can add parameters to a function just like you add parameters to a stored procedure. However, as with T-SQL functions, you can't add output parameters to a CLR function.

- A CLR function can return any .NET data type. However, whenever possible, you should use one of the data types within the SqlTypes namespace to specify the return type.

Figure 22-8    How to declare a function

# How to work with the SqlFunction attribute

Figure 22-9 shows how to work with the SqlFunction attribute. To start, you can allow a function to read data from a database by setting the DataAccess property of the SqlFunction attribute to DataAccessKind.Read as shown in the first code example. In addition, you can allow a function to read from the system catalogs of SQL Server by setting the SystemDataAccess property of the SqlFunction attribute to DataAccessKind.Read.

If you want to create a table-valued function like the one you'll see in figure 22-11, you must use the TableDefinition and FillRowMethodName properties as shown in the second code example. To start, you use the TableDefinition property to define the table that's returned by the function. In this example, this property defines a table that has two columns. Then, the FillRowMethodName property specifies the name of the method that fills each row in the table with data. In this example, the method is named FillRow, which is a generic name that works for most table-valued functions. However, you can specify a more specific name whenever that's necessary.

## Four properties of the SqlFunction attribute

| Property | Description |
| --- | --- |
| DataAccess | Specifies whether the function can read data from the associated database. By default, this property is set to DataAccessKind.None, which does not allow any data access, but you can allow read-only access by setting this property to DataAccessKind.Read. |
| SystemDataAccess | Specifies whether the function can read data from the system catalogs. By default, this property is set to SystemDataAccessKind.None, which does not allow any data access, but you can allow read-only access by setting this property to SystemDataAccessKind.Read. |
| TableDefinition | Specifies the definition of the table for a table-valued function. |
| FillRowMethodName | Specifies the name of the method that's used to put data in each row of the table for a table-valued function. |

## A SqlFunction attribute that allows read-only data access

```
[Microsoft.SqlServer.Server.SqlFunction(DataAccess=DataAccessKind.Read)]
```

## A SqlFunction attribute that allows read-only data access for a table-valued function

```
[Microsoft.SqlServer.Server.SqlFunction(
        TableDefinition="VendorName nvarchar(50), TotalDue money",
        FillRowMethodName="FillRow",
        DataAccess = DataAccessKind.Read)]
```

## Description

- To allow read-only access to the associated database, you must set the DataAccess property to DataAccessKind.Read.

- To create a table-valued function, you must use the TableDefinition and FillRowMethodName properties.

Figure 22-9    How to work with the SqlFunction attribute

# A scalar-valued function
# that returns an amount due

Figure 22-10 presents the CLR version of the scalar-valued function that was presented in figure 15-12. To start, the SqlFunction attribute uses the DataAccess property to allow the function to read data from the database. Then, the method declaration specifies SqlMoney as the return type of the function, and it specifies GetBalanceDueSum as the name of the function.

After the method declaration, the body of the method uses ADO.NET code to execute a SELECT statement that returns the sum of the balance due for all invoices in the invoices table that have a balance due greater than zero. When the return statement returns the balance due, this value is implicitly cast from the .NET decimal type to the SqlMoney type, which maps to SQL Server's native money type.

For this particular function, the T-SQL version presented in chapter 15 requires less code to write and also runs faster than the equivalent CLR version. So when would you want to use a CLR function? To start, you may want to use one if you need to make complex calculations that require extensive use of the CPU. Often, these types of tasks don't require data access, so you won't need to modify the default SqlFunction attribute. In addition, these types of tasks may benefit from being able to use methods that are available from classes in the .NET Framework. For example, you might want to code a function that uses the StringBuilder class to provide capabilities for working with strings that go beyond the built-in functions for working with strings. You might want to use a CLR function to make complex financial calculations such as calculating the monthly payment on a loan. Or, you might want to use a CLR function to use classes from the .NET Framework to encrypt or decrypt data.

## A scalar-valued function that returns the total invoice amount due

```
[Microsoft.SqlServer.Server.SqlFunction(DataAccess=DataAccessKind.Read)]
public static SqlMoney GetBalanceDueSum()
{
    // open the Connection object for the context
    SqlConnection connection = new SqlConnection("Context connection=true");
    connection.Open();

    // create the Command object
    SqlCommand command = new SqlCommand();
    command.Connection = connection;
    command.CommandText =
        "SELECT SUM(InvoiceTotal - PaymentTotal - CreditTotal) " +
        "FROM Invoices " +
        "WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0";

    // execute the query
    decimal balanceDueSum = Convert.ToDecimal(command.ExecuteScalar());

    // close the Connection object
    connection.Close();

    // return the value
    return balanceDueSum;
}
```

## A script that invokes the function

```
PRINT 'Current outstanding balance is: $' +
      CONVERT(varchar, dbo.GetBalanceDueSum(), 1);
```

## The response from the system

```
Current outstanding balance is: $32,020.42
```

Figure 22-10    A function that returns a scalar value

# A table-valued function that returns a table with two columns

Figure 22-11 presents the CLR version of the table-valued function that was presented in figure 15-13. To start, the SqlFunction attribute uses the TableDefinition property to specify the two columns for the return table. Then, it uses the FillRowMethodName property to specify the name of the method that fills each row in the table with data. And finally, it uses the DataAccess property to allow the function to read data from the database.

The method declaration for the GetTopVendorsDue method specifies the IEnumerable interface as the return type of the function, which can be used to return the collection of rows in a table. Because this interface is stored in the System.Collections namespace, you must add a using statement for this namespace. Then, the method declaration specifies a parameter for the function. This parameter is named cutOff, and it has a data type of SqlMoney. For this function, a vendor is only returned if its invoice total is greater than or equal to the amount specified by this parameter.

Within the body of the GetTopVendorsDue method, ADO.NET code is used to return the rows in a table. This code uses a SqlDataAdapter object to fill a DataSet object with the results that are returned by the specified SELECT query. Then, it creates a DataRowCollection object that contains the rows from the first and only table in the DataSet object. Finally, this method returns the DataRowCollection object, which works because this object implements the IEnumerable interface.

When the data stored in the IEnumerable object is returned from the CLR to SQL Server, the FillRow method is used to fill each row in the SQL Server result set with the data that's stored in the IEnumerable object. To make this possible, the declaration for the FillRow method must begin by specifying a parameter of the object type. This object represents a row in the result set. Immediately following this parameter, this method declaration must specify one output parameter for each column in the return table. In this figure, for example, this method declares two output parameters: (1) a SqlString type for the VendorName column and (2) a SqlMoney type for the TotalDue column.

Within the body of the FillBy method, the first statement retrieves the row from the first parameter and casts it to a DataRow object. Then, the next two statements get the values from each column in the row and assign them to the appropriate output parameters. These statements also convert the .NET data types that are stored in the IEnumerable object to the SQL Server types that are stored in the result set that's returned to the caller.

For this function, the T-SQL version presented in chapter 15 requires less code and also runs faster than the equivalent CLR version. However, if you needed to return the rows in a table that contained the results of complex CPU-intensive calculations, a CLR function might be a better way to go. In that case, you might not need to read data from the database. Instead, you might just need to do some processing and store the results in an object that implements the IEnumerable interface, such as a List<> object.

## A table-valued function that returns a table that has two columns

```
[Microsoft.SqlServer.Server.SqlFunction(
    TableDefinition="VendorName nvarchar(50), TotalDue money",
    FillRowMethodName="FillRow",
    DataAccess = DataAccessKind.Read)]
public static IEnumerable GetTopVendorsDue(SqlMoney cutOff)
{
    // open the Connection object for the context
    SqlConnection connection =
        new SqlConnection("Context connection=true");
    connection.Open();

    // create the Command object
    SqlCommand command = new SqlCommand();
    command.Connection = connection;
    command.CommandText =
        "SELECT VendorName, SUM(InvoiceTotal) As TotalDue " +
        "FROM Vendors " +
        "    JOIN Invoices ON Vendors.VendorID = Invoices.VendorID " +
        "WHERE InvoiceTotal - PaymentTotal - CreditTotal > 0 " +
        "GROUP BY VendorName " +
        "HAVING SUM(InvoiceTotal) >= @CutOff";

    // add the parameter to the Command object
    SqlParameter param = new SqlParameter("@CutOff", SqlDbType.Money);
    param.Value = cutOff;
    command.Parameters.Add(param);

    // get the DataSet object and return the rows from the first table
    SqlDataAdapter adapter = new SqlDataAdapter(command);
    DataSet dataSet = new DataSet();
    adapter.Fill(dataSet);
    DataRowCollection rows = dataSet.Tables[0].Rows;

    // close the connection and return the rows
    connection.Close();
    return rows;
}

private static void FillRow(object rowObject, out SqlString vendorName,
out SqlMoney totalDue)
{
    DataRow row = (DataRow) rowObject;
    vendorName = (string) row["VendorName"];
    totalDue = (decimal) row["TotalDue"];
}
```

## A SELECT statement that invokes the function

```
SELECT * FROM dbo.GetTopVendorsDue(500);
```

### The result set

| | VendorName | TotalDue |
|---|---|---|
| 1 | Ford Motor Credit Company | 503.20 |
| 2 | Ingram | 579.42 |
| 3 | Malloy Lithographing Inc | 31527.24 |

Figure 22-11    A function that returns a table

# How to work with CLR triggers

When you use a .NET language such as C# to code a trigger, the trigger can be referred to as a *CLR trigger*. If you understand how to code CLR stored procedures and functions, you shouldn't have much trouble learning how to code CLR triggers.

## How to declare a trigger

Figure 22-12 shows how to declare a trigger. Most of this code is automatically generated when you start a new item based on the SQL CLR C# Trigger template. This code begins by providing using statements for the namespaces that are needed by a trigger. These namespaces are similar to the namespaces used by CLR procedures and functions. However, since a trigger can't accept any parameters or return any data, it doesn't need to map SQL Server types to .NET types. As a result, the template doesn't include a using statement for the SqlTypes namespace.

After the namespaces, this code declares a partial class named Triggers. Within this partial class, you can code one or more triggers. In this figure, for example, you can see the declaration for a trigger named Vendors_Update_State that will be executed after an INSERT or UPDATE statement is used to modify the Vendors table. Here, the properties of the SqlTrigger attribute specify the name of the trigger, the target table, and the event or events that cause the trigger to fire. Then, a static method contains the code that's executed when the trigger fires.

Note that when Visual Studio generates the code for a trigger, it comments out the SqlTrigger attribute. After you set the properties of this attribute, then, you'll need to uncomment it. You'll learn more about the properties of this attribute in the next figure.

## The declaration for a trigger that updates the Vendors table after an insert or update operation

```
using System;
using System.Data;
using System.Data.SqlClient;
using Microsoft.SqlServer.Server;

public partial class Triggers
{
    [Microsoft.SqlServer.Server.SqlTrigger (
        Name="Vendors_Update_State",
        Target="Vendors",
        Event="AFTER INSERT, UPDATE")]
    public static void Vendors_Update_State()
    {
        // Put your code here
    }
}
```

## Description

- The template for a trigger includes four of the same namespaces as the template for a stored procedure, but it doesn't include the System.Data.SqlTypes namespace since a trigger can't accept or return data.

- By default, the SqlTrigger attribute includes the Name, Target, and Event properties, and it is commented out. For more information about coding this attribute, see figure 22-13.

Figure 22-12    How to declare a trigger

# How to work with the SqlTrigger attribute

When you start a new trigger based on the SQL CLR C# Trigger template, the SqlTrigger attribute includes the starting code for all three of the properties shown in the table in figure 22-13. This is illustrated in the first example in this figure. However, only the Event and Target properties are required. As a result, you can delete the Name property if you want as illustrated in the second example.

If you delete the Name property, Visual Studio will use the name of the method as the name of the trigger when it deploys the trigger. In the second example, for instance, the name of the static method that follows the SqlTrigger attribute will be used as the name of the trigger. Since the name of the method and the name of the trigger are typically the same, it often makes sense to delete the Name attribute.

When you code a trigger for a DML statement, you use the Target property to specify the table the trigger applies to. In addition, you use the Event property to indicate whether the trigger is an AFTER or an INSTEAD OF trigger and whether it should fire for an INSERT, UPDATE, or DELETE statement or a combination of those statements. For instance, the first two examples in this figure specify that the trigger should fire after a row is inserted into or updated in the Vendors table.

When you code a trigger for a DDL statement, you can set the Target property to the DATABASE keyword as shown in the third example. Here, the trigger will fire after a table is created or dropped within the database that's associated with the current Visual Studio project. You can also set the Target property to the ALL SERVER keywords. If you do that, Visual Studio will still be able to compile the assembly, but it won't be able to deploy it. As a result, you'll need to use SQL statements like the ones shown in figure 21-11 to manually deploy all of the triggers in the assembly.

The Event property works the same for DDL statements as it does for DML statements. However, when you code the names of DDL statements, you must substitute underscores for any spaces. In this figure, for example, CREATE_TABLE is used to indicate that the trigger should fire when a CREATE TABLE statement is executed. Similarly, DROP_TABLE is used to indicate that the trigger should fire when a DROP TABLE statement is executed. Although these are the only two DDL statements shown in this figure, you can use a similar technique to create triggers that fire for most DDL statements. For a complete list of DDL statements that you can use, you can look up "DDL Events" in Books Online.

By the way, you should know that you can also code a Name property on the SqlProcedure and SqlFunction attributes that are used with CLR stored procedures and functions. If you omit this property, however, Visual Studio will use the name of the method that contains the code for the stored procedure or function as the name of the stored procedure or function. Because that's usually what you want, it doesn't usually make sense to code this property. That's why this book doesn't show how to use this property with these attributes.

## Properties of the SqlTrigger attribute

| Property | Description |
|----------|-------------|
| Target | The table, database, or server to which the trigger applies. For a DML statement, you specify the name of the table. For a DDL statement, you can use the DATABASE keyword to specify that the trigger applies to the current database, or you can use the ALL SERVER keywords to specify that the trigger applies to all databases on the current server. If you use the ALL SERVER keywords, Visual Studio won't be able to deploy the trigger. As a result, you will need to manually deploy the trigger as described in figure 20-11. |
| Event | The type of trigger and the event that fires the trigger. To specify the type of trigger, you can use the AFTER or INSTEAD OF keywords. To specify the event or events, you code the name for each statement, separating multiple statements with commas. If the name of a statement has spaces in it, you substitute underscores for the spaces. For example, you code CREATE_TABLE for a CREATE TABLE statement. |
| Name | The optional name of the trigger. If specified, this name will be used instead of the name of the method that contains the code for the trigger. |

## A SqlTrigger attribute that specifies a name, event, and target

```
[Microsoft.SqlServer.Server.SqlTrigger (
    Name="Vendors_Update_State",
    Target="Vendors",
    Event="AFTER INSERT, UPDATE")]
```

## A SqlTrigger attribute that only specifies an event and target

```
[Microsoft.SqlServer.Server.SqlTrigger (
    Target="Vendors",
    Event="AFTER INSERT, UPDATE")]
```

## A SqlTrigger attribute that works with two DDL statements when they are executed against the current database

```
[Microsoft.SqlServer.Server.SqlTrigger (
    Target="DATABASE",
    Event="AFTER CREATE_TABLE, DROP_TABLE")]
```

## Description

- Visual Studio requires the Event and Target properties of the SqlTrigger attribute. These properties are roughly equivalent to the ON clause of a T-SQL trigger. If these properties aren't specified, Visual Studio isn't able to deploy the trigger.

- For a complete list of DDL statements that you can use with the Event property, look up "DDL Events" in Books Online.

Figure 22-13  How to work with the SqlTrigger attribute

# A trigger that updates a column

Figure 22-14 presents the CLR version of the trigger that was presented in figure 15-16. This trigger updates the State column of the Vendors table after every insert or update operation that's performed on the Vendors table. To accomplish this task, the UPDATE statement that's executed by this trigger uses a subquery that selects the VendorID from a table named Inserted. This shows that you can use the Inserted and Deleted tables in a CLR trigger just like you can with a T-SQL trigger. For more information about these special tables, please refer to figure 15-17.

Before you can compile and deploy a DML trigger like the one shown here, you should know that the Visual Studio project must include the schema of a database that contains the table that's named in the Target attribute. That way, the compiler can check the schema to be sure it includes the target table. If you don't include a database schema that contains the table, the compiler will return an error that indicates that the trigger has an unresolved reference to the table.
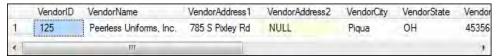
The easiest way to add a database schema to a project is to import a script that creates the database as described in this figure. When you do that, a folder named dbo is added to the Solution Explorer window, and scripts that create the tables in the database will appear subordinate to this folder. Then, you can create triggers that target any of these tables.

## A trigger that updates a column

```
[Microsoft.SqlServer.Server.SqlTrigger (
    Target="Vendors",
    Event="AFTER INSERT, UPDATE")]
public static void Vendors_Update_State()
{
    // open the Connection object for the context
    SqlConnection connection =
        new SqlConnection("Context connection=true");
    connection.Open();

    // create the Command object
    SqlCommand command = new SqlCommand();
    command.Connection = connection;

    // create a string that defines the sql statement
    string sql =
        "UPDATE Vendors " +
        "SET VendorState = UPPER(VendorState) " +
        "WHERE VendorID IN (SELECT VendorID FROM Inserted)";
    command.CommandText = sql;

    // execute the Command object
    command.ExecuteNonQuery();

    // close the Connection object
    connection.Close();
}
```

## An INSERT statement that fires the trigger

```
INSERT Vendors
VALUES ('Peerless Uniforms, Inc.', '785 S Pixley Rd', NULL,
        'Piqua', 'Oh', '45356', '(937) 555-8845', NULL, NULL, 4, 550);
```

### The new row that's inserted into the Vendors table

| VendorID | VendorName | VendorAddress1 | VendorAddress2 | VendorCity | VendorState | Vendor |
|----------|------------|----------------|----------------|------------|-------------|--------|
| 1 | 125 | Peerless Uniforms, Inc. | 785 S Pixley Rd | NULL | Piqua | OH | 45356 |

## Description

- A CLR trigger can use the Inserted and Deleted tables described in figure 15-17.
- Before a DML trigger will compile, you must import the schema of a database that contains the table it refers to into the project.
- To import a database schema, you can right-click on the project in the Solution Explorer window, select the Import→Script command, and then use the Import SQL Script Wizard to select a script that creates the database. For the purposes of this book, you can use the create_ap_schema.sql script that's available from the downloadable scripts for this chapter.

Figure 22-14   A trigger that updates a column

## How to use the SqlTriggerContext object

Figure 22-15 shows how to use the SqlTriggerContext object. You can use this object to get information about the trigger. To create a SqlTriggerContext object, you call the static TriggerContext property of the SqlContext class.

If you create a SqlTriggerContext object in a trigger for a DDL statement, you can use the EventData property to get detailed information about the event. This is the same information that's returned by the T-SQL EVENTDATA function you learned about in chapter 15. This information is returned as an SqlXml type, which is equivalent to the SQL Server xml type. If you've already read chapter 19, you should already be familiar with this type. Otherwise, you can refer to that chapter for more information.

In addition to the EventData property, you can use the TriggerAction property to determine the action that caused the trigger to fire. In this figure, for instance, the code example shows how to check if a CREATE TABLE statement was the action that caused the trigger to fire. When you check the trigger action, you can use any member of the TriggerAction enumeration. In this figure, you can see nine of the most common members of this enumeration. However, this enumeration contains a member for most DML and DDL statements. To see a complete list, you can look up "TriggerAction enumeration" in the Visual Studio documentation.

Unlike the EventData property, there is no equivalent T-SQL function for the TriggerAction property. As a result, if you need to write a trigger that checks the action that caused the trigger to fire, you may want to write a CLR trigger like the one shown in the next figure. Or, you can use the skills presented in chapter 19 to manually parse the XML data that's returned by SQL Server's EVENTDATA function to determine the action that caused the trigger to fire. An example of this is shown in figure 15-21.

## A property of the SqlContext class

| Property | Description |
|---|---|
| TriggerContext | A static property that gets the SqlTriggerContext object used to provide the caller with information about what caused the trigger to fire. |

## Two properties of the SqlTriggerContext class

| Property | Description |
|---|---|
| EventData | For DDL statements, this property gets information about the event in XML format. For DML statements, this property returns a null value. |
| TriggerAction | Returns a member of the TriggerAction enumeration that indicates what action fired the trigger. |

## Some members of the TriggerAction enumeration

| Member | Description |
|---|---|
| Insert | An INSERT statement was executed. |
| Update | An UPDATE statement was executed. |
| Delete | A DELETE statement was executed. |
| CreateTable | A CREATE TABLE statement was executed. |
| CreateView | A CREATE VIEW statement was executed. |
| AlterTable | An ALTER TABLE statement was executed. |
| AlterView | An ALTER VIEW statement was executed. |
| DropTable | A DROP TABLE statement was executed. |
| DropView | A DROP VIEW statement was executed. |

## Code that uses a SqlTriggerContext object

```
// create SqlTriggerContext object
SqlTriggerContext tc = SqlContext.TriggerContext;

// if a table was created
if (tc.TriggerAction == TriggerAction.CreateTable)
{
    // send the XML data for the event to the caller
    string eventData = tc.EventData.Value;
    SqlContext.Pipe.Send(eventData);
}
```

## Description

- The EventData property of the SqlTriggerContext object returns an SqlXml type, which is equivalent to the SQL Server xml type. The Value property of this type returns the XML as a string.

- The SqlTriggerContext class and the TriggerAction enumeration are stored in the Microsoft.SqlServer.Server namespace.

---

Figure 22-15  How to use the SqlTriggerContext object

# A trigger that works with DDL statements

Figure 22-16 shows a CLR trigger that works with DDL statements. This trigger works much like the T-SQL trigger presented in figure 15-21. If you compare the code for these two triggers, you'll see that the CLR version uses the TriggerAction property to check the action that caused the trigger to fire, while the T-SQL version needs to manually parse the XML data. This makes the code for the CLR version shorter and easier to write. However, the code for the T-SQL version will run slightly faster. As a result, if you need to code a trigger that works with multiple DDL statements, you can take these factors into consideration before deciding which approach to use.

## A trigger that works with DDL statements

```
[Microsoft.SqlServer.Server.SqlTrigger (
    Target="Database",
    Event = "AFTER CREATE_TABLE, DROP_TABLE")]
public static void Database_CreateTable_DropTable()
{
    SqlTriggerContext tc = SqlContext.TriggerContext;
    if (tc.TriggerAction == TriggerAction.CreateTable)
    {
        SqlContext.Pipe.Send("A new table has been created.");
    }
    else if (tc.TriggerAction == TriggerAction.DropTable)
    {
        SqlContext.Pipe.Send("A table has been dropped.");
    }
    string eventData = tc.EventData.Value;
    SqlContext.Pipe.Send(eventData);
}
```

## A CREATE TABLE statement that fires the trigger

```
CREATE TABLE VendorsTest
(VendorID int, VendorName varchar(50));
```

### The response from the system

```
A new table has been created.
<EVENT_INSTANCE>
    <EventType>CREATE_TABLE</EventType>
    <PostTime>2012-05-30T13:25:17.927</PostTime>
    <SPID>61</SPID>
    <ServerName>JOEL3\SQLEXPRESS</ServerName>
    <LoginName>JOEL3\Joel Murach</LoginName>
    <UserName>dbo</UserName>
    <DatabaseName>AP</DatabaseName>
    <SchemaName>dbo</SchemaName>
    <ObjectName>VendorsTest</ObjectName>
    <ObjectType>TABLE</ObjectType>
    <TSQLCommand>
        <SetOptions ANSI_NULLS="ON" ANSI_NULL_DEFAULT="ON"
            ANSI_PADDING="ON" QUOTED_IDENTIFIER="ON" ENCRYPTED="FALSE" />
        <CommandText>
            CREATE TABLE VendorsTest
            (VendorID int, VendorName varchar(50))
        </CommandText>
    </TSQLCommand>
</EVENT_INSTANCE>
```

Figure 22-16    A trigger that works with DDL statements

# Perspective

In this chapter, you learned how to code stored procedures, functions, and triggers using C# and the CLR integration feature of SQL Server. As I indicated throughout this chapter, you may never use CLR objects like the ones presented here because the equivalent T-SQL code is typically simpler and more efficient. However, if you ever come across a problem that can't be solved using T-SQL, you should at least be familiar with the techniques for creating the CLR objects presented in this chapter.

Even if you never need to create CLR stored procedures, functions, or triggers, you may need to use CLR integration to create database objects that don't have T-SQL equivalents. Specifically, you may need to use CLR integration to create aggregate functions and user-defined types. That's what you'll learn in the next chapter.

# Terms

CLR stored procedure
CLR function
CLR trigger

# Exercises

1. Create a CLR stored procedure named GetLineItemCountDateRange in the Visual Studio project named ApClrObjectsEx that you created for the exercises for chapter 21. Define this procedure with an output parameter named lineItemCount and two input parameters named startDate and endDate.

    Code the procedure so it returns a count of the line items in the InvoiceLineItems table for invoices between the start and end date. The procedure should validate the start and end date parameters to be sure that they're not null, that the start date is not after the current date, and that the end date is not before the start date. If either of the parameters is invalid, the procedure should throw an ApplicationException with an appropriate error message.

    The procedure should also catch any exception that occurs when a count is assigned to the output parameter. If an exception occurs, a value of 0 should be assigned to this parameter. When you're done coding the procedure, compile the project and deploy it to the localdb server.

2. Create a test script in the Scripts folder named GetLineItemCountDateRange that you can use to test the stored procedure you created in exercise 1. This script should pass the parameters by position, and it should catch any exceptions thrown by the procedure. If an exception occurs, the script should print the error number and error message. Otherwise, it should print the line item count in this format:

    `Line item count: 93`

Run this script using start and end dates '2012-01-01' and '2012-03-31' respectively to be sure the procedure works correctly. Then, change the end date to '2011-03-31' to see what happens when an exception occurs.

3.  Create a CLR scalar-valued function named GetLargestInvoice in the ApClrObjectsEx project. Modify the SqlFunction attribute for this procedure so the procedure can read from the AP database. Code the function so it returns the InvoiceID for the invoice in the Invoices table with the largest InvoiceTotal. When you're done coding the function, compile the project and deploy it to the localdb server.

4.  Create a test script in the Scripts folder named GetLargestInvoice that you can use to test the function you created in exercise 3. This script should select the VendorName, InvoiceID, and InvoiceTotal columns from the Vendors and Invoices table for the InvoiceID returned by the function.

5.  Create a trigger named Invoices_Update_Shipping in the ApClrObjectsEx project. Modify the SqlTrigger attribute to indicate that Invoices is the target table and that the trigger should fire after an insert or update operation on that table. Code the trigger so that if an insert operation is performed and the invoice is paid in full (PaymentTotal equals InvoiceTotal), a row is added to a table named ShippingLabels. If an update operation is performed, a row should be added to the ShippingLabels table if the balance due is zero. The structure of the ShippingLabels table is as follows:

```
CREATE TABLE ShippingLabels
(VendorName        varchar(50),
 VendorAddress1    varchar(50),
 VendorAddress2    varchar(50),
 VendorCity        varchar(50),
 VendorState       char(2),
 VendorZipCode     varchar(20));
```

Hints: You will need to use the SqlTriggerContext object to determine what action caused the trigger to fire, and you will need to use the Inserted table to check the values of the appropriate columns.

6.  Create a script in the Scripts folder named CreateShippingLabels that contains the CREATE TABLE statement shown above. Then, execute this script to create the ShippingLabels table in the ApClrObjectsEx database.

7.  Right-click on the project in the Solution Explorer window, and use the Import→Script command to import the script named create_ap_schema.sql in the C:\murach\SQL Server 2012\Scripts\Chapter 22 directory into the project. Then, compile the project and deploy it to the localdb server.

8.  Create a test script in the Scripts folder named Invoices_Insert that uses the following INSERT statement to insert a row into the Invoices table:

```
INSERT INTO Invoices
VALUES (7, 'ABC-123', '2012-05-01', 500, 0, 0, 1,
        '2012-05-31', NULL);
```

When you execute this script, the trigger should not cause a row to be added to the ShippingLabels table because the PaymentTotal is zero. To check this, use the SQL Server Object Explorer to display the data in this table.

9. Create a test script in the Scripts folder named Invoices_Update that uses the following UPDATE statement to update a row in the Invoices table:

```
UPDATE Invoices
SET PaymentTotal = 500, PaymentDate = '2012-06-10'
WHERE InvoiceNumber = 'ABC-123';
```

When you execute this script, the trigger should cause a row to be added to the ShippingLabels table because the new PaymentTotal equals the InvoiceTotal. To check this, display the data in this table.

# 23

# How to code aggregate functions and user-defined types

In the last chapter, you learned how to use CLR integration to code stored procedures, functions, and triggers. However, you also learned that you should use T-SQL to code these types of database objects most of the time. In this chapter, you'll learn how to use CLR integration to code two types of database objects that aren't available from T-SQL: aggregate functions and user-defined types.

Before you read this chapter, I recommend that you read chapter 21 to learn how to use Visual Studio to work with CLR objects. In addition, I recommend that you review figure 22-1 in chapter 22. This figure presents the data types that are available from the SqlTypes namespace, which you'll use as you code aggregate functions and user-defined types. However, you don't need to read the rest of chapter 22 before you read this chapter.

# How to work with aggregate functions

A *user-defined aggregate* (*UDA*) allows you to define an aggregate function that works like the AVG, SUM, MIN, MAX, and COUNT functions described in chapter 5. Then, you can use your aggregate function in summary queries just like the aggregate functions provided by SQL. For the sake of brevity, aggregate functions are often referred to simply as *aggregates*.

## How to declare an aggregate

The first step in creating an aggregate is to declare the aggregate. The easiest way to do that is to use Visual Studio to start a new item based on the SQL CLR C# Aggregate template. This template includes code that's similar to the code shown in figure 23-1. To start, this code includes using statements for the five namespaces that are also used by CLR stored procedures and functions, including the SqlTypes namespace that contains the types that you should use when specifying parameter types or return types for aggregate functions.

An aggregate is defined by a structure that must be marked with the Serializable and SqlUserDefinedAggregate attributes. The Serializable attribute indicates that the structure can be written to and read from a series of bytes. Then, the SqlUserDefinedAggregate attribute identifies the structure as a user-defined aggregate and specifies the type of serialization that will be used for the aggregate. In the next figure, you'll learn more about the two types of serialization that you can use.

The structure that defines an aggregate must include the four methods presented in this figure. I'll briefly describe these methods here. However, they'll make more sense when you see the examples of user-defined functions presented later in this chapter.

The Init method is used to initialize any variables that are used by the structure. Typically, an aggregate has at least one *field* that stores the data that's returned by the aggregate. A field is a variable that's declared at the same level as the properties and methods of a structure. Most of the time, it makes sense to write code that initializes this variable in the Init method.

The Accumulate method is used to collect the values that are passed to the aggregate function. This method accepts a parameter for the value that's passed to the aggregate by the query processor. In this figure, for example, the Accumulate method specifies a parameter of the SqlString type. However, you can change this type to any of the types that are available from the SqlTypes namespace.

The Merge method is used to combine multiple parts of the aggregate. This is necessary because the query processor may break up the work of creating the aggregate into multiple parts. Then, it can use this method to merge those parts. This method accepts a parameter of the same type as the aggregate that's defined by the current structure. In this figure, for example, it accepts a parameter of the CommaDelimitedList type, which is the same as the name of the structure.

## The declaration for an aggregate function that returns a string

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

[Serializable]
[Microsoft.SqlServer.Server.SqlUserDefinedAggregate(Format.Native)]
public struct CommaDelimitedList
{
    public void Init()
    {
        // Put your code here
    }

    public void Accumulate(SqlString Value)
    {
        // Put your code here
    }

    public void Merge (CommaDelimitedList Group)
    {
        // Put your code here
    }

    public SqlString Terminate ()
    {
        // Put your code here
        return new SqlString (string.Empty);
    }

    // This is a place-holder member field
    public int _var1;
}
```

## Description

- When you use Visual Studio to create an aggregate function, the SQL CLR C# Aggregate template includes starting code similar to the code shown above.

- The structure that defines an aggregate must be marked with the Serializable attribute and the SqlUserDefinedAggregate attribute.

- The structure that defines an aggregate must include the four methods presented in this figure.

- When you specify the parameter type for the Accumulate method or the return type for the Terminate method, you should use the types that are available from the SqlTypes namespace. For more information about working with the data types that are stored in the SqlTypes namespace, see figure 22-1.

Figure 23-1    How to declare an aggregate

Finally, the Terminate method is used to return the data that has been accumulated by the aggregate. Although this method doesn't accept any parameters, it does specify the return type. In this figure, for example, the aggregate returns the SqlString type. However, you can change this type to any of the data types that are available from the SqlTypes namespace.

# How to work with the SqlUserDefinedAggregate attribute

Figure 23-2 shows how to work with five of the most commonly used properties of the SqlUserDefinedAggregate attribute. The Format property must be coded first in the list of properties, and it's specified using one of the members of the Format enumeration. This property defines the serialization format that's used for the aggregate. If the fields that are used by an aggregate are all value types, you can use the Native serialization format. However, if any of the fields that are used by an aggregate are a reference type, such as the string or SqlString type, the aggregate must use the UserDefined serialization format.

When you use the UserDefined serialization format, you must include the MaxByteSize property to specify the number of bytes that are necessary to serialize the aggregate. The maximum number of bytes allowed is 8,000. However, if the aggregate is designed to work with smaller amounts of data, you can improve the efficiency of the aggregate by setting this property to a smaller size. Then, if the aggregate exceeds this size, SQL Server will raise an error that indicates that the size of the buffer is insufficient.

In addition to coding the MaxByteSize property when you use the UserDefined serialization format, you must implement the IBinarySerialize interface. You'll see how to implement this interface later in this chapter.

Although the Format and MaxByteSize properties are often the only properties that are required, you may be able to improve the performance of your aggregates by setting the IsInvariantToDuplicates, IsInvariantToNulls, and IsNullIfEmpty properties correctly. This allows the query processor to create more efficient execution plans.

For example, if you code an aggregate that's similar to the MAX function, your aggregate will return the same result regardless of whether duplicate values are passed to it. As a result, you can set the IsInvariantToDuplicates property to true. Similarly, if you code an aggregate that's similar to the SUM function, your aggregate will return the same result regardless of whether null values are passed to it. As a result, you can set the IsInvariantToNulls property to true.

Finally, if no values are passed to the Accumulate method, you'll usually code the Terminate method so it returns a null value. Then, you can leave the IsNullIfEmpty property at its default value of true. However, if you want to return a value other than null if no rows are passed to the Accumulate method, you can code the Terminate method accordingly. Then, you'll need to set the IsNullIfEmpty property to false.

## Five properties of the SqlUserDefinedAggregate attribute

| Property | Description |
|---|---|
| `Format` | Uses the Format enumeration to specify a serialization format. |
| `MaxByteSize` | Specifies the maximum number of bytes that can be used to serialize the aggregate. This property can be set from 1 to 8000. |
| `IsInvariantToDuplicates` | Tells the query processor whether the result returned by the aggregate is affected by duplicate values. The default is false. |
| `IsInvariantToNulls` | Tells the query processor whether the result returned by the aggregate is affected by null values. The default is false. |
| `IsNullIfEmpty` | Tells the query processor whether the aggregate returns a null value when it hasn't accumulated any values. The default is true. |

## Members of the Format enumeration

| Member | Description |
|---|---|
| `Native` | Allows SQL Server to efficiently store the aggregate. To be able to use Native serialization, an aggregate can only use value types as fields, not reference types. |
| `UserDefined` | Allows the user to control the serialization format by implementing the Read and Write methods of the IBinarySerialize interface. This serialization format allows an aggregate to work with reference types. |

## A SqlUserDefinedAggregate attribute with Native serialization

```
[Microsoft.SqlServer.Server.SqlUserDefinedAggregate(Format.Native)]
```

## A SqlUserDefinedAggregate attribute with UserDefined serialization

```
[Microsoft.SqlServer.Server.SqlUserDefinedAggregate(
    Format.UserDefined,
    MaxByteSize = 2000)]
```

## A SqlUserDefinedAggregate attribute with four properties

```
[Microsoft.SqlServer.Server.SqlUserDefinedAggregate(
    Format.UserDefined,
    MaxByteSize = 2000,
    IsInvariantToDuplicates = true,
    IsInvariantToNulls = true)]
```

## Description

- The Format property is required, it must be coded first in the list of properties, and it is specified using the Format enumeration.

- When you use the UserDefined serialization format, the MaxByteSize property is required.

- The optional IsInvariantToDuplicates, IsInvariantToNulls, and IsNullIfEmpty properties can be used by SQL Server's query processor to create more efficient execution plans. As a result, setting these properties correctly may improve the performance of your aggregates.

Figure 23-2     How to work with the SqlUserDefinedAggregate attribute

# An aggregate that returns a trimmed average

Figure 23-3 shows how to code an aggregate that works much like the AVG function provided by SQL. However, the aggregate shown here creates a "trimmed" average by not including the highest and lowest values when it calculates the average. This type of aggregate might be useful if you have a set of values where one extremely high or low value is throwing off the average for the rest of the values.

To start, the TrimmedAvg aggregate uses the Native serialization format. This is possible because all four fields used by this aggregate (count, total, min, and max) are value types.

After the variables for the four fields are declared, the Init method initializes these variables to their starting values. Notice here that the min variable is set to the maximum value for the SqlMoney type. That way, the first value that's passed to this aggregate will become the new minimum value. Similarly, the max variable is set to the minimum value for the SqlMoney type so the first variable that's passed to the aggregate will become the new maximum value.

Once the variables have been initialized, the Accumulate method updates these variables accordingly. To start, the parameter for the Accumulate method specifies that this aggregate function accepts values of the SqlMoney type. Then, the first statement within this method checks to see whether the parameter contains a null value. If the value is null, no processing is performed. However, if the value is not null, all four variables are updated accordingly. In particular, the count is increased by 1, and the value is added to the total. In addition, if the value is less than the current minimum value, it is set as the new minimum. Or, if the value is greater than the current maximum value, it is set as the new maximum.

After the Accumulate method, the Merge method contains the code that can combine multiple aggregate groups that may be created by the query processor. To start, the parameter for the Merge method contains a value of the TrimmedAvg type. Then, the statements within the method update all four variables accordingly. To do that, these statements call the private fields directly from the TrimmedAvg parameter. Otherwise, this method works much like the Accumulate method.

## An aggregate that returns a trimmed average                    Page 1

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

[Serializable]
[Microsoft.SqlServer.Server.SqlUserDefinedAggregate(Format.Native)]
public struct TrimmedAvg
{
    // declare four private fields (all value types)
    private SqlInt32 count;
    private SqlMoney total;
    private SqlMoney min;
    private SqlMoney max;

    public void Init()
    {
        count = 0;
        total = 0;
        min = SqlMoney.MaxValue;
        max = SqlMoney.MinValue;
    }

    public void Accumulate(SqlMoney Value)
    {
        if (!Value.IsNull)
        {
            count += 1;
            total += Value;
            if (Value < min)
                min = Value;
            if (Value > max)
                max = Value;
        }
    }

    public void Merge (TrimmedAvg Group)
    {
        count += Group.count;
        total += Group.total;
        if (Group.min < min)
            min = Group.min;
        if (Group.max > max)
            max = Group.max;
    }
```

Figure 23-3    An aggregate that returns a trimmed average (part 1 of 2)

Finally, the Terminate method returns the trimmed average. To start, this method defines the SqlMoney type as the return type. Then, the first statement checks to see if the count variable is less than or equal to 2. If so, the aggregate has not accumulated enough values to perform the calculation. As a result, it returns a null value, which completes the processing for the aggregate. However, if the aggregate has accumulated more than 2 values, this method calculates the trimmed average. To do that, it subtracts 2 from the count, it subtracts the minimum and maximum amounts from the total, and it calculates the average by dividing the total by the count. This average is stored in a variable of the SqlMoney type, and this variable is returned by the last statement in the method.

The SELECT statement in this figure shows that the TrimmedAvg aggregate works much like the built-in AVG function. However, you must prefix the TrimmedAvg aggregate with the schema name, just as you must prefix user-defined T-SQL functions with the schema name.

The result set for this SELECT statement shows that the TrimmedAvg aggregate returns a value that's significantly lower than the value that's returned by the AVG function. That's because the highest and lowest invoice totals aren't included in the TrimmedAvg aggregate. As you can see in the result set, the lowest invoice total is only $6.00, which doesn't have much of an effect on the TrimmedAvg aggregate. However, the highest invoice total is almost $38,000, which has a significant effect on this aggregate.

## An aggregate that returns a trimmed average                        Page 2

```
    public SqlMoney Terminate ()
    {
        if (count <= 2)
            return (SqlMoney.Null);

        count -= 2;
        total -= min;
        total -= max;
        SqlMoney trimmedAvg = total / count;
        return trimmedAvg;
    }
}
```

## A SELECT statement that uses the aggregate

```
SELECT AVG(InvoiceTotal) AS InvoiceTotalAvg,
       MIN(InvoiceTotal) AS InvoiceTotalMin,
       MAX(InvoiceTotal) AS InvoiceTotalMax,
       dbo.TrimmedAvg(InvoiceTotal) AS InvoiceTotalTrimmedAvg
FROM Invoices;
```

### The result set

| | InvoiceTotalAvg | InvoiceTotalMin | InvoiceTotalMax | InvoiceTotalTrimmedAvg |
|---|---|---|---|---|
| 1 | 1879.7413 | 6.00 | 37966.19 | 1574.2707 |

## Description

- Since the TrimmedAvg aggregate only uses the SqlMoney and SqlInt32 data types, which are value types, it can use the Native serialization format.

- The TrimmedAvg aggregate accepts a series of values of the SqlMoney type and returns a value of the SqlMoney type.

- The TrimmedAvg aggregate trims the series of values by throwing out the maximum and minimum values before calculating the average.

Figure 23-3    An aggregate that returns a trimmed average (part 2 of 2)

# An aggregate that returns
# a comma-delimited string

Figure 23-4 shows how to code an aggregate that returns a comma-delimited string. This aggregate uses a field that's instantiated from the StringBuilder class, which is a reference type. As a result, it can't use the Native serialization format. Instead, the SqlUserDefinedAggregate attribute specifies that this aggregate uses the UserDefined serialization format.

Since this aggregate uses UserDefined serialization, its attribute must include the MaxByteSize property to specify the maximum number of bytes that can be used to serialize the aggregate. For this aggregate, the maximum number of bytes is set to 4,000. If the aggregate exceeds 4,000 bytes, SQL Server will raise an error indicating that the size of the buffer is insufficient.

This aggregate must also implement the IBinarySerialize interface, since it uses UserDefined serialization. This interface contains two methods, named Read and Write, that the query processor uses to read and write the data for the aggregate to and from a binary stream. You'll see how that works in just a moment.

In addition to the Format and MaxByteSize properties, the SqlUserDefinedAggregate attribute also includes the IsInvariantToNulls, IsInvariantToDuplicates, and IsNullIfEmpty properties. The IsInvariantToNulls property is set to true to indicate that null values don't affect the result of the aggregate. The IsInvariantToDuplicates property is set to false to indicate that duplicate values do affect the result of the aggregate. And the IsNullIfEmpty property is set to true to indicate that a null value is returned by the aggregate if no values are passed to it. Note that false is the default value for the IsInvariantToDuplicates property, and true is the default value for the IsNullIfEmpty property, so these properties could have been omitted.

After the variable for the private field is declared, the Init method initializes this variable by creating a new StringBuilder object. Then, the Accumulate method starts by checking the parameter that's passed to the method to determine whether it contains a null value. If not, the string that's stored in the parameter is appended to the string that's stored in the StringBuilder object, along with a comma and a space. The Merge method uses a similar technique to combine multiple aggregate groups.

Finally, the Terminate method returns the string that's stored in the StringBuilder object. To start, this method checks the StringBuilder object to see if it contains zero characters. If so, the method returns a null value and ends. Otherwise, it removes the unnecessary comma and space from the end of the string, it converts the string to the SqlString type, and it returns the string.

**An aggregate that returns a comma-delimited string**                    **Page 1**

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;
using System.Text;  // for the StringBuilder class

[Serializable]
[Microsoft.SqlServer.Server.SqlUserDefinedAggregate(
    Format.UserDefined,
    MaxByteSize = 4000,
    IsInvariantToNulls = true,
    IsInvariantToDuplicates = false,
    IsNullIfEmpty = true)]
public struct CommaDelimitedList : IBinarySerialize
{
    // declare a private field (a reference type)
    private StringBuilder sb;

    public void Init()
    {
        sb = new StringBuilder();
    }

    public void Accumulate(SqlString Value)
    {
        if (!Value.IsNull)
            sb.Append(Value + ", ");
    }

    public void Merge (CommaDelimitedList Group)
    {
        sb.Append(Group.sb.ToString());
    }

    public SqlString Terminate ()
    {
        if (sb.Length == 0)
        {
            return SqlString.Null;
        }
        else
        {
            sb.Remove(sb.Length - 2, 2);
            SqlString s = (SqlString) sb.ToString();
            return s;
        }
    }
```

Figure 23-4     An aggregate that returns a comma-delimited string (part 1 of 2)

To implement the IBinarySerialize interface, you must implement the Read and Write methods of this interface. The Read method accepts a BinaryReader object as a parameter. You can use the methods that are available from this object to read data from a binary stream into the field or fields of the aggregate. In this figure, for example, the ReadString method is used to read a string from the binary stream. Then, this string is appended to the StringBuilder object. But first, the StringBuilder field is set to a new StringBuilder object. That way, you can be sure that the StringBuilder object isn't null and that it doesn't contain any data.

The Write method accepts a BinaryWriter object as a parameter. You can use the methods available from this object to write the field or fields of the aggregate to a binary stream. In this figure, for example, the Write method is used to write the string that's stored in the StringBuilder object to the binary stream.

The SELECT statement in this figure shows how the CommaDelimitedList aggregate works. To start, you must prefix this aggregate with the schema name, in this case, dbo. Then, this function works like any other aggregate function. In this figure, for example, it is used to return a comma-delimited list of vendor names for all vendors in the state of New York. In this case, three vendor names are returned.

## An aggregate that returns a comma-delimited string          Page 2

```
void IBinarySerialize.Read(System.IO.BinaryReader r)
{
    sb = new StringBuilder();
    sb.Append(r.ReadString());
}

void IBinarySerialize.Write(System.IO.BinaryWriter w)
{
    w.Write(sb.ToString());
}
}
```

## A SELECT statement that uses the aggregate

```
SELECT dbo.CommaDelimitedList(VendorName) AS VendorsInNY,
       COUNT(VendorName) AS Count
FROM Vendors
WHERE VendorState = 'NY';
```

### The result set

| | VendorsInNY | Count |
|---|---|---|
| 1 | Venture Communications Int1, The Mailers Guide Co, American Booksellers Assoc | 3 |

## Description

- This aggregate uses the StringBuilder class, which is a reference type, to define a field. As a result, it can't use the Native serialization format. Instead, it uses the UserDefined serialization format. To do that, it must implement the IBinarySerialize interface.

Figure 23-4    An aggregate that returns a comma-delimited string (part 2 of 2)

# How to work with user-defined types

If you've read chapter 19, you know that the xml data type includes methods that provide functionality that isn't available from most SQL Server data types. Similarly, when you create a *user-defined type (UDT)*, you can define properties and methods that let you work with the data that's stored in that type. Later in this chapter, for example, you'll see a user-defined type that contains properties and methods for validating an email address and for retrieving different parts of an email address. You'll also see how to use a user-defined type that contains properties and methods like this.

## How to declare a user-defined type

The first step in creating a user-defined type is to declare the type. The easiest way to do that is to use Visual Studio to start a new item based on the SQL CLR C# User Defined Type template. This template includes code that's similar to the code shown in figure 23-5. However, some changes were made to the code for the template to define a UDT named MyInt32.

If you deploy the MyInt32 type and use it within your SQL statements, you'll find that it provides functionality similar to SQL Server's int type. In fact, since the MyInt32 type doesn't provide any additional functionality, there's no compelling reason to use it. Later in this chapter, however, you'll learn how to add properties and methods to a UDT to provide new functionality. For now, the MyInt32 type illustrates the bare minimum that's required for creating a UDT.

To start, this code includes using statements for the five namespaces that are also used by CLR stored procedures, functions, and aggregates, including the SqlTypes namespace. Then, the Serializable and SqlUserDefinedType attributes identify the structure named MyInt32 as a UDT that uses the Native serialization format. Since these attributes work similarly to the attributes for user-defined aggregates, this code should all make sense to you.

In addition, the structure that defines a UDT must implement the INullable interface, which consists of a single property named IsNull. It must also include all of the other properties and methods presented in this figure. I'll briefly describe these properties and methods now. However, they'll make more sense when you see a more complex UDT later in this chapter.

The IsNull and Null properties allow the UDT to handle null values. Typically, the IsNull property returns a private field that contains a Boolean value that indicates whether the UDT contains a null value. In addition, the static Null property returns an instance of the UDT with its IsNull property set to true. For the most part, this is boilerplate code that works similarly for all UDTs.

The Parse and ToString methods allow the UDT to be converted to and from a string. The Parse method converts a SqlString type to the user-defined type. Conversely, the ToString method converts the user-defined type to the .NET string type.

Within the Parse method, the first statement typically checks if the SqlString parameter contains a null value. If so, it calls the static Null property, which creates and returns an instance of the UDT with its IsNull property set to

## The declaration for a user-defined type

```csharp
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

[Serializable]
[Microsoft.SqlServer.Server.SqlUserDefinedType(Format.Native)]
public struct MyInt32: INullable
{
    private bool isNull;
    private SqlInt32 number;

    public bool IsNull
    {
        get
        {
            return isNull;
        }
    }

    public static MyInt32 Null
    {
        get
        {
            MyInt32 h = new MyInt32();
            h.isNull = true;
            return h;
        }
    }

    public static MyInt32 Parse(SqlString s)
    {
        if (s.IsNull)
            return Null;
        MyInt32 u = new MyInt32();
        u.number = s.ToSqlInt32();
        return u;
    }

    public override string ToString()
    {
        return number.ToString();
    }

}
```

## Description

- A user-defined type must include the IsNull and Null properties to allow the data type to handle null values. The IsNull property is the only member of the INullable interface, which a UDT must implement.

- A user-defined type must include the Parse and ToString methods to allow the data type to be converted to and from a string.

Figure 23-5   How to declare a user-defined type

true. Otherwise, it creates a new instance of the UDT and sets its properties. In this figure, for example, the ToSqlInt32 method is used to convert the parameter from the SqlString type to the SqlInt32 type. However, in a more complex example, this code could validate data, set properties, and so on.

# How to work with the SqlUserDefinedType attribute

Figure 23-6 shows how to work with four of the most commonly used properties of the SqlUserDefinedType attribute. To start, the Format property works just as it does with the SqlUserDefinedAggregate attribute: It is required, it must be coded first in the list of properties, and it is specified using the Format enumeration. Since most UDTs use reference types as fields, you typically set this property to the UserDefined serialization format.

That also means that you typically use the MaxByteSize property to specify the number of bytes that are necessary to serialize the UDT. Remember that you should set this value as small as possible to improve the efficiency of the user-defined type. If, for example, your UDT will always be serialized as a non-Unicode string that will never contain more than 10 characters, you can set the MaxByteSize property to 11: one byte for each character and one byte that's used to indicate the length of the string. Or, if your UDT will always be serialized as a Unicode string that will never contain more than 10 characters, you can set the MaxByteSize property to 22 bytes: two bytes for each character and two bytes that are used to indicate the length of the string.

In addition, if you want to allow a UDT to be used as a constraint or an index, you must set the IsByteOrdered property to true. That way, SQL Server can use raw bytes to efficiently compare and sort the data type. If this property isn't set to true, the UDT will need to implement the IComparable interface to provide for sorting. Then, whenever SQL Server needs to sort the type, it can create an instance of each type and use the CompareTo method to compare each instance. This isn't as efficient as using the raw bytes.

A special situation occurs if you want to order a UDT that contains string data. That's because SQL Server can't use raw bytes to order strings alphabetically unless all the serialized strings have the same number of bytes. And, by default, the number of bytes in a serialized string varies depending on the number of bytes in the initial string. To accommodate this situation, you can serialize each string with a fixed length. That way, each string will be saved using the same number of bytes, and SQL Server will be able to use raw bytes to sort the strings alphabetically.

When you set the IsByteOrdered property to true, it usually makes sense to also set the IsFixedLength property to true. That way, SQL Server will raise an error if you attempt to read or write the UDT with a length that's different than the length specified by the MaxByteSize property. In other words, every instance of the UDT will be serialized with a fixed number of bytes that's equal to the maximum number of bytes.

## Four properties of the SqlUserDefinedType attribute

| Property | Description |
|---|---|
| `Format` | Uses the Format enumeration to specify a serialization format. |
| `MaxByteSize` | Specifies the maximum number of bytes that can be used to serialize the user-defined type. This property can be set from 1 to 8000. It should be used only with UserDefined serialization. |
| `IsByteOrdered` | Specifies whether the user-defined type is byte ordered. If this property is set to true, SQL Server can use the raw bytes for the type for indexing or comparison operations. This is significantly faster than the alternative, which involves creating instances of the type and comparing them. The default is false. |
| `IsFixedLength` | Specifies whether all instances of this user-defined type are the same length. If this property is set to true, SQL Server enforces the fixed length for the data type. The default is false. |

## Members of the Format enumeration

| Member | Description |
|---|---|
| `Native` | Allows SQL Server to efficiently store the user-defined type. To be able to use Native serialization, a user-defined type can only use value types as fields, not reference types. |
| `UserDefined` | Allows the user to control the binary format by implementing the Read and Write methods of the IBinarySerialize interface. This serialization format allows a user-defined type to work with reference types. |

## A SqlUserDefinedType attribute with UserDefined serialization

```
[Microsoft.SqlServer.Server.SqlUserDefinedType(
    Format.UserDefined,
    MaxByteSize = 11)]
```

## A SqlUserDefinedType attribute that provides for ordering

```
[Microsoft.SqlServer.Server.SqlUserDefinedType(
    Format.UserDefined,
    MaxByteSize=51,
    IsByteOrdered=true,
    IsFixedLength=true)]
```

## Description

- The Format property must be coded first in the list of properties, and it is specified using the Format enumeration.

- When you use the UserDefined serialization format, you must use the MaxByteSize property to specify the number of bytes that can be stored by the user-defined type.

Figure 23-6    How to work with the SqlUserDefinedType attribute

# A user-defined type for an email address

Figure 23-7 shows an example of a user-defined type named Email that defines a data type for an email address. This UDT provides several advantages over the varchar type that's normally used to store email addresses. One advantage is that this UDT provides data validation that prevents invalid email addresses from being stored in the database. A second advantage is that this UDT provides read-only properties that make it easy to get the components of the email address, such as the username or domain name.

Since this UDT includes a field that uses the .NET string type, which is a reference type, it must use the UserDefined serialization format. As a result, this UDT must implement the IBinarySerialize interface, which includes the Read and Write methods that are used to serialize the UDT. Also, since the UserDefined serialization format is used, this UDT must include the MaxByteSize property to specify the maximum number of bytes that can be used to serialize the UDT. For this UDT, the MaxByteSize property is set to 51, which allows an email address to have a maximum of 50 characters (one byte per character) plus one byte to indicate the length of the email address.

Since the IsByteOrdered property is set to true, SQL Server can use the raw bytes to order email addresses. However, to be able to use raw bytes to order strings alphabetically, each string must have the same length. To make sure that the Email type is always a fixed length, the IsFixedLength property is set to true. As a result, each email address must always be 51 bytes long. You'll see how you accomplish that in a moment.

Within the Email structure, the IsNull and Null properties are used to handle null values. The IsNull property returns the Boolean value that's stored within the private isNull field to indicate whether the UDT currently stores a null value. The static Null property, on the other hand, creates an instance of the Email type, sets its isNull field to true, and returns the instance.

After the properties that handle null values, the Email structure provides three user-defined properties that return the email address and its components. Since these three properties only provide a get accessor, not a set accessor, they are read-only properties.

To start, the Address property returns a string for the entire email address. Then, the Username property returns a string for the part of the email address that comes before the @ symbol. Finally, the Domain property returns a string for the part of the email address that comes after the @ symbol. This allows you to easily get the username or domain of an email address that's stored in the Email type.

**A user-defined type for an email address**                           **Page 1**

```
using System;
using System.Data;
using System.Data.SqlClient;
using System.Data.SqlTypes;
using Microsoft.SqlServer.Server;

[Serializable]
[Microsoft.SqlServer.Server.SqlUserDefinedType(
    Format.UserDefined,
    MaxByteSize=51,
    IsByteOrdered=true,
    IsFixedLength=true)]
public struct Email: INullable, IBinarySerialize
{
    private bool isNull;
    private string address;

    // required properties for handling null values

    public bool IsNull
    {
        get
        {
            return isNull;
        }
    }

    public static Email Null
    {
        get
        {
            Email email = new Email();
            email.isNull = true;
            return email;
        }
    }

    // user-defined, read-only properties

    public SqlString Address
    {
        get
        {
            return address;
        }
    }

    public SqlString Username
    {
        get
        {
            int atIndex = address.IndexOf("@");
            string username = address.Substring(0, atIndex);
            return username;
        }
    }
```

Figure 23-7     A user-defined type for an email address (part 1 of 3)

After the user-defined properties, the required ToString and Parse methods provide a way to convert an Email type to and from a string. To convert an Email type to a string, the ToString method returns the address field. This works because the address field is already declared as a string type.

To convert a string to an Email type, the static Parse method begins by checking if its parameter contains a null value. If so, it calls the static Null property and returns the null Email type this property creates. However, if the parameter is not null, the Parse method calls the user-defined Validate method to check if the string contains a valid email address. If not, the Validate method throws an exception, which causes a corresponding SQL Server error to be raised. If the string does contain a valid email address, however, the Parse method creates an instance of the Email type, sets its address property to the parameter string, and returns the Email type.

In this figure, the Validate method only checks that the email address contains an @ symbol, that it contains at least one character before the @ symbol, and that it contains at least one period after the @ symbol. However, you can easily modify this code to provide for more robust validation. In fact, since this type of validation is commonly done, you may be able to find existing code that meets your needs by searching the Internet.

## A user-defined type for an email address                                      Page 2

```
public SqlString Domain
{
    get
    {
        int atIndex = address.IndexOf("@");
        int length = address.Length - atIndex - 1;
        string domain = address.Substring(atIndex + 1, length);
        return domain;
    }
}

// required data conversion methods

public override string ToString()
{
    return address;
}

public static Email Parse(SqlString s)
{
    if (s.IsNull)
        return Null;

    Validate(s);

    Email email = new Email();
    email.address = (string) s;
    return email;
}

// user-defined data validation method

private static void Validate(SqlString str)
{
    string s = str.Value;
    string message = "Invalid email: " + s + ". ";

    // check for valid @ symbol
    int atIndex = s.IndexOf("@");
    if (atIndex == -1)
        throw new ApplicationException(message +=
            "The Email type must contain an @ symbol.");
    if (atIndex == 0)
        throw new ApplicationException(message +=
            "The Email type must contain text before the @ symbol.");

    // check for valid domain name
    int periodIndex = s.IndexOf(".", atIndex);
    if (periodIndex == -1)
        throw new ApplicationException(message +=
            "The Email type must contain a period after the @ symbol.");

    // add more validation code here
}
```

Figure 23-7     A user-defined type for an email address (part 2 of 3)

The last two methods of the Email structure are the Read and Write methods that are used to serialize the structure. These methods implement the IBinarySerialize interface and are used to convert the string that's used to store the email address to and from a series of bytes.

To start, the Write method uses the Write method of the BinaryWriter object to convert the string to bytes and to send it to a binary stream. But first, it uses the PadRight method to add spaces to the right side of the string until the string contains 50 characters. As a result, whenever the Email type is written to a binary stream, it is converted to a series of 51 bytes. The first byte indicates that the stream contains 50 characters, and the next 50 bytes contain the padded email address.

Conversely, the Read method uses the ReadString method of the BinaryReader object to read the string from the binary stream. Then, it uses the Trim method to remove any spaces from the end of the string. As a result, the address string that's used by the Email structure won't include any spaces.

## A user-defined type for an email address                          Page 3

```
public void Write(System.IO.BinaryWriter w)
{
    address = address.PadRight(50, ' ');
    w.Write(address);
}

public void Read(System.IO.BinaryReader r)
{
    address = r.ReadString();
    address = address.Trim();
}

}
```

## Description

- Within the SqlUserDefinedType attribute, the MaxByteSize property is set to 51, which allows an email address to have a maximum of 50 characters (one byte per character) with one leading byte to indicate the length of the email address.

- Within the SqlUserDefinedType attribute, the IsByteOrdered property specifies that SQL Server can use the raw bytes to order email addresses, and the IsFixedLength property specifies that each email address must have the same length. To make these properties work correctly, the Write method pads the right side of the email address with spaces before it writes the email address, and the Read method trims these spaces from the email address when it reads the email address.

- The value of the address field is used by the Read and Write methods to read and write the Email data type to and from a binary stream.

- The Parse method calls the user-defined Validate method to check if the string is a valid email address. If not, the Validate method throws an exception, which causes a corresponding SQL Server error to be raised.

- The read-only Address, Username, and Domain properties allow you to get data from the Email data type.

Figure 23-7    A user-defined type for an email address (part 3 of 3)

## SQL that works with a user-defined type

Figure 23-8 shows some SQL statements that work with the Email type defined in figure 23-7. In general, these SQL statements show that you can use the Email type just like you use the built-in SQL Server types. However, there are a few differences. For example, if you attempt to insert an email address into the database and the address doesn't pass the validation tests, SQL Server will raise an error. In addition, if you use the * operator to select all columns from the table, SQL Server will return the raw bytes for the Email type. As a result, if you want to display the string for the email address, you must call the Address property of the Email type.

The first example in this figure uses the CREATE TABLE statement to create a table named Users that includes a column named Email that's defined with the Email type. Then, the second example uses the CREATE INDEX statement to create an index based on the Email column. This works efficiently since SQL Server is able to use raw bytes to order the values stored in a column that uses the Email type.

The third and fourth examples use the INSERT statement to insert a row into the Users table. The third example is successful because the email address has a valid format. However, the INSERT statement for the fourth example fails because the email address has an invalid format. In this case, the address doesn't contain an @ symbol. Because of that, the Validate method of the Email type throws an exception, which causes SQL Server to raise a corresponding error.

The fifth and sixth examples use the SELECT statement to retrieve data from the Users table, including data that's stored in the Email column. Here, the first SELECT statement uses the * operator to retrieve all columns from the Users table. As a result, the Email column contains the raw bytes for the email address. However, the second SELECT statement uses the Address and Domain properties of the Email type to display the string representations of the email address and the email domain.

The last example shows a script that uses the Email type as a variable. This script declares the variable, sets it equal to a string value, and prints the string value. Although this script is simple, it shows that you can use a UDT within other types of database objects such as stored procedures and functions.

## A table that uses a UDT

```
CREATE TABLE Users(
    UserID int NOT NULL IDENTITY PRIMARY KEY,
    Email email UNIQUE,
    Password varchar(50));
```

## A statement that creates an index on the UDT

```
CREATE INDEX IX_Email
    ON Users (Email);
```

## A statement that inserts a valid value into the table

```
INSERT INTO Users
VALUES ('mike@murach.com', 'sesame!');
```

### The response from the system

```
(1 row(s) affected)
```

## A statement that attempts to insert an invalid value

```
INSERT INTO Users
VALUES ('joelmurach.com', 'sesame!');
```
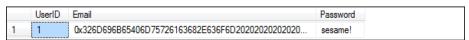
### The response from the system

```
Msg 6522, Level 16, State 1, Line 3
A .NET Framework error occurred during execution of user-defined routine or
aggregate "Email":
System.ApplicationException: Invalid email: joelmurach.com. The Email type must
contain an @ symbol.
System.ApplicationException:
   at Email.Validate(SqlString str)
   at Email.Parse(SqlString s).
```

## A statement that selects data from the table

```
SELECT * FROM Users;
```

### The result set

| UserID | Email | Password |
|---|---|---|
| 1 | 0x326D696B65406D75726163682E636F6D20202020202020... | sesame! |
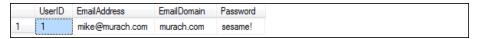
## A statement that uses properties to select data from the table

```
SELECT UserID, Email.Address AS EmailAddress,
            Email.Domain  AS EmailDomain, Password FROM Users;
```

### The result set

| UserID | EmailAddress | EmailDomain | Password |
|---|---|---|---|
| 1 | mike@murach.com | murach.com | sesame! |

## A script that uses a UDT as a variable

```
DECLARE @email Email;
SET @email = 'mike@murach.com';
PRINT 'EmailAddress: ' + CONVERT(varchar, @email);
```

### The response from the system

```
EmailAddress: mike@murach.com
```

Figure 23-8    SQL that works with a user-defined type

# Perspective

Since there's no T-SQL equivalent for creating UDAs or UDTs, you're more likely to use CLR integration to create these objects than you are to create CLR stored procedures, functions, and triggers. With the skills presented in this chapter, you should be able to start creating UDAs and UDTs of your own. In particular, you should be able to create UDTs that validate and parse data that's stored with the data type. This, perhaps, is the most useful aspect of CLR integration.

In this chapter, for example, you saw how an Email type can be used to validate and parse email addresses. This is useful because email addresses are typically stored in a single column. When you first start experimenting with UDTs, then, we recommend you use them only for data that's normally stored within a single column, such as email addresses, phone numbers, and so on.

Beyond that, UDTs have the potential to be misused. In particular, they can lead to databases that don't follow the normalization rules you learned about in chapter 10, and they can degrade database performance. For example, suppose you create a Vendor type that stores all of the data that's typically stored in a row in the Vendors table. Then, you could create a Vendors table that has only one column with the Vendor type. As you might guess, this would limit your ability to write SQL statements that retrieve data from the table. In addition, it would limit your ability to use joins to relate the Vendors table to the other tables in the database. And so on. In short, it would prevent your relational database from doing what it is designed to do.

# Terms

user-defined aggregate (UDA)
aggregate
field
user-defined type (UDT)

## Exercises

1. Create a CLR user-defined type named WarehouseLocation in the Visual Studio project named ApClrObjectsEx that you created for the exercises for chapter 21. Define the type as a string that holds the warehouse location of a part. The location should consist of a single letter that represents a rack, a number between 1 and 5 that represents a shelf, and a number between 1 and 50 that represents a bin.

   Modify the SqlUserDefinedType attribute so it uses a user-defined serialization format with a maximum byte size of 5. Be sure to implement the IBinarySerialize interface to read and write the type in a binary format.

   In addition to the properties and methods that are required to implement this type, include four properties named Location, Rack, Shelf, and Bin that return the complete location, the rack letter, the shelf number, and the bin number. Also include a method named Validate that's called from the Parse method that validates the rack, shelf, and bin data as described above. If any of the data is invalid, an ApplicationException should be thrown with an appropriate error message. When you're done coding the UDT, compile the project and deploy it to the AP database on the localhost server where you'll test it.

   Hint: To validate the rack, you can retrieve the character at index 0 of the location string and store it in a Char variable. Then, you can use the IsLetter method of the Char structure to determine if the character is a letter.

2. Use the Management Studio to create a script that contains the following code:

```
CREATE TABLE Parts(
    PartID             int NOT NULL IDENTITY PRIMARY KEY,
    PartNumber         varchar(20) NOT NULL,
    Description        varchar(50) NOT NULL,
    WarehouseLocation  warehouselocation NOT NULL);
```

   Run this script to create the Parts table.

3. Use the Management Studio to create a script that inserts a row into the Parts table you created in exercise 2. The row should contain the values ABC123, water pump, and D324 for the PartNumber, Description, and WarehouseLocation columns. (You can't run this script from Visual Studio because Visual Studio will try to redeploy the data type, but it won't be able to do that because the data type is in use by the Parts table.)

4. Use the Management Studio to create a script that selects the PartNumber and Description columns from the Parts table, along with the Rack, Shelf, and Bin properties of the WarehouseLocation column.

# Appendix A

# How to install the required software for this book

To run the SQL statements described in chapters 1 through 20 of this book, you only need to have two software products installed: the SQL Server 2012 database engine and the SQL Server 2012 Management Studio. Both of these products are available from the Microsoft website for free, and you can download and install them both on your computer as described in this appendix.

To use a .NET language such as C# or VB to work with BLOBs as described in chapter 20, you can use an Express Edition of C# or VB. However, to use a .NET language to develop stored procedures or other database objects as described in chapters 21 through 23 of this book, you need to have a non-express edition of Visual Studio installed. If you don't already have Visual Studio installed on your system, you can install it as described in this appendix.

# The four editions of SQL Server 2012 Express

Figure A-1 describes the four editions of SQL Server 2012 Express. Of the four editions listed in this figure, we recommend that you install SQL Server 2012 Express with Tools. This edition includes the database engine and the Management Studio that are described throughout this book. In addition, it includes the LocalDB edition of SQL Server 2012 Express, which is needed to test database objects written using a .NET language from within Visual Studio.

The fourth edition (SQL Server 2012 Express with Advanced Services) includes two additional features that aren't covered in this book. If you eventually want to learn about these features, you can install this edition and use it with this book. However, it requires more system resources than SQL Server 2012 Express with Tools.

## The four editions of SQL Server 2012 Express

| Edition | Description |
| --- | --- |
| LocalDB (MSI Installer) | A lightweight version of Express that's designed for use by application developers and is installed without configuration. |
| SQL Server 2012 Express | The core express database server. Contains only the database engine. Accepts remote connections and can be administered remotely. |
| SQL Server 2012 Express with Tools | Contains LocalDB and SQL Server Management Studio Express in addition to the database engine. You can use the Management Studio to develop and run the SQL statements that are presented in chapters 1 through 20 of this book. |
| SQL Server 2012 Express with Advanced Services | Contains Full Text Search and Reporting Services in addition to the database engine, LocalDB, and Management Studio Express. Full Text Search and Reporting Services aren't covered in this book. |

## Description

- For this book, we recommend that you install SQL Server 2012 Express with Tools.

Figure A-1    The four editions of SQL Server 2012 Express

# How to install SQL Server 2012 Express with Tools

Figure A-2 shows how to install SQL Server 2012 Express with Tools. This edition of SQL Server includes a version of the SQL Server 2012 database engine, it includes the main tool for working with databases, the SQL Server 2012 Management Studio, and it includes the LocalDB edition. Chapter 2 presents the basic techniques for using the Management Studio. You can use it to develop and run all of the SQL statements that are presented in chapters 1 through 20 of this book. Chapter 21 shows you how to use the LocalDB edition, and you can use it to test most of the database objects you create with Visual Studio in chapters 21 through 23.

If you already have an instance of SQL Server 2012 Express installed on your computer, you will only want to install the Management Studio tool and, if you'll be developing database objects from Visual Studio, the LocalDB. To do that, you can download a separate install program for each of these features.

If you already have an instance of SQL Server 2005, 2008, or 2008 R2 Express installed on your computer with a name of SQLEXPRESS, you won't be able to install the 2012 version with this name. In that case, you have three options. First, you may be able to upgrade the database server by selecting the "Upgrade from SQL Server 2005, SQL Server 2008 or SQL Server 2008 R2" option in step 6. This will upgrade the old instance to 2012, but it will run the existing databases as if they are running on 2005 or 2008. If you want to update a database so it can use the features of SQL Server 2012, you can change its compatibility level. For information on how to do that, see chapter 2.

Second, if you can't upgrade the old instance of SQL Server Express, you can uninstall it. Then, you can install a 2012 instance. That way, you can use the default name of SQLEXPRESS for the 2012 instance. To do that, you should start by backing up any databases that are running on the older SQL Server instance. One way to do that is to detach them from the server and copy the data (mdf) and log (ldf) files for the databases to a safe location. Then, you can uninstall all components of SQL Server Express, including the Management Studio components. Next, you can install SQL Server 2012 Express with Tools as described in this figure. Finally, you can attach the databases that you backed up to this server. For more information about detaching and attaching databases, see chapter 2.

Third, you may want to leave the 2005, 2008, or 2008 R2 instance of SQL Server on your computer and install the 2012 instance of the database engine with a new name such as SQLEXPRESS2012. To do that, you can specify a name for the new instance in step 8. However, the examples in this book assume that the SQL Server 2012 database engine is installed with a name of SQLEXPRESS. As a result, if you choose this approach, you may have to modify some of the examples to get them to run successfully on your computer.

## The main URL for the SQL Server 2012 Express downloads

`http://msdn.microsoft.com/en-us/evalcenter/hh230763.aspx`

## How to download and install the prerequisites

1. Go to the URL shown above.

2. Follow the links to the download page for SQL Server 2012 Express with Tools.

3. Follow the instructions provided on that web page to register, download, and install any required components.

## How to download and install SQL Server 2012 Express with Tools

4. Download the setup program for SQL Server 2012 Express with Tools. To do that, you can scroll down the page and click the Download button for the setup program. For 32-bit systems, you can download the file named SQLEXPRWT_x86_ENU.exe. For 64-bit systems, you can download the file named SQLEXPRWT_x64_ENU.exe.

5. Launch the setup program.

6. At the SQL Server Installation Center dialog, select the Installation category. Then, select the "New SQL Server standalone installation" option to start the SQL Server 2012 Setup wizard, and respond to the resulting dialog boxes. If there is an older instance of SQL Server installed with the name SQLEXPRESS, you can select the "Upgrade from SQL Server 2005, SQL Server 2008 or SQL Server 2008 R2" option.

7. At the Feature Selection step, select all available features. Or, if there is already an instance of SQL Server 2012 installed on your system, you can deselect the "Database Engine Services" check box. This allows you to install the other features of SQL Server 2012 including the Management Studio without installing another instance of SQL Server, and it allows you to skip the rest of this procedure.

8. At the Instance Configuration step, create a named instance with a name of SQLEXPRESS. If there is an older instance of SQL Server with this name, you can create a named instance with a name of SQLEXPRESS2012, or you can uninstall the old instance and install the 2012 instance with the default name of SQLEXPRESS.

9. At the Server Configuration step, select an account name for the SQL Server Database Engine service. If you want to run SQL Server on your computer, you can select NT AUTHORITY\SYSTEM.

10. At the Database Engine Configuration step, you can click the Add Current User button to add the current user as a SQL Server Administrator.

11. Continue responding until the installation is complete.

## Note

- This book assumes that the SQL Server 2012 Express database engine is installed with a name of SQLEXPRESS.

Figure A-2    How to install SQL Server 2012 Express with Tools

# How to install Visual Studio and SQL Server Data Tools

For chapters 1-19, you don't need Visual Studio. As a result, you can wait until you get to chapter 20 to install it.

For chapter 20, you can use an Express Edition of Visual Studio. For example, if you want to use C#, you can install Visual C# 2010 Express. Or, if you want to use Visual Basic, you can install Visual Basic 2010 Express. The Express Editions of Visual Studio are free and can be downloaded from Microsoft's website. After you download the setup program for the language you want to use, you just run the setup program to install it. This program works similarly to the setup program for Visual Studio 2010, but fewer options are available.

For chapters 21 through 23, however, you must have a full edition (not an Express Edition) of Visual Studio 2010 installed on your computer. Unfortunately, these editions of Visual Studio are not cheap. For example, the Professional Edition is the least expensive edition, and its suggested retail price is usually more than $500. If you're just curious to see how these features work, you can download and install a trial edition from the Microsoft web site for free. Or, if you intend to begin using Visual Studio regularly, you can purchase an edition. If you purchase a DVD that has the software, you can install it as described in figure A-3.

For chapters 21 through 23, you must also install SQL Server Data Tools (SSDT) on your system. To do that, you can follow the instructions in this figure.

As this book goes to press, Visual Studio 2010 is the most current version of Visual Studio. As a result, we can't yet provide accurate instructions for installing and working with the next version of Visual Studio, Visual Studio 2012. If you want to use Visual Studio 2012 when it becomes available, though, you should be able to do that without any problem. But if we find differences between Visual Studio 2012 and Visual Studio 2010 that affect this book, we'll be sure to include information about those differences in the FAQs for this book on our web site.

## How to install Visual Studio 2010 Professional

1. Insert the installation DVD. The setup program will start automatically.

2. Click the Install Visual Studio 2010 link and follow the instructions.

3. On the Options page, select the option for performing a custom installation. Then, when the page with the list of features is displayed, deselect the option to install SQL Server 2008. (For this book, you want to install SQL Server 2012 as described in figure A-2.)

4. To install any updates that are available, click the Check for Service Releases link.

## The main URL for SQL Server Data Tools (SSDT)

`http://msdn.microsoft.com/en-US/data/tools.aspx`

## How to download and install SQL Server Data Tools (SSDT)

1. Search the Internet and find the page for downloading SSDT.

2. Read the instructions and make sure you have installed all of the prerequisites for SSDT. For example, if you're using Visual Studio 2010 Professional, you must install Service Pack 1 (SP1).

3. Download and install SSDT.

## Description

- For chapters 1 through 19 of this book, Visual Studio is not needed.

- For chapter 20 of this book, you can use an Express Edition of Visual Studio such as Visual C# 2010 Express or Visual Basic 2010 Express. To find the page for downloading the appropriate setup program, you can search the Internet.

- For chapters 21 through 23 of this book, you must install a non-express edition of Visual Studio such as the Professional Edition. If you install Visual Studio 2010, you must also install SQL Server Data Tools (SSDT). If you install Visual Studio 2012, SSDT is included.

## Note

- As this book goes to press, the final version of Visual Studio 2012 has not been released. When it is released, if we find that the procedures for installing and working with this version are different than the procedures for Visual Studio 2010, we will include updated information in the FAQs for this book on our website at www.murach.com.

---

Figure A-3    How to install Visual Studio and SQL Server Data Tools

# Appendix B

# How to install the files and databases used in this book

If you have installed SQL Server 2012 Express and the Management Studio on your system as described in appendix A, you are ready to install the files and databases that are used by this book as described in this appendix. First, you must download these files from www.murach.com. Then, you can use the Management Studio to create the databases and start experimenting with the SQL scripts. This also prepares your system for using Visual Studio 2010 to create and deploy stored procedures and other database objects that are written using the C# or Visual Basic language.

# How to install the files
# included in the book download

Figure B-1 begins by describing the files for this book that are contained in the self-extracting zip file (an exe file) that you can download from www.murach.com. When you download and execute this zip file, it will unzip the five directories described in this figure into the C:\Murach\SQL Server 2012 directory on your hard drive. Here, the Databases directory contains the SQL scripts used to create all four databases that are used in examples throughout the book. The Scripts directory contains the SQL code that's described throughout this book. You can use the SQL Server Management Studio to open these scripts. Then, you can run them to view the results. Or, you can experiment with these scripts by modifying them before you run them.

The Exercises directory contains the solutions to the exercises that are presented at the end of each chapter. You can use these solutions to check that the solutions you develop are correct. You can also use these solutions to find out how to solve an exercise if you're unable to do it on your own. Keep in mind, though that you'll get more out of the exercises if you try to solve them on your own first.

The Projects directory contains two subdirectories that contain the Visual Studio projects for chapters 20 through 23. The C# subdirectory contains the C# code for these projects as shown in this book, and the Visual Basic subdirectory contains the Visual Basic code for these projects. For chapter 20, you can use an Express Edition of C# or VB to open these projects. However, for chapters 21 through 23, you must use a non-express edition of Visual Studio to open these projects. Then, you can experiment with them, and you can build and deploy them as described in chapter 21.

## The files included in the book download

| Directory | Description |
|---|---|
| Databases | The SQL scripts that are used to create the databases for this book. |
| Scripts | The SQL scripts that are used throughout this book. |
| Exercises | The SQL scripts and Visual Studio 2010 projects that contain the solutions to the exercises at the end of each chapter. |
| Projects | The Visual Studio 2010 projects that use C# and Visual Basic code to create stored procedures, functions, triggers, user-defined types, and aggregates. |
| Images | The binary image files that are used in chapter 20. |

## The databases that are created

| Database | Description |
|---|---|
| AP | The Accounts Payable (AP) database that's used in the examples throughout this book. This database only includes tables so you can add other objects yourself. |
| AP_AllObjects | A copy of the AP database that includes all of the objects described in this book, including views, stored procedures, triggers, and user-defined functions. |
| ProductOrders | The Product Orders database that's used in some of the examples in this book. |
| Examples | A database that contains several small tables that are used in some of the examples for which the AP database couldn't be used. |

## How to download these files

1. Go to www.murach.com, and go to the page for *Murach's SQL Server 2012 for Developers*.
2. Click the link for "FREE download of the book examples."
3. Select the "All book files" link and respond to the resulting pages and dialog boxes. This will download a setup file named sq12_allfiles.exe onto your hard drive.

## How to install these files

1. Use Windows Explorer to find the setup file on your hard drive.
2. Double-click this file and respond to the dialog boxes that follow. If you accept the defaults, this installs the files into the directory shown below.

## The default installation directory

```
C:\Murach\SQL Server 2012
```

## Description

* All of the files for the databases and code described in this book are contained in a self-extracting zip file (an exe file) that can be downloaded from www.murach.com.

---

Figure B-1    How to install the files included in the book download

## How to create the databases for this book

Before you can run the SQL statements presented in this book, you need to create the four databases described in figure B-1. The easiest way to do that is to use the SQL Server Management Studio to run the SQL scripts that create the databases. For example, the create_ap.sql script creates the AP database. The procedure for running these scripts is described in figure B-2.

To determine if a script ran successfully, you can review the results in the Messages tab. In this figure, for example, the Messages tab shows a series of statements that have executed successfully. In addition, the Object Explorer window shows the four databases.

If the script encounters problems, the SQL Server Management Studio displays one or more errors in the Messages tab. Then, you can read these errors to figure out why the script didn't execute correctly.

Before you can run the SQL scripts that create the databases, the database server must be running. By default, the database server is automatically started when you start your computer, so this usually isn't a problem. However, if it isn't running on your system, you can start it as described in chapter 2.
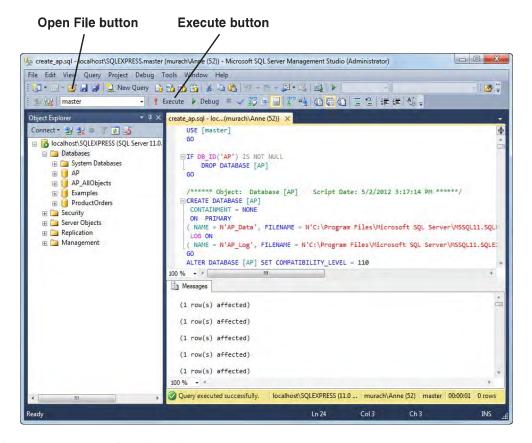
## How to restore a database

As you work with the examples in this book, you may make changes to the databases or tables that you don't intend to make. In that case, you may want to restore a database to its original state. To do that, you can run the script that creates the database again. This will drop the database and recreate it.

## The directory that contains the scripts for creating the databases

```
C:\Murach\SQL Server 2012\Databases
```

## The SQL Server Management Studio after executing four database scripts



## How to create the databases

1. Start the SQL Server Management Studio.

2. Connect to the database server as shown in chapter 2.

3. Open a script file by clicking the Open File button and then using the resulting dialog box to locate the script that creates the database. To create the AP database, for example, open the create_ap.sql file. When you do, the Management Studio displays this script in a Query window.

4. Execute the script by clicking the Execute button. When you do, the Messages tab indicates whether the script executed successfully.

5. Repeat steps 3 and 4 until you have created all four databases.

## How to restore a database

- Run the create database script again to drop the database and recreate it.

## Description

- For these scripts to work, the database server must be running. By default, the database server is automatically started when you start your system. If it isn't running on your system, you can start it as described in chapter 2.

Figure B-2    How to create and restore the databases

# Appendix C

# Coding and syntax conventions

Throughout this book, you've learned how to code Transact-SQL statements, functions, and procedures. This appendix summarizes the coding rules you have to follow and the syntax conventions that are used in the syntax summaries in the figures. As you enter queries, you'll find that the Management Studio color codes different elements, like keywords, which also helps you to pinpoint and resolve syntax problems.

## Coding rules and guidelines

### General coding rules
1. Use spaces to separate the elements in each statement.
2. Use commas to separate the items in a list.
3. Use single quotes (') to enclose literal values.
4. Line breaks, white space, indentation, and capitalization have no effect on the operation of a statement.

### Identifiers
1. The first character of an identifier must be a letter as defined by the Unicode Standard 3.2, an underscore (_), an at sign (@), or a number sign (#).
2. An identifier can contain from 1 to 128 characters. All characters after the first must be a letter, a number, an underscore, an at sign, a number sign, or a dollar sign ($).
3. An identifier can't be a Transact-SQL reserved keyword.
4. An identifier can't contain spaces or special characters other than those already mentioned.
5. If an identifier doesn't follow these rules, it must be enclosed in brackets ([]) or double quotation marks ("") unless it's a column alias. A column alias can also be enclosed in single quotes.

## Comments

1. To code a block comment that consists of more than one line, type /* at the start of the block and */ at the end.

2. To code a single-line comment, type -- followed by the comment.

## Continuations

No special coding is required to code a statement on more than one line. Simply enter a return at the end of the first line and continue the statement on the next line.

## Coding recommendations for more readable code

1. Start each new clause on a new line.

2. Break long clauses into multiple lines and indent continued lines.

3. Capitalize the first letter of each keyword and each word in column and table names.

4. Use comments only for portions of code that are difficult to understand. Then, make sure that the comments are correct and up-to-date.

5. End each statement with a semicolon. This will be required with a future release of SQL Server.

# Syntax conventions

| | |
|---|---|
| **UPPERCASE ELEMENT** | Indicates that the element is a keyword that must be entered exactly as shown, except that it doesn't have to be capitalized. |
| **lowercase element** | Indicates an element that you need to provide. |
| **[option]** | Indicates an option that may be coded but isn't required. |
| **[option\|option]** | Indicates a set of alternative options, one of which may be coded. |
| **{option\|option}** | Indicates a set of alternative options, one of which *must* be coded. |
| **...** | Indicates that the preceding option may be repeated multiple times. |
| <u>**option**</u> | Indicates the default value for an option. |

# Coding examples

Syntax:    `SELECT [ALL|DISTINCT] [TOP n [PERCENT] [WITH TIES]]`
            `column_specification [[AS] result_column]`
            `[, column_specification [[AS] result_column]] ...`

Examples:   `Select VendorName, VendorCity, VendorState`

            `Select InvoiceNumber As 'Invoice Number',`
                `InvoiceTotal - PaymentTotal - CreditTotal As BalanceDue`

            `Select Top 5 Percent VendorID, InvoiceTotal`


Syntax:    `WHERE test_expression`
            `[NOT] IN ({subquery|expression_1 [, expression_2]...})`

Examples:   `Where VendorState Not In ('CA', 'NV', 'OR')`

            `Where VendorID In`
                `(Select VendorID`
                 `From Invoices`
                 `Where InvoiceDate = '2012-01-01')`


Syntax:    `ORDER BY expression [ASC|DESC] [, expression [ASC|DESC]] ...`

Examples:   `Order By VendorName`

            `Order By InvoiceDate Desc, InvoiceTotal`

# Index

# G

## Books for database developers

| | |
|---|---|
| Murach's SQL Server 2012 for Developers | $54.50 |
| Murach's Oracle SQL and PL/SQL | 52.50 |
| Murach's MySQL | 54.50 |

## Books for .NET developers

| | |
|---|---|
| Murach's C# 2010 | $54.50 |
| Murach's Visual Basic 2010 | 54.50 |
| Murach's ASP.NET 4 Web Programming (C# or VB 2010 edition) | 54.50 |
| Murach's ADO.NET 4 Database Programming (C# or VB 2010 edition) | 54.50 |

## Books for Java developers

| | |
|---|---|
| Murach's Java Programming | $57.50 |
| Murach's Java Servlets and JSP, Second Edition | 52.50 |

## Books for web developers

| | |
|---|---|
| Murach's HTML5 and CSS3 | $54.50 |
| Murach's JavaScript and DOM Scripting | 54.50 |
| Murach's PHP and MySQL | 54.50 |

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## The software that you need for this book

- SQL Server 2012 Express Edition (a free download)
- SQL Server 2012 Management Studio Express (a free download)
- Visual Studio 2010 or later (only needed for chapters 20 through 23)
- SQL Server Data Tools (a free download; only needed for chapters 21 through 23)
- For information about downloading and installing these products, please see appendix A.

## The downloadable files for this book

- Scripts that create the databases used by the examples in this book
- Scripts for all of the SQL statements presented in this book
- Solutions to the exercises presented at the end of each chapter in this book
- The C# projects presented in chapters 20 through 23
- Visual Basic versions of the C# projects presented in chapters 20 through 23

## How to download the files for this book

- Go to www.murach.com, and go to the page for *Murach's SQL Server 2012 for Developers*.
- Click the link for "FREE download of the book applications." Then, select the "All book files" link and respond to the resulting pages and dialog boxes. This will download a setup file named sq12_allfiles.exe onto your hard drive.
- Use Windows Explorer to find this exe file on your hard drive. Then, double-click this file and respond to the dialog boxes that follow. This installs the files in directories that start with C:\Murach\SQL Server 2012.

## How to create the databases

- Start the SQL Server Management Studio and connect to the database server as described in chapter 2.
- Run the four scripts in the C:\Murach\SQL Server 2012\Databases directory.
- For more details, please see appendix B.

# www.murach.com